

NIH Public Access

Author Manuscript

Int Psychogeriatr. Author manuscript; available in PMC 2012 July 01

Published in final edited form as:

Int Psychogeriatr. 2012 July ; 24(7): 1076–1084. doi:10.1017/S1041610212000051.

SELF-REPORTED MEMORY IMPAIRMENT AND BRAIN PET OF AMYLOID AND TAU IN NON-DEMENTED MIDDLE-AGED AND OLDER ADULTS

David A. Merrill, MD, PhD[Assistant Clinical Professor],

UCLA, Department of Psychiatry and Biobehavioral Sciences, Division of Geriatric Psychiatry, Semel Institute for Neuroscience & Human Behavior, Los Angeles. Postal Address: 760 Westwood Plaza, Room 38-231, Los Angeles, CA 90095. Telephone: (310) 267-0274. Fax: (310) 825-3910. dmerrill@mednet.ucla.edu.

Prabha Siddarth, PhD.[Research Statistician],

UCLA Department of Psychiatry and Biobehavioral Sciences. 760 Westwood Plaza, Room 37-357, Los Angeles, CA 90095.

Nathan Y. Saito[Staff Research Assistant],

UCLA Department of Psychiatry and Biobehavioral Sciences. 760 Westwood Plaza, Room 38-231, Los Angeles, CA 90095.

Linda M. Ercoli, PhD.[Associate Clinical Professor],

Director, Geriatric Psychology. UCLA Department of Psychiatry and Biobehavioral Sciences. 760 Westwood Plaza, Room 38-239, Los Angeles, CA 90095.

Alison C. Burggren, PhD.[Assistant Researcher],

Semel Institute for Neuroscience & Human Behavior. UCLA Semel/Resnick Box 951759, 17-369C, Los Angeles, CA 90095.

Vladimir Kepe, PhD.[Associate Researcher],

UCLA Molecular and Medical Pharmacology. BOX 956948, B2-045 CHS, Los Angeles, CA 90095.

Helen Lavretsky, MD.[Professor in Residence],

UCLA Department of Psychiatry and Biobehavioral Sciences. BOX 959517, Room 37-360A Semel, Los Angeles, CA 90095.

Karen J. Miller, PhD.[Associate Clinical Professor],

UCLA Semel Institute for Neuroscience & Human Behavior. BOX 951784, PVUB 3119. Los Angeles, CA 90095.

Correspondence to: David A. Merrill.

Conflict of interest declaration: Drs. Merrill, Siddarth, Ercoli, Burggren, Kepe, Miller, Kim, Huang, and Bookheimer and Nathan Saito have no financial conflicts of interest.

Description of authors' roles: David A. Merrill designed the study, supervised data collection and statistical analysis, and wrote the paper. Prabha Siddarth helped design the study, was primarily responsible for the statistical design of the study and for carrying out the statistical analysis, and helped write the paper. Nathan Y. Saito helped with data collection and analysis. Linda M. Ercoli helped design the study, supervised the neuropsychological data collection, and helped write the paper. Alison C. Burggren helped design the study and write the paper. Vladimir Kepe helped collect and analyze the neuroimaging data, and write the paper. Helen Lavretsky helped recruit and consent subjects, collect data, and write the paper. Karen J. Miller helped collect and analyze neuropsychological data. Jeanne Kim helped collect and analyze neuropsychological data. S. C. Huang helped supervise collection and analysis of the neuroimaging data, and write the paper. Susan Y. Bookheimer, PhD helped design the study, supervise collection and analysis of the data, and write the paper. Jorge R. Barrio helped design the study, supervise collection and analysis of the data, and write the paper. Gary W. Small helped design the study, supervise collection and analysis of the data, and write the paper.

Jeanne Kim, PsyD.[Assistant Researcher],

UCLA Semel Institute for Neuroscience & Human Behavior. BOX 951784, PVUB 3119. Los Angeles, CA 90095-1784.

S. C. Huang, PhD.[Professor],

UCLA Molecular and Medical Pharmacology. BOX 956948, B2-085H CHS, Los Angeles, CA 90095.

Susan Y. Bookheimer, PhD.[Professor in Residence],

Semel Institute for Neuroscience & Human Behavior. UCLA Semel/Resnick Rm 17-369 Semel, Los Angeles, CA 90095.

Jorge R. Barrio, PhD.[Professor], and

UCLA Molecular and Medical Pharmacology. BOX 956948, B2-045 CHS, Los Angeles, CA 90095.

Gary W. Small, MD.[Professor of Clinical Psychiatry]

UCLA Department of Psychiatry and Biobehavioral Sciences, Division of Geriatric Psychiatry, Semel Institute for Neuroscience & Human Behavior. 760 Westwood Plaza, Rm 38-251, Los Angeles, CA 90095.

Abstract

Background—Whether perceived changes in memory parallel changes in brain pathology is uncertain. Positron emission tomography (PET) scans using $2-(1-\{6-(2-\{F-18\})$ [fluoroethyl] (methyl)amino]-2-naphthyl}ethylidene)malononitrile (FDDNP) can measure levels of amyloid plaques and tau neurofibrillary tangles in vivo. Here we investigate whether degree of selfreported memory impairment is associated with FDDNP-PET binding levels in persons without dementia.

