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# Regulation of cytoplasmic mRNA decay

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# Abstract

Discoveries made over the past 20 years highlight the importance of mRNA decay as a means to modulate gene expression and thereby protein production. Up until recently, studies focused largely on identifying *cis*-acting sequences that serve as mRNA stability or instability elements, the proteins that bind these elements, how the process of translation influences mRNA decay, and the ribonucleases that catalyze decay. Now, current studies have begun to elucidate how the decay process is regulated. This review examines our current understanding of how mammalian-cell mRNA decay is controlled by different signaling pathways and lays out a framework for future research.

# Introduction

mRNAs exist largely to produce proteins. The amount of protein generated from any given mRNA depends not only on the rate of mRNA translation but also on the rates of mRNA synthesis and decay. mRNA levels are influenced by the efficiencies and nucleotide positions at which gene transcription, pre-mRNA splicing, pre-mRNA 3'-end formation, and other post-transcriptional modifications such as A-to-I editing occur, as well as the efficiency of mRNA export from the nucleus to the cytoplasm; remarkably, cytoplasmic mRNA decay can be affected by these same nuclear processes, which have the potential to alter mRNA metabolism by altering mRNA sequences and/or mRNP composition, as well as the translational status of the mRNA<sup>1</sup>.

While this review will focus on the regulation of mRNA decay in mammalian cells, the paradigms, if not the specifics, generally apply to other organisms. mRNA decay can be divided broadly into two classes: mechanisms of quality control that eliminate the production of potentially toxic proteins, and mechanisms that lengthen or shorten mRNA half-life for the purpose of changing the abundance of functional proteins. The cytoplasmic decay machinery consists of five types of ribonucleolytic activities, the combinatorial and ordered use of which varies depending on the mRNA substrate and cellular conditions. These five activities mediate decapping, 5'-to-3' exonucleolytic decay, deadenylation, 3'-to-5' exonucleolytic decay, or endonucleolytic cleavage<sup>2-4</sup> (Box 1). Each activity may gain access to an mRNA depending on the proteins and, possibly, antisense noncoding (nc)RNA(s) that are bound to the mRNA.

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#### BOX 1

#### The major mRNA decay pathways

Most mRNAs and all of the ARE-containing mRNAs are degraded by exonucleases acting at both ends of the molecule. Exonuclease-mediated decay (panel a) begins with shortening of the poly(A) tail by the deadenylases poly(A) ribonuclease (PARN), the complex of PAB-specific ribonuclease 2 and 3 (PAN2/3), or by a multisubunit complex containing the CNOT6, CNOT6L, CNOT7 or CNOT8 deadenylases (reviewed in<sup>2</sup>). The protein constituents of the mRNP determine which deadenylase is selected, but the details of this process are poorly understood. Next the Nudix domain proteins DCP2 or NUDT16 hydrolyze the cap, and the body of the mRNA is degraded with 5'-to-3'polarity by XRN1<sup>3</sup> and/or 3'-to-5' polarity by the exosome-associated exonucleases RRP44 or EXOSC10 (PM/SCL-100)<sup>101</sup>, although the latter can occur without prior decapping<sup>43</sup>. For some mRNAs, decay is initiated by endonuclease cleavage within their body, followed by degradation of the upstream cleavage product by the exosome and degradation of the downstream cleavage product by XRN1 (reviewed in<sup>4</sup>) (panel **b**). The mRNA degradative enzymes that are relevant to this review, their activities and substrates are listed in panel c. It should be noted that mammalian cells have 2 forms of RRP44, termed hDIS3 and hDIS3L, which are found in the nucleus and cytoplasm, respectively. Although hDIS3 has both exonuclease and endonuclease activity there is no evidence that its endonuclease activity functions in vertebrate mRNA decay.



#### Box 1.

mRNA-associated proteins and ncRNAs can influence the rate of mRNA decay directly by promoting or precluding decay-factor binding, indirectly by influencing the cellular location and/or translational status of the mRNA, or all of the above. mRNP constituents provide a

plethora of possible fates for an mRNA as they associate or dissociate from mRNA in response to cellular conditions, such as the cell cycle or external stimuli, and these conditions can also regulate the abundance, cellular location and activity of mRNP constituents. Thus, a complicated intracellular and intercellular network underlies regulation of cytoplasmic mRNA decay. Our current appreciation of this network is attributable to the development of methods to purify and characterize the constituents of mRNPs. For example, after immunoprecipitating a particular mRNA-binding protein, the associated proteins and their post-translational modifications can now be identified by using mass spectrometry and the associated transcripts can be identified by using microarray analyses or, most recently, deep sequencing. The localization of each protein and ncRNA to a particular mRNA sequence and/or mRNP sub-complex together with experiments that assess the functional consequence of mRNA binding under different conditions provide information essential to understanding mRNA metabolism, including the control of mRNA half-life.

Currently, studies of the conditional regulation of mRNA decay represent only a small fraction of what is undoubtedly a vast and interconnected cellular repertoire. This review highlights our current understanding of how the cytoplasmic decay of mammalian-cell mRNAs is regulated, thereby establishing a base for future work. We begin with the regulation of nonsense-mediated mRNA decay (NMD), which unlike most mRNA decay pathways appears to be restricted to newly synthesized transcripts. We then discuss: the regulation of histone mRNA decay, to exemplify a cell cycle-regulated process; AU-rich element (ARE)-mediated mRNA decay, which involves 3'-untranslated region (UTR) destabilizing elements; and mRNA decay activities are controlled. This review illustrates ways in which mRNA decay endows cells with effective responses to changing milieus, and provides some examples of how disregulation of mRNA decay can impact disease-associated processes.

#### Nonsense-mediated mRNA decay

Since mRNAs function primarily as templates for protein synthesis, it reasons that cells have evolved translation-dependent quality-control (QC) mechanisms to dispose of defective mRNAs that synthesize abnormal proteins. Nonsense-mediated mRNA decay (NMD), which occurs in all eukaryotes that have been studied, eliminates mRNAs that prematurely terminate translation as a means to dampen the potentially toxic effects of defective transcripts that are routinely generated during gene expression<sup>5</sup>. Downregulating the essential NMD factor up-frameshift 1 (UPF1) using siRNA upregulates ~5% of properly functioning transcripts<sup>6</sup> in HeLa cells. A similar percentage (~4%) is upregulated using siRNA to the UPF2 NMD factor<sup>7</sup>. Thus, a significant number of physiologic cellular transcripts are likely to be either direct NMD targets or downregulated by a direct NMD target, suggesting that NMD functions in cellular processes in addition to QC. The recent proposal that NMD targets are the primary source of antigenic peptides for the major histocompatibility class I pathway, which depletes immunoreactive T cells that recognize endogenous proteins<sup>8</sup>, provides another emerging role for NMD in humans and, most likely, all mammals.

