

# Chitosan–Pluronic nanoparticles as oral delivery of anticancer gemcitabine: preparation and in vitro study

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**Abstract:** Nanoparticles have proven to be an effective delivery system with few side effects for anticancer drugs. In this study, gemcitabine-loaded nanoparticles have been prepared by an ionic gelation method using chitosan and Pluronic® F-127 as a carrier. Prepared nanoparticles were characterized using dynamic light scattering, Fourier transform infrared spectroscopy (FT-IR), differential scanning calorimetry (DSC), scanning electron microscopy, and transmission electron microscopy. Different parameters such as concentration of sodium tripolyphosphate, chitosan, Pluronic, and drug on the properties of the prepared nanoparticles were evaluated. In vitro drug release was studied in phosphate-buffered saline (PBS; pH = 7.4). The cytotoxicity of the nanoparticles was assayed in the HT-29 colon cancer cell line. The mucoadhesion behavior of the nanoparticles was also studied by mucus glycoprotein assay. The prepared nanoparticles had a spherical shape with positive charge and a mean diameter ranging between 80 to 170 nm. FT-IR and DSC studies found that the drug was dispersed in its amorphous form due to its potent interaction with nanoparticle matrix. Maximum drug encapsulation efficiency was achieved at 0.4 mg/mL gemcitabine while maximum drug loading was 6% obtained from 0.6 mg/mL gemcitabine. An in vitro drug release study at 37°C in PBS (pH = 7.4) exhibited a controlled release profile for chitosan–Pluronic® F-127 nanoparticles. A cytotoxicity assay of gemcitabine-loaded nanoparticles showed an increase in the cytotoxicity of gemcitabine embedded in the nanoparticles in comparison with drug alone. The mucoadhesion study results suggest that nanoparticles could be considered as an efficient oral formulation for colon cancer treatment.

**Keywords:** chitosan, nanoparticles, ionic gelation, gemcitabine, mucoadhesion, oral drug delivery, anticancer

## Introduction

Cancer is one of the major causes of death in many industrialized countries and its incidence is continually increasing. The most common anticancer therapies are tumor removal, radiotherapy, and chemotherapy. Of these methods, chemotherapy is the most effective treatment for tumors. The challenges of anticancer treatment by chemotherapeutic agents include nonselective delivery of cytotoxic drugs to tumor sites that lead to severe side effects due to their effects on normal nontargeted organs and tissues.<sup>1–3</sup>

Nanoscale drug delivery systems have achieved advantages by overcoming the challenges of common cancer treatments.<sup>4</sup> Nanoparticulate carriers perform as a vehicle protecting the therapeutic agent from the biological milieu and improve cellular uptake and accumulation inside tumor sites.<sup>5,6</sup>

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Recent anticancer research has focused on polymeric nanoparticles based on chitosan (Chi). Chi is a natural linear polycationic polysaccharide obtained by partial *N*-deacetylation of chitin. Chi has many advantages as a carrier in nanoparticulate drug delivery systems. It is nontoxic, biocompatible, and biodegradable and has been proven to control the release of drugs, proteins, and peptides. It is soluble in aqueous media, avoids the use of organic solvents, and doesn't require further purification of nanoparticles.<sup>7</sup> With the presence of free amine groups in its linear structure, Chi has a cationic nature and can interact with various crosslinkers to form nanoparticles. The disadvantages of using chemical crosslinkers such as glutaraldehyde are their toxicity for biological systems, especially if the unreacted crosslinkers are not completely removed from the prepared nanoparticles. To resolve the toxic effects of using chemical crosslinkers, Chi can be ionically crosslinked with multivalent anions like tripolyphosphate. This process, known as ionic gelation, has some advantages since it is a mild process resulting in nanoparticles with sizes less than 200 nm and has been proven to encapsulate different biological and active compounds.<sup>8-10</sup>

The positive charge of Chi caused by the primary amino groups in its structure is responsible for its mucoadhesive properties and therefore prolonging the residual time at the absorption site. Chi nanoparticles are expected to be appropriate carriers for oral absorption of drugs.<sup>11,12</sup>

Pluronic® F-127 (PF) is a hydrophilic nontoxic copolymer widely used as a pharmaceutical excipient for its stabilizing properties and capability to increase the solubility of drugs.<sup>13</sup>

Pluronic® F-127 is an A-B-A-type triblock copolymer consisting of polyoxyethylene (PEO) units (A) and polyoxypropylene (PPO) units (B) with a thermoreversible gelation property.<sup>14</sup> With the increase in the temperature of PF aqueous solution, the PPO block tends to dehydrate and form a core with an outer shell of hydrated PEO chains that aggregate into spherical micelles.<sup>15-18</sup> The micellar structure of this copolymer in an aqueous environment can be used for incorporation of hydrophilic and hydrophobic drugs<sup>19</sup> and prolongs drug release.<sup>13,20,21</sup>

Gemcitabine (GC; 2',2'-difluorodeoxycytidine) is a pyrimidine antimetabolite that is broadly used for management of a variety of solid tumors including colon, pancreatic, lung, breast, ovarian, and bladder. After energy-dependent uptake of GC into cells, it is phosphorylated into its active metabolite, gemcitabine triphosphate and diphosphate mainly via deoxycytidine kinase.<sup>22,23</sup>