Methods—57 middle-aged and older adults without dementia (mean age $[\pm SD] = 66.3 \pm 10.6$) years), including 25 with normal aging and 32 with mild cognitive impairment (MCI), were assessed. The outcome measures were the four factor scores of the Memory Functioning Questionnaire (MFQ) (frequency of forgetting, seriousness of forgetting, retrospective functioning, and mnemonics use) and FDDNP-PET binding levels in medial temporal, lateral temporal, posterior cingulate, parietal, frontal, and global (overall average) regions of interest.

Results—After controlling for age, higher reported frequency of forgetting was associated with greater medial temporal (r = −0.29, $p = 0.05$), parietal (r = −0.30, $p = .03$), frontal (r = −0.35, $p =$ 0.01) and global FDDNP-PET binding levels ($r = -0.33$, $p = .02$). The remaining MFQ factor scores were not significantly associated with FDDNP-PET binding levels, and no significant differences were found between normal aging and MCI subjects. Item analysis of the frequency of forgetting factor revealed 5 questions that yielded similar results as the full 32 question scale ($r =$ $-0.52, p = .0002$).

Conclusions—These findings suggest that some forms of memory self-awareness, in particular the reported frequency of forgetting, may reflect extent of cerebral amyloid and tau brain pathology.

Keywords

aging; neuroimaging; cognitive testing; MCI; subjective cognitive impairment; beta-amyloid plaques; tau neurofibrillary tangles; FDDNP

INTRODUCTION

Subjective memory complaints increase with age, with nearly half of adults over age 65 reporting memory problems (Bassett and Folstein, 1993). Previous studies have found that standardized measures of self-perceived changes in memory and cognition relate significantly to performance on objective neuropsychological tests (Amariglio *et al.*, 2011; Bassett and Folstein, 1993; Jonker et al., 2000). While several studies have demonstrated that self-awareness of memory change predicts future risk of cognitive decline, including development of Alzheimer's disease (AD) and related dementias (Jessen et al., 2010; Jonker et al., 2000), other studies have not (Blazer *et al.*, 1997; Jorm *et al.*, 1997; Minett *et al.*, 2005). Inconsistencies in this relationship can be ascribed to differing study methodologies, design, or control of covariates known to be associated with cognitive function such as age, education, and depression (Blazer et al., 1997; Jonker et al., 2000; Merema et al., 2011).

Prior research has indicated varying degrees of relationship between brain imaging measures and subjective memory complaints. Structural MRI studies have found greater atrophy in memory-relevant temporal lobe structures in older adults with subjective memory complaints (Striepens *et al.*, 2010). A study using positron-emission tomography (PET) after infusion of 2-[18F]fluoro-2-deoxy-D-glucose (FDG) in subjects without dementia found decreased frontal lobe glucose metabolism in older adults relying more heavily on memory aids (Small et al., 1994). Self-perceived memory loss was likewise found to be associated with global cerebral metabolic decline (Ercoli et al., 2006). In contrast, previous analysis of data from the Australian Imaging, Biomarkers, and Lifestyle (AIBL) study of aging comparing the dichotomous presence or absence of subjective memory complaints (% complainers) to (11)C-Pittsburgh Compound B (PiB) PET positivity or negativity (PiB-PET cutoff level 1.5) did not show a relationship between subjective memory complaints and brain beta-amyloid (Pike et al., 2011; Rowe et al., 2010).

Previously, we have reported that performance on objective memory tests, including the Wechsler Memory Scale Verbal Paired Associations task and the Bushke-Fuld Selective Reminding Test, correlate with levels of amyloid plaques and tau neurofibrillary tangles in *vivo* as measured by positron emission tomography (PET) scans using $2-(1-\{6-\}$ [F-18]fluoroethyl)(methyl)amino]-2-naphthyl}ethylidene)malononitrile (FDDNP) (Small et al., 2006). Others have shown that the Memory Functioning Questionnaire (MFQ), a standardized instrument measuring self-appraisal of memory ability with high internal consistency, has moderate concurrent validity with objective memory performance measures, such as immediate and delayed list recall and recognition (Gilewski et al., 1990; Zelinski et al., 1990).

In this study, we report the relationship between types and degree of subjective memory complaints, as measured by the MFQ factor scores (Gilewski et al., 1990), and load of amyloid senile plaques and tau neurofibrillary tangles in non-demented, non-depressed older adults using FDDNP-PET scans. We focused our investigation on a sample of older adults with subjective memory complaints, and either normal cognition or mild cognitive impairment, but not dementia (Ercoli et al., 2009; Small et al., 2006), to determine whether specific types or degrees of subjective memory complaints reflect an increased burden of plaque and tangle pathology in memory-relevant brain regions.