Besides NMD, other mammalian-cell QC mechanisms consist of no-go mRNA decay and nonstop mRNA decay<sup>9</sup>. NMD is the best characterized of the three and the only one studied in any detail from a regulatory perspective. Thus, we will restrict our QC comments to NMD (see references<sup>5,10</sup> for recent detailed reviews).

#### **Targets of NMD**

NMD is one of a number of mammalian-cell mRNA decay pathways that is coupled to the translation of its target. Therefore, regulators of translation must be considered regulators of NMD. While not everyone agrees, data indicate that mammalian-cell NMD largely if not exclusively degrades newly synthesized mRNAs that are bound at their 5' caps by the capbinding protein (CBP) complex CBP80-CBP20 (CBC), at their poly(A) tails by poly(A)binding protein N1 (PABPN1) and PABPC1 and, if they derived from pre-mRNA splicing, an exon-junction complex (EJC) at exon-exon junctions during what has been termed the pioneer round of translation<sup>5</sup>. Notably, this "round" can be associated with more than one ribosome, depending on the translation initiation efficiency and length of the reading frame. Mammalian-cell NMD does not appear to target older mRNAs, which are more abundant and direct the bulk of cellular protein synthesis. Older mRNAs are bound at their caps and poly(A) tails by, respectively, eukaryotic translation initiation factor 4E (eIF4E) and PABPC1, and they lack detectable EJCs even if they derived from pre-mRNA splicing. For simplicity, here we refer to the new mRNAs as CBC-bound and the older mRNAs as eIF4Ebound. It should be noted that NMD does not appear to be restricted to newly synthesized mRNAs in non-mammalian cells. In mammals, cap-bound CBP80 transiently and/or weakly interacts with a key NMD factor, UPF1, prior to the pioneer round of translation (Fig. 1). This interaction promotes NMD should the mRNA harbor a translation termination codon, often a premature termination codon (PTC), more than ~50-55-nucleotides upstream of an EJC-bound exon-exon junction<sup>11</sup> (Fig. 1). The absence of CBP80 and EJCs on eIF4E-bound mRNAs at least in part explains the immunity of these mRNAs to NMD.

#### Inhibitors and activators of translation-dependent mRNA decay

NMD is inhibited by negative regulators of the pioneer round of translation, which sometimes also negatively regulate the translation of older mRNAs. For example, some stresses - such as amino acid starvation, viral infection, heat shock, oncogenic stress created by c-myc overexpression, and early stages of hypoxia – inhibit the translation of both CBCbound mRNAs and eIF4E-bound mRNAs. These stresses trigger a signaling cascade that results in phosphorylation of the translation initiation factor eIF2alpha and/or the proteolysis of translation initiation factors that are required for both types of translation (Fig. 2; see<sup>12,13</sup>). In the case of early stages of hypoxia, inhibition of both forms of translation involves inhibition of the mammalian target of rapamycin complex 1  $(mTORC1)^{14}$ , which activates both CBC-bound and eIF4E-bound mRNA translation (Fig. 2). Inhibition of translation of CBC-bound mRNAs (and hence NMD) only occurs during early stages of hypoxia whereas inhibition of translation of eIF4E-bound mRNAs persists through to late stages of hypoxia. This may be beneficial because several mRNAs that contribute to the cellular response to stress are NMD targets<sup>15</sup>. For example, activating transcription factor (ATF)4 mRNA is an NMD target, and ATF4 triggers transcription of some proteins that are toxic in unstressed cells.

NMD is activated by positive regulators of the pioneer round of translation. CBC-bound mRNA translation, like eIF4E-bound mRNA translation, is activated via the mTOR pathway, although by a mechanism that involves the EJC constituent S6K1 ALY-REF-like target (SKAR), which is detected on CBC-bound but not eIF4E-bound mRNA (Fig. 2). Additional proteins that promote the translation of CBC-bound mRNA and are absent from eIF4E-bound mRNA include other EJC constituents<sup>16</sup>, such as PYM<sup>17</sup>, and the splicing factor serine-arginine-rich 1 (SFSR1, formerly called SF2 or ASF). SFSR1 recruits mTOR and another translational activator, TAP<sup>18,19</sup> (Fig. 2). The finding that SKAR augments cell size and thus presumably global protein synthesis<sup>20</sup> is reminiscent of data indicating that PYM or SFSR1 somehow likewise increase mRNA translation beyond the pioneer round of translation.

#### NMD factors as regulators of NMD

NMD can be regulated at the level of individual NMD factors or in feedback loops that coordinately control the abundance of one or more different NMD factors. The steps of the mammalian NMD pathway are shown in Figure 1, (however, there is an alternative, less well-understood pathway of NMD as well<sup>21,22</sup>). Phosphorylation of NMD factors is an important mode of regulation of their activity, and thus regulation of NMD and some key examples are noted here. The phosphorylation of UPF1 by a phosphatidylinositol kinase (PIK)-related serine-threonine protein kinase called SMG1 is triggered when both UPF1 and SMG1 interact with a downstream EJC<sup>11</sup>(STEP 3, Fig. 1). The phosphorylated form of UPF1 prevents additional rounds of translation initiation on the NMD target<sup>23</sup> (STEP 4, Fig. 1). SMG5-SMG7 or SMG6 at some point bind to phosphorylated UPF1<sup>24</sup> (STEP 5, Fig. 1) resulting in exonucleolytic degradation (STEP 6A and STEP 7B, Fig. 1) or endonucleolytic degradation (STEP 6B and 7B, Fig. 1), respectively. SMG5-SMG7- and/or SMG6 also promote dephosphorylation of UPF1 by protein phosphatase 2A (PP2A), which enables UPF1 recycling $^{25,26}$ . It is uncertain whether this occurs on the NMD target and in a way that is essential for the decay of that target or if it occurs after UPF1 is released from the NMD target<sup>23,24,26</sup>.

The abundance of NMD factors can also be regulated. Arguably, the best characterized example of this is the interplay between UPF3 (also called UPF3A) and UPF3X (also called UPF3B). UPF3X has a higher affinity for the EJC that does its paralog UPF3, and the failure of UPF3 to compete effectively with UPF3X for EJC binding results in UPF3 destabilization<sup>27</sup> (Fig. 1).

Feedback loops also coordinately regulate the level of NMD factors<sup>28,29</sup>. A multipronged negative feedback regulatory network acts on the seven NMD factors – UPF1, UPF3X, UPF2, SMG1, SMG5, SMG6 and SMG7 – that are rate-limiting to NMD in HeLa cells; this feedback network buffers the cells from perturbations and maintains homeostasis<sup>29</sup>. The HeLa-cell mRNAs that encode these factors are regulated by different UPF1-dependent branches of NMD: UPF1 and SMG5 mRNAs are the only of the seven NMD-factor mRNAs upregulated upon depletion of the eIF4AIII EJC constituent; SMG1 mRNA is selectively upregulated upon depletion of the EJC constituent RNPS1; and UPF1 and SMG7 mRNAs are selectively upregulated upon downregulation of either or both UPF3 or UPF3X<sup>29</sup>. This intricate network is not limited to HeLa cells (see below) or even mammalian cells, and it appears to minimize side-effects when NMD is perturbed by a change in the level of one or more NMD factors.

