

Coupling of the fusion and budding of giant phospholipid vesicles containing macromolecules

Hidetoshi Terasawa^a, Kazuya Nishimura^a, Hiroaki Suzuki^{a,b}, Tomoaki Matsuura^{b,c}, and Tetsuya Yomo^{a,b,d,1}

^aDepartment of Bioinformatic Engineering, Graduate School of Information Science and Technology, Osaka University, Yamadaoka 1-5, Suita, Osaka 565-0871, Japan; ^bExploratory Research for Advanced Technology, Japan Science and Technology Agency, Yamadaoka 1-5, Suita, Osaka 565-0871, Japan; ^cDepartment of Biotechnology, Graduate School of Engineering, Osaka University, Yamadaoka 2-1, Suita, Osaka 565-0871, Japan; and ^dDepartment of Frontier Biosciences, Graduate School of Frontier Biosciences, Osaka University, Yamadaoka 1-5, Suita, Osaka 565-0871, Japan

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Mechanisms that enabled primitive cell membranes to self-reproduce have been discussed based on the physicochemical properties of fatty acids; however, there must be a transition to modern cell membranes composed of phospholipids [Budin I, Szostak JW (2011) *Proc Natl Acad Sci USA* 108:5249–5254]. Thus, a growth-division mechanism of membranes that does not depend on the chemical nature of amphiphilic molecules must have existed. Here, we show that giant unilamellar vesicles composed of phospholipids can undergo the coupled process of fusion and budding transformation, which mimics cell growth and division. After gaining excess membrane by electrofusion, giant vesicles spontaneously transform into the budded shape only when they contain macromolecules (polymers) inside their aqueous core. This process is a result of the vesicle maximizing the translational entropy of the encapsulated polymers (depletion volume effect). Because the cell is a lipid membrane bag containing highly concentrated biopolymers, this coupling process that is induced by physical and nonspecific interactions may have a general importance in the self-reproduction of the early cellular compartments.

protocell | self-division | entropy-driven transformation

It is believed that all modern living organisms originated from a primitive molecular aggregate, termed a protocell, through successive growth and division processes (1, 2). However, it is unlikely that protocells possessed the sophisticated regulatory mechanisms that modern cells have. This riddle has challenged researchers to artificially synthesize a model cell system that could undergo growth and division using a simple set of molecular components (3–5). Various physicochemical phenomena that can mimic essential cellular behaviors have been demonstrated experimentally.

In particular, vesicles consisting of fatty acids have been investigated extensively as a model of a protocell membrane (6–9) because fatty acids are considered to have existed in the prebiotic world (10–12). Spontaneous uptake of micelles of fatty acids into preexisting vesicles, which increases the size of a vesicle, is often modeled as a primitive growth mechanism (7, 13). In combination with mechanical shear-inducing division (fragmentation), fatty acid vesicles have been shown to undergo growth and division under certain experimental conditions (6, 14). Similar uptake of amphiphilic membrane components, followed by the spontaneous birthing of daughter vesicles, has been demonstrated using a set of chemically synthesized molecules (15–17). The key aspect in these systems is that the amphiphilic membrane components are efficiently incorporated due to their physicochemical natures or via chemical conversion.

In the mean time, the membranes of modern living cells are mainly composed of phospholipids, and a plausible scenario of the transition was proposed recently (18). From a physical viewpoint, the typical phospholipids in the cell membrane have much lower critical micelle concentrations (on the order of nanomolar) than that of fatty acids (tens of millimolars) (9), which indicates that phospholipid bilayer membranes are stable over a wide range

of amphiphile concentrations, pH, and temperature. Thus, spontaneous incorporation of lipids is unlikely. Before a protocell obtained an ability to synthesize lipids on its own, the vesicle size increase could be achieved by vesicle-to-vesicle fusion initiated by various external stimuli (19–22) or surface functionalization (23–26). Thus, it is conceivable that membrane fusion could be one of the primitive growth pathways for protocells composed of phospholipids. Interestingly, it is speculated that vesicle fusion not only increased the membrane but also supplied reaction substrates with low membrane permeability to increase the molecular complexity of the protocell (27). Division (also referred to as fission or budding) of phospholipid vesicles has also been demonstrated to occur under various conditions, such as mechanical shearing (6, 14, 28, 29), temperature changes (30), addition of monoacyl lipids (31), phase separation (32–34), and digestion of lipid molecules in the internal leaflet (35). Despite these evidences, the coupled growth and division of phospholipid vesicles have not been realized because these processes take place under different conditions. A good combination of external stimuli (energy input) and spontaneous transition must be found to propose a possible pathway of proliferation of the lipid-based protocell.