METHODS

Subjects

A total of 57 non-demented subjects who completed the MFQ and underwent FDDNP-PET scans were drawn from a larger, longitudinal study of predictors of cognitive decline,

Merrill et al. Page 4

detailed elsewhere (Ercoli et al., 2009; Small et al., 2006). Briefly, volunteers from the community were recruited through advertisements of a study of mild memory impairment, media coverage of the study, and referrals by physicians and families. Members of the research staff screened potential volunteers via telephone interviews. Subjects received neurological and psychiatric evaluations and routine screening laboratory tests to rule out treatable causes of cognitive impairment or potential cognitive confounding factors (e.g., severe sensory deficits or medication interactions). Most of the subjects (N=54) underwent magnetic resonance imaging (MRI); the remaining subjects who could not tolerate MRI (because of claustrophobia or metal in the body) underwent computed tomography (CT). All subjects were proficient in the English language and underwent comprehensive clinical and cognitive assessment to characterize cognitive status at the time of baseline assessment.

A neuropsychological test battery was administered to quantify cognitive performance and to confirm the diagnostic category of each study subject (normal aging, mild cognitive impairment [MCI], or dementia). Subjects with dementia were excluded while nondemented subjects were classified as normal aging or MCI using standard criteria (Smith et al., 1996). The neuropsychological test battery was administered to assess five cognitive domains: (1) Memory, including Wechsler Memory Scale Third Edition (WMS-III) Logical Memory (Delayed score), Buschke selective reminding (Total score), and Rey-Osterrieth Complex Figure recall (3-minute delayed recall score); (2) Language, including Boston naming test and letter (F.A.S.) and category (Animal Naming test) fluency; (3) Attention and information-processing speed, including Trailmaking A, Stroop Color Naming (Kaplan version), and Wechsler Adult Intelligence Scale Third Edition (WAIS-III) Digit Symbol; (4) Executive functioning, including Trailmaking B, and Stroop Interference (Kaplan version); and (5) Visuospatial ability, including WAIS-III Block Design, and Rey-Osterrieth Complex Figure copy. We used standard diagnostic criteria for MCI (i.e., memory impairment without other cognitive impairments), which include (1) patient awareness of a memory problem, preferably confirmed by another person who knows the patient; (2) memory impairment detected with standard assessment tests; and (3) ability to perform normal daily activities (Smith et al., 1996). Subjects were categorized as normal if they had neither objective deficits on neuropsychological test measures after correction for age and education nor functional deficits in daily functioning and did not meet criteria for MCI. The Hamilton Rating Scales for both Depression and Anxiety were administered to assess mood and anxiety, respectively. For the current analysis, subjects meeting criteria for dementia, depression, or anxiety disorders were excluded.

Degree of subjective memory complaints were reported by subjects via mailed survey by using the MFQ, a widely used instrument developed to evaluate self-perception of everyday memory functioning (Gilewski et al., 1990; Zelinski et al., 1990). The MFQ consists of 64 items rated on a seven-point scale, and provides four unit-weight factor scores measuring: Factor 1, frequency of forgetting (including ratings of how often forgetting occurs in 28 specific situations and five ratings of general memory performance); Factor 2, seriousness of forgetting (memory failure ratings from 18 different situations); Factor 3, retrospective functioning (changes in current memory ability relative to five time points earlier in life), and Factor 4, mnemonics usage (frequency of mnemonics usage in eight specific situations). Higher scores indicate higher (more favorable) levels of perceived memory functioning, i.e., fewer forgetting incidents, less frequent use of mnemonics. Factor structure is stable across age groups and internal consistency is high, with Cronbach's alpha values for its four factor scores ranging from 0.83 to 0.94 (Zelinski et al, 1990). Self-reported health ratings and education contribute to the variance of some of the factors, but together these variables account for only 9% of the variance of any factor (Gilewski et al, 1990). The MFQ shows moderate concurrent validity with objective memory performance (Zelinski et al, 1990).

Neuroimaging

FDDNP was prepared at very high specific activities (>37 GBq/mol) (Liu et al., 2007). All scans were performed with the ECAT HR or EXACT HR+ tomograph (Siemens-CTI, Knoxville, TN) with subjects supine and the imaging plane parallel to the orbitomeatal line. A bolus of FDDNP (320–550 MBq) was injected via an indwelling venous catheter, and consecutive dynamic PET scans were performed for 2 hours. Scans were decay corrected and reconstructed using filtered back-projection (Hann filter, 5.5 mm FWHM) with scatter and measured attenuation correction. The resulting images contained 47 contiguous slices with plane separation of 3.37 mm (ECAT HR) or 63 contiguous slices with plane separation of 2.42 mm (EXACT HR+). Nonparametric Wilcoxon two-sample tests within MCI and cognitively normal groups separately found no significant differences in regional FDDNP signals between the two PET scanners (p values ranging from 0.18 to 0.84).

