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# **More than just tapping: index finger-tapping measures procedural learning in schizophrenia**

**Felipe N. Da Silva, B.S.**a, **Farzin Irani, Ph.D.**a, **Jan Richard, M.S.**a, **Colleen M. Brensinger, M.S.**b, **Warren B. Bilker, Ph.D.**b, **Raquel E. Gur, M.D., Ph.D.**a, and **Ruben C. Gur, Ph.D.**a,c,\* aBrain Behavior Laboratory, Section of Neuropsychiatry, Department of Psychiatry, University of Pennsylvania Perelman School of Medicine, Philadelphia, PA 19104-4283, United States

<sup>b</sup>Department of Biostatistics, University of Pennsylvania, Philadelphia, PA 19104-4283, United **States** 

<sup>c</sup>Philadelphia Veterans Administration Medical Center, Philadelphia, PA 19104-4283, United **States** 

# **Abstract**

**Background—**Finger-tapping has been widely studied using behavioral and neuroimaging paradigms. Evidence supports the use of finger-tapping as an endophenotype in schizophrenia, but its relationship with motor procedural learning remains unexplored. To our knowledge, this study presents the first use of index finger-tapping to study procedural learning in individuals with schizophrenia or schizoaffective disorder (SCZ/SZA) as compared to healthy controls.

**Methods—**A computerized index finger-tapping test was administered to 1169 SCZ/SZA patients (62% male, 88% right-handed), and 689 healthy controls (40% male, 93% right-handed). Number of taps per trial and learning slopes across trials for the dominant and non-dominant hands were examined for motor speed and procedural learning, respectively.

**Results—**Both healthy controls and SCZ/SZA patients demonstrated procedural learning for their dominant hand but not for their non-dominant hand. In addition, patients showed a greater capacity for procedural learning even though they demonstrated more variability in procedural learning compared to healthy controls. Left-handers of both groups performed better than righthanders and had less variability in mean number of taps between non-dominant and dominant hands. Males also had less variability in mean tap count between dominant and non-dominant

#### **Conflict of interest**

None of the authors have any actual or potential conflicts of interest to disclose.

#### **Contributors**

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<sup>\*</sup>**Corresponding author:** Ruben C. Gur. **Present/Permanent Address:** Brain Behavior Laboratory, Department of Psychiatry, 10th floor Gates Building, Perelman School of Medicine, University of Pennsylvania, 3400 Spruce St., Philadelphia, PA, 19104-4283, United States. Tel.: +1 215 615 3604; fax: +1 215 662 7903. gur@upenn.edu.

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hands than females. As expected, patients had a lower mean number of taps than healthy controls, males outperformed females and dominant-hand trials had more mean taps than non-dominant hand trials in both groups.

**Conclusions—**The index finger-tapping test can measure both motor speed and procedural learning, and motor procedural learning may be intact in SCZ/SZA patients.

# **Keywords**

Schizophrenia; Procedural learning; Finger-tapping; Motor function; Sensorimotor function; Handedness

# **1. Introduction**

Finger-tapping has been studied in healthy individuals using behavioral (Ashendorf et al., 2009; Jimenez-Jimenez et al., 2011; Peters and Durding, 1979) and neuroimaging (L. Jancke et al., 2006; Witt et al., 2008) paradigms as a measure of sensorimotor brain function, recruiting the primary motor cortex, cerebellum, pre-supplementary motor area and premotor cortex (Boecker et al., 1994; Deiber et al., 1999; J. Jancke et al., 1998; L. Jancke et al., 2006; Moritz et al., 2000; Rao et al., 1996; Sadato et al., 1997; Witt et al., 2008). In addition, tapping ability has been correlated with hand preferences, with better performance (i.e. more taps or less intertap variations) for the dominant hand (Carlier et al., 1993; Nalçaci et al., 2001; Peters, 1980). Furthermore, right-handers have greater differences between hands in tapping rate and intertap variability than non right-handers (Nalçaci et al., 2001; Peters and Durding, 1979; Schmidt et al., 2000). In adults, males outperform females (R.C. Gur et al., 2010; Saykin et al., 1995; Shimoyama et al., 1990). There is a decline in tapping ability with age, alongside other motor functions, possibly due to natural dopaminergic  $D<sub>2</sub>$ activity decline in the caudate and putamen (Aoki and Fukuoka, 2010; Volkow et al., 1998).