In the present study, we show that the coupling of growth and division processes of giant unilamellar vesicles (GUVs) composed of phospholipids can be achieved by electrofusion and the spontaneous budding transformation that follows. We used an electric pulse to fuse GUVs, which could be one of the stimuli to fuse membrane in the prebiotic environment (36). After gaining the excess membrane by fusion, a spontaneous budding transformation was shown to occur. We show that budding can be induced by encapsulating inert polymer molecules, which mimic cytosolic macromolecules. This membrane deformation is driven by maximization of the translational entropy of the polymers in the vesicle (37). The combination of these entirely physical and nonspecific effects enables the recursive cycles of fusion and budding of giant lipid vesicles.

Results

Electrofusion of GUVs. We prepared GUVs with the membrane consisting of 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphocholine (POPC), 1-palmitoyl-2-oleoyl-sn-glycero-3-[phospho-rac-(1-glycerol)] (POPG), and cholesterol at a weight ratio of 18:2:1 using the water-in-oil (W/O) emulsion transfer method (38, 39), which can produce unilamellar vesicles with defined inner and outer aqueous compositions (38, 40, 41). A small portion of POPG,

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¹To whom correspondence should be addressed. E-mail: yomo@ist.osaka-u.ac.jp.

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a negatively charged lipid, was added to obtain dispersed GUVs by electrostatic repulsion (Fig. S1), whereas it did not alter the electrofusion process described below. We performed electrofusion of GUVs (19) under an optical microscope using a hand-made chamber with electrodes mounted on a coverslip (Fig. 1A). Application of a 150 V/cm alternating current at 1 MHz aligned GUVs in lines (i.e., the pearl-chain formation), and the following three short pulses (60 μ s) at 2 to 6 kV/cm induced vesicle fusion. After fusion, we observed that the internal aqueous contents were mixed rapidly (Fig. 1B and Movie S1), confirming that multiple vesicles had fused into a single structure. When the applied voltage of the short pulses increased, the number of vesicles that fused together increased (Fig. S24). For example, at applied voltages of 4 and 6 kV/cm, the probability of fusion in which four and five vesicles became a single vesicle was 18% and 13% of all of the fusion events, respectively. In contrast, no fusion events with more than four vesicles occurred at 2 kV/cm. When multiple vesicles fuse at once, the surface-to-volume ratio increases, which is required for vesicles to have freedom for deformation.

Vesicle Shape Transformation After Fusion. We observed fused vesicles after electrofusion. Note that after fusion, no electric signal was applied. When there was no polymer encapsulated in the vesicles, the shape of the outermost membrane after fusion was mostly spherical (Fig. S3A and Movie S2). On the contrary, various shapes, such as torus, horseshoe, and elongated tubes, were observed when 3 mM PEG 6000 (2.5%wt/wt) was included in vesicles (Fig. S3B). We confirmed that, in both cases, the total aqueous volume was conserved during the fusion process (Figs. S4 and S5). Without PEG, the excess membrane invagi-