FDDNP-PET binding levels were quantified as described in Small et al. (Small *et al.*, 2006). Briefly, we performed Logan graphical analysis with cerebellum as the reference region for time points between 30 and 125 minutes (Logan *et al.*, 1996). The slope of the linear portion of the Logan plot is the relative distribution volume (DVR), which is equal to the distribution volume of the tracer in a region of interest (ROI) divided by that in the reference region. We generated DVR parametric images and analyzed them using grey matter ROIs drawn manually on the FDDNP-PET image obtained in the first 5 minutes after injection (the perfusion image). This image shows the perfusion pattern and has sufficient anatomical information to identify the cerebellum and cerebellar gray matter. ROIs were drawn bilaterally on the medial temporal (containing limbic regions, including hippocampus, parahippocampal, and entorhinal areas), lateral temporal, posterior cingulate, parietal, frontal, and cerebellar regions, as previously described (Kepe et al., 2006). Each cerebral regional DVR or binding value was expressed as an average of left and right regions. Rules for ROI drawing were based on the atlas of Talairach and Tournoux (Talairach and Tournoux, 1988), which we used as a visual guide for identifying the important gyral and sulcal landmarks needed in delineating the ROI. The ROI determinations were performed by one individual blind to clinical assessments; previous inter-rater reliability studies have confirmed high consistency and reliability using this method (Small *et al.*, 1992).

Among the 54 subjects who underwent MRI, anatomical brain MRI scans were obtained using either a 1.5 T (N=9) or 3 T (N=45) magnet (General Electric-Signa, Milwaukee) scanner. Fifty-four transverse planes were collected throughout the brain, superior to the cerebellum, using a double-echo, fast-spin echo series with a 24-cm field of view and 256 \times 256 matrix with 3 mm/0 gap (repetition time = 6000 [3 T] and 2000 [1.5 T]; echo time = 17/85 [3 T] and 30/90 [1.5 T]).

Statistical Analysis

Data were screened for outliers and normality assumptions. MFQ factor scores that were more than 2.5 standard deviations from the mean of the sample were removed (3 scores for MFQ1 and 2 scores for MFQ3). As previously stated, the MFQ was self-administered via mailed survey, thus the scales of some subjects had uncompleted items. For those subjects who completed less than 80% within each factor of the questionnaire, item-wise deletion was performed (4 for MFQ1, 3 for MFQ2 and 2 for MFQ3). For the remaining subjects with missing data, MFQ factor scores were imputed as follows: the observed score was multiplied by the ratio of the total possible score of all items to total score for the answered items, within each factor. In this way, 8 subjects' MFQ1 scores, 10 MFQ2 scores, 1 MFQ3 score and 3 MFQ4 scores were imputed. This method of imputation is valid if the probability that an item is missing can be related to the values of observed items alone, not the missing items, i.e. the data is missing at random. We examined missingness by normal

vs. MCI groups. For all 4 factors, missingness was not significantly different between groups: MFQ1 χ^2 (1) = .3, p = .6; MFQ2 χ^2 (1) = 2.9, p = .1; MFQ3 χ^2 (1) = .1, p = .7; MFQ4 χ^2 (1) = 1.6, p = .2. Further, all analyses were repeated using only the subjects with complete data to determine whether the imputation procedure had any effect on the findings.

Pearson correlation coefficients were used to examine associations between MFQ factors and FDDNP-PET binding levels. We first assessed whether the MFQ factors and FDDNP-PET binding levels were associated with age and education. MFQ1 was found to be related to age (Pearson correlation coefficient, $r = -.33$, $p = .02$), thus, we controlled for age in all the analyses involving MFQ1. Education was not associated with either MFQ factors or FDDNP-PET binding levels. In order to limit the number of tests, we then analyzed the relationship between MFQ factors and global (overall average) FDDNP-PET binding levels. Only for factors that were found to have a significant association globally, were further analyses performed to determine region-specific associations. We also determined if MCI and normal aging subjects, as well as subjects with and without one or more copies of the apolipoprotein E-4 (APOE-4) allele, differed in their MFQ-FDDNP-PET associations by estimating ANCOVAs with the MFQ factor scores as dependent variables, and FDDNP-PET binding levels, cognitive status (MCI vs. normal aging), APOE-4 status and the interaction of cognitive status/APOE-4 status and FDDNP-PET binding levels as predictors.

In addition, for MFQ1, which has 32 items, we conducted an item analysis to determine if a subset of the items performs as well as the total. To identify the subset, we calculated Cronbach's alpha and only retained those items which had a correlation coefficient of at least .75 with the total score. We then obtained MFQ1Sub as the sum of the retained items in MFQ1 and repeated the above analyses examining the relationship between FDDNP-PET binding levels and MFQ1Sub. All tests were two-tailed and a significance level of .05 was used for all inferences.

RESULTS

Demographic and clinical characteristics of the sample were as follows: The mean age of all the subjects was 66.3 ± 10.6 (SD) years and men comprised 49% of the patient sample. Most subjects were Caucasian (91%), and subjects had an average of 17±3 (SD) years of formal education. Positive family history of AD was reported in 66.7% of the sample, and 53% of subjects were carriers of at least one copy of the APOE-4 allele. Average score on the Mini-Mental Status Exam was 28.6±1.4 (SD). Subjects meeting criteria for MCI based on their performance on neuropsychological testing comprised 56% (n = 32 of 57; amnestic MCI = 17, non-amnestic MCI = 5, multiple domain MCI = 10) of the study sample. As this study involved pre-screening to rule out subjects with clinically significant anxiety or depression, subjects did not display significant levels of anxiety (mean Hamilton Rating Scale for Anxiety scores $= 4.5 \pm 4.2$ [SD]) or depression (mean Hamilton Rating Scale for Depression scores 3.4±2.8).

