



Published in final edited form as:

J Allergy Clin Immunol. 2012 May ; 129(5): 1218–1228. doi:10.1016/j.jaci.2012.01.074.

Genome-wide association study of lung function decline in adults with and without asthma

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Disclosure of potential conflict of interest:

Authors declare no conflict of interest.

Replication cohorts:

ARIC: The Atherosclerosis Risk in Communities Study is carried out as a collaborative study supported by National Heart, Lung, and Blood Institute contracts (HHSN268201100005C, HHSN268201100006C, HHSN268201100007C, HHSN268201100008C, HHSN268201100009C, HHSN268201100010C, HHSN268201100011C, and HHSN268201100012C), R01HL087641, R01HL59367 and R01HL086694; National Human Genome Research Institute contract U01HG004402; and National Institutes of Health contract HHSN268200625226C. Infrastructure was partly supported by Grant Number UL1RR025005, a component of the National Institutes of Health and NIH Roadmap for Medical Research. Work for this manuscript was supported, in part, by the Intramural Research Program of the National Institutes of Health (NIH), National Institute of Environmental Health Sciences (NIEHS, Z01ES043012).

FHS: National Heart, Lung and Blood Institute's Framingham Heart Study (Contract No. N01-HC-25195) and its contract with Affymetrix, Inc for genotyping services (Contract No. N02-HL-6-4278). Dr. Wilk by a Young Clinical Scientist Award from the Flight Attendant Medical Research Institute (FAMRI). A portion of this research utilized the Linux Cluster for Genetic Analysis (LinGA-II) funded by the Robert Dawson Evans Endowment of the Department of Medicine at Boston University School of Medicine and Boston Medical Center.

B58C: British 1958 Birth Cohort was funded by the Medical Research Council grant G0000934 and the Wellcome Trust grant 068545/Z/02 (<http://www.b58c.gene.sgu.ac.uk/>). Genotyping was funded by the Wellcome Trust grant 076113/B/04/Z, by the United States National Institutes of Health and the Juvenile Diabetes Research Foundation U01 DK062418 and by the European Commission Framework Programme 6 (018996).

Dutch Asthma Study: The Dutch Asthma study has been funded by the Netherlands Asthma Foundation grants AF 3.2.07.015; and AF 98.48 and a grant from the University Medical Center Groningen

This article has in support of the manuscript online repository materials.

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Abstract

Background—Genome-wide association studies (GWAS) have identified determinants of chronic obstructive pulmonary disease, asthma and lung function level, however none addressed decline in lung function.

Aim—We conducted the first GWAS on age-related decline in forced expiratory volume in the first second (FEV1) and in its ratio to forced vital capacity (FVC) stratified *a priori* by asthma status.

Methods—Discovery cohorts included adults of European ancestry (1441 asthmatics, 2677 non-asthmatics; Epidemiological Study on the Genetics and Environment of Asthma (EGEA); Swiss Cohort Study on Air Pollution And Lung And Heart Disease In Adults (SAPALDIA); European Community Respiratory Health Survey (ECRHS)). The associations of FEV1 and FEV1/FVC decline with 2.5 million single nucleotide polymorphisms (SNPs) were estimated. Thirty loci were followed-up by *in silico* replication (1160 asthmatics, 10858 non-asthmatics: Atherosclerosis Risk in Communities (ARIC); Framingham Heart Study (FHS); British 1958 Birth Cohort (B58C); Dutch asthma study).

Results—Main signals identified differed between asthmatics and non-asthmatics. None of the SNPs reached genome-wide significance. The association between the height related gene *DLEU7* and FEV1 decline suggested for non-asthmatics in the discovery phase was replicated (discovery

$P=4.8 \times 10^{-6}$; replication $P=0.03$) and additional sensitivity analyses point to a relation to growth. The top ranking signal, *TUSC3*, associated with FEV1/FVC decline in asthmatics ($P=5.3 \times 10^{-8}$) did not replicate. SNPs previously associated with cross-sectional lung function were not prominently associated with decline.

Conclusions—Genetic heterogeneity of lung function may be extensive. Our results suggest that genetic determinants of longitudinal and cross-sectional lung function differ and vary by asthma status.

Keywords

Asthma; cohort studies; genome-wide association; lung function decline; heterogeneity

INTRODUCTION

Low lung function is a feature of both asthma and chronic obstructive pulmonary disease (COPD), with twin studies demonstrating strong heritability (0.51 to 0.77) for forced expiratory volume in the first second (FEV1)^{1, 2}. The two respiratory diseases and lung function itself share predisposing and phenotypic features, including increased airway responsiveness and atopy as well as exogenous risk factors^{3, 4}. Genome-wide association studies (GWAS) have identified novel genetic loci for asthma^{5–10}, COPD^{11–14}, and lung function^{15–18} and provide the opportunity to study agnostically their overlap in genetic background¹⁹. Some of the implicated genes, such as *PDE4D*, support a link between asthma and COPD which may be rooted in shared pathways during lung development²⁰. However, the majority of the genes implicated in asthma or COPD GWAS analyses have not been identified as top association signals in GWAS for lung function in the general population^{15–18}, with the exception of *HHIP* and *FAM13A* being associated with both lung function^{15–18} and COPD^{11–14}. Several lines of evidence suggest that different genes influence lung function in asthmatics and in non-asthmatics. Genome-scans in family based linkage studies identified some, but overall limited overlap between chromosomal regions linked to lung function in asthmatics²¹, COPD patients²² and in the general population²³ and it has been suggested that genetic variation may be more important for lung function in asthma after adjusting for smoking and body size differences^{21, 24, 25}.

Here, we present results from the first lung function GWAS conducted separately for asthmatics and non-asthmatics. This current study also focuses on the rate of lung function decline in adults instead of cross-sectional lung function parameters tested in previous GWAS^{15–18}. The discovery cohorts included two population-based studies (SAPALDIA and ECRHS) and one asthma family-based study (EGEA), all of European ancestry with highly comparable and standardized assessment of respiratory health parameters including spirometry from two time points ten years apart. These three studies had been included in the GWAS for asthma conducted by the GABRIEL consortium⁷. Replication cohorts included three population-based cohorts (FHS, ARIC, B58C) and one family-based asthma study (the Dutch Asthma Study).

METHODS

Discovery cohorts and study population

Three large multi-centric cohorts EGEA²⁶, SAPALDIA²⁷ and ECRHS²⁸ constitute the ESE-consortium. Personal factors of relevance to lung function decline were assessed by interview and anthropometric measurements at baseline and follow-up. Participants included in discovery phase were derived from the nested asthma case/control samples (SAPALDIA and ECRHS) or from the entire study population (EGEA) subjected to genome-wide

genotyping in the context of the GABRIEL asthma GWAS⁷. Baseline and follow-up examination were roughly 10 years apart. The analysis was restricted to adult participants (age ≥ 18 years at the time of the baseline spirometry) with complete information on age, height and sex as well as valid lung function measure from both surveys. Cohort study protocols were in agreement with the Declaration of Helsinki and obtained ethical approval from their respective regional and/or national review boards.

Lung function assessments, asthma status and genotypes

At each visit, a minimum of two acceptable forced expiratory flows, forced vital capacity (FVC) and forced expiratory volume in the first second (FEV1) complying with American Thoracic Society criteria were obtained^{26–29}. No bronchodilator was administered. Based on questionnaire data, asthmatics were defined as asthma self-report at any of the completed surveys and family-based studies considered additional clinical asthma criteria (see online repository). Genotyping for discovery cohorts was centrally performed on the Illumina Human 610quad BeadChip at the Centre National de Génotypage (CNG, Evry, France)⁷. Imputation of genotypes based on Hapmap2 reference panel, investigation of population stratification and quality control criteria are described in Figure EI and Table EI in the Online Repository.