Finger-tapping tests have been used to evaluate sensorimotor function in patients with schizophrenia, who show an overall deficit as measured by number of taps or intertap intervals (Calkins et al., 2010; Flyckt et al., 1999; Greenwood et al., 2007). This may be related to impairments in motor temporal processing (Carroll et al., 2009), dysfunctional sensorimotor cortex activation (Schroder et al., 1999) and abnormalities in motor neural pathways (Flyckt et al., 2000). Finger-tapping performance has been considered as a candidate endophenotype because unaffected first-degree relatives perform at an intermediate level, scoring higher than schizophrenia patients but lower than healthy controls (Calkins et al., 2007; Calkins et al., 2010; Flyckt et al., 1999; Flyckt et al., 2000; Greenwood et al., 2007; R.E. Gur, Calkins, et al., 2007). Nevertheless, the relationship between these sensorimotor deficits in schizophrenia and motor procedural learning remains unexplored.

# **1.1 Procedural learning and finger-tapping performance in schizophrenia**

In addition to evaluating motor speed, finger-tapping performance can be scrutinized over time to evaluate procedural learning, i.e. implicitly learning a motor or cognitive procedure by repetition, up to the point of automation (Squire, 1986). In the finger-tapping test, procedural learning may account for improved tapping performance across test trials due to tapping repetition without the subject's declarative knowledge of the learning involved.

Few studies have used motor sequence tests (MST) to investigate procedural learning in schizophrenia and healthy controls. These studies assessed the left-hand only, using fingertapping multiple-digit sequences with re-assessments between 5 minutes and 24 hours intervals, unlike more common non-sequence based, single-digit finger-tapping tests

(Hotermans et al., 2008; Hotermans et al., 2006; Manoach et al., 2004; Manoach et al., 2010). Other tests applied to assess procedural learning in schizophrenia include the Tower of Toronto (Bedard et al., 2000; Purdon et al., 2003), Tower of Hanoï (Goldberg et al., 1990), mirror drawing tasks (Bedard et al., 1996a; Bedard et al., 2000; Paquet et al., 2004; Scherer et al., 2004), serial reaction time tasks (Harris et al., 2009; Kern et al., 1998; Siegert et al., 2008), periodic sequence learning tasks (Kumari et al., 2002), rotary pursuit (Kern et al., 1998; B.L. Schwartz et al., 1996), computed visual tracking tasks (Paquet et al., 2004) and non-motor (verbal) procedural learning tests (Remillard et al., 2010). The results from these studies suggest that patients with schizophrenia demonstrate procedural learning, but that their learning profile may be impaired compared to healthy controls, potentially due to medication (Bedard et al., 2000; Kumari et al., 2002; Purdon et al., 2003; Purdon et al., 2002; Remillard et al., 2010; Stip, 2006).

Medication effects on procedural learning have been attributed mainly to the antagonist activity of high affinity  $D_2$  receptor antipsychotics on dopaminergic dorsal striatum pathways (Bedard et al., 1996a; Bedard et al., 2000; Harris et al., 2009; Paquet et al., 2004; Purdon et al., 2003; Purdon et al., 2002; Remillard et al., 2010; Zedkova et al., 2006). This has also been supported by a double-blind study with healthy participants who showed improvement in procedural learning following administration of a dopamine agonist and no significant procedural learning for the group given a dopamine antagonist (Kumari et al., 1997). However, procedural learning deficits have been reported in drug-naïve patients (Scherer et al., 2003) or during acute phases of psychosis but not during remission (Exner, Boucsein, et al., 2006; Exner, Weniger, et al., 2006). Notably, medication related effects have not prevented subjects from eventually succeeding on procedural learning tasks or performing similarly to control groups (Bedard et al., 1996b; Bedard et al., 2000; Goldberg et al., 1990; Green et al., 1997; Harris et al., 2009; Kumari et al., 1997; Scherer et al., 2004; M. Schwartz and Regan, 1996).