The mean scores for each of the MFQ factors and the global plus regional FDDNP-PET binding levels are shown for all subjects in Table 1. After controlling for age, ANCOVAs performed for all subjects did not reveal significant interaction terms, indicating that the two cognitive sub-groups of subjects (normal control and MCI) and subjects with and without the APOE-4 allele exhibited similar MFQ-FDDNP-PET associations. MFQ1 for all subjects was significantly associated with global FDDNP-PET binding levels ($r = -0.33$, $p = .02$) (Figure 1A). The remaining MFQ factors were not significantly related to FDDNP-PET binding levels. Further analysis of MFQ1 scores with measured FDDNP-PET levels revealed significant correlations in the following regions of interest: medial temporal $(r =$ −0.29, $p = 0.05$), parietal (r = −0.30, $p = 0.03$), and frontal (r = −0.35, $p = 0.01$) regions.

Analyses using only data from complete cases (N=36) yielded similar findings to those from

the entire sample. After controlling for age, MFQ1 was significantly associated with global FDDNP-PET binding levels ($r = -0.43$, $p = .01$). The remaining MFQ factors were not significantly related to FDDNP-PET binding levels. Further analysis of MFQ1 scores with measured FDDNP-PET levels in complete cases revealed significant correlations in parietal $(r = -0.46, p = 0.005)$, and frontal $(r = -0.44, p = 0.01)$ regions. The association in medial temporal lobe did not reach significance ($r = -0.30$, $p = 0.08$).

Item analysis of the MFQ1 factor indicated that 5 of the 32 items had a correlation coefficient greater than 0.75 with the total score and in addition worsened Cronbach's alpha when removed. Therefore, these items were retained and are presented in Table 2. The sum of these 5 items, MFQ1Sub, had a mean of 24.0±6.0 (SD). After controlling for age, MFQ1Sub, was significantly associated with global FDDNP-PET binding levels ($r = -0.52$, $p = .0002$) (Figure 1B). Regional analysis of MFQ1Sub scores with FDDNP-PET levels showed significant correlations: medial temporal ($r = -0.38$, $p = 0.01$), parietal ($r = -0.43$, p $= 0.002$), posterior cingulate (r = −0.30, p = 0.04) and frontal (r = −0.51, p = 0.0002) regions. As with MFQ1, normal aging and MCI subjects did not differ in their FDDNP-PET associations with MFQ1Sub.

DISCUSSION

This study reports that degree of self-perceived memory loss correlates significantly with levels of FDDNP-PET binding in healthy non-demented older adults. In particular, our results show that the MFQ frequency of forgetting factor significantly relates to FDDNP-PET binding levels both globally and in medial temporal, parietal, and frontal lobe regions of interest. Item analysis of the frequency of forgetting factor enabled consolidation of the scale from 32 questions down to 5 questions, and confirmed the above associations, with the additional significant correlation of frequency of forgetting to posterior cingulate FDDNP-PET binding levels. These findings complement previous work (Small *et al.*, 2006), which has shown that global and regional FDDNP-PET uptake levels differentiate controls and MCI subjects, and also yield a significant relationship with objective cognitive testing performance (Ercoli et al., 2009).

In contrast to findings from the AIBL study of aging (Pike *et al.*, 2011; Rowe *et al.*, 2010), which used the amyloid-specific PET ligand PiB, this study demonstrates a significant relationship between degree of subjective memory complaints and an *in vivo* neuroimaging marker of amyloid plaque and tau neurofibrillary tangle levels, namely FDDNP. Another small pilot study of PiB-PET found that only one of the 5 older adults in the study with subjective cognitive impairment had elevated PiB-PET levels (Rodda et al., 2010). The approach used in these prior studies focused on the dichotomous presence or absence of subjective memory complaints (% complainers) compared to PiB-PET positivity or negativity (PiB cutoff level 1.5). This differs from the current approach, which examined these variables in a continuous fashion, relating degrees of subjective memory complaints to levels of FDDNP-PET binding. Even though FDDNP-PET signals tend to be lower than PiB, as is common to many 18-F PET tracers, assessing subjective memory complaints on a continuum may have compensated for this property of FDDNP-PET.

These results may also differ because of the ability of FDDNP-PET to detect both amyloid plaque and tau tangle levels, in comparison to the sole detection of amyloid plaques by PiB-PET. In comparison to amyloid plaques, tau tangle pathology is known to increase progressively throughout various brain regions as patient progress in severity from preclinical to clinical stages of dementia (Braak and Braak, 1991; Delacourte et al., 1999). As such, memory self-appraisal measures may be among the earliest measures that detect these

underlying tau-mediated neuropathological changes that are occurring, in addition to any contribution from progression of amyloid pathology. While no autopsy studies have examined the relationship between subjective memory changes and brain pathology, the current results suggest that subjective memory complaints may reflect the accumulation of neurodegenerative changes, in particular tau pathology more so than amyloid pathology, prior to emergence of objective cognitive changes.