The efficiency of NMD is also affected by microRNAs (miRNAs). For example, the efficiency of NMD for mRNAs that bind the miRNA-induced silencing complex appears to be muted due to miRNA-mediated translational repression of CBC-bound mRNA<sup>30</sup>. Of course, miRNAs that target one or more NMD factors would also influence the efficiency of NMD (see below).

#### NMD cell- and tissue-specific differences

Variations in the efficiency of NMD are evident when comparing different cell lines or different tissues<sup>31</sup>. For example, the efficiency of NMD decreases during the differentiation of mouse C2C12 myoblasts to myotubes. During differentiation, the abundance of the NMD factor UPF2 decreases more than the abundance of Staufen1 (STAU1), which functions during STAU1-mediated mRNA decay (SMD) (Box 2). STAU1 thus competes more effectively than UPF2 for binding to UPF1<sup>32</sup>. The cause of the changes in UPF1 and STAU1 abundance during myogenesis has yet to be determined. As another example, the efficiency of NMD in brain is decreased by miRNA-128, which targets UPF1 and the EJC core

constituent metastatic lymph node gene 51 (MLN51; also known as CASC3 or barentz) protein, and miR-128 levels increase during brain development and in differentiating neuronal cells<sup>33</sup>. Intrinsic differences among cell-types in the specific negative regulatory feedback mechanisms that are active also exist depending on which NMD factor(s) happen to be rate-limiting<sup>29</sup>. For example, in contrast to UPF3X-deficient HeLa cells that expressed elevated levels of UPF1 and SMG7 mRNAs, lymphoblastoid cells from patients with UPF3X deficiency had upregulated levels of not only UPF1 and SMG7 mRNAs but also UPF2 mRNA relative to control lymphoblastoid cells.

#### BOX 2

#### Staufen1-mediated mRNA decay

Staufen (STAU)1-mediated mRNA decay (SMD) targets mRNAs that contain a 3'UTR STAU1-binding site (SBS) provided translation terminates sufficiently upstream of the SBS<sup>102</sup>. After translation termination, usually at the normal termination codon, the direct interaction of SBS-bound STAU1 with the key NMD factor UPF1 results in UPF1 phosphorylation and SMD. This series of events appears to be analogous to what occurs during NMD: when translation terminates sufficiently upstream of an EJC, usually at a premature termination codon, the direct interaction of the EJC with UPF1 triggers UPF1 phosphorylation and NMD. It seems reasonable to think that the SMD and NMD pathways converge following UPF1 phosphorylation. However unlike NMD, SMD occurs independently of CBP80 and EJCs and targets newly synthesized CBC-bound mRNAs and older eIF4E-bound mRNAs<sup>103</sup>. Cell cycle-regulated histone mRNA decay also likely also occurs during both pioneer and subsequent rounds of translation since SLBP is required for histone mRNA 3'-end formation within nuclei<sup>104</sup> and thus probably binds not only eIF4E-bound but also CBC-bound histone mRNA.

Differences in the efficiency of NMD also occur in a developmentally controlled fashion, the molecular basis of which may reflect the relative abundance of NMD factors or the ability of a particular newly synthesized mRNA to undergo the pioneer round of translation<sup>34</sup>. In the case of the latter, mRNAs may be sequestered into translationally inactive particles until properly localized in time and in space.

# Histone mRNA decay

The decay of histone mRNAs in metazoans provides a particular example of cell cyclerestricted expression mediated by the regulation of mRNA degradation. The half-life of such histone mRNAs decreases from between 45 and 60 minutes during S phase to 10 min at the end of S phase. This decrease plays a major role in coordinating histone protein synthesis with DNA replication. Although histones are required to package newly replicated DNA during S phase, histone mRNA transcription continues beyond S phase, albeit at a reduced rate. Cell cycle-regulated histone mRNAs are exceptional because they lack a poly(A) tail and instead end in a conserved stem-loop structure that binds stem-loop-binding protein (SLBP). SLBP, which is present only during S phase, is critical for efficient histone mRNA 3'-end formation in the nucleus and efficient histone mRNA translation in the cytoplasm<sup>35</sup>. At the end of S phase, when histone mRNA terminates translation SLBP bound to the histone mRNA stem-loop recruits the NMD factor UPF1<sup>36</sup> (presumably analogously to how STAU1 bound to an STAU1-binding site) recruits UPF1 when translation terminates normally on a target of SMD) so as to trigger mRNA decay. While UPF2 and UPF3 do not function in either histone mRNA decay or SMD, SMG1 does, presumably by phosphorylating UPF1 (W.F. Marzluff, pers. comm.; L.E.M, unpub. data).

Regulated histone mRNA decay also requires the PIK-related protein kinase ataxia telangiectasia-mutated and Rad 3-related (ATR)<sup>36</sup>, which is a checkpoint kinase that is active during normal S-phase progression and properly schedules the initiation of DNA replication. ATR mediates the decay of histone mRNA in a manner independent of its role in DNA synthesis. However, the ATR target(s) that function in degrading histone mRNA remain unknown. Regulated histone mRNA decay also requires cyclin A2/CDK1 and casein kinase 2 (CK2), which phosphorylate SLBP at the end of S phase, thus triggering SLBP degradation by an undefined ubiquitin ligase<sup>37,38</sup>.

Another example of cell-cycle regulated mRNA degradation may be found in the degradation of mRNA for the cell-cycle regulator cyclin B by an RNase called mitochondrial RNA processing (MRP). Mutated variants of MRP in patients with cartilage hair hypoplasia (reviewed in<sup>39</sup>) result in an increase in the level of cyclin B mRNA. In yeast, downregulation of cyclin B is known to be regulated by MRP.