In summary, while motor-speed deficits in finger-tapping have been reported in schizophrenia, finger-tapping performance has not been used to examine procedural learning. This study capitalizes on the availability of data from large well-characterized samples collected by three genetic consortia that applied the Penn Computerized Neurocognitive Battery (CNB) (R.C. Gur et al., 2001; R.C. Gur et al., 2010). The results for the CNB are published (Aliyu et al., 2006; Almasy et al., 2008; Calkins et al., 2007; Calkins et al., 2010; R.E. Gur, Calkins, et al., 2007; R.E. Gur, Nirngaonkar, et al., 2007). Here, we examine one of the tests, the finger-tapping test of motor speed, to investigate procedural learning in individuals with schizophrenia. Our goals were: a) to compare motor speed, operationalized as number of key taps in 10 second intervals, between healthy controls and patients for dominant and non-dominant hands; b) to investigate whether procedural learning, defined as the rate of improvement (learning slope) over trials, is evident in the finger-tapping test; and c) to compare the procedural learning profiles of patients with that of healthy controls.

We hypothesized that healthy controls would have more taps than patients with schizophrenia, males would outperform females, and the dominant hand would outperform the non-dominant hand in both groups. We further hypothesized that patients and healthy controls would demonstrate procedural learning, and explored whether their learning slopes differed.

# **2. Methods**

#### **2.1 Participants**

The sample of 1169 patients with schizophrenia or schizoaffective disorder (SCZ/SZA) and 689 healthy controls (HC) is from the consortia of Multiplex Multigenerational Investigation (MGI) (Almasy et al., 2008; R.E. Gur, Nirngaonkar, et al., 2007), Project Among Africa-Americans to Explore Risks for Schizophrenia (PAARTNERS) (Aliyu et al., 2007; Calkins et al., 2010), and the Consortium on the Genetics of Schizophrenia (COGS) (Calkins et al., 2007; R.E. Gur, Calkins, et al., 2007). Project specific inclusion/exclusion criteria and assessment methods are detailed in previously published articles (Aliyu et al., 2007; Calkins et al., 2007; Calkins et al., 2010; R.E. Gur, Calkins, et al., 2007; R.E. Gur, Nimgaonkar, et al., 2007). All research centers received Institutional Review Board (IRB) approval.

Participants' characteristics are shown in Table 1. Out of the SCZ/SZA group, 10% of the male participants had schizoaffective disorder (63 right-handers and 10 left-handers); and 24% of the female participants had schizoaffective disorder (96 right-handers and 10 lefthanders). SCZ/SZA and HC participants were matched in age but significantly differed with respect to sex distribution, race, handedness, level of education and parental education  $(p<0.001)$ .

Handedness was assessed through self-report at the time of testing. Participants were asked whether they were right-handed, left-handed or whether they used both hands for writing, as preferred writing hand yields a reliable measure of handedness based on the Lateral Dominance Examination (LDE) (Dodrill and Thoreson, 1993). Eighteen SCZ/SZA and eight HC participants who were ambidextrous were excluded from analyses. Most patients (99%) were treated with antipsychotics, 60% with second generation and 40% with first generation agents.

# **2.2 Test design: Computerized finger-tapping test (CTAP)**

Participants took the Computerized Finger-tapping Test (CTAP) (Coleman et al., 1997; R.C. Gur et al., 2010) using the PowerLaboratory® platform (Chute DL and Westall RF, 1997) in a Macintosh® computer as part of the Penn Computerized Neurocognitive Battery (CNB) following standard administration procedures (Gur et al., 2010)<sup>a</sup>. A test administrator reads instructions on the screen to the participant, asking him/her to press the spacebar as quickly as s/he can using only the index finger of the dominant or non-dominant hand, with a hand position that targets movement of the index finger only. The participant practices one trial with each hand while the test administrator verifies his/her hand position. During the test, the first trial is done with the dominant hand and then alternates with the non-dominant hand in a series of 10 trials (5 trials per hand). Each trial lasts 10 seconds. The number of taps per trial is recorded and uploaded to a data repository. Only tests where hand position was correct and the participant showed good effort are included in our analysis. The CTAP test, including practice instructions, lasts approximately 7 minutes. The test trials themselves last approximately 4 minutes.

# **2.3 Statistical analysis**

Statistical analysis applied SAS® software, Version 9.2 of the SAS System for Linux (SAS Institute Inc., Cary, NC, USA).