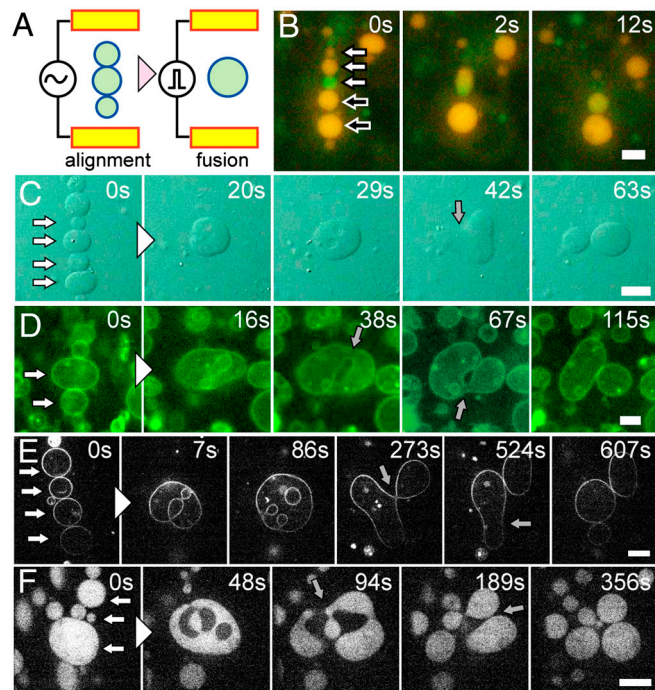


Fig. 1. Electrofusion and budding transformation of GUVs. (A) Schematic representation of the electrofusion experimental setup. (B) Sequential epifluorescence images of the electrofusion of GUVs containing GFP (green) and R-PE (orange) without polymer. White and black-filled arrows at time zero indicate vesicles to fuse together. (C–F) Sequential images of budding transformations of vesicles containing 3 mM PEG 6000. White-filled arrows at time zero indicate vesicles to fuse together. Gray-filled arrows indicate the neck formation before budding. (C) Bright-field images. (D) Epifluorescence images of the membrane marked with fluorescence lipids. (E) Confocal fluorescence images of the vesicles encapsulating FITC-BSA. (F) Confocal fluorescence images of the vesicles encapsulating FITC-BSA. (Scale bars: 10 μ m.)

nated into the vesicle to form the multivesicular structure as a result of the vigorous electrofusion. However, in the presence of PEG, the extent of membrane invagination was relatively small, causing the excess membrane in the outermost shell to have various shapes.

Then, the shapes of fused vesicles containing PEG often transformed into an elongated shape, and finally resulted in a budded shape after neck formation (Fig. 1C–F and Movies S3–S6). This transition occurred typically within 1–10 min, whereas no change was observed for vesicles without PEG (Movie S2). The confocal microscope imaging (Fig. 1E and F, and Movies S5 and S6) revealed that the invaginated internal structures appeared right after the electrofusion were absorbed into the outer shell over time. This transition gave rise to a freedom in the outer membrane to deform, resulting finally in budding into multiple vesicles with mostly spherical shapes. Moreover, this shape transformation was not observed when the same concentration of PEG 6000 was present in both inner and outer solutions, or only in the outer solution. This result strongly suggests that budding transformation after vesicle fusion was induced by the PEG encapsulated inside vesicles.

Because PEG is used to induce the fusion of cells and liposomes (22), the physical interaction between PEG and lipid membranes has been studied extensively (42). PEG dissolved in the vesicle suspension interacts with lipid membranes nonspecifically, helping to aggregate vesicles by the depletion of PEG on the membrane surface. Therefore, we hypothesized that the depletion interaction induces the budding transformation. The classical hypothesis describing the depletion interaction suggests that, when polymers and other relatively larger particles (e.g., vesicles) are present in solution, particles aggregate together to reduce the volume around the particles (depletion volume, V_{dep}), which is limited by the gyration radius of polymers (43–46). This transition is favored because it increases the volume where polymers are able to move freely ($V_{\text{free}} = V_{\text{system}} - V_{\text{dep}}$), thereby increasing the translational entropy of the system (Fig. 2A). In a system consisting of a membrane and the polymer solution on one side and under the constraint that the volume and membrane area are conserved, V_{dep} decreases (in turn V_{free} increases) as the integral of the membrane curvature increases (Fig. 2B). Thus, the shapes with positive curvatures are thermodynamically favored if the gain of the entropy (reduction of the free energy) due to the increase of V_{free} overcomes the energy necessary to bend the membrane. Recently, this hypothesis was tested using GUVs containing microbeads (1- μ m diameter) at a volume fraction of approximately 50% (37). However, this effect has not been studied with nanometric macromolecules, which are more biolo-