## **ARE-mediated mRNA decay**

#### AU-rich elements

AU-rich elements (AREs) are the largest and most studied group of *cis*-acting mRNA instability determinants. The canonical AREs generally have one or more copies of the AUUUA pentamer usually embedded in a U-rich context. If classified by sequence alone, canonical ARE-containing mRNAs constitute up to 9% of cellular mRNAs<sup>40</sup>. AREs have been grouped into three broad categories based on the number and context of the AUUUA repeats<sup>41</sup>. The decay of ARE-containing mRNAs begins with either synchronous or distributive poly(A) shortening<sup>42</sup>, with the subsequent steps targeting the body of the mRNA from both ends (Box 1, panel A) via the actions of the 5'-3' and 3'-5' exonucleases<sup>43</sup>. There are other cis-acting instability elements, the best studied of which are the GU-rich elements (GREs)<sup>44</sup>. GREs function in post-transcriptional regulons involving pre-mRNA splicing and mRNA decay that are coordinated by binding of the CUG-BP Elav-like family (CELF) proteins, the best known of which is CELF1 (also called CUG-BP1)<sup>45,46</sup>. Less is known about the regulation of GRE-mediated decay, and the reader is directed to a recent review<sup>47</sup>.

AREs are found in the tightly-regulated mRNAs that encode protooncogene proteins (e.g., cfos) and inflammatory mediators (e.g., TNFa, IL-1, IL-2, IL-3, GM-CSF). Together with their associated binding proteins (ARE-BPs), AREs have significant physiological and pathological functions, not all of which are the result of rapid mRNA turnover<sup>48–52</sup>. The inflammatory response provides a good example of the physiological and pathological ramifications of ARE-mediated mRNA decay. The cytokines and chemokines that are elaborated by macrophages and monocytes after injury neutralize the damaging agent and repair damaged tissue; however, these proteins can also harm healthy tissue, and conditions that interfere with the destabilization of the mRNAs encoding proinflammatory proteins contribute to atherosclerosis, rheumatoid arthritis and asthma<sup>53</sup>.

The complexity of the ARE mRNA transcriptome is seen in the changing steady-state levels of ARE-containing mRNAs subsequent to treatment of resting human T cells with antibodies that bind T-cell receptors and stimulate proliferation and changes in gene expression<sup>54</sup>. Out of 900 ARE-containing mRNAs assayed by using microarrays, the steady-state levels of 250 declined within 30 minutes of stimulation. mRNAs in this group encode regulators of quiescence, such as phosphatase and tensin homolog (PTEN), inositol-trisphosphate 3-kinase B (ITPKB) and calcineurin A, suggesting that the decay of these ARE-containing mRNAs plays a role in the signal transduction-mediated transition from quiescence to proliferation. It is not clear from this or many other studies why, under

different conditions, some mRNAs with one or more AREs undergo accelerated decay and others do not. This may result from constraints imposed by sequence context, secondary structure, and binding of proteins and/or ncRNAs at adjacent or overlapping sites (see below). Furthermore, not all ARE-binding proteins (ARE-BPs) confer mRNA instability (see below). As noted (BOX 3), widespread alternative 3'-end processing<sup>55</sup> complicates the challenge of identifying mRNAs that contain functional AREs.

#### BOX 3

#### Regulation of mRNA decay by alternative 3'-end formation

Cleavage and polyadenylation sites exist to form the 3<sup>'</sup> ends of pre-mRNAs and, thus, the mRNAs that derive from them. Almost all human pre-mRNAs possess multiple cleavage and polyadenylation signals in their 3' UTRs, and the analysis of expressed sequence tags indicates that about half of pre-mRNAs use alternative cleavage and polyadenylation (APA) sites<sup>105,106</sup>. Since most *cis*-acting elements that regulate mRNA half-life are situated within 3'UTRs, mRNAs containing shorter 3'UTRs have often lost one or more regulatory pathways dictated by, e.g., microRNA binding sites, and have greater stability<sup>55</sup>. APA can be regulated by changes in the abundance of one of the  $\sim 90$ proteins involved in 3'-end maturation and/or a number of signal transduction pathways. For example, in day-18 embryonic rats, the use of upstream APA sites is activated for  $\sim 10\%$  of transcripts that are upregulated by the transcriptional activator myocyte enhancer factor-2 (MEF-2) in response to extracellular signaling that increases synaptic activity in hippocampal neurons<sup>107</sup>. As another example, in response to oxidative stress, CKIalpha, which is sensitive to the lipid messenger PI4,5P2, and CKIepsilon, phosphorylates and thereby upregulates the activity of the non-canonical nuclear poly(A) polymerase (PAP) called Speckel targeted phosphatidylinositol-4-phosphate 5-kinase Ialpha-regulated (STAR)-PAP so as to enhance STAR-PAP cleavage and polyadenylation on select target pre-mRNAs<sup>108</sup>.

#### ARE-BPs

ARE-BPs can be grouped by their stabilizing or destabilizing effects on the mRNA, type of RNA-binding motif, and the proteins that modify their action, and some of the better studied ARE-BPs are listed in Box 4, panel A. ARE-BPs can be regulated by kinases, phosphatases and at least in one case by an arginine methyltransferase. As a general rule, ARE-BPs function as multimers and can be phosphorylated at the same site by different kinases, or at different sites by different kinases.

#### BOX 4

#### Signaling pathways that impact directly on mRNA decay

AREs have different functions, RNA-binding motifs, and upstream modifiers (panel **a**). A representative mRNA is shown with a 3'UTR ARE and a 5'UTR glucocorticoid receptor-binding site indicated in their respective locations (see text). The ARE-binding proteins shown in white ovals (panel **b**) exert a destabilizing effect on ARE-containing target mRNAs by recruit exonucleases (Box 1) to the mRNA, and stabilization results from interference with this process. ARE-containing mRNAs are stabilized by MK2 phosphorylation of TTP and BRF1<sup>58,63</sup>, by p38 MAPK phosphorylation of KSRP<sup>66</sup>, by AKT phosphorylation of BRF1 and KSRP<sup>64</sup>, and by NPM-ALK phosphorylation of AUF1<sup>69</sup>. In contrast, the destabilizing activity of TTP is increased following phosphorylation by PKC. Each of these kinases is activated by upstream signaling processes, such as the p38 MAPK – MK2 pathway shown here. The phosphatases

MKP-1 and PP2A exert stabilizing effects on ARE-containing mRNAs by dephosphorylating the upstream kinases (eg. p38 MAPK, MK2)<sup>71</sup>, or by dephosphorylating TTP<sup>70</sup> (see panel **b**). HuR (grey) has an overall stabilizing effect on ARE-containing mRNA, and its binding is regulated both positively (eg. by PKC and p38 MAPK) and negatively (eg. by Cdk1 and Chk2) by phosphorylation (reviewed in<sup>48</sup>). The destabilizing activity of TTP has been studied in detail (**panel c**, reviewed in<sup>109</sup>). Non-phosphorylated TTP bound to the ARE recruits deadenylases to activate the decay process. This is inhibited by the phosphorylation of TTP at multiple sites by MK2, which functions downstream of activated p38 MAPK<sup>57,58</sup>. mRNA stabilization results from the binding of 14-3-3 proteins to phosphorylated TTP<sup>59,60</sup>, which blocks the interaction of TTP with the deadenylases<sup>61,62</sup>. This process is reversed by removal of the inactivating phosphates by MKP-1 or PP2A<sup>70</sup>, rendering the ARE-containing mRNA unstable.