Replication Cohorts

Four cohorts of European ancestry with available genome-wide data, ARIC³⁰, FHS¹⁵; B58C³¹; Dutch asthma study³² were used for replication. Subjects included in the current analysis were older than 24 years, had complete information on covariates (age, height, and sex) and valid lung function measures from at least two time-points. The lung function measurements were conducted at least ten years apart, except three years apart for ARIC (Table I). Distinct genotype data platforms and imputation software were used (Table EII, Online Repository).

Statistical analysis

Annual decline in FEV1 and FEV1/FVC was calculated as difference between follow-up and baseline spirometric measurements (mL for FEV1 and % for FEV1/FVC) divided by the duration of follow-up in years. Standardized residuals were derived from sex-specific linear regression models adjusted for age, height and study centre in asthmatics and non-asthmatics separately. Comparability between studies of standardized residuals was tested using Wilcoxon-Mann-Whitney test ($P > 0.94$). The standardized residuals were used as dependent variable and regressed on genome-wide single nucleotide polymorphisms (SNPs) adjusted for study-specific principal components capturing population ancestry (see online supplement for details). Study-specific SNP effect estimates were combined through meta-analysis using fixed and random effects models. We used a threshold of $P < 5 \times 10^{-8}$ (the Bonferroni adjustment for one million independent tests) to declare a pooled effect as genome-wide significant. Selection criteria for replication loci are described in the methods section of the online repository. SNPs with suggestive evidence of association with decline in FEV1 or FEV1/FVC were chosen for *in silico* replication (Table EIII, Online Repository). Study-specific regression models and meta-analyses across replication cohorts were as described for the discovery phase. Replication cohorts with spirometry data from more than two different time points modelled the lung function decline phenotype by fitting a least-squares slope using the available data (FHS, Dutch asthma study). $P < 0.05$ was considered as statistically significant at the replication level.

The results of the main meta-analyses for the top 1000 SNPs are available in the online repository (Table EIV A to D, Online Repository). We also conducted a meta-analysis by combining non-asthmatic and asthmatic samples and tested for heterogeneity between these

samples (Table EV, Online Repository). Additional sensitivity analyses were done by: a) restricting the GWAS sample to subjects aged 30 and older for FEV1 decline (Table EIV E and F, Online Repository); b) conducting GWAS analyses on percent change instead of absolute annual decline in lung function (Table EIV G to J, Online Repository); c) investigating smoking stratified joint effects for replications SNPs (Table EVI, Online Repository); d) excluding ARIC, a cohort having substantially shorter follow-up time than the other cohorts (three years instead of ten years) from replication analyses (Table EVII, Online Repository). Methods and results of these additional analyses are described in the online repository.

RESULTS

Characteristics of the study populations

The cohorts included in this study differed by age and type of recruitment, and accordingly in lung function and the proportion of subjects with FEV1/FVC below 70% (Table I, Table EVIII, Online Repository). Baseline lung function parameters, but not their annual changes were lower in asthmatics when compared to non-asthmatics in each study. The proportion of never smokers was comparable among asthmatics, but varied among non-asthmatics (ranging from 28.5% in B58C to 46.5% in EGEA). No substantial differences in the smoking prevalence between people with and without asthma were observed within each study. Comparing the discovery cohorts in more detail (Table EVIII, Online Repository), atopy (total IgE ≥ 100 kU/ml) and hay fever were more prevalent in both asthmatics and non-asthmatics from EGEA when compared to ECRHS and SAPALDIA. Current asthma was more prevalent (84.4%) in EGEA than in SAPALDIA (25.5%) or ECRHS (43.3%) and the prevalence of a positive family history for asthma was also highest in EGEA, in agreement with the study design. Asthmatics from EGEA had a younger age of disease onset due to the mode of recruitment of the proband.

Main findings from meta-analyses of discovery and replication phase

In the discovery phase, GWAS meta-analysis of decline in FEV1 and FEV1/FVC was conducted in 2677 non-asthmatics and in 1441 asthmatics. Genomic inflation factors were low for both lung function parameters ($\lambda < 1.047$, Table EIX, Online Repository) suggesting minimal unaccounted population stratification. The replication panel included a total of 10'858 non-asthmatics and 1'138 asthmatics. Thirty lead SNPs belonging to 30 loci ($5 \times 10^{-8} < P_{\text{discovery}} < 6 \times 10^{-5}$) were chosen for replication.

The four lung function parameter- and asthma-specific meta-analyses identified one association signal that almost reached the genome-wide significance level ($P = 5.3 \times 10^{-8}$) at the locus 8p22 containing the *TUSC3* gene for FEV1/FVC decline in asthmatics while all other signals had $P < 5 \times 10^{-7}$ (Figure I), but this signal was not associated with FEV1/FVC decline in asthmatics in the replication sample. The only locus of the selected replication candidate loci that formally replicated was 13q14.3, containing the *DLEU7* gene, associated with decline in FEV1 in the non-asthmatics ($P_{\text{discovery}} = 4.8 \times 10^{-6}$ and $P_{\text{replication}} = 0.03$).

In the global *post hoc* analysis combining both asthmatics and non-asthmatics ($N = 4118$), a striking finding was the absence of any pronounced association signals ($P > 1 \times 10^{-6}$) despite increased statistical power. This was in agreement with the minimal overlap of association signals observed in asthmatics and non-asthmatics separately. Most signals at $P < 10^{-5}$ from the asthma-stratified analysis in the discovery phase exhibited statistically significant heterogeneity of effects between the two groups (Table II). At the replication stage, none of the replication SNPs was associated with lung function decline in asthmatics and non-asthmatics combined.

Association signals for annual decline in FEV1 in non-asthmatics

Of fifteen SNPs associated at $P < 10^{-5}$ with decline in FEV1 in non-asthmatics ten were clustered at position 112.3 Mb on chromosome 9, containing genes *TXN*, *MUSK* and *SVEP1*. Two of the 15 SNPs were located at 13q14.3 in a locus containing the *DLEU7* gene; three SNPs belonged to three distinct loci. The association of lead and proxy SNPs in *DLEU7* (Figure II), but not *TXN/MUSK/SVEP1* (Figure EII) or the other SNPs (Table II) replicated. The G-allele of SNP rs9316500 near the *DLEU7* gene was positively associated with annual FEV1 decline in the discovery cohorts ($P = 4.8 \times 10^{-6}$) and in the replication cohorts ($P = 0.026$). Although heterogeneity between studies was not significant ($P = 0.61$), the combined P value did not reach the genome-wide level ($P = 5.7 \times 10^{-5}$).

Association signals for annual decline in FEV1 in asthmatics

Eighteen SNPs in nine distinct chromosomal locations were associated with decline in FEV1 in asthmatics at $P < 10^{-5}$. None of the loci selected for *in silico* replication was confirmed (Table II).

Association signals for annual decline in FEV1/FVC in non-asthmatics

Seven loci showed association with FEV1/FVC decline in non-asthmatics at $10^{-6} < P < 10^{-5}$, but no locus selected for replication was confirmed (Table II).

Association signals for annual decline in FEV1/FVC in asthmatics

Twelve SNPs at the locus 8p22 containing the gene *TUSC3* at 15.68Mb were associated with FEV1/FVC decline at $P < 10^{-7}$ in asthmatics (Figure I). Regional locus plot and forest plot are presented in the online repository (Figure EIII). The top association signals in this locus were conferred by distinct SNPs in each cohort, though apparently they were located in the same putative haplotype segment in SAPALDIA and in EGEA (Figure EIV, Online Repository). There was no statistically significant association in ECRHS. Meta-analysis of the discovery samples identified SNP rs4831760 as top signal in *TUSC3* gene, but heterogeneity between discovery studies was borderline significant ($P = 0.07$). The C-allele ($P = 5.3 \times 10^{-8}$) was positively associated with annual decline in FEV1/FVC in asthmatics (Beta = 0.22 ± 0.04 (standard error); Table II). However this association was not replicated ($P = 0.80$). In the meta-analysis combining discovery and replication samples the resulting P-value for rs4831760 was 2.8×10^{-5} . All but the Dutch asthma study, exhibited effect estimates in the same direction as the discovery panel. Two other candidate loci (*MPP7* and *SYNE2*) also failed replication testing.