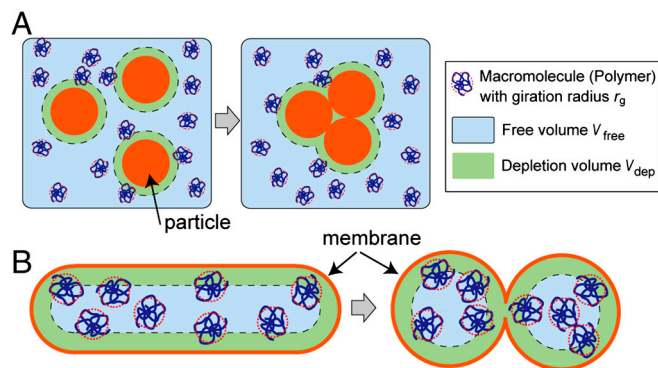


Fig. 2. Illustration of the depletion volume effect (not to scale). (A) Classical representation of the depletion volume effect. Larger particles in the polymer solution aggregate to reduce V_{dep} , in turn increasing V_{free} . (B) In the system consisting of the GUV containing the polymer, V_{free} increases when the curved area of the membrane increases, under the constraint of constant volume and surface area. Thickness of the polymer depletion volume is exaggerated to clarify the relative difference of V_{free} .

gically relevant. We next sought to verify that the budding transformation observed was induced by PEG and dextran as the mimetic materials of cytosolic biopolymers.

Condition for Budding Transformation. We examined the extent of this hypothesis by encapsulating PEG 6000 at various concentrations. We evaluated the probability of the budding transformation by observing 50–400 fusion events induced at 6 kV/cm in each PEG concentration. As mentioned previously, the relationship between the volume and surface area is an important parameter in determining vesicle shapes. The reduced volume, $v_{\text{red}} = 6\sqrt{\pi VA^{-3/2}}$, where V and A are the volume and surface area of the vesicle, respectively, is often used as an indicator (47, 48). The reduced volume represents the ratio of the vesicle volume to the volume of a sphere with the equivalent surface area ($v_{\text{red}} = 1$ for a spherical vesicle and $v_{\text{red}} < 1$ for a vesicle with excess membrane area). To correlate this parameter to the probability of budding events, we estimated the V and A of fused vesicles as the sum of those of spherical vesicles before fusion. This evaluation is based on the assumption that these quantities are conserved during fusion and the transformation process, as confirmed by time-lapse 3D image acquisition using confocal microscopy (Fig. S5).

We plotted the probability of budding transformation over the total number of fusion events $P_{\text{bud}}(v_{\text{red}})$ as a function of v_{red} (Fig. 3A). When the concentrations of encapsulated PEG 6000 ($C_{\text{PEG 6000}}$) were 0 and 0.3 mM (0.25wt/wt), fused vesicles remained spherical, and no budding event was observed. However, at $C_{\text{PEG 6000}} = 1.5$ mM (1.2%wt/wt), the occurrence of budding events was increased with a negative correlation to v_{red} . Also, the budding events became more frequent at higher PEG concentrations. For instance, at $C_{\text{PEG 6000}} = 3$ mM (2.5%wt/wt), the P_{bud} was 20% at $v_{\text{red}} \sim 0.7$ but reached approximately 70% at $v_{\text{red}} \sim 0.5$. At $C_{\text{PEG 6000}} = 6$ mM (5%wt/wt), P_{bud} reached 100% at $v_{\text{red}} = 0.5$. Here we confirmed that the curve of P_{bud} was independent of the applied electric voltage for fusion (Fig. S2B). Moreover, similar transformation to the budded shape was confirmed without electrofusion when v_{red} was reduced in the hypertonic condition (Fig. S6). These results support that the budding transformation is not because of the electric pulse applied for fusion. Furthermore, budding transformation was also observed with vesicles prepared by the natural swelling method (Movie S7), confirming that this phenomenon is not specific to the vesicle preparation method that we used. These results support that budding is mainly dependent on the encapsulated PEG molecules and v_{red} .

We next examined the dependence of P_{bud} on the molecular weight of the PEG (Fig. 3B), because the depletion volume should be proportional to the gyration radius of the polymer (SI Text). We found that P_{bud} had a strong positive correlation with the molecular weight. With PEG 400, budding transformation was rarely observed even at the mass concentration (2.5%wt/wt, $C_{\text{PEG 400}} = 62$ mM) where budding occurred with PEG 6000 (2.5%wt/wt, $C_{\text{PEG 6000}} = 3$ mM). In contrast, P_{bud} increased to 100% with PEG 20000 at $C_{\text{PEG 20000}} = 3$ mM. We also examined the effect of a different polymer, dextran (Fig. 3C). Although the critical concentration and the molecular weight at which vesicle budding occurs were greater than those of PEG, the identical budding phenomenon was observed. This observation excludes the possibility that budding transformation is only specific to the chemical nature of PEG.