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#### Phosphorylation regulates cytoplasmic ARE-BP function

For simplicity, the rapid degradation of ARE-containing mRNAs can be thought of as a default process, with the destabilizing proteins TTP, BRF1. BRF2, KSRP and some forms of AUF1 functioning to recruit one or more of the degradative enzymes shown in Box 1 to mRNPs<sup>56</sup>. When considered this way, stabilization results from the dissociation of a destabilizing ARE-BP, interference of the interaction between a destabilizing ARE-BP and

one or more ribonucleases, and/or replacement of a destabilizing ARE-BP by a stabilizing ARE-BP.

Phosphorylation regulates the binding and function of ARE-BPs, and the p38 mitogenactivated protein kinase (MAPK), MAPK-activated protein kinase 2 (MK2) and the nonspecific serine-threonine protein Akt kinase pathways each play major roles in regulating the binding and activity of ARE-BPs (Box 4, panel B). Of these proteins, TTP is the best studied with regard to upstream signaling processes, and the interplay of kinases and phosphatases in the regulation of its destabilizing activity is shown in Box 4, panel C. In mammals p38 MAPK is activated by stress or by inflammatory stimuli, for example by treating macrophages with bacterial lipopolysaccharide (LPS). p38 MAPK then phosphorylates MK2, which activates MK2 phosphorylation of TTP<sup>57,58</sup>. TTP is phosphorylated at multiple sites, and the subsequent binding of one or more of the 14-3-3 adapter proteins<sup>59,60</sup> interferes with the TTP-mediated recruitment of deadenylases that are required to initiate mRNA decay<sup>61,62</sup>. Similar results were reported for BRF1<sup>63</sup>, which is phosphorylated by Akt in response to activation of an upstream PI-3-kinase pathway<sup>64,65</sup>. Note that Akt can also be activated by MK2, and constitutively active Akt is a common feature of many cancers.

KSRP, which acts like TTP and BRF1 by recruiting one or more degradative enzymes to ARE-containing mRNPs, is also regulated by phosphorylation, albeit directly by p38 MAPK<sup>66</sup>. However, phosphorylation reduces KRSP binding to RNA without altering its interaction with the exosome or the poly(A) ribonuclease PARN<sup>67</sup>. While AUF1 can be phosphorylated *in vitro* by protein kinase A (PKA) and glycogen synthase kinase 3 $\beta$  (GSK3 $\beta$ )<sup>68</sup>, only the NPM-ALK tyrosine kinase has been shown *in vivo* to stabilize ARE-containing mRNAs that are targeted by AUF1<sup>69</sup>. NPM-ALK is a constitutively active kinase that is expressed in anaplastic large-cell lymphoma as a result of the fusion between the genes for nucleophosmin (NPM) and the anaplastic-lymphoma kinase (ALK) due to a chromosomal translocation. Although as yet unproven, the stabilization of ARE-containing mRNAs by the NPM-ALK-mediated phosphorylation of AUF1 may play a role in promoting malignant transformation.

The ARE-BP HuR counteracts the destabilizing effects of TTP, BRF1, KSRP and AUF1 (Box 4, panel B). Although HuR is primarily regulated at the level of nucleocytoplasmic shuttling (see below), its ARE-binding affinity is also both positively and negatively regulated by phosphorylation. An example of this is its stress-induced phosphorylation (e.g., after ionizing radiation) by the checkpoint homolog-kinase 2 (Chk2). HuR is phosphorylated at the same sites by PKCgamma and, as described above, by p38 MAPK (see<sup>48</sup> for a detailed review).

The role of phosphatases in regulating ARE-mediated mRNA decay is less well studied. The destabilizing activity of TTP is increased by PP2A acting directly to remove the inactivating phosphates from the protein<sup>70</sup>, but the signaling process and mechanism of this targeting are currently unknown. The phosphorylation state of TTP is further reduced by PP2A acting upstream to dephosphorylate and thus reduce the activity of the p38 MAPK and MK2 kinases. p38 MAPK and MK2 are also targets of MAP kinase phosphatase 1 (MKP-1), whose activity is stimulated by CK2<sup>67,71</sup>.

#### Regulation of cytoplasmic ARE-BP function by nuclear export

The nucleocytoplasmic distribution of ARE-BPs is a major regulatory nexus, with phosphorylation having different effects on TTP, AUF1 and HuR. For example, the export of TTP from the nucleus to the cytoplasm depends on its phosphorylation in the nucleus by MK2<sup>72</sup>, and the binding of different 14-3-3 proteins distinguishes the export process from

phosphorylation-dependent changes in activity. The converse is true for AUF1, whose dephosphorylation by MKP-1 promotes its redistribution to the cytoplasm<sup>73</sup>.

Phosphorylation at different sites on HuR controls the subcellular distribution of HuR, and its distribution between the nucleus and cytoplasm varies with the stage of the cell cycle. The cyclin mRNAs are among those whose stability is regulated by HuR, and HuR is retained in the nucleus at late G2 by Cdk1phosphorylation of residues within the HuR nucleocytoplasmic shuttling (HNS) motif<sup>74</sup>. Phosphorylation at other sites can have the converse effect, and the amount of cytoplasmic HuR is increased following phosphorylation by PKCalpha in response to activation of the G protein-coupled purinergic P2Y2 receptor<sup>75</sup>, by PKCgamma in response to angiotensin binding to its G protein coupled receptor<sup>76</sup>, and by p38 MAPK<sup>77</sup>. The cytoplasmic accumulation of HuR can also be increased by methylation within the HNS, for example by coactivator-associated arginine methyltransferase 1 (CARM1) in LPS-treated macrophages<sup>78</sup>.

#### Targets of HuR

A recent study using photoactivatable ribonucleoside-enhanced crosslinking and immunoprecipitation (PAR-CLIP) to identify HuR-binding sites within the human embryonic kidney-cell transcriptome identified 137,305 clusters, 106,840 of which mapped to protein-coding genes<sup>79</sup>. The degree of HuR binding was directly related to the degree of mRNA stabilization, and mRNA stabilization was greater for transcripts with both intronic and 3'UTR HuR-binding sites compared to transcripts with either site alone. This finding suggests some as yet unknown link between nuclear pre-mRNA processing and stability of these mRNAs in the cytoplasm. The proximity of HuR- and microRNA-binding sites adds a layer of regulatory complexity to ARE-mediated mRNA decay, and may explain how the stress-induced redistribution of HuR overcomes a specific case of miRNA-mediated silencing (miR-122 targeting CAT1 mRNA)<sup>80</sup>. Since the PAR-CLIP results derive from normally cycling cells, they provide a general picture of the scope of HuR binding. The influences of stress, hormones, or neurotransmitter signaling that change the intracellular distribution of HuR and its affinity for its target mRNAs will undoubtedly further enrich these regulatory processes.

