CLINICAL RESEARCH

# High Rate of Ceramic Sandwich Liner Fracture

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Received: 21 June 2011/Accepted: 31 January 2012/Published online: 2 March 2012 © The Association of Bone and Joint Surgeons ® 2012

#### Abstract

*Background* Ceramic bearing surfaces for THA were introduced to reduce the risk of wear. However, owing to liner fracture in some of the early series and presumption that the fractures were the result of the modulus mismatch of the implant and the bone, a ceramic sandwich liner with lower structural rigidity was introduced. Fractures of these devices also were reported subsequently, although the incidence is unclear and it is unknown whether there are any risk factors associated with the fractures.

*Questions/purposes* We therefore determined the incidence of these fractures.

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Bone Resorption and Primitive Bone Tumor Physiopathology Research Laboratory, INSERM U957, School of Medicine, Nantes University, Nantes, France e-mail: fgouin@chu-nantes.fr; francois.gouin@chu-nantes.fr *Methods* We retrospectively reviewed 298 active patients in whom we implanted 353 ceramic-polyethylene sandwich liner acetabular components between November 1999 and February 2008. The mean age of the patients was 53.6 years (range, 17–84 years). The minimum followup was 6 months (mean, 41 months; range, 6–106 months). All patients were assessed clinically and radiographically. *Results* Seven of the 353 (2%) ceramic sandwich liners fractured at a mean of 4.3 years (range, 1.3–7.6 years) after surgery without trauma. Neither patient-related factors nor radiographic position of the implants were risk factors for fracture.

*Conclusions* Owing to the high rate of fractures of the sandwich ceramic polyethylene liners in our patients, we have discontinued use of this device.

*Level of Evidence* Level IV, therapeutic study. See Guidelines for Authors for a complete description of levels of evidence.

### Introduction

Owing to low wear, an alumina-alumina bearing surface for THA is an attractive alternative to other traditional bearing surfaces, especially for young people. Boutin [3, 4] developed and implanted the first alumina-ceramic implant in 1970. It has been in use for more than 40 years with survival rates ranging from 100% at 11 years [11] to 84.4% after 20 years [14]. One study [1] reported a 0.01% incidence of fracture. Fractures were presumed to be the result of fatigue failure, possibly exacerbated by manufacturing defects in the material.

Since the introduction of ceramics 40 years ago, manufacturing technology has evolved and improved. To reduce the modulus mismatch, a new design of liner consisting of a

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layer of polyethylene layered between the metallic cup and a layer of alumina was introduced in 1993. This retained the ceramic-ceramic bearing surface but produced an implant of much lower structural stiffness. Ravasi and Sansone [17] reported preliminary clinical findings for 53 patients at a mean followup of 7 months. They reported the short-term "results are positive and encouraging". Hasegawa et al. [9] reported a specific type of fracture associated with this device: a ceramic sandwich liner fracture. Others [8, 12, 15, 16] also reported such fractures. However, the incidence of these fractures is unclear and it is not known whether there are any specific risk factors for these fractures.

We therefore (1) determined the incidence of these fractures; (2) describe all the cases, and (3) compared clinical and radiographic results between the nonfracture and fracture groups.

#### **Patients and Methods**

We retrospectively reviewed 298 patients who had 353 Alpha CERASUL<sup>TM</sup> (Zimmer, Winterthur, Switzerland) sandwich liner acetabular components implanted between November 1999 and February 2008. This implant consisted of a layer of polyethylene between the titanium alloy cup and a layer of alumina (hot isostatically pressed aluminum oxide) for conserving the ceramic-ceramic bearing surface. All patients during this period received this implant unless the shell required was smaller than 50 mm: we implant the CERASUL<sup>TM</sup> liner only for shells larger than 50-mm diameter to conserve a thickness of 8 mm of UHMWPE. We had no contraindication for use of the implant. During that same time, we treated a total of 306 patients with THA. Of the remaining 298 patients (353 hips), nine died (nine hips) during the study period from unrelated causes after hip arthroplasty. Another six patients (six hips) were lost to followup. Information for 14 patients (15 hips) who were unable to attend our outpatient clinic was gathered through telephone interviews. There were 183 males and 115 females with a mean age at the time of arthroplasty of 53.6 years (range, 17–84 years). The right hip was replaced in 192 (54%) patients and the left hip in 161 (46%) patients. Before THA, 70 hips (19.5%) had surgical antecedents. Of the 298 patients, 215 had a minimum followup of 2 years (mean, 3.5 years; range, 0.5-8.8 years). We included all patients for reporting of complications.