The model based on the depletion volume effect explains the following major characteristics observed. First, at higher concentrations and/or higher molecular weights, budding transformation occurred more rapidly (Fig. S7 and Movie S8). This trend should be because, with a higher free energy difference between the bulk and depletion volume, the membrane continued to maintain its local curvature during fusion, resulting in quick budding transfor-

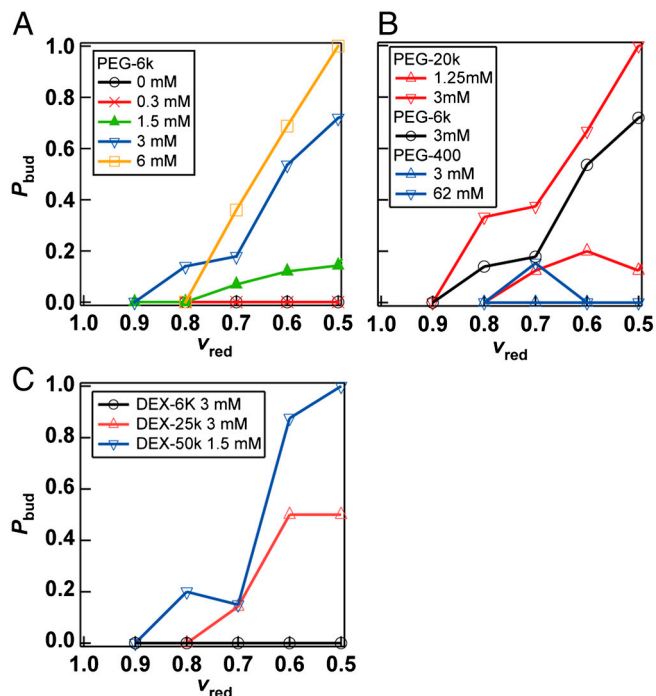


Fig. 3. Probability of budding transformation of vesicles encapsulating polymers after electrofusion. (A) PEG 6000 at various concentrations. (B) PEG with various molecular weight and concentrations. (C) Dextran with various molecular weight and concentrations.

mation. Second, when a greater number of vesicles fused (i.e., $v_{\text{red}} < 0.5$), we frequently observed budding into multiple vesicles with nearly spherical shapes (e.g., Fig. 1F). We occasionally observed a transformation similar to the pearling instability reported previously (37, 49). This observation is in agreement with the model that budding should continue until v_{red} of each vesicle approaches one, where no excess membrane for deformation remains.

Estimation of the Free Energy. We performed a simple calculation to determine whether the depletion interaction is the major contribution in the present phenomena. Because the change in depletion volume occurring with the shape transformation is extremely small (the thickness equals the gyration radius of polymers, 1–10 nm), it is difficult to evaluate from the experimentally observed shapes. Thus, we estimated it by approximating the vesicle shapes to mathematically definable geometries (37, 50). In our experiment, we often observed a transformation from the elongated tube to the doublet (budded) shape at the last stage of transformation (Fig. 4A). Thus, we approximated these two shapes as a spherocylinder (a cylinder with half-spherical caps at the ends) and two spheres (doublet shape), respectively, and calculated the change in the free energy due to the difference of V_{dep} between the two shapes, ΔE_{dep} (Fig. 4B; see SI Text and Table S1 for derivation). Note that in this assumption, v_{red} is approximately 0.7. Here, ΔE_{dep} was calculated as $\Delta E_{\text{dep}} = \Delta\Pi\Delta V_{\text{dep}}$, where osmotic pressure of encapsulated polymer $\Delta\Pi$ was experimentally measured by the osmometer. ΔV_{dep} is a function of the representative vesicle size (e.g., vesicle radius R) and the gyration radius of the polymer (r_g), which is scaled as $\Delta V_{\text{dep}} \propto r_g^2 R$. If $|\Delta E_{\text{dep}}|$ exceeds the bending energy of a lipid membrane necessary for transformation $|\Delta E_{\text{bend}}|$, a doublet shape is thermodynamically preferred, and budding tends to occur. In polymer-encapsulating conditions where the budding transformation occurred in the experiments, [$P_{\text{bud}}(v_{\text{red}} \leq 0.7) > 0.1$, red bars], the calculated $|\Delta E_{\text{dep}}|$ was above 10^{-17} J. Mean-