#### Nuclear receptors can be ligand-dependent activators of mRNA decay

Nuclear receptors are ligand-dependent transcription factors that are responsible for activating or repressing gene expression in response a range of molecules, including vitamin D and steroid or thyroid hormones among others. Some of these are always found in the nucleus whereas for others the unliganded form is in equilibrium between the cytoplasm and the nucleus. Once bound to their respective ligands these proteins undergo conformational changes and concentrate in the nucleus where they interact with transcriptional coactivators or corepressors.

Nuclear receptors also impact post-transcriptional processes, and the glucocorticoid receptor (GR) is one of the few that have been studied in detail. Corticosteroids are used clinically to treat inflammation, and some of their anti-inflammatory effects result from changes in gene expression that indirectly impact ARE-mediated mRNA decay. For example, corticosteroids acting through GR suppress the transcription of the p38 MAPK gene<sup>81</sup> and induce the transcription of the MKP-1<sup>82</sup> and TTP genes<sup>82,83</sup>. However, GR can also binds directly to some mRNAs and activate their decay<sup>84,85</sup>. This was initially observed in airway epithelial cells and arterial smooth muscle cells for the mRNAs encoding the inflammatory chemokines CCL2 and CCL7<sup>84,85</sup>. RNA-binding protein immunoprecipitation (RIP-ChIP) identified an additional ~500 mRNAs that are bound by GR *in vivo*<sup>84</sup>. A consensus binding motif was identified but it remains to be determined how GR binding occurs and activates

mRNA decay. Furthermore, it is not known if ligand-dependent mRNA binding and destabilization is unique to GR or is also a property of other nuclear receptors.

## Regulation of mRNA degradative activities

Remarkably little is known about how ribonucleases (Box 1) are themselves regulated. Here we discuss endonucleases because to date there are no examples of direct regulation of any of the other mRNA degradative enzymes. It is not clear whether this is because no one has looked for exonuclease regulation or whether most exonucleases are regulated through the proteins with which they interact.

#### Signaling processes activate PMR1-mediated mRNA decay

Polysomal ribonuclease 1 (PMR1) is one of five endonucleases that have been definitively shown to function in mRNA decay. PMR1-mediated mRNA decay depends on the ability of the PMR1 to associate with polysome-bound substrate mRNA<sup>86</sup>. Binding of PMR1 to polysomes requires phosphorylation by c-Src of the PMR1 C-terminal domain<sup>87,88</sup> to generate a binding site for a SH2-domain protein on the translating mRNP. In the one case studied c-Src is stimulated by epidermal-growth factor (EGF)<sup>88</sup>, but it is likely that PMR1 phosphorylation is also regulated by other upstream activators of c-Src. To date, the activation of PMR1 by c-Src and of AUF1 by NPM-ALK (see above) provide the only examples of mRNA decay being regulated by oncogenic tyrosine kinases. The activity of polysome-bound PMR1 is further increased when estrogen-responsive cells are exposed to estrogen, but the mechanism for this is not known. PMR1 also binds to the cytoskeleton regulatory proteins mammalian homologue of *Drosophila* enabled (Mena) and vasodilator-stimulated phosphoprotein (VASP), and these associations localize PMR1 to the leading edge of motile cells where it stimulates cell motility<sup>89</sup>. This effect is likely the result of localized mRNA decay.

#### IRE1 autophosphorylation and the unfolded-protein response

The unfolded-protein response (UPR) is activated by the accumulation of misfolded or unfolded proteins in the endoplasmic reticulum (ER). Its major function is to restore balance within the ER, although it induces apoptosis under conditions of prolonged or chronic stress. Inositol-requiring enzyme 1 (IRE1) functions as the principal sensor of unfolded proteins and is the primary effector of the UPR. Its oligomerization during the UPR results in autophosphorylation and activation of the cytoplasmic IRE1 endonuclease domain<sup>90</sup>. The Nterminal sensor domain of IRE1, which is situated within the ER lumen, is normally bound by the HSP70 chaperone binding immunoglobulin protein (BiP), and its displacement by unfolded proteins is one model for the subsequent oligomerization and activation of IRE1. The endonuclease activity participates in the non-canonical splicing of mRNA encoding the transcription factor X box-binding protein-1 (XBP-1), which increases BiP levels. IRE1 also cleaves and destabilizes mRNAs encoding some of the proteins that transit through the ER (i.e., membrane-bound and secreted proteins)<sup>91,92</sup> in a process termed rapid IRE1-dependent decay (RIDD). Together with increased BiP levels, RIDD restores balance within the ER by reducing the amount of protein entering the secretory pathway. Because IRE1 substrate selectivity is determined by an N-terminal signal sequence that causes mRNAs associated with nascent peptides bearing this signal to be localized to the ER, RIDD is likely to be regulated by signaling that influences translation initiation.

The selective loss of ER-associated mRNAs by RIDD contrasts with generalized stabilization of the mRNA transcriptome that occurs during oxidative stress or amino acid starvation <sup>93</sup>. Stress-induced stabilization is particularly evident for ARE-containing mRNAs, where the accumulation of HuR in stress granules and P bodies is proposed to

protect these transcripts by displacing destabilizing ARE-BPs<sup>94</sup>. It remains to be determined if IRE1 acts only on ER-associated mRNAs, or if RIDD can be activated independently of the UPR.

#### Induction of Zc3h12a by Toll-like receptor signaling

Zc3h12a (MCPIP1) is the only example so far of an mRNA endonuclease that is transcriptionally induced<sup>95,96</sup>. There is normally little Zc3h12a in cells. Zc3h12a synthesis is induced as part of the response by macrophages and monocytes to the binding of microbial cell-wall components to Toll-like receptors. Zc3h12a<sup>-/-</sup> mice treated with bacterial LPS overexpress IL-1, IL-6, TNFalpha and other cytokines as a consequence of failing to degrade the corresponding lymphokine mRNAs, resulting in a runaway inflammatory response. Unlike PMR1 and IRE1, which do not bind directly to their substrate mRNAs, Zc3h12a binds to its targets through a CCCH zinc finger that is similar to that of TTP and BRF-1<sup>96</sup>. Although most Zc3h12a targets contain a 3'UTR ARE, sensitivity to Zc3h12a is imparted by a stem-loop structure that lies upstream of the ARE<sup>95,97</sup>. Zc3h12a cleaves within this loop to initiate decay.