The main preoperative diagnosis was osteonecrosis (Table 1). The average preoperative Merle d'Aubigné-Postel score [6] was 10.9 (range, 1–18). According to the Charnley score [5], 135 patients (45%) were classified as A, 63 (21%) as B, and 100 (34%) as C. On average, the patients were slightly overweight with a mean BMI of 26.4 kg/m<sup>2</sup> (range, 17.2–42.5 kg/m<sup>2</sup>).

Table 1. Preop	erative diagnoses
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Diagnoses	Number of hips	%
Primary coxarthrosis	127	37%
Osteonecrosis	97	28%
Coxofemoral dysplasia	53	15%
Revision surgery	28	8%
Trauma	17	5%
Other	13	4%
Inflammatory disease	11	3%
Posttraumatic coxarthrosis	7	2%

Surgery was performed by one of 10 surgeons using the same procedure in a conventional operating room specifically used for orthopaedic surgery. The surgery was performed with the patients under general anesthesia and using a posterolateral approach, except in five patients (1%)who were operated on through an anterolateral approach. The femoral stems were cementless in 306 hips (87%) (Orphee<sup>®</sup> or Avenir<sup>®</sup>; Zimmer, Winterthur, Switzerland) and cemented in 34 hips (10%) (PF<sup>®</sup>; Zimmer), and not changed for 12 revisions (3%). All bearing surfaces were alumina-on-alumina with a CERASUL<sup>TM</sup> Alpha sandwich ceramic liner and an alumina (third-generation) CERASUL<sup>TM</sup> femoral head (28 mm in all cases). The acetabular cup was always cementless and was made of titanium, Allofit<sup>®</sup>, or Pressfit<sup>®</sup> (Zimmer). The minimal acetabular shell size for the use of this sandwich ceramic liner was 52 mm. The CERASUL<sup>TM</sup> Alpha components consisted of a modular socket with a thin 4-mm layer of third-generation ceramic bloc (BIOLOX<sup>®</sup> forte: CeramTec AG, Plochingen. Germany) that had been assembled using a thermocompressive technique that made a variable-thickness polyethylene shell. The bloc was used in a range from a 52-mm to 68-mm diameter polyethylene shell with an apical spike and four peripheral notches. The sandwich ceramic liner was housed in an acetabular cup using snap-fit fixation.

All patients received intravenous antibiotic prophylaxis perioperatively and were given heparin as thromboembolism prophylaxis. Patients were mobilized on the first postoperative day and were encouraged to walk with partial weightbearing on the second postoperative day with full weightbearing after 1 month.

Clinical and radiographic evaluations were performed at 6 weeks, 3 and 6 months, and 1 year after surgery, and then annually thereafter. Each patient was assessed preoperatively and at the last followup. Patients were classified according to the activity scores described by Charnley [5] and Devane et al. [7]. Hip function was evaluated with the score reported by Merle d'Aubigné and Postel [6].

AP radiographs of the pelvis and AP and lateral radiographs of the hip were made from a standard distance with the patient in a standard position. One observer (HR) not involved in the treatment evaluated all radiographs. Abduction of the acetabular component was evaluated on the pelvic radiograph by the angle formed tangentially between the acetabular component lines with the teardrop radiographic lines or tangent to the inferior part of the ischial tuberosities line.

## Results

Seven fractures (2%) were detected and revised in the sandwich ceramic liners (Fig. 1). The average time between surgery and fracture diagnosis was 51 months (range, 15–91 months). The ceramic sandwich liner fractures occurred in six men and one woman who had a mean age of 48.4 years (range, 38–55 years), a mean weight of 75.8 kg (range, 56–125 kg), and a mean BMI of 24.5 kg/m<sup>2</sup> (range, 20–38.6 kg/m<sup>2</sup>). Three of these seven patients had



Fig. 1A–B (A) AP and (B) lateral view radiographs show the prosthesis with fracture of the right ceramic sandwich liner.