gently placed on top of 400 μL of the outer solution in a new tube. With centrifugation at $18,000 \times g$ for 30 min at 4°C , emulsions passed through the oil/water interface saturated by lipids to form a bilayer structure. Approximately 100 μL of the precipitated liposome suspension was collected through a hole opened at the bottom of the tube. To obtain the stable liposome concentration, 900 μL of the outer solution was added to this suspension and centrifuged again at $18,000 \times g$ for 10 min at 4°C . Finally, 20 μL of the precipitate was collected and diluted with 250 μL of the outer solution. In the present protocol, a small fraction of negatively charged lipid (POPG) was included to obtain dispersed GUVs with high yield (39) (also see Fig. S1). We suppose repulsive force due to the charged POPG helped to disperse vesicles, whereas it was not strong enough to resist to the dielectrophoretic force inducing the pearl-chain formation.

For the control experiment, we prepared giant vesicles with the natural swelling method (Movie S7). An identical amount and ratio of lipids in chloroform was subjected to rotary evaporation to form a thin dry lipid film in the pear-shaped flask. A 1 mL aliquot of the inner solution was gently introduced to swell giant vesicles. To match the experimental condition, 500 μL of this vesicle suspension was mixed with 500 μL of the outer solution and then centrifuged at $18,000 \times g$ for 30 min at 4°C . Finally, 20 μL of the precipitate was collected and diluted with the 250 μL of the outer solution.

Electrofusion Setup. The observation chamber to monitor the electrofusion of GUVs was assembled as follows. Two slips of copper ribbon (5 mm in width, 0.1 mm in height, and approximately 5 cm in length) were mounted onto a $60 \times 24 \text{ mm}^2$ cover glass (Matsunami Glass) with an approximate 1-mm gap using a 25- μm thick double-sided tape (8171J; 3M). The gap between the copper slips was precisely measured by a micrometer every time to adjust the electric field for fusion. These slips were connected via clips to the signal generator for cell electrofusion (LF201; Nepa Gene). After immersing the chamber in a BSA solution, an $18 \times 18 \text{ mm}^2$ cover glass was glued on top with grease as a lid. The vesicle suspension was applied to the gap between the copper slips through capillary action. The resistivity of the vesicle suspension

was $6 \sim 10 \Omega\text{cm}$. The electric signal used for vesicle alignment and fusion was explained in the main text.

Image Acquisition. Microscope images were obtained using an inverted light microscope (IX71; Olympus). Bright-field images were obtained through differential interference contrast observation (e.g., Fig. 1C), and epifluorescence images (e.g., Fig. 1B and D) were obtained through the corresponding filter and dichroic mirror unit (U-MWIB2; Olympus; excitation 450–480 nm/emission cutoff 510 nm). In these cases, the $40 \times$ dry objective was used and time-lapse images with 1 s intervals were obtained using a digital color charge-coupled device (CCD) camera (VB-7000; Keyence). Confocal images were obtained using a real-time laser confocal microscope unit (CSU10; Yokogawa Electric) and a cooled high-resolution digital CCD camera (iXon; Andor) with a 30 mW 488 nm Ar/Kr laser as an excitation light. A $100 \times$ oil-immersion objective was used to obtain time-lapsed 3D confocal images (e.g., Fig. 1E and F and Fig. S5A) with a 1-s exposure time.

Evaluation of Budding Probability. In evaluating the budding probability P_{budd} , we judged whether the fused vesicles formed a budded shape within 10 min by observing the recorded sequential images. Increased constriction of the vesicle and the appearance of the septum were judged to represent a budding event. We recorded time-lapse images after fusion five to 40 times for the same condition, which typically included between one and 10 vesicle fusion events in the observation area. With this procedure, we typically obtained more than 100 fusion events in each experimental condition.

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