 $a$ The CTAP is available to the scientific community for research purposes with IRB compliance (or equivalent ethics approval), along other computerized neurocognitive tests, through the PennCNP® webpage [https://penncnp.med.upenn.edu/request.pl](http://https://penncnp.med.upenn.edu/request.pl) (R.C. Gur et al., 2001; R.C. Gur et al., 2010)

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**2.3.1. Finger-tapping performance analysis—**Trials with less than 10 taps were excluded from analysis since they are likely to represent technical complications. Over 99.7% of trials met this inclusion criteria: for controls, 6881 out of 6890 trials; and 11654 out of 11690 trials for patients.

Finger-tapping performance analysis used SAS® PROC GLM (general linear model). A repeated measures analysis of variance (ANOVA) examined between-group (diagnosis, sex, age, handedness) and within-subjects (dominant vs. non-dominant hand) effects, as well as interactions of the between-group and within-subject effects.

**2.3.2. Procedural learning analysis—**Procedural learning was defined as the rate of change (learning slope) across trials of dominant and non-dominant hands. The data was structured as 10 records per participant with one record per trial and number of taps as the outcome. The distribution of the number of taps was approximately normal, thus SAS® PROC MIXED was used to fit random coefficient models (SAS Institute Inc, 2010; Wolfinger and Ming, 1995). While adjusting for age group, we tested the interactions between trial and diagnosis, sex, handedness and hand dominance (dominant vs. nondominant hand).

To assess variability within procedural learning, the root mean squared error (rMSE) of the participant-specific regression line was calculated for both dominant and non-dominant hands. The rMSE was compared between HC and SCZ/SZA participants using the Wilcoxon rank sum test, stratified by hand dominance, since the distribution of rMSE was rightskewed.

# **3. Results**

# **3.1. Finger-tapping performance scores**

**3.1.1 Between-group comparison—**The distribution of the mean number of taps for both dominant and non-dominant hands was approximately normal, legitimizing ANOVA. Age group (younger than 25 years old, 25-34, 35-44, 45-54 and 55 or older) was entered as a covariate due to differences in motor speed across age (Aoki and Fukuoka, 2010; R.C. Gur et al., 2010; Volkow et al., 1998). The results (Table 2) showed a robust effect of diagnosis,  $p\leq 0.0001$ , patients slower than controls; sex,  $p\leq 0.0001$ , females slower than males; and handedness,  $p=0.0284$ , right-handers slower than left-handers. The covariate of age group was also highly significant,  $p<0.0001$ , with slowing beginning in the 35-44 age group. No interaction of diagnosis, sex and handedness was significant.

Figure 1 illustrates the mean number of taps for CTAP trials and standard error of the means (SEM) based on diagnosis, sex and handedness. Sample size for males and females is recorded on the bottom of the x-axis according to each group of participants:

**3.1.2 Within-subjects analysis for dominant and non-dominant hands—**Table 3 shows the analysis of within-subjects effects, where number of taps for dominant versus non-dominant hand trials (DomHand) was compared. The results yielded a pronounced main effect of hand dominance, with more taps produced by the dominant hand, as well as strong interaction effects of hand-dominance  $\times$  handedness (DomHand  $\times$  Hand), right-handers showed greater difference in mean number of taps between dominant and non-dominant hand trials compared to left-handers; and hand-dominance  $\times$  sex (DomHand  $\times$  Sex), females showed greater differences between dominant and non-dominant hand trials compared to males.

# **3.2. Procedural learning analysis**

**3.2.1 Learning slopes—**Tables 4 and 5 present the random coefficient models examining the effects of diagnosis, sex, handedness (Hand), dominant vs. non-dominant hand (Hand Dominance), age, and trial on the number of taps per trial as well as their interactions, except for interactions with age, because healthy controls and SCZ/SZA participants were age-matched. There were main effects for diagnosis  $(F(1,15000)=129.31, p<0.0001)$ , sex  $(F(1,15000)=64.45, p<0.0001)$ , and age  $(F(4,15000)=30.37, p<0.0001)$ . There was a strong effect of trial  $\times$  dominant hand ( $F(1, 15000)=32.53$ ,  $p<0.0001$ ), healthy controls and patients demonstrated procedural learning with their dominant hand but not with their non-dominant hand (Table 5); and there was an effect of trial  $\times$  diagnosis ( $F(1, 15000)=4.73$ ,  $p=0.0296$ ), patients showed significantly more procedural learning than healthy controls. We tested the 3-way interaction between trial  $\times$  diagnosis  $\times$  dominant hand, but this was not statistically significant (p=0.54), and therefore dropped from the final model.