SNPs previously associated in GWAS meta-analyses on cross-sectional lung function

The associations of top hit SNPs from previous GWAS meta-analyses on cross-sectional lung function^{11, 15–18} and a replication study in asthmatics³³ were assessed separately for asthmatics and non-asthmatics in the discovery cohorts. Associations were assessed for both, lung function parameters of decline (annual decline and percent change) and cross-sectional lung function level. Overall, a subset of variants and loci showed replication of association with cross-sectional lung function in either non-asthmatics or asthmatics. Few of the loci showed strong association with decline in lung function. We present associations at $P < 0.05$ in Table III and those at $P = 0.05$ in Table EX in the online repository.

For baseline FEV1, we observed associations for SNPs belonging to 4q24 (*GSTCD*, rs11731417, $P = 1.3 \times 10^{-4}$) and 15q23 (*THSD4*, rs1913768, $P = 0.003$). Associations with baseline FEV1 were mainly restricted to non-asthmatics. For baseline FEV1/FVC, associations of SNPs of *THSD4* were prominent (e.g. rs12899618, $P = 3.3 \times 10^{-4}$) and again restricted to non-asthmatics.

For decline phenotypes of FEV1, we observed associations for SNPs in regions 6p21 (*DAAM2*, $0.003 < P < 0.02$) and 4q28 (*HHIP*, $0.02 < P < 0.05$) among asthmatics and in *THSD4* ($0.003 < P < 0.04$) among non-asthmatics. The strongest associations observed for decline phenotypes of FEV1/FVC were two SNPs in *MMP15* (16q13, $0.003 < P < 0.002$) in non-asthmatics, only. Association in the combined sample of asthmatics and non-asthmatics did not substantially alter the results.

Summary of findings from sensitivity analyses

We observed in non-asthmatics, aged 30 years and more, that *MUSK* and *DLEU7* were no longer prominently associated with FEV1 decline, but SNPs in other genes remained strongly associated (*ZIC1*, rs6785065, $P=2.3 \times 10^{-5}$; *UBL3*, rs278037, $P=4.8 \times 10^{-5}$). Results of the GWAS on percent change in lung function showed that the FEV1 association signal for *DLEU7* in the non-asthmatics was no longer significant; however the signals for *MUSK* (rs1889321, $P=2.92 \times 10^{-7}$) and other loci remained unaltered (*ZIC1*, rs6785065, $P=2.0 \times 10^{-5}$; *KIRREL3*, rs11604082, $P=4.1 \times 10^{-6}$; *KIAA2117*, rs10082549, $P=2.7 \times 10^{-6}$). Top signals associated with decline in FEV1/FVC in asthmatics remained unaltered for *TUSC3* (rs4831760, $P=5.2 \times 10^{-8}$) and for *SYNE2* (rs7144584, $P=6.4 \times 10^{-7}$) after taking baseline lung function into account.

Smoking stratified analyses of the replication SNPs revealed no substantial difference in association between ever and never smokers except for a few SNPs belonging to loci containing *SYNE2*, *RORA*, *BCAS1*, or *PLXNA4* genes.

Replication meta-analysis excluding the ARIC data substantially reduced sample size in non-asthmatics and the association of *DLEU7* with decline of FEV1 was no longer significant. Instead two loci for association with decline in FEV1 in asthmatics (*PLXNA4*, rs10808265, $P_{\text{discovery}}=1.7 \times 10^{-6}$, $P_{\text{replication}}=0.02$ and *SLC45A3*, rs16856186, $P_{\text{discovery}}=8.9 \times 10^{-6}$, $P_{\text{replication}}=0.04$) and one locus, FLJ25393, for decline in FEV1/FVC in non-asthmatics (rs2658782, $P_{\text{discovery}}=4.3 \times 10^{-6}$, $P_{\text{replication}}=0.03$) gained statistical significance.

DISCUSSION

A main result of this study is the observed genetic heterogeneity of lung function decline between asthmatics and non-asthmatics. When we combined the two groups in the discovery phase we observed no genome-wide significant association signal despite larger sample size. All top hit association signals detected by the asthma stratified analysis showed significant heterogeneity according to the disease status. In the replication phase, this heterogeneity was also confirmed for the *DLEU7* locus which was associated with FEV1 decline in non-asthmatics only. Finally, many of the SNPs identified by previous GWAS on lung function exhibited associations specific to asthma status.

The finding of genetic heterogeneity in lung function reported here is consistent with available evidence. Differences in familial segregation of FEV1 in asthmatic and non-asthmatic families previously suggested genetic heterogeneity between these two groups²⁴. Agnostic studies investigating genetic determinants of lung function in both, family-based^{21, 22, 34–37} and population-based samples^{15–18, 23, 25} produced little overlap in chromosomal regions. Genome-wide scans on lung function in asthma^{21, 38} or COPD²² families also suggested a heterogeneous genetic architecture of lung function.

Nevertheless, some previously reported overlapping linkage regions for the ratio of FEV1 over vital capacity (FEV1/VC) and FEV1 over the forced vital capacity (FEV1/FVC) in

families with asthma and COPD^{21, 22} suggest that at least some gene(s) could be important in the development of airway obstruction in both diseases.

Furthermore, genetic polymorphisms in glutathione S-transferases^{39–42} as well as *ADAM-33*^{43–46} were associated with lower lung function at all ages and in different subgroups of the population (general population, patients with COPD and asthma). Gene-lung function associations that are of relevance to several population and patient strata may be determined specifically by complex gene-gene and gene-environment interactions, as suggested for lung function decline and its complex association with estrogen receptor 1 polymorphisms, smoking, steroid use, and gender^{32, 47}. While ignored in ours as well as previous GWAS, such effect modifications should be considered in the future⁴⁸.

Results from the Busselton Health Study on familial aggregation and heritability of adult lung function previously suggested the existence of genetic determinants of adult lung function independent of asthma, atopy, cigarette smoking, height, age or sex²⁵. Consistent with these results, neither asthma, atopy and COPD genes previously identified in large GWAS^{5–9, 11} nor genes related to smoking behavior⁴⁹ were associated with lung function decline in our study. The association of FEV1 decline with a gene related to height, *DLEU7*, was ranking high, but only in subjects without asthma (rs9316500, $P_{\text{discovery}}=4.8 \times 10^{-6}$; $P_{\text{replication}}=0.03$). *DLEU7* gene product and expression remain poorly characterized, but its mRNA has been detected in the lung. The *DLEU7* locus was identified as a determinant of adult height in previous GWAS meta-analyses^{50–52}. Three other height genes, *HHIP*, *GPR126* and *PTCH*, were associated with cross-sectional lung function^{15–17}. All of these lung function models including ours were adjusted for adult height. The observed association, related to both *HHIP* and *DLEU7* being associated with peak height velocity in infancy⁵¹, suggests that aspects beyond adult height influence lung function and possibly its response to non-genetic determinants. Several genes implicated in respiratory diseases indicate that early lung development impacts respiratory health later in life²⁰. Sensitivity analyses are supportive for a growth-specific role of *DLEU7*. The association of genetic variants in *DLEU7* with decline in FEV1 disappeared in analyses considering baseline lung function or restricted to subjects above age 30 with no remaining physiologic lung growth. There might be a link between physiologic growth and unregulated cell differentiation as the *DLEU7* gene is also a proposed tumor suppressor gene in chronic lymphocytic leukemia^{53–55}. Evidence emerges for a role of *DLEU7* in counterbalancing the proliferative impact of NF- κ B on various cell types⁵⁶. The potential role of the gene product of *TUSC3*, a proposed tumor suppressor gene⁵⁷, in lung physiology is discussed in the Online Repository.