THAs for osteonecrosis of the femoral head, one patient had THA for hip dysplasia, one had THA for synovial osteochondromatosis, one had THA for primary osteoarthritis, and one had THA for infected nonunion after a femoral neck fracture. Three of the seven patients had a history of hip surgery. A posterolateral surgical approach was used in these seven patients. The mean size of the acetabular cup was 54.7 mm (range, 52–58 mm). The ceramic head was always of medium neck length. The femoral stems were not cemented in six cases. Five patients had no complications after primary surgery and the early followup was uneventful. One patient had a wound infection with subsequent débridement. None of the seven patients sustained a postoperative dislocation. None of the seven had a history of trauma related to the ceramic fracture. All failures occurred during daily activity when the hip was in hyperflexion (eg, squatting, rising from a chair, putting on boots). Symptoms of liner fracture included audible crepitation, pain, and loss of motion (Table 2). Like the symptoms listed, observations at the time of reoperation for the fracture group were varied and included metallosis; wear of the polyethylene (probably secondary to ceramic fracture/debris production), the femoral head, or of the neck component; and tiny ceramic particles embedded in the inner surface of the polyethylene (Fig. 2). The ceramic layer was always detached from the polyethylene and was fractured into four variable-sized small fragments (Fig. 3). The reoperation consisted of changing the bearing surface in all cases, the acetabular cup in one case, the femoral stem in one case, and both components in one case. Extensive synovectomy was performed in all cases.

At last followup the pain score had improved from 2.1 to 5.7 (range, 2–6), walking had improved from 4.1 to 5.8 (range, 2–6), and mobility had improved from 5.1 to 5.9 (range, 4–6). The Merle d'Aubigné-Postel score [6] increased from 10.9 to 17.5. Despite the high mean function, 34 patients (10%) had an activity level classified as 2 according to the score of Devane et al. [7].

Table 2. Data for the seven ceramic liner fractures

Patient number	Time in situ (years)	Activity at the time of fracture	Clinical symptoms	Time of diagnosis	Noise before fracture
1	7.6	Dislocating movement	Pain, functional disability	Few days	No
2	4.3	Getting up from a chair	Crepitation, loss of motion	1 month	No
3	3.3	Squatting	Blocking	6 months	No
4	3.8	Threading boots	Pain, functional disability, crepitation	Few days	Yes (3 days before)
5	1.3	Not found	None	Not found	Not found
6	5.3	Squatting	Functional disability	Few days	No
7	4.6	Squatting	Pain	Few days	No

Fig. 2A–C (A) Wear of the prosthesis head, (B) metallosis of the tissue and fracture of the ceramic liner, and (C) embedded ceramic fragments in polyethylene can be seen in these photographs.





Fig. 3 A ceramic liner fracture is shown in this photograph.

Both groups were similar in terms of age, gender, BMI, acetabular cup position, or characteristics of the femoral stem. The average abduction angle of the acetabular cup was  $43.1^{\circ}$  (range,  $39^{\circ}$ - $49^{\circ}$ ) for these seven patients compared with  $45.5^{\circ}$  for the whole series (Table 3).

## Discussion

Based on a reported high survival rate (100% at 11 years [11]) of ceramic-ceramic bearing surfaces, we opted to use

 Table 3. Comparison of predisposing factors for fracture and non-fracture groups

Predisposing factor	Fracture group	Nonfracture group	Statistical meaning
Weight (kg)			NS
Mean	75.8	76.2	
Range	(56–125)	(40–127)	
SD	23.66	15.5	
BMI (kg/m <sup>2</sup> )			NS
Mean	24.5	26.5	
Range	(20-38.6)	(17.2–42.6)	
SD	6.9	4.5	
Devane et al. [7] score activity distribution			
Level 1	0 (0%)	0 (0%)	
Level 2	1 (14%)	33 (12.7%)	
Level 3	3 (43%)	91 (35%)	
Level 4	2 (29%)	77 (29.6%)	
Level 5	1 (14%)	59 (22.7%)	
Acetabular cup abduction (°)			NS
Mean	43.1	45.5	
Range	(39–49)	(15–75)	
SD	4.1	9.3	

NS = not significant.

a ceramic sandwich liner. Our observation of fractures at relatively short followup led us to evaluate the prevalence of this complication.