**3.2.2 Error variability across tapping trials—**To further investigate procedural learning based on diagnosis, we calculated the rMSE of the participant-specific regression lines for both dominant and non-dominant hands. A larger rMSE meant more error about the regression line and hence more variability in procedural learning. Healthy controls had significantly less variability across their learning slopes compared to patients for both the dominant hand (Wilcoxon rank sum Z=-8.1,  $p<0.0001$ ) and non-dominant hand (Wilcoxon rank sum Z=-7.3, p<0.0001), see Table 6.

# **4. Discussion**

The current study assessed procedural learning and motor speed with a computerized indexfinger tapping test (CTAP) (R.C. Gur et al., 2010). Expectedly, participants with schizophrenia or schizoaffective disorder (SCZ/SZA) showed slower tapping speed (i.e. less taps) than healthy controls (HC). However, both groups demonstrated procedural learning with their dominant hand but not with their non-dominant hand. Notably, SCZ/SZA participants showed significantly more procedural learning than healthy controls, although they also had more variability on their procedural learning profiles. Our results indicate that procedural learning is intact in schizophrenia, and thus are encouraging in establishing a building block on which to construct rehabilitation efforts for patients.

Supporting the validity of the novel observation, the overall CTAP performance results were consistent with available data on finger-tapping tests. The main effects of diagnosis favoring controls over SCZ/SZA participants and of sex, favoring males over females, are robust findings in the literature (Calkins et al., 2010; Flyckt et al., 1999; Greenwood et al., 2007; R.C. Gur et al., 2010; Saykin et al., 1995; Shimoyama et al., 1990). The effect of handedness, demonstrating a smaller difference in speed performances between dominant and non-dominant hands for left-handers compared to right-handers has been reported in fewer studies (Nalçaci et al., 2001; Peters, 1980; Peters and Durding, 1979; Schmidt et al., 2000). The effect of sex on hand dominance variability, showing that males have less variability between dominant and non-dominant hands than females, is a novel finding to our knowledge. However, males have been reported to tap more regularly overall than females (Schmidt et al., 2000). Notably, these effects did not interact with diagnosis.

Procedural learning has been reported on finger-tapping motor sequence tests in schizophrenia and healthy controls within 5 to 30 minutes after a single training session using the non-dominant hand (Hotermans et al., 2006; Manoach et al., 2010). However, our finger-tapping test trials last approximately 4 minutes, thus suggesting that procedural learning is evident earlier. Remarkably, patients showed significantly more procedural learning than controls, even though they had a lower mean number of taps. They also

showed a greater variability in procedural learning, which would be disruptive to this apparently greater potential to improve. Possibly, these are regression to the mean effects potentially related to the fact that patients had a lower initial mean number of taps, and hence, a greater opportunity to improve, while ceiling effects would limit how many taps are possible in 10 seconds in both groups, irrespective of practice (Aoki et al., 2005). Notably, however, the diagnosis x trial x hand interaction was not significant, which militates against regression to the mean effect as a major determinant.

Although both groups showed procedural learning with the dominant hand, it is unclear why, with the non-dominant hand, healthy controls showed a decline in procedural learning (negative slope) and patients showed a slope not significantly different from zero. Peters (1980) argued that time spent in the reversal portion of tapping (i.e. controlling the muscles used to prepare the finger for the next tap) is responsible for hand differences in tapping, and not fatigue or external sensory factors. Furthermore, Koeneke et al. (2009) proposed two levels of motor processing: "lower effector-related" level and "higher task-related" level. The former relates to the neuro-muscular pathways involved in control of the finger as it repeats tapping, the latter refers to a motor pre-programming of muscles which may be transferrable between hands and removed from the former (Koeneke et al., 2009). Therefore, the lack of procedural learning we observed on the non-dominant hand may be due to less efficient "lower effect-related level" control of flexor and extensor tapping muscles. Notably, we did not observe the "higher task-related level" intermanual transfer effects predicted by Koeneke et al. (2009). However, this may be due to limitations in our paradigm, as minimal training was offered prior to testing compared to the two weeks training in Koeneke et al. (2009).