None of the SNPs identified in GWAS of cross-sectional lung function^{15–18} ranked high in this current GWAS on lung function decline. A strong risk factor for accelerated lung function decline in adulthood is cigarette smoking, but our study was too small to assess gene smoking interaction at the GWAS level. We had decided *a priori* against smoking adjustment as it is not a confounder, and any link between genotype and smoking is likely to be, at least in part, in the same causal pathway (e.g. gene products metabolizing tobacco constituents or influencing smoking behavior). Their identification as determinants of lung function decline is of public health importance. Consistent with previous GWAS on cross-sectional lung function^{15–18}, neither the *TUSC3* (heterogeneity between ever/never smokers $P=0.98$) nor other top hit signals were modified by smoking except for SNPs in *SYNE2*, *RORA*, *BCAS1* and *PLXN4*. Arguments for biologic plausibility are mentioned in the Online Repository.

The strength of the present study is the longitudinal design of all cohorts included. Repeated spirometric assessments within the same subject is thought to capture more precisely exogenous factors and genes leading to accelerated loss of lung function in adulthood⁵⁸. The

discovery cohorts shared comparable questionnaire and spirometry protocols and they were specifically designed to investigate environmental and genetic causes of lung function decline and asthma in a standardized way. Each study has two measures of pre-bronchodilator lung function about ten years apart, but clearly our findings would be more robust if further lung function measures were available over an even longer period of follow-up. All discovery cohorts have used the same genotyping platform and stringent quality control criteria have been applied.

Sample size is a limitation of this study, and remains a general challenge in lung function studies with a need for high phenotypic comparability as spirometry results are sensitive to technicians and devices used⁵⁹. The pre-bronchodilation lung function measurements in our and previous lung function GWAS do not allow to differentiate reversible from non-reversible obstruction to airflow. Populations included in this study differed by age which is also reflected by the diverging proportion of subjects with FEV1/FVC <0.7 at follow-up between the discovery cohorts. Discovery and replication populations also differ by time spacing between the spirometry assessments. We can only speculate of on the overall impact of such differences. We do note that replication results were sensitive to the exclusion of ARIC data (the study with highest mean age, largest annual decline, and shortest follow-up time).

Other limitations are shared with any GWAS meta-analyses investigating complex phenotypes such as lack in power for investigating gene-environment interactions or studying subgroups of diseases. As the sample size of our study was comparatively small, especially for the asthmatic sample in the replication phase, we had limited ability to address differences in asthma sub-phenotypes or the impact of asthma medication intake. It is also likely that a substantial part of complex disease may be explained by rare mutations not considered by current GWAS. Finally, assessing the joint effect of SNPs having small effects individually and potentially interacting with each other remains another challenge.

In conclusion, this first GWAS meta-analysis on lung function decline provides suggestive evidence for genetic heterogeneity between persons with and without asthma and between cross-sectionally and longitudinally measured lung function. Consistent with cross-sectional GWAS, our results are also suggestive of height related genes playing a role. Further studies in this area would be enhanced by greater comparability of age range, spacing of lung function assessments, and asthma sub-phenotypes (including treatment) to decrease phenotypic heterogeneity and therefore increase statistical power to detect true association candidate loci⁶⁰.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

Sources of support:

Discovery cohorts: ESE (EGEA-SAPALDIA-ECRHS)

EGEA: INSERM-Ministry of Research 'Cohortes et Collections' grant (4CH06G). French Ministry of Higher Education and Research, University Paris Diderot-Paris 7, grants from the French Agency for Environmental and Occupational Health Safety (grant AFSSETAPR- SE-2004), the French National Agency for Research (grants ANR 05-SEST-020- 02/05-9-97 and ANR 06-CEBS), PHRC-Paris, Merck Sharp & Dohme (MSD))

SAPALDIA: Swiss National Science Foundation (grants no 4026-28099,3347CO-108796, 3247BO-104283, 3247BO-104288, 3247BO-104284, 32-65896.01,32-59302.99, 32-52720.97, 32-4253.94); the Federal Office for Forest, Environment and Landscape; the Federal Office of Public Health; the Federal Office of Roads and

Transport; the canton's government of Aargau, Basel-Stadt, Basel-Land, Geneva, Luzern, Ticino, Zurich; the Swiss Lung League; the canton's Lung League of Basel Stadt/Basel Landschaft, Geneva, Ticino and Zurich; Freie Akademische Gesellschaft (FAG); UBS Wealth Foundation.

ECRHS: The co-ordination of ECRHS II was supported by the European Commission, as part of their Quality of Life programme. The following bodies funded the local studies in ECRHS II: **Albacete:** Fondo de Investigaciones Santarias (FIS) (grant code: 97/0035-01, 99/0034-01 and 99/0034-02), Hospital Universitario de Albacete, Consejería de Sanidad; **Barcelona:** SEPAR, Public Health Service (grant code: R01 HL62633-01), Fondo de Investigaciones Santarias (FIS) (grant code: 97/0035-01, 99/0034-01 and 99/0034-02) CIRIT (grant code: 1999SGR 00241) Red Respira ISCII; CIBER Epidemiología y Salud Pública (CIBERESP), Spain **Basel:** Swiss National Science Foundation, Swiss Federal Office for Education & Science, Swiss National Accident Insurance Fund (SUVA), USC NIEHS Center grant 5P30 ES07048; **Bergen:** Norwegian Research Council, Norwegian Asthma & Allergy Association (NAAF), Glaxo Wellcome AS, Norway Research Fund; **Erfurt:** GSF-National Research Centre for Environment & Health, Deutsche Forschungsgemeinschaft (DFG) (grant code FR 1526/1-1); **Galdakao:** Basque Health Dept; **Grenoble:** Programme Hospitalier de Recherche Clinique-DRC de Grenoble 2000 no. 2610, Ministry of Health, Direction de la Recherche Clinique, CHU de Grenoble, Ministère de l'Emploi et de la Solidarité, Direction Generale de la Sante, Comité des Maladies Respiratoires de l'Isere; **Hamburg:** GSF-National Research Centre for Environment & Health, Deutsche Forschungsgemeinschaft (DFG) (grant code MA 711/4-1); **Ipswich and Norwich:** Asthma UK (formerly known as National Asthma Campaign); **Huelva:** Fondo de Investigaciones Santarias (FIS) (grant code: 97/0035-01, 99/0034-01 and 99/0034-02); **Oviedo:** Fondo de Investigaciones Santarias (FIS) (grant code: 97/0035-01, 99/0034-01 and 99/0034-02); **Paris:** Ministère de l'Emploi et de la Solidarité, Direction Generale de la Sante, UCB-Pharma (France), Aventis (France), Glaxo France, Programme Hospitalier de Recherche Clinique-DRC de Grenoble 2000 no. 2610, Ministry of Health, Direction de la Recherche Clinique, CHU de Grenoble; **Tartu:** Estonian Science Foundation; **Umeå:** Swedish Heart Lung Foundation, Swedish Foundation for Health Care Sciences & Allergy Research, Swedish Asthma & Allergy Foundation, Swedish Cancer & Allergy Foundation; **Uppsala:** Swedish Heart Lung Foundation, Swedish Foundation for Health Care Sciences & Allergy Research, Swedish Asthma & Allergy Foundation, Swedish Cancer & Allergy Foundation; *Financial support for ECRHS I for centres in ECRHS II was provided by:* Ministère de la Santé, Glaxo France, Insitut Pneumologique d'Aquitaine, Contrat de Plan Etat-Région Languedoc-Roussillon, CNMATS, CNMRT (90MR/10, 91AF/6), Ministre délégué de la santé, RNSP, France; GSF, and the Bundesminister für Forschung und Technologie, Bonn, Germany; Norwegian Research Council project no. 101422/310; Ministerio Sanidad y Consumo FIS (grants #91/0016060/00E-05E and #93/0393), and grants from Hospital General de Albacete, Hospital General Juan Ramón Jiménez, Consejería de Sanidad Principado de Asturias, Spain; The Swedish Medical Research Council, the Swedish Heart Lung Foundation, the Swedish Association against Asthma and Allergy; Swiss National Science Foundation grant 4026-28099; National Asthma Campaign, British Lung Foundation, Department of Health, South Thames Regional Health Authority, UK. A.R. was supported by the Department of Health, UK and the European Commission as part of GABRIEL contract number 018996 under the Integrated Program LSH-2004-1.2.5-1. **Genotyping of the discovery cohort and part of B58C** was funded by the GABRIEL asthma genetic consortium supported by a contract from the European Commission (018996) and grants from the French Ministry of Research, the Wellcome Trust (WT084703MA), and Asthma UK.