In the present study, the MFQ frequency of forgetting factor was related to FDDNP-PET binding in memory-relevant brain regions. This factor score assesses commonly observed recent memory problems in older adults by querying how often subjects have problems remembering details of things like names, conversations, recent events, and reading materials (novels and magazines/newspapers). Such tasks are known to be dependent on intact function and connectivity between the medial temporal lobe and frontal cortex. The current results demonstrating increased FDDNP binding values in these brain regions in subjects with a greater degree of self-reported memory difficulties supports the hypothesis that subjective memory complaints represent worsening underlying brain pathology (Jessen et al., 2010; Jonker et al., 2000; Merema et al., 2011) and not just subjective anxiety over normal age-related changes in memory function (Blazer et al., 1997; Jorm et al., 1997; Minett et al., 2005).

The current results complement earlier work demonstrating a relationship between subjective memory complaints and biomarkers of brain function such as FDG-PET (Ercoli et al., 2006; Small et al., 1995; Small et al., 1994). In particular, a prior FDG-PET analysis by our group in a similar population of non-demented older adults found a significant relationship between global cerebral glucose hypometabolism and reported frequency of forgetting regardless of APOE-4 genetic risk for AD (Ercoli et al., 2006). Moreover in that study, the factor score for mnemonics use significantly correlated with metabolic decline in the temporal regions in APOE-4 carriers but not in noncarriers. Degree of mneumonic use had previously been related to frontal but not temporal or parietal hypometabolism in nondemented subjects (Small et al., 1994). While our current study found a trend for the relationship between degree of mneumonic use and global FDDNP-PET binding levels ($p =$ 0.07), this relationship was not significant and, thus, was not explored further in our subregion analysis. Although FDG-PET and FDDNP-PET results can overlap and show inverse correlations (Small et al., 2006), these two probes measure different targets – FDG reflects neuronal function, whereas FDDNP targets pathological structures – so that findings from these two probes would be expected to vary.

The current results highlight the potential clinical importance of asking about memory symptoms in older adults. While our initial analysis found a significant relationship between FDDNP-PET binding and the 32 question frequency of forgetting factor score of the MFQ, our item analysis found that as few as five questions about recent memory relating to common everyday situations may reflect underlying brain pathology. Such questions may be useful for clinicians who evaluate patients presenting with subjective memory complaints. In addition, an interesting follow-up study would be to observe whether or not the severity of these initial subjective memory complaints and associated elevations in FDDNP uptake are predictive of future clinical disease progression.

Reisberg and colleagues recently introduced the concept that memory changes noticed by otherwise cognitively intact older adults represent a mild and long lasting pre-MCI stage of age-related cognitive decline known as Subjective Cognitive Impairment (SCI), which has been estimated to last an average of 15 years prior to the development of MCI (Reisberg et al., 2008). In support of this notion, a greater degree of MRI atrophy has been observed in the temporal lobe of non-demented older adults reporting subjective memory changes when

compared to those who do not (Saykin et al., 2006; Striepens et al., 2010). In this proposed mildest stage of memory loss, patients and/or their family members report subjective changes in memory function; however, performance on neuropsychological testing and daily functioning remains intact. When taken in the context of the previously published findings relating degree of objective memory performance to both FDDNP-PET binding levels (Small et al., 2006) and MFQ-measured subjective memory complaints (Zelinski et al., 1990), these results suggest that subjective memory complaints may be a guide to the fairly new and compelling concept of preclinical Alzheimer's disease (Sperling *et al.*, 2011). The current results in non-demented, non-depressed older adults suggest that the degree of neuropathology underlying this subtle degree of memory loss may be assessed in clinical settings by simplified bed-side versions of memory self-appraisal tests such as the MFQ (see table 2). This may be of particular importance since amyloid imaging is unlikely to be practical for large populations of cognitively healthy older adults.

An important limitation of our data set is that it is a relatively small non-representative sample of convenience. The findings need to be replicated in a larger sample, which could address the question of whether subjective complaints are more sensitive to imaging findings than objective measures. In addition, several subjects had missing data values. However, findings from analyses including only those subjects with complete data were similar to those with the larger sample. Further, we limited the potential effect of missing data values by only including subjects who had returned mailed questionnaires with at least 80% of each section completed. Our method of imputation does assume that the missing data are similar to the complete data, i.e. the probability of missingness depends on the complete data but not the missing data, an assumption which may not be true.

In conclusion, these findings suggest that self-awareness of memory loss may reflect the extent of cerebral amyloid and tau brain pathology in people without dementia. Such selfperceived memory abilities might predict and track neuropathological changes in the brain years before symptoms of dementia are present. Thus, subjective memory complaints may be an important indicator that signals the need for further clinical assessment and monitoring.