Our study has several limitations. There is evidence for medication effects on procedural learning, especially high affinity  $D_2$  receptor antipsychotics (Kumari et al., 1997; Purdon et al., 2003). In our large scale study not designed specifically to examine medication effects, medication was not controlled and we are unable to establish its effects on procedural learning. We also limited our examination to patients and controls and have not evaluated family members. A functional neuroimaging study examined procedural learning in unaffected siblings of schizophrenia participants, and found that they showed reduced activity in prefrontal cortical regions similarly to schizophrenia patients. Notably, both groups performed similarly to healthy controls (Woodward et al., 2007; Zedkova et al., 2006). Another study has demonstrated differences between unmedicated first episode psychosis patients and controls in brain activation to procedural learning in the frontal cortex (Purdon et al., 2011). Therefore, since there is support for the use of finger tapping as a neurocognitive endophenotype for schizophrenia (Calkins et al., 2007; Calkins et al., 2010; Flyckt et al., 1999; Flyckt et al., 2000; Greenwood et al., 2007; R.E. Gur, Calkins, et al., 2007), future studies could consider an analysis of unaffected siblings to determine whether CTAP procedural learning performance or brain activation profiles are heritable. It is also important to note that generalizations of our findings may need to consider the ancestral composition of our sample, which encompasses a range of ethnic backgrounds, the majority of African American descent (77.6% of patients and 53.6% of controls), followed by people of Caucasian descent (17.7% of patients and 34.1% of controls), mixed ethnicity, Asian and American Indian or Alaskan native.

This study supports the use of finger-tapping as a test of motor speed and procedural learning in healthy controls and patients with schizophrenia or schizoaffective disorder. Furthermore, it supports the notion that SCZ/SZA patients can learn motor skills that involve procedural learning, even in a task they perform more poorly than healthy controls. This is an encouraging finding in light of the broad spectrum of deficits seen in schizophrenia. Future research may consider the effects of antipsychotic medication on

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# **Figure 1.**

Abbreviations: ♂ , males; ♀ , females; HC, healthy controls; SCZ/SZA, schizophrenia or schizoaffective disorder patients; Right Dom, dominant hand trials for right-handed participants; Left Dom, dominant hand trials for left-handed participants; Right NonDom, non-dominant hand trials for right-handed participants; Left NonDom, non-dominant hand trials for left-handed participants

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# **Table 1**

# Participants' demographic information:



Abbreviations: HC, healthy control participants; SCZ/SZA, schizophrenia or schizoaffective disorder patients.

 $a_{\rm}$  2 test.

 $b$ <sub>T-test.</sub>

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**Table 2**

Repeated Measures Analysis of Variance and Effect Sizes Repeated Measures Analysis of Variance and Effect Sizes



Repeated Measures Analysis of Variance - Univariate Tests and effect sizes Repeated Measures Analysis of Variance - Univariate Tests and effect sizes



Abreviations: Abreviations:

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DomHand: dominant vs nondominant hand the dominant hand, as well as strong interaction effects of hand-dominance x handedness (DomHand x Hand), right-handers showed greater difference in mean DomHand: dominant hand the dominant hand, as well as strong interaction effects of hand-dominance × handedness (DomHand × Hand), right-handers showed greater difference in mean number of taps between dominant and non-dominant hand trials compared to lefthanders; and hand-dominance x sex (DomHand x Sex), females showed greater differences between dominant and nonnumber of taps between dominant and non-dominant hand trials compared to lefthanders; and hand-dominance × sex (DomHand × Sex), females showed greater differences between dominant and nondominant hand trials compared to males. dominant hand trials compared to males.

### PROC MIXED solution for fixed effects



Abbreviations

HC: healthy control

participants;

SCZ/SZA: schizophrenia or schizoaffective disorder patients. DomHand: dominant vs nondominant hand

# Slope estimates for linear contrasts:



Abbreviations

HC: healthy control participants;

SCZ/SZA: schizophrenia or

schizoaffective disorder patients;

Root Mean Squared Errors for Dominant Hand and Non-Dominant Hand, stratified by diagnosis: Root Mean Squared Errors for Dominant Hand and Non-Dominant Hand, stratified by diagnosis:



Abbreviations: HC, healthy control participants; SCZ/SZA, schizophrenia or schizoaffective disorder patients Abbreviations: HC, healthy control participants; SCZ/SZA, schizophrenia or schizoaffective disorder patients