EGEA: We thank the EGEA cooperative group: *Coordination:* F Kauffmann; F Demenais (genetics); I Pin (clinical aspects). *Respiratory epidemiology:* Inserm U 700, Paris M Korobaef (Egea1), F Neukirch (Egea1); Inserm U707, Paris: I Annesi-Maesano; Inserm CESP/U 1018, Villejuif: F Kauffmann, N LeMoual, R Nadif, MP Oryszczyn; Inserm U 823, Grenoble: V Siroux *Genetics:* Inserm U 393, Paris: J Feingold; Inserm U 946, Paris: E Bouzigon, F Demenais, MH Dizier; CNG, Evry: I Gut, M Lathrop. Clinical centers: Grenoble: I Pin, C Pison; Lyon: D Ecochard (Egea1), F Gormand, Y Pacheco; Marseille: D Charpin (Egea1), D Vervloet; Montpellier: J Bousquet; Paris Cochin: A Lockhart (Egea1), R Matran (now in Lille); Paris Necker: E Paty, P Scheinmann; Paris-Trousseau: A Grimfeld, J Just. *Data and quality management:* Inserm ex-U155 (Egea1): J Hochez; Inserm CESP/U 1018, Villejuif: N Le Moual, Inserm ex-U780: C Ravault; Inserm ex-U794: N Chateigner; Grenoble: J Ferran. The authors thank all those who participated to the setting of the study and on the various aspects of the examinations involved: interviewers, technicians for lung function testing, coders, those involved in quality control, data management and all those who supervised the study in all centers. The authors are grateful to the three CIC-Inserm of Necker, Grenoble and Marseille who supported the study and in which subjects were examined. They are indebted to all the individuals who participated without whom that study would not have been possible.

SAPALDIA: The study could not have been done without the help of the study participants, technical and administrative support and the medical teams and field workers at the local study sites. Local fieldworkers: Aarau: M Broglie, M Bünler, D Gashi, Basel: R Armbruster, T Damm, U Egermann, M Gut, L Maier, A Vögelin, L Walter, Davos: D Jud, N Lutz, Geneva: M Ares, M Bennour, B Galobardes, E Namer, Lugano: B Baumberger, S Boccia Soldati, E Gehrig-Van Essen, S Ronchetto, Montana: C Bonvin, C Burrus, Payerne: S Blanc, AV Ebinger, ML Fragnière, J Jordan, Wald: R Gimmi, N Kourkoulos, U Schafroth. Administrative staff: N Bauer, D Baehler, C Gabriel, R Gutknecht. SAPALDIA Team: *Study directorate:* T Rochat, JM Gaspoz, N Künzli, LJS Liu, NM Probst Hensch, C Schindler. *Scientific team:* JC Barthélémy, W Berger, R Bettschart, A Bircher, G Bolognini, O Brändli, C Brombach, M Brutsche, L Burdet, M Frey, U Frey, MW Gerbase, D Gold, E de Groot, W Karrer, R Keller, B Knöpfli, B Martin, D Miedinger, U Neu, L Nicod, M Pons, F Roche, T Rothe, E Russi, P Schmid-Grendelmeyer, A

Schmidt-Trucksäss, A Turk, J Schwartz, D. Stolz, P Straehl, JM Tschopp, A von Eckardstein, E Zemp Stutz. *Scientific team at coordinating centers:* M Adam, E Boes, PO Bridevaux, D Carballo, E Corradi, I Curjuric, J Dratva, A Di Pasquale, L Grize, D Keidel, S Kriemler, A Kumar, M Imboden, N Maire, A Mehta, F Meier, H Phuleria, E Schaffner, GA Thun, A Ineichen, M Ragettli, M Ritter, T Schikowski, G Stern, M Tarantino, M Tsai, M Wanner. **ECRHS:** The European Community Respiratory Health Survey is a collaboration of European research groups many of whom also agreed to provide blood samples for genotyping as part of the GABRIEL initiative. Investigators in the collaborating centres are Debbie Jarvis, Matthias Wjst., Manolis Kogevinas, Rain Jogi, Christer Janson, Karl Franklin, Ernst Omenaas, Benedicte Leynaert, Isabelle Pin, Joachim Heinrich, Nino Kuenzli, Nicole M. Probst-Hensch, Josep M. Anto, Jordi Sunyer, Jose-Antonio Maldonado, Jesus Martinez-Moratalla, Isabel Urritia, Felix Payo. **EGEA, SAPPALDIA and ECRHS** were part of the **GABRIEL** Consortium, a European 6th Framework Research project on asthma genetics, which allowed us to obtain the genotype information used in this analysis. **ARIC:** The authors thank the staff and participants of the ARIC study for their important contributions. Grace Chiu at Westat Inc. (Research Triangle Park, NC), Shuangshuang Dai at the National Institute of Environmental Health Sciences, and Richard Howard at the University of North Carolina School of Public Health provided data management and programming assistance. **FHS** research was conducted using data and resources from the Framingham Heart Study of the National Heart, Lung, and Blood Institute of the National Institutes of Health and Boston University School of Medicine. The analyses reflect intellectual input and resource development from the Framingham Heart Study investigators participating in the SNP Health Association Resource (SHARe) project. **B58C:** We acknowledge use of phenotype and genotype data from the British 1958 Birth Cohort DNA collection, funded by the Medical Research Council grant G0000934 and the Wellcome Trust grant 068545/Z/02. (<http://www.b58c.gene.sgu.ac.uk/>). Genotyping for the B58C-WTCCC subset was funded by the Wellcome Trust grant 076113/B/04/Z. The B58C-T1DGC genotyping utilized resources provided by the Type 1 Diabetes Genetics Consortium, a collaborative clinical study sponsored by the National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK), National Institute of Allergy and Infectious Diseases (NIAID), National Human Genome Research Institute (NHGRI), National Institute of Child Health and Human Development (NICHD), and Juvenile Diabetes Research Foundation International (JDRF) and supported by U01 DK062418. B58C-T1DGC GWAS data were deposited by the Diabetes and Inflammation Laboratory, Cambridge Institute for Medical Research (CIMR), University of Cambridge, which is funded by Juvenile Diabetes Research Foundation International, the Wellcome Trust and the National Institute for Health Research Cambridge Biomedical Research Centre; the CIMR is in receipt of a Wellcome Trust Strategic Award (079895). The B58C-GABRIEL genotyping was supported by a contract from the European Commission Framework Programme 6 (018996) and grants from the French Ministry of Research.

Abbreviations

ARIC	Atherosclerosis Risk in Communities Study
ATS	American Thoracic Society
B58C	British 1958 Birth Cohort
chr	chromosome
COPD	chronic obstructive pulmonary disease
ECRHS	European Community Respiratory Health Survey
EGEA	Genetics and Environment of Asthma
FEV1	forced expiratory volume in the first second
FHS	Framingham Heart Study
FVC	forced vital capacity
GWAS	genome-wide association studies
HapMap	Haplotype Map Project
Q-Q	Quantile-quantile
SAPPALDIA	Swiss Cohort Study on Air Pollution And Lung And Heart Disease In Adults
SNP	single nucleotide polymorphism

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Key Messages

- Knowledge regarding genes with pleiotropic effects on asthma, chronic obstructive pulmonary disease as well as on lung function level and its longitudinal course is limited.
- This first GWAS meta-analysis on lung function decline conducted separately in non-asthmatic and asthmatic cohort participants suggests that genetic determinants of lung function decline are different in the two groups.
- The results further suggest that previously identified genetic determinants of cross-sectional lung function are not major determinants of the decline.