Acknowledgments

The authors thank Anel Dzmura and Colin Shinn for help in subject recruitment, data management, and study coordination, and Gerald Timbol and Anasheh Halabi for help in image processing. Dr. Siddarth and Kepe had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

FUNDING: Supported by NIH grants P01-AG025831, AG13308, P50 AG 16570, MH/AG58156, MH52453; AG10123; M01-RR00865, the Department of Energy (DOE contract DE-FC03-87-ER60615); General Clinical Research Centers Program; the Fran and Ray Stark Foundation Fund for Alzheimer's Disease Research; the Ahmanson Foundation; the Larry L. Hillblom Foundation; the Lovelace Foundation; the Sence Foundation; the McMahan Foundation; the Judith Olenick Elgart Fund for Research on Brain Aging; the Elizabeth and Thomas Plott Endowment in Gerontology; the UCLA Claude Pepper Older Americans Independence Center funded by the National Institute on Aging (5P30AG028748); and AFAR, the John A. Hartford Foundation and the Centers of Excellence National Program.

The University of California, Los Angeles, owns a U.S. patent (6,274,119) entitled "Methods for Labeling Beta-Amyloid Plaques and Neurofibrillary Tangles," that uses the approach outlined in this article. Drs. Small, Huang, Cole, and Barrio are among the inventors, have received royalties, and may receive royalties on future sales. Dr. Small reports having served as a consultant and/or having received lecture fees from Dakim, Eisai, Forest, Medivation, Novartis, and Pfizer. Dr. Small also reports having received stock options from Dakim. Dr. Lavretsky reports having received lecture fees from Eisai, Janssen, and Pfizer and having received a grant from Forest. Dr. Huang reports having received lecture fees from GlaxoSmithKline. Dr. Barrio reports having served as a consultant and having received lecture fees from Nihon Medi-Physics Co, Bristol-Meyer Squibb, PETNet Pharmaceuticals, and Siemens.

REFERENCES

- Amariglio RE, Townsend MK, Grodstein F, Sperling RA, Rentz DM. Specific Subjective Memory Complaints in Older Persons May Indicate Poor Cognitive Function. Journal of the American Geriatrics Society. 2011
- Bassett SS, Folstein MF. Memory complaint, memory performance, and psychiatric diagnosis: a community study. J Geriatr Psychiatry Neurol. 1993; 6:105–111. [PubMed: 8512626]
- Blazer DG, Hays JC, Fillenbaum GG, Gold DT. Memory complaint as a predictor of cognitive decline: a comparison of African American and White elders. J Aging Health. 1997; 9:171–184. [PubMed: 10182402]
- Braak H, Braak E. Neuropathological stageing of Alzheimer-related changes. Acta Neuropathol. 1991; 82:239–259. [PubMed: 1759558]
- Delacourte A, et al. The biochemical pathway of neurofibrillary degeneration in aging and Alzheimer's disease. Neurology. 1999; 52:1158–1165. [PubMed: 10214737]
- Ercoli L, et al. Perceived loss of memory ability and cerebral metabolic decline in persons with the apolipoprotein E-IV genetic risk for Alzheimer disease. Arch Gen Psychiatry. 2006; 63:442–448. [PubMed: 16585474]
- Ercoli LM, et al. Differential FDDNP PET patterns in nondemented middle-aged and older adults. Am J Geriatr Psychiatry. 2009; 17:397–406. [PubMed: 19390297]
- Gilewski MJ, Zelinski EM, Schaie KW. The Memory Functioning Questionnaire for assessment of memory complaints in adulthood and old age. Psychol Aging. 1990; 5:482–490. [PubMed: 2278670]
- Jessen F, et al. Prediction of dementia by subjective memory impairment: effects of severity and temporal association with cognitive impairment. Arch Gen Psychiatry. 2010; 67:414–422. [PubMed: 20368517]
- Jonker C, Geerlings MI, Schmand B. Are memory complaints predictive for dementia? A review of clinical and population-based studies. Int J Geriatr Psychiatry. 2000; 15:983–991. [PubMed: 11113976]
- Jorm AF, Christensen H, Korten AE, Henderson AS, Jacomb PA, Mackinnon A. Do cognitive complaints either predict future cognitive decline or reflect past cognitive decline? A longitudinal study of an elderly community sample. Psychol Med. 1997; 27:91–98. [PubMed: 9122313]
- Kepe V, et al. Serotonin 1A receptors in the living brain of Alzheimer's disease patients. Proc Natl Acad Sci U S A. 2006; 103:702–707. [PubMed: 16407119]
- Liu J, et al. High-yield, automated radiosynthesis of 2-(1-{6-[(2-[18F]fluoroethyl)(methyl)amino]-2 naphthyl}ethylidene)malononi trile ([18F]FDDNP) ready for animal or human administration. Mol Imaging Biol. 2007; 9:6–16. [PubMed: 17051324]
- Logan J, Fowler JS, Volkow ND, Wang GJ, Ding YS, Alexoff DL. Distribution volume ratios without blood sampling from graphical analysis of PET data. J Cereb Blood Flow Metab. 1996; 16:834– 840. [PubMed: 8784228]
- Merema MR, Speelman CP, Kaczmarek EA, Foster JK. Age and premorbid intelligence suppress complaint-performance congruency in raw score measures of memory. International psychogeriatrics / IPA. 2011:1–9.
- Minett TS, Dean JL, Firbank M, English P, O'brien JT. Subjective memory complaints, white-matter lesions, depressive symptoms, and cognition in elderly patients. Am J Geriatr Psychiatry. 2005; 13:665–671. [PubMed: 16085782]
- Pike KE, et al. Cognition and beta-amyloid in preclinical Alzheimer's disease: data from the AIBL study. Neuropsychologia. 2011; 49:2384–2390. [PubMed: 21529702]
- Reisberg B, et al. The pre-mild cognitive impairment, subjective cognitive impairment stage of Alzheimer's disease. Alzheimers Dement. 2008; 4:S98–S108. [PubMed: 18632010]
- Rodda J, Okello A, Edison P, Dannhauser T, Brooks DJ, Walker Z. (11)C-PIB PET in subjective cognitive impairment. European psychiatry : the journal of the Association of European Psychiatrists. 2010; 25:123–125. [PubMed: 19926266]
- Rowe CC, et al. Amyloid imaging results from the Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging. Neurobiology of aging. 2010; 31:1275–1283. [PubMed: 20472326]