Zc3h12a is also able to cleave within the loop structures of pre-miRNAs during microRNA processing, where it acts in opposition to Dicer<sup>98</sup>. This has potential clinical relevance since elevated Zc3h12a expression is linked to the reduced expression of some microRNAs in lung cancer<sup>98</sup>. There is also emerging evidence that much of the mRNA transcriptome is subject to endonucleolytic cleavage<sup>99</sup>, thus extending the action of endonucleases beyond the initiation of mRNA decay. Given that the activity and/or quantity of each of the major endonucleases is regulated in some fashion, challenges for the future are to identify the remaining endonucleases, determine how they are regulated at the cellular and organismal level, and identify their targets.

#### Conclusions

It is clear that the field of mRNA decay is approaching the end of its beginning. Tremendous progress has been made toward understanding mRNA sequences that regulate mRNA stability and the enzymatic activities that mediate mRNA decay. The few examples provided in this review illustrate the complexity that will arise when all of the mRNA decay pathways and their targets are considered collectively. Indeed, future advances will depend on elucidating the complicated, multifactorial webs of regulatory events that coordinate the half-lives of cellular mRNAs depending on the stage of organismal development, the type of tissue, and the environmental conditions under which individual cells exist.

Genetic and biochemical approaches have identified the deadenylases, decapping enzymes, and exonucleases that catalyze mRNA decay. Within the next several years, we should have catalogued and characterized most if not all of the endonucleases. Sequence comparison has not been very successful in identifying endonucleases that act on mRNAs, thus their identification will require careful application of biochemical and molecular biological approaches. Identification of the targets and cleavage sites of these enzymes will be possible though the application of RNA-Seq. Together with improvements in computational approaches to RNA structure determinations, the knowledge gained from such studies will play a crucial role in defining the molecular code that directs particular transcripts to regulated decay processes, and should also provide insights into the mechanisms responsible for generating many of the poorly characterized small RNAs.

Given the advent of high-throughput proteomic and sequencing technologies, it is also becoming possible to identify the constituents of individual mRNPs: RIP-SEQ can be used to deep sequence mRNAs that co-immunoprecipitate with a particular protein as well as

ncRNAs that could regulate at least some of the mRNAs; mass spectrometry can be used to identify proteins in these complexes and their post-translational modifications. Once established, it then becomes important to uncover how the sequences and structures of mRNAs, including their post-transcriptional modifications (consider, e.g., the terminal-U transferases that promote exosome-mediated mRNA decay), and their associated proteins and ncRNAs interact dynamically in response to signal transduction pathways to determine mRNA half-lives.

Integrating the many mRNA-specific regulatory pathways with those pathways that modulate the half-lives of multiple mRNAs will be a daunting task requiring sophisticated mathematical modeling. The complexity of such a task is compounded by the direct participation of nuclear receptors, indicating that mRNA half-lives could be regulated by cell environment, age and type. After understanding how mRNA decay is regulated by intracellular signal transduction at the single-cell level, it becomes important to evaluate intracellular signal transduction-mediated regulation at the organ and, ultimately, organismal levels, the latter of which will undoubtedly require considerations of age, gender and diet. As an added caveat, while mRNAs largely function to produce proteins, growing support for the idea that they can also serve as sinks for regulatory proteins and antisense ncRNAs such as microRNAs by functioning as "competing cellular RNAs, or ceRNAs<sup>100</sup>, indicates that the regulation of mRNA decay may cast a very broad net and affect as yet unappreciated cellular processes.

In the end, it is hoped that new insights into how mRNA decay is regulated will uncover new therapies to treat inherited and acquired diseases (BOX 5). Developing such therapies is at a forefront of a number of biotechnology companies and academic laboratories.

#### BOX 5

#### mRNA degradation and disease

Many diseases are due to the misregulation of mRNA decay (for a review see<sup>53</sup>). For example, the level of the UPF3X NMD factor is downregulated in patients with syndromic or non-syndromic intellectual disability who harbor mutations in the UPF3X gene, which as its name implies is X-linked; as a consequence, the elevated level of the UPF3 NMD factor appears to compensate for the lack of UPF3X and lessens the disability<sup>110,111</sup>.

Another recent example involves the von Hippel-Lindau (VHL) tumor suppressor that is inactivated in patients with VHL disease, an autosomally dominant cancer, or clear-cell renal carcinoma. VHL was found to regulate the stability of the ARE-containing mRNA that encodes vascular endothelial growth factor (and undoubtedly other ARE-containing mRNAs) by targeting at least some isoforms of AUF1 for ubiquitination and proteasome-mediated destruction<sup>112</sup>.

It follows from this and many other studies that the targeted decay of particular mRNAs using, e.g., small interfering (si)RNA is at the forefront of developing therapies. siRNAbased disease treatments are challenging considering difficulties in delivering siRNAs to tissues and the inherent instability of siRNAs once delivered. In a recent approach holding promise, the arginine-glycine-aspartate tripeptide that mimics cell adhesion proteins and binds integrins has been used to "functionalize" siRNA nanoparticles that target mRNA encoding the signal transducer and activator of transcription (STAT)1, which upregulates genes in response to signals by type I, type II or type III inteferons<sup>113</sup>. The nanoparticles were found to be resistant to serum-mediated degradation, effectively taken up by tissues, and effectively delivered to the joints of rheumatoid-arthritic mice so as to alleviate disease<sup>113</sup>.

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#### Glossary

14-3-3 proteins	A group of seven ubiquitously expressed phosphoserine/ phosphothreonine binding proteins. They can assemble into homo- or heterodimers, mediate protein:protein interactions, and function in many cellular processes
APA	Alternative cleavage and polyadenylation, which provides a means to vary mRNA 3'-end formation and, thus, the regulatory sequences often present within 3'UTR sequences
EJC	Exon-junction complex. A protein complex that is deposited ~20–24-nucleotides upstream of splicing-generated exon-exon junctions. It includes the NMD factors UPF3X and UPF3 among many others
МАРК	Generic term for mitogen-activated protein kinases These proteins function in signal transduction by amplifying and integrating signals from different receptors followed by delivering each signal to one or more endpoint effector proteins
mTORC1	Mammalian target of rapamycin complex 1. This complex consists of the phosphatidylinositol 3-kinase (PIK)-related serine-threonine protein kinase mTOR, raptor and LST8. mTORC1 is inhibited by low nutrient levels, growth factor deprivation and reductive stress so that cellular protein synthesis is concomitantly inhibited
No-go mRNA decay	A pathway that degrades faulty mRNAs associated with stalled ribosomes. Decay is initiated by endonucleolytic cleavage near the stall site to release sequestered ribosomes and associated translation factors for the translation
Nonstop mRNA decay	A pathway that degrades mRNAs that lack a stop codon and direct translation either to the poly(A) tail, due to, e.g., premature polyadenylation, or to an mRNA breakpoint. It facilitates the recycling of ribosomes and translation factors
NMD	Nonsense-mediated mRNA decay. In mammalian cells, this pathway targets newly synthesized mRNAs that are undergoing a pioneer round of translation. It generally eliminates spliced mRNAs that prematurely terminate translation but also has some physiologic targets. It competes with Staufen-mediated decay