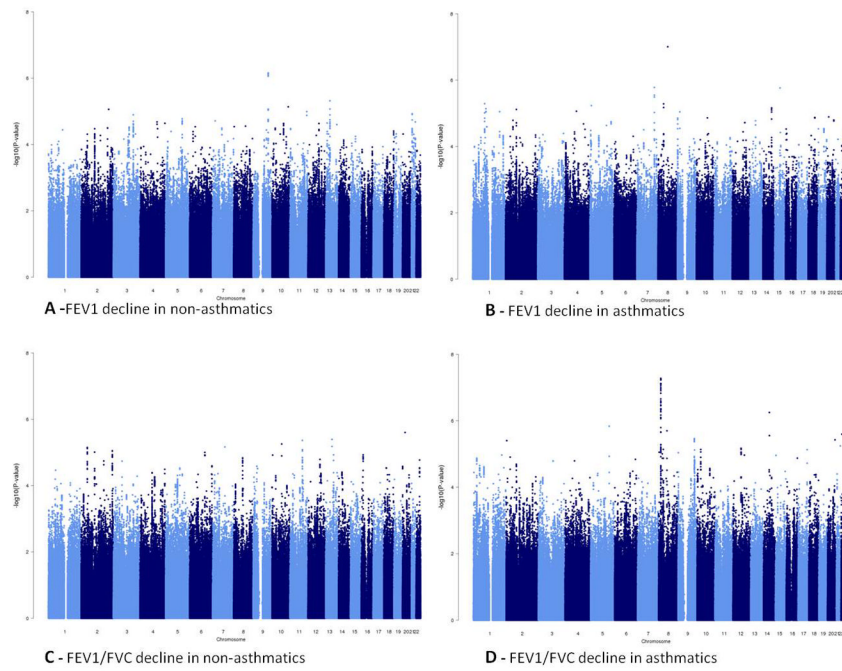


Figure 1. Manhattan plots of association results for decline in lung function. A) FEV1 decline in non-asthmatics. B) FEV1 decline in asthmatics. C) FEV1/FVC decline in non-asthmatics. D) FEV1/FVC decline in asthmatics.

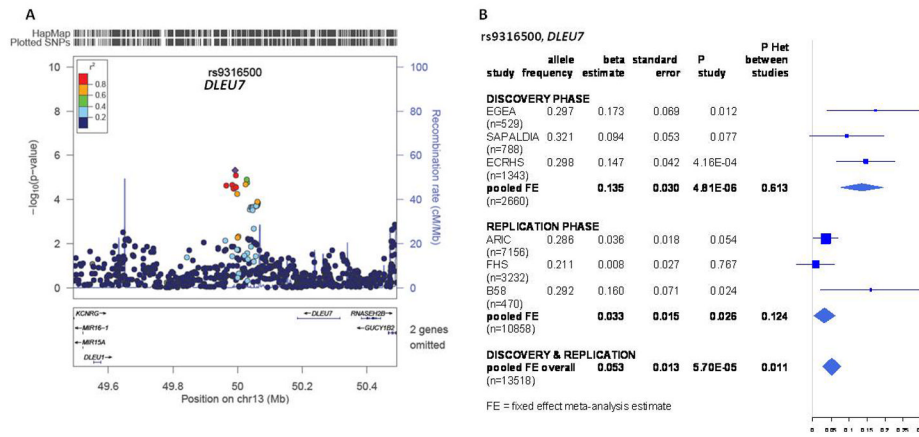


Figure II.

Association of the *DLEU7* locus with decline in FEV1 in non-asthmatics. A) Regional association plot, discovery phase. B) Forest plot for rs9316500. A: Chromosome position (NCBI build 36.3) and recombination rate (hg18 build). The sentinel SNP is represented as a diamond and r^2 for SNPs to the sentinel SNP (HapMap CEU phase II). B: The size of the square of each study reflects the contributing weight to the meta-analysis, details in Table EXI.

Table 1

Baseline characteristics of discovery and replication cohorts, by asthma status.

Non-asthmatics	N*	% Men	mean ± SD Age	mean ± SD Height	mean ± SD FEV1	mean ± SD FEV1/FVC	mean ± SD (y) Follow-up length [†]	mean ± SD (mL/y) annual decline FEV1	mean ± SD (%/y) annual decline FEV1/FVC	% Never smokers
Discovery (ESE-cohorts)										
EGEA	529	45.2	41.4 ± 11.7	1.68 ± 0.08	3.45 ± 0.78	0.83 ± 0.06	11.2 ± 1.0	-28.6 ± 25.7	-0.47 ± 0.53	46.5
SAPALDIA	805	49.2	41.8 ± 11.1	1.70 ± 0.09	3.62 ± 0.81	0.79 ± 0.07	10.9 ± 0.2	-34.0 ± 28.3	-0.40 ± 0.46	43.1
ECRHS	1343	49.7	34.1 ± 7.1	1.70 ± 0.10	3.81 ± 0.83	0.83 ± 0.06	8.9 ± 0.9	-26.3 ± 30.7	-0.30 ± 0.50	40.7
Replication with in silico data										
ARIC	7156	46.3	54.5 ± 5.6	1.69 ± 0.09	3.01 ± 0.75	0.75 ± 0.07	2.9 ± 0.2	-52.0 ± 57.4	-0.19 ± 0.98	40.8
FHS	3232	44.9	52.9 ± 10.2	1.67 ± 0.10	2.89 ± 0.81	0.77 ± 0.08	10.5 ± 3.6	-24.9 ± 23.9	-0.33 ± 0.57	36.1
B58C	470	48.7	35.0 ± 0.2	1.70 ± 0.09	3.68 ± 0.73	0.81 ± 0.06	10.1 ± 0.5	-34.9 ± 31.4	-0.21 ± 0.67	28.5
Asthmatics										
Discovery (ESE-cohorts)										
EGEA	330	50.6	38.5 ± 12.5	1.70 ± 0.09	3.26 ± 0.91	0.77 ± 0.11	11.6 ± 1.0	-27.6 ± 39.4	-0.44 ± 0.68	44.6
SAPALDIA	540	46.5	40.2 ± 11.3	1.69 ± 0.09	3.36 ± 0.89	0.76 ± 0.95	10.9 ± 0.3	-35.5 ± 33.9	-0.45 ± 0.54	42.4
ECRHS	571	42.7	33.9 ± 7.3	1.69 ± 0.10	3.43 ± 0.81	0.78 ± 0.09	8.8 ± 0.7	-26.7 ± 42.6	-0.20 ± 0.60	42.5
Replication with in silico data										
ARIC	325	50.2	54.2 ± 5.7	1.69 ± 0.10	2.73 ± 0.87	0.68 ± 0.10	2.9 ± 0.2	-43.9 ± 77.2	-0.037 ± 1.25	41.9
FHS	346	41.3	50.1 ± 10.3	1.68 ± 0.09	2.72 ± 0.84	0.73 ± 0.09	10.2 ± 3.8	-29.8 ± 23.7	-0.38 ± 0.51	36.1
B58C	231	44.2	35.0 ± 0.2	1.69 ± 0.10	3.45 ± 0.75	0.78 ± 0.08	10.3 ± 0.5	-34.4 ± 37.6	-0.17 ± 0.89	37.2
Dutch Asthma	258	60.9	35.1 ± 7.6	1.75 ± 0.09	3.03 ± 0.95	0.65 ± 0.13	14.6 ± 7.2	-22.8 ± 47.0	-0.14 ± 0.89	40.7

* N comprises the maximal number of subjects who contributed to at least one GWAS analysis (either decline in FEV1 or in FEV1/FVC).

[†] Time spacing between the first and the second spirometry assessment.