- Saykin AJ, et al. Older adults with cognitive complaints show brain atrophy similar to that of amnestic MCI. Neurology. 2006; 67:834–842. [PubMed: 16966547]
- Small GW, et al. PET of brain amyloid and tau in mild cognitive impairment. N Engl J Med. 2006; 355:2652–2663. [PubMed: 17182990]
- Small GW, Komo S, La Rue A, Kaplan A, Mandelkern MA. Memory self-appraisal and cerebral glucose metabolism in age-associated memory impairment. Am J Geriatr Psychiatry. 1995; 3:132– 143.
- Small GW, et al. Age-associated memory loss: initial neuropsychological and cerebral metabolic findings of a longitudinal study. Int Psychogeriatr. 1994; 6:23–44. discussion 60-2. [PubMed: 8054492]
- Small GW, Stern CE, Mandelkern MA, Fairbanks LA, Min CA, Guze BH. Reliability of drawing regions of interest for positron emission tomographic data. Psychiatry research. 1992; 45:177–185. [PubMed: 1484909]
- Smith GE, Petersen RC, Parisi JE, Ivnik RJ. Definition, course and outcome of mild cognitive impairment. Aging Neuropsychol Cognition. 1996; 3:141–147.
- Sperling RA, et al. Toward defining the preclinical stages of Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. Alzheimer's & dementia : the journal of the Alzheimer's Association. 2011; 7:280–292.
- Striepens N, et al. Volume loss of the medial temporal lobe structures in subjective memory impairment. Dement Geriatr Cogn Disord. 2010; 29:75–81. [PubMed: 20110703]
- Talairach, J.; Tournoux, P. Coplanar Stereotaxic Atlas of the Human Brain. Three-Dimensional Proportional System: An Approach to Cerebral Imaging. New York: Thieme; 1988.
- Zelinski EM, Gilewski MJ, Anthony-Bergstone CR. Memory Functioning Questionnaire: concurrent validity with memory performance and self-reported memory failures. Psychol Aging. 1990; 5:388–399. [PubMed: 2242243]

Merrill et al. Page 12

Figure 1.

Plot of Global [F-18]FDDNP Binding with MFQ1, Frequency of Forgetting (**1A**) and MFQ1Sub, the sum of a subset of 5 items from MFQ1 (**1B**)

Table 1

Test Scores and Plaque and Tangle Loads

Figures indicate mean with standard deviation (SD).

Abbreviations: FDDNP-PET = 2-(1-{6-[(2-[F-18]fluoroethyl)(methyl)amino]-2-naphthyl}ethylidene)malononitrile positron emission tomography; MCI = Mild Cognitive Impairment; NC = Normal Control

* For the Memory Functioning Questionnaire, higher scores indicate fewer self-reported memory problems and less use of mnemonics. Norms for people age 60–69 years and 70–79: frequency of forgetting = 152 (28) and 149 (29); seriousness of forgetting = 86 (20) and 84 (21); retrospective functioning = 18 (6) and 18 (6); and mnemonics usage = 31 (9) and 30 (10).

** MFQ1 mean values significantly different for NC and MCI groups: $t(48) = 2.5$, p = 02.

*** FDDNP-PET cortical binding levels different for NC and MCI groups. Global: t(55) = 3.7, p = .0005; medial temporal lobe: t(55) = 4.4, p < . 0001; lateral temporal lobe: $t(55) = 3.1$, $p = .0004$; parietal: $t(55) = 3.1$, $p = .003$.

Table 2

Five Questions Reproducing Results from Item Analysis of the MFQ Frequency of Forgetting Factor*

4. How well do you remember things which occurred between six months and one year ago?

5. As you are reading a newspaper or a magazine article, how often do you have trouble remembering the paragraph just before the one you are currently reading?

* Item analysis of the MFQ Frequency of Forgetting factor revealed that 5 questions reproduce the results obtained from the full 32-item scale when relating MFQ to FDDNP-PET binding.