There are limitations to our study. First, it is a singlecenter study. Although such a study reduces potentially confounding variables such as patient selection and surgeon experience, it might make the findings less generalizable. Second, the mean followup is relatively short (41 months). whereas the mean time to fracture was 51 months. Therefore, additional fractures might occur after our followup times. However, we had less than 2% of patients lost to followup and would not have missed many fractures during followups. Third, the incidence of fracture might be understated, as the polyethylene sandwich might delay recognition of liner failure by the patient. However, we presume all fractures were detected in our patients. Our study was limited by the small number of events (fractures) and therefore has low statistical power; however, despite the retrospective design and short followup, we found an abnormally high rate of fractured sandwich ceramic liners (2%). Because of the small number of events (eg, fracture of the ceramic liner), we were unable to explore factors relating to the fractures.

This observational study confirms the relatively high rate of ceramic bearing surface failure reported in the literature [8, 12, 15] (Table 4). No trauma or abnormal physical activity was observed in our patients.

Some authors [12, 15] report that some positions have greater risk, eg, squatting or sitting in a cross-legged position. These positions were not reported by our patients; instead, five patients described being in a hyperflexed position at the time of the fracture. Unlike Popescu et al. [16] and Poggie et al. [15], the mean BMI for patients in our fracture group was 24.5 kg/m<sup>2</sup>, and only one patient was severely obese at the time of fracture. Surgical technique can be problematic, and an incorrect acetabular implant position has been suggested as a cause [9, 12]. Popescu et al. [16] found excessive retroversion in one patient, whereas Ha et al. [8] observed a mean anteversion of 25.8° in their fracture group, which was greater than the 16.1°-angle in their nonfracture group. Although we did not estimate version of the acetabular cup, the high rate of dislocation in our series could suggest inadequate position of the cup on a horizontal plane despite good position on the coronal plane in most cases (range, 39°-49°). Nevertheless, no dislocations occurred before the diagnosis of a liner fracture. We could not relate acetabular position and liner fracture on the basis of our limited study. The high dislocation rate can be explained by various predisposing factors (posterolateral approach, osteonecrosis, dysplasia, revision surgery for diagnosis, and high rate of previous surgery on the ipsilateral hip). Two other specifications of the implant have been suspected to be problematic: the

Table 4. Data from the li	terature for ceramic	sandwich liners wi	th press-fit metal bi	acks			
Study	Level of evidence	Number of hips	Mean followup (years)	Mean age of patients (years)	Ceramic liner fracture rate	Implant	Predisposing factors
Ravasi and Sansone [17]	IV	56	5.2	62.8	Not reported	SPH Contact acetabular <sup>®</sup> ; Lima-Lto <sup>TM</sup> Udine, Italy	Not reported
Park et al. [13]	IV	357	3.9	51.4	4 (1.1%)	SPH Contact acetabular <sup>®</sup> ; Lima-Lto <sup>TM</sup> Udine, Italy	Hyperflexion position
Hasegawa et al. [10]	IV	35	5.8	63	2 (5.7%)	Kyocera <sup>TM</sup> Kyoto, Japan	Not found
Poggie et al. [15]	I	315	> 2	54	14 (4.4 %)	Hedrocel <sup>®</sup> ; Implex <sup>TM</sup> Allendale, NJ, USA	Body weight > 91 kg
Ha et al. [8]	IV	157	3.7	38	5 (3.5 %)	SPH Contact acetabular <sup>®</sup> ; Lima-Lto <sup>TM</sup> Udine, Italy	Hyperflexion position
Current study	IV	353	3.5	53.6	7 (2%)	CERASUL <sup>®</sup> Alpha; Zimmer Winterthur, Switzerland	Not found

thermocompressive system for combining the ceramic and polyethylene layers and the characteristics of the polyethylene used.

Several explanations have been offered to explain ceramic liner fractures. Some investigators [15] suggest a simple association between the hydrophobic polyethylene and the absorbent ceramic lead to fracture; others [8] suspect the high torque transmitted from the femoral head to the ceramic liner. Hasegawa et al. [9] believed the loading edge was responsible. All these authors believe these different situations can dislodge the ceramic liner and cause a secondary fracture. Park et al. [12] believes impingement is directly responsible for the ceramic sandwich fracture. Like Benazzo [2], we believe a combination of events could lead to a fracture. The role of edge loading is possible, and impingement could act as a recurrent mechanical stress and/or could allow clinical expression by displacing the fractured fragment of ceramic. The mechanism of liner fracture is debatable [8, 10, 12, 15, 16].

We have discontinued implantation of the ceramic sandwich liner because of the high rate of fracture (2%) at short-term followup.

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