Table II

Association of (lead) SNPs subjected to replication with A) decline in FEV1 and B) decline in FEV1/FVC; stratified by asthma status.

A - decline in FEV1			Discovery phase				Replication phase					
dbSNP ID	chr	position (build 36.3)	gene nearby	Maximal frequency of coding allele	Estimate of joint analysis	P for joint analysis	P for heterogeneity between studies	P for heterogeneity between asthmatics and non-asthmatics	Estimate of joint analysis in replication cohorts	P for joint analysis	P for heterogeneity between studies	P for heterogeneity between asthmatics and non-asthmatics
Non-Asthmatics												
rs1889321	9	112340656	MUSK*	0.287	-0.150	6.95E-07	0.814	0.0187	-0.011	0.480	0.713	0.053
rs9316500	13	49992115	DLEU7	0.336	0.135	4.81E-06	0.613	0.0255	0.033	0.026	0.124	0.075
rs6785065	3	149016533	ZIC1	0.274	-0.136	0.00001	0.234	0.1700	-0.006	0.686	0.525	0.55
rs278037	13	29322627	UBL3	0.178	-0.151	0.00002	0.364	0.0058	-0.006	0.734	0.231	0.50
rs7641198	3	117396577	LSAMP	0.147	0.164	0.00003	0.669	0.1997	-0.002	0.939	0.690	0.15
rs421847	21	19269950	PRSS7	0.281	0.128	0.00003	0.831	0.0350	-0.016	0.310	0.247	0.86
rs496809	18	74857661	SALL3	0.078	-0.236	0.00004	0.412	0.0041	0.022	0.443	0.373	0.60
rs10933964	3	110021881	TRAT1	0.499	-0.117	0.00006	0.345	0.0022	-0.015	0.265	0.869	0.041
Asthmatics												
rs10808265	7	131840229	PLXNA4B	0.484	-0.175	1.66E-06	0.844	0.0020	0.069	0.105	0.258	0.16
rs1902618	15	58951491	RORA	0.234	-0.220	1.72E-06	0.449	0.0043	0.029	0.590	0.777	0.58
rs3843306	1	91060718	BARHL2	0.460	0.176	5.11E-06	0.042	8.33E-06	0.047	0.270	0.883	0.24
rs7006290	8	41734295	ANK1	0.319	0.185	5.19E-06	0.058	0.0003	0.038	0.456	0.574	0.45
rs12436689	14	84723772	FLRT2	0.244	-0.212	6.87E-06	0.420	0.0010	-0.017	0.759	0.051	0.95
rs12615721	2	81710037	CTNNA2	0.104	-0.303	7.65E-06	0.853	0.0020	-0.127	0.129	0.824	0.08
rs10516809	4	89640109	HERC5	0.101	0.306	8.67E-06	0.790	3.60E-05	-0.060	0.446	0.200	0.41
rs16856186	1	203944749	SLC45A3	0.098	0.268	8.92E-06	0.510	0.0034	-0.079	0.350	0.094	0.46
rs158536	20	52148709	BCAS1	0.408	0.162	0.00002	0.948	0.0001	0.075	0.100	0.917	0.09
rs477725	19	42066106	ZNF345	0.158	0.223	0.00003	0.821	0.0031	-0.069	0.273	0.255	0.14
rs9662589	1	230344234	DISC1	0.221	0.188	0.00005	0.868	0.0002	-0.020	0.706	0.153	0.76
rs777433	2	128084705	LIMS2	0.407	0.151	0.00010	0.811	0.1223	-0.018	0.691	0.564	0.52

B - decline in FEV1/FVC				Discovery phase				Replication phase				
dbSNP ID	chr	position (build 36.3)	gene nearby	Maximal frequency of coding allele	Estimate of joint analysis	P for joint analysis	P for heterogeneity between studies	P for heterogeneity between asthmatics and non-asthmatics	estimate of joint analysis in replication cohorts	P for joint analysis	P for heterogeneity between studies	P for heterogeneity between asthmatics and non-asthmatics
Non-Asthmatics												
rs2658782	11	92806379	FLJ25393	0.166	0.186	4.33E-06	0.362	0.0041	0.031	0.135	0.242	0.91
rs1867982	10	73197053	C10orf54	0.109	0.202	5.56E-06	0.839	0.0034	-0.008	0.745	0.412	0.24
rs12712969	2	46185673	PRKCE	0.268	-0.147	7.08E-06	0.687	0.0116	0.012	0.448	0.916	0.76
rs10187654	2	234478798	TRPM8	0.205	0.151	8.87E-06	0.797	0.0049	-0.015	0.382	0.676	0.15
rs356642	2	100903870	NPAS2	0.189	0.158	9.79E-06	0.162	0.0014	-0.010	0.565	0.282	0.28
rs890515	8	67534388	ADHFE1	0.497	0.119	0.00001	0.580	0.0257	0.003	0.847	0.443	0.58
rs10738890	9	32448081	DDX58	0.391	-0.118	0.00003	0.832	0.5847	-0.009	0.567	0.032	0.73
Asthmatics												
rs4831760	8	15576956	TUSC3	0.326	0.222	5.27E-08	0.066	7.74E-08	0.011	0.799	0.541	0.73
rs7144584	14	63345565	SYNE2	0.116	-0.318	5.62E-07	0.616	0.0010	0.089	0.272	0.752	0.43
rs1148186	10	28657641	MPP7	0.194	0.219	7.28E-06	0.760	0.0035	-0.033	0.602	0.967	0.60

* MUSK refers to *TXN/MUSK/SVEP1* locus.

Table III

Association* of SNPs previously identified in GWAS on cross-sectional lung function with percent predicted lung function at baseline, as well as percent change and annual decline in lung function for A) FEV1 and B) FEV1/FVC in ESE-discovery cohorts by asthma status.

dbSNP ID	chr	position (build 36.3)	refs	gene nearby	Maximal frequency of coding allele	Non-Asthmatics			Asthmatics		
						FEV1 percent predicted	FEV1 percent change	FEV1 decline [%/y]	FEV1 percent predicted	FEV1 percent change	FEV1 decline [%/y]
						P-value	P-value	P-value	P-value	P-value	P-value
rs1435867	2	229219173	2	PID1	0.065	0.845	0.418	0.824	0.321	0.377	
rs17035917	4	106740191	2,3	INTS12-GSTCD-NPNT	0.071	0.135	0.077	0.073	0.056	0.061	
rs17035960	4	106751295	2,3	INTS12-GSTCD-NPNT	0.071	0.093	0.054	0.067	0.056	0.063	
rs11727735	4	106851319	2,3	INTS12-GSTCD-NPNT	0.076	0.361	0.198	0.057	0.114	0.074	
rs10516526	4	106908353	2,3	INTS12-GSTCD-NPNT	0.072	0.327	0.177	0.062	0.120	0.078	
rs11731417	4	106965461	2,3	INTS12-GSTCD-NPNT	0.073	0.335	0.177	0.048	0.146	0.090	
rs1032295	4	145654034	2	HHIP	0.397	0.096	0.306	0.274	0.042	0.033	
rs1512285	4	145670409		HHIP	0.462	0.029	0.141	0.152	0.033	0.024	
rs720485	4	145682038	2,3	HHIP	0.391	0.510	0.786	0.943	0.044	0.058	
rs1512288	4	145710731	2,3	HHIP	0.401	0.533	0.813	0.781	0.046	0.057	
rs6817273	4	145711453	2,3	HHIP	0.400	0.535	0.816	0.866	0.046	0.057	
rs3008798	6	39887840	3	DAAM2	0.464	0.960	0.850	0.755	0.009	0.017	
rs1318002	6	39892112	3	DAAM2	0.480	0.725	0.902	0.782	0.015	0.023	
rs2395730	6	39892343	3	DAAM2	0.442	0.716	0.513	0.619	0.003	0.007	
rs12899618	15	69432174	3	THSD4	0.158	0.003	0.014	0.424	0.137	0.131	
rs1913768	15	69436598	3	THSD4	0.159	0.003	0.011	0.393	0.162	0.152	
rs1568010	15	69455566	4	THSD4	0.372	0.042	0.067	0.413	0.241	0.111	
rs2304488	16	56631711	1	MMP15	0.186	0.101	0.147	0.112	0.344	0.506	
rs12447804 [‡]	16	56632783	5	MMP15	0.179	0.111	0.161	0.112	0.382	0.482	