P bodies	Processing bodies. Small cytoplasmic RNA granules that are enriched for decapping proteins, activators of decapping, XRN1 and non-translating mRNAs. P bodies function as sites for mRNA storage and possibly decay
PAR-CLIP	, Photoactivatable-Ribonucleoside-Enhanced Crosslinking and Immunoprecipitation. A method for profiling RNA bound to a specific protein. Cells are grown in medium containing 4- thiouridine or 6-thioguanosine, which when incorporated into RNA allow for efficient UV crosslinking to RNA-binding proteins. The immunoprecipitated protein:RNA complex is then used to generate libraries for deep sequencing
РТС	Premature termination codon. A stop codon positioned $5'$ to the normal termination codon. Usually activates NMD when situated >50 nucleotides upstream of a splicing-generated exon-exon junction
RNA-binding protein immunoprecipitation	A method for recovering RNAs by virtue of their binding by a particular protein. This uses either an antibody specific to a particular RNA-binding protein, or antibody to an epitope tag on a recombinant protein expressed in target cells
SMD	STAU1-mediated mRNA decay. A pathway that degrades mRNAs that harbor a STAU1-binding site within their 3' UTR in a mechanism that depends on translation and the NMD factor UPF1
Stress Granules	Large cytoplasmic foci containing non-translating mRNAs bound by the 40S ribosomal subunit. Accumulate in stressed cells, commonly as a result of translation inhibition secondary to the phosphorylation of eIF2alpha
SURF	Complex of SMG1, UPF1, eRF1 and eRF3 that recognizes a premature termination codon
Toll-like receptor	Single-chain membrane-bound receptors that function in the innate immune response. Binding of bacterial cell wall components, such as lipopolysaccharides or lipomannins, activates binding of adapter proteins that leads to the activation of NF-kB and associated changes in transcription

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#### Figure 1. NMD factors as regulators of NMD

NMD in mammalian cells occurs during the translation of mRNA that is bound at its 5<sup>' m7</sup>Gppp cap by CBP80 and CBP20. STEP1 involves a weak and/or transient interaction of UPF1 with cap-bound CBP80 - an interaction that typifies all newly synthesized mRNAs<sup>11</sup>. In STEP2, the suppressor of morphogenetic effect on genitalia (SMG)1, which is a PIK-related serine-threonine protein kinase that phosphorylates multiple residues in the Cterminal region of UPF1<sup>25</sup>, binds together with UPF1 to the two translation termination factors, eukaryotic release factor (eRF)1 and eRF3, to form SURF at a PTC. During the formation of the SURF complex SMG1 kinase activity is suppressed by SMG8 via the socalled SMG1 complex (SMG1C)<sup>114</sup>. In STEP3 SMG1-UPF1 is joined to an EJC that resides downstream of the PTC<sup>11</sup>. This is dependent upon UPF3X out-competing its functionally less-effective paralog UPF3 for binding at the exon-exon junction complex<sup>27</sup>. The SMG1mediated phosphorylation of UPF1 that occurs in STEP 4 occurs as a consequence of SMG1-UPF1 binding directly to the UPF2 EJC constituent. Phosphorylated UPF1 binds to eIF3, should a pre-initiation complex form at the AUG translation initiation codon inhibiting additional rounds of translation initiation<sup>23</sup>. In STEP5, SMG6 binds UPF1 dependent on the phosphorylation of UPF1 at threonine 28, and SMG5 and SMG7 bind UPF1 dependent on UPF1 phosphorylation at serine 1096<sup>24</sup>. In STEP 6A, the SMG5- and SMG7-mediated decay of the NMD target occurs by decapping and/or deadenylation followed in STEP 7A by degradation of the body of the transcript in the 5'-to-3' direction from the decapped 5'end and/or 3'-to-5' direction from the 3'-deadenylated end<sup>115</sup>. A parallel pathway in STEP 6B involves the SMG6-mediated endonucleolytic cleavage of the NMD target and the accompanying rapid decay of the resulting 5'-cleavage product and 3'-cleavage product in STEP 7B<sup>116117</sup>. Decay of the 3'-cleavage product requires mRNP disassembly that is mediated by UPF1<sup>118</sup>.



Figure 2. Signaling pathways that regulate the pioneer round of translation and NMD The pioneer-round of translation utilizes newly synthesized mRNA bound by the capbinding protein heterodimer CBP80-CBP20 (CBC) and, provided the mRNA derived from splicing, at least one exon-junction complex (EJC, which consists of but is not limited to MAGOH, Y14, PYM and eIF4AIII) situated ~ 20–24-nucleotides upstream of such a junction. This round of translation (and, thus, NMD for those mRNAs that are NMD targets) is promoted upon activation of the mTORC signaling pathway, i.e, in the absence of stress and the presence of growth factors, by multiple pathways. mTOR-mediated translational activation is best understood for eIF4E-bound mRNAs<sup>119</sup>. Translational activation, and thus the activation of any associated mRNA decay pathways, involves mTORC1 binding to eIF3, which results in the phosphorylation of the 4E-BP1 translational repressor and consequently the dissociation of inactivated 4E-BP1 from mRNA-bound eIF4E. eIF4G can then interact with and stabilize the binding of eIF4E, eIF4A and PABPC1 to mRNA to ensure efficient translation. mTORC1 binding to eIF3 also results in the phosphorylation of the S6 kinase 1 (S6K1) translational activator and consequently the dissociation of activated S6K1 from mRNA-bound eIF3. Dissociated S6K1 phosphorylates eIF4B and tumor suppressor programmed cell death 4 (PDCD4), which augment scanning of the 43S preinitiation complex to the translation initiation codon. Taking cues from how mTOR activates eIF4Ebound mRNA translation, the mTOR-mediated translational activation of CBC-bound mRNA, and thus the activation of NMD, may also involve mTORC1 binding to eIF3 and the dissociation of activated S6K1 from CBC-bound mRNA. Activated S6K1 is then recruited by the EJC constituent SKAR, which promotes the pioneer round of translation by enhancing the phosphorylation of SKAR and other downstream effectors that may include eIF4B and PDCD4, which associate with not only eIF4E-bound mRNA but also CBC-bound

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mRNA<sup>120</sup>. Occurring in parallel, S6K1 phosphorylation by the Cdc42 kinase may augment binding of CBC to mRNA caps, the splicing factor SFRS1 may initiate S6K1 signaling via mTORC1, and the interaction of PYM with the EJC-core proteins Y14 and MAGOH and the 40S ribosomal subunit all appear to promote the pioneer round of translation and, when appropriate, NMD. (After Figure 2 in<sup>5</sup>.)