B	Non-Asthmatics										Asthmatics									
	dbSNP ID	chr	position (build 36.3)	refs	gene nearby	Maximal frequency of coding allele	FEV1 percent predicted		FEV1 decline [%/y]		FEV1 percent change		FEV1 percent predicted		FEV1 decline [%/y]		FEV1 percent change			
							P-value	P-value	P-value	P-value	P-value	P-value	P-value	P-value	P-value	P-value				
rs918949	2	218382942	2,3	TNS1	0.384	0.010	0.133	0.089	0.076	0.241	0.256	0.089	0.076	0.241	0.256	0.241	0.256			
rs1035672	2	218383444	2,3	TNS1	0.384	0.010	0.133	0.089	0.093	0.243	0.258	0.089	0.093	0.243	0.258	0.243	0.258			
rs929937	2	218417460	2,4	TNS1	0.386	0.623	0.017	0.016	0.004	0.915	0.888	0.016	0.004	0.915	0.888	0.915	0.888			
rs3845823	2	229611365	4	PIDI1	0.432	0.039	0.963	0.852	0.393	0.997	0.987	0.852	0.393	0.997	0.987	0.997	0.987			
rs12477314*	2	239542085	5	HDAC4	0.215	0.023	0.727	0.655	0.125	0.361	0.278	0.655	0.125	0.361	0.278	0.361	0.278			
rs1529672	3	25495586	5	RARB	0.159	0.012	0.329	0.337	0.605	0.716	0.860	0.337	0.605	0.716	0.860	0.716	0.860			
rs1828591	4	145700230	2,3	HHIP	0.394	0.031	0.470	0.345	0.254	0.138	0.139	0.345	0.254	0.138	0.139	0.138	0.139			
rs13118928	4	145705839	2,3	HHIP	0.393	0.043	0.500	0.371	0.271	0.132	0.132	0.371	0.271	0.132	0.132	0.132	0.132			
rs3995090	5	147826008	2,3	HTR4	0.394	0.011	0.785	0.699	0.029	0.649	0.456	0.699	0.029	0.649	0.456	0.649	0.456			
rs2395730	6	39892343	3	DAAM2	0.442	0.277	0.554	0.685	0.979	0.036	0.039	0.685	0.979	0.036	0.039	0.036	0.039			
rs2798641	6	109374743	5	ARMC2	0.209	0.315	0.444	0.530	0.006	0.188	0.158	0.530	0.006	0.188	0.158	0.188	0.158			
rs9496346	6	142711031	2	GPR126	0.316	0.053	0.378	0.368	0.098	0.777	0.788	0.368	0.098	0.777	0.788	0.777	0.788			
rs6570507	6	142721265	2	GPR126	0.314	0.035	0.356	0.342	0.080	0.804	0.821	0.342	0.080	0.804	0.821	0.804	0.821			
rs11155242	6	142733242	2	GPR126	0.210	0.008	0.785	0.670	0.268	0.857	0.807	0.670	0.268	0.857	0.807	0.857	0.807			
rs7753012	6	142787576	2	GPR126	0.337	0.051	0.477	0.487	0.065	0.566	0.637	0.487	0.065	0.566	0.637	0.566	0.637			
rs3748069	6	142809326	2	GPR126	0.319	0.043	0.407	0.401	0.134	0.604	0.628	0.401	0.134	0.604	0.628	0.604	0.628			
rs171891	6	142892305	2,4	GPR126	0.198	0.013	0.884	0.741	0.129	0.830	0.815	0.741	0.129	0.830	0.815	0.830	0.815			
rs10512249	9	97296130	2	PTCHI	0.089	0.435	0.922	0.999	0.807	0.032	0.028	0.999	0.807	0.032	0.028	0.032	0.028			
rs11172113	12	58813550	5	LRPI	0.384	0.005	0.602	0.530	0.809	0.114	0.125	0.530	0.809	0.114	0.125	0.114	0.125			
rs1036429	12	94795559	5	CCDC38	0.217	0.765	0.322	0.356	0.295	0.047	0.031	0.356	0.295	0.047	0.031	0.047	0.031			
rs2456526	15	50876734	1	ONECUT1	0.136	0.011	0.524	0.500	0.451	0.230	0.250	0.500	0.451	0.230	0.250	0.230	0.250			
rs12899618	15	69432174	3	THSD4	0.158	3.25E-04	0.253	0.390	0.328	0.596	0.668	0.390	0.328	0.596	0.668	0.596	0.668			
rs1913768	15	69436598	3,4	THSD4	0.159	4.78E-04	0.221	0.344	0.365	0.617	0.695	0.344	0.365	0.617	0.695	0.617	0.695			

B	Non-Asthmatics										Asthmatics																	
	dbSNP ID					Maximal frequency of coding allele					FEV1 percent predicted					FEV1 decline [%/y]												
	chr	position (build 36.3)	refs	gene nearby																								
rs2304488	16	56631711	1	MMP15	0.186					0.121					0.002				0.085					0.760				0.515
rs12447804 [‡]	16	56632783	5	MMP15	0.179					0.121					0.003				0.085					0.719				0.487
rs2865531 [‡]	16	73947817	5	CFDP1	0.428					0.035					0.621				0.377					0.840				0.603

* Associations of SNPs previously associated in cross-sectional lung function in GWAS studies, (1) Framingham¹⁵, (2) CHARGE¹⁷, (3) Spirometa¹⁶, (4) Spirometa¹⁸ and (5) CHARGE-Spirometa¹⁸ were assessed in the discovery cohorts only if minor allele frequency (MAF) was at least 5%. SNPs tested for associations: *ADAMI19*: rs2277027, rs1422795, rs6890282; *ADCY2*: rs7710510, rs6555465; *ARMC2*: rs2798641; *C10orf11*: rs11001819; *CCDC38*: rs1036429; *CDC123*: rs7068966; *CFDP1*: rs2865531; *DAAM2*: rs3008798, rs1318002, rs2395730; *FAMI3A1*: rs6830970, rs2869967; *GPR126*: rs9496346, rs6570507, rs11155242, rs7753012, rs3748069, rs171891, rs263178; *HADAC4*: rs12477314; *HHIP*: rs1032295, rs1512285, rs720485, rs1828591, rs13118928, rs1512288, rs6817273; *HTR4*: rs3995090, rs1833710; *INTS12-GSTCD-NPNT*: rs3960769, rs17035917, rs17035960, rs11727735, rs10516526, rs11731417; *KCEN2*: rs9978142; *LRP1*: rs11172113; *MECOM*: rs1344555; *MFAP2*: rs2284746; *MMP15*: rs2304488, rs12447804; *MTMR3*: rs17646919; *NCR3*: rs2857595; *NOTCH4*: rs206015; *ONECUT1*: rs2456526; *PID1*: rs1435867, rs1358443, rs3845823; *PITCH1*: rs10512249, rs576594; *RARB*: rs1529672; *SPATA9*: rs153916; *TGFβ2*: rs93925; *THSD4*: rs12899618; *THSD4*: rs1568010, rs1913768; *TNSI*: rs918949, rs1035672, rs929937; *ZKSCAN3*: rs6903823. Non-significant associations reported in online repository.

[‡] Baseline cross-sectional lung function was calculated using Quanjer formula⁶¹.

[‡] Proxies tested for cross-sectional association (r^2 , D'); for rs12447804 - rs2304488 (0.87, 1); for rs12477314 - rs4521068 (1, 1); for rs2865531 - rs12917651 (1, 1).