The active metabolites are incorporated into a replicating DNA strand, blocking DNA polymerase activity and

inhibiting DNA synthesis.<sup>24</sup> Since GC is a water-soluble low-molecular-weight anticancer drug, it requires nucleoside transporters localized on the membrane to enter the cells.<sup>25,26</sup> Different approaches (using different drug delivery systems) were performed to improve its delivery to tumor sites. Formulations such as prodrug conjugates, liposomes, polymeric nanoparticles, and nanoconjugates were designed in order to protect GC from rapid metabolism, overcome drug resistance, target drug delivery, and improve efficacy via different routes of administration.<sup>27-32</sup>

As most anticancer drugs are available in injectable forms, improving the feasibility of oral administration of an anticancer drug would offer the advantages of oral routes especially for drugs such as GC that have low systemic exposure following oral administration. The drug-carrying combination of Chi and PF offer promising combinations by modifying the controlled drug release profile using PF with protection and transfection-enhancing effects using Chi.

To our knowledge, no study was done on GC nanoparticles prepared by ionic gelation using the combination of Chi and PF as carriers. By using Chi as a mucoadhesive polymer, we expect this system to be more cytotoxic than pristine drug by delivering the nanoparticles to HT-29 colon carcinoma cell line.

## Materials

Gemcitabine was obtained from Cipla (Mumbai, India). Medium-molecular-weight Chi with a degree of deacetylation of about 89% was purchased from Primex (Karmoy, Norway). Sodium nitrite (NaNO<sub>2</sub>), PF, sodium tripolyphosphate (TPP), hydrochloric acid, glacial acetic acid, sodium hydroxide (NaOH), mucin, basic fuchsin, periodic acid, sodium metabisulfite, ethyl cellulose, Carbomer 940, and potassium hydrogen phosphate were all purchased from Merck (Darmstadt, Germany). 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was purchased from Sigma-Aldrich (St Louis, MO). The HT-29 cell line was obtained from Pasteur Institute (Tehran, Iran). All chemicals were of analytical grade.

## Methods

### Preparation of nanoparticles

#### Preparation of low-molecular-weight chitosan

To obtain a low-molecular-weight Chi, medium molecular weight Chi was depolymerized according to the method described by Moghaddam et al.<sup>33</sup> Briefly, Chi (400 kDa) was dissolved in acetic acid (6% v/v) to obtain a solution of 2% v/v Chi in acetic acid. Low-molecular-weight Chi was

obtained after addition of 10 mL of NaNO<sub>2</sub> (7.0 mg/mL) to the dissolved Chi at room temperature under magnetic stirring. After 1 hour, the depolymerized Chi was precipitated by raising the pH to 9.0 by adding NaOH (4 N). The white-yellowish solid was filtrated, washed with acetone three times, and dissolved in a minimum volume of 0.1 N acetic acid. Purification was carried out by subsequent dialysis against purified water (Sigma dialysis tubes, molecular weight cutoff 12 kDa; Sigma Aldrich). The dialyzed product was lyophilized using a freeze dryer (Alpha 2–4 LD plus; Christ, Osterode am Harz, Germany). The yellowish lyophilized product was then stored at 4°C until further use. The average molecular weight of the prepared Chi was determined by gel permeation chromatography (Agilent Technologies, Santa Clara, CA) using plulane according to the method used in our lab by Akhlaghi et al.<sup>34</sup>

The preparation of Chi nanoparticles was achieved via the ionic gelation method reported by Calvo et al.<sup>8</sup> A Chi solution (0.1% w/v) was obtained by dissolving low-molecular-weight Chi in 1% v/v acetic acid. Chi nanoparticles were prepared spontaneously upon addition of various concentrations of TPP (0.015%, 0.02%, 0.025%, and 0.03% w/v) to Chi solution under gentle magnetic stirring at room temperature for 1 hour. In all cases, the volume ratio of Chi:TPP solution was 2:1. Finally the opaque suspension was assigned as nanoparticles.<sup>8</sup> This zone was obtained at TPP solution ranging 0.015%–0.025% w/w. PF was incorporated into nanoparticles by adding 0.025% w/v TPP solution to Chi aqueous solution containing different concentrations of PF (10%, 15%, 20% w/w).

For preparation of GC-loaded nanoparticles, various concentrations of GC (0.2, 0.4, 0.6, 0.8 and 1.0 mg/mL) in 0.025% w/w TPP solution were prepared. Nanoparticles were formed by adding this solution into Chi in an acetic acid solution.

The nanoparticles were separated from the aqueous medium by Amicon Ultra-15 centrifugal filters (Millipore, Billerica, MA) at 5000 g, at room temperature until removal of the nanoparticles from the preparation media (20 minutes). The nanoparticles were removed from the filter and suspended in 2 mL of deionized water. This suspension was freeze-dried and stored at 4°C until use.

## Characterization of Chi nanoparticles

### Size measurements and determination of zeta potential

The mean diameter and size distribution of the nanoparticles were measured by dynamic light scattering using Zetasizer

(Nano-ZS; Malvern Instruments, Malvern, UK). All measurements were performed with a wavelength of 633 nm at 25°C with an angle detection of 90°C. The zeta potential of freshly prepared nanoparticles was determined by laser Doppler electrophoresis using Zetasizer (Malvern Instruments). Each sample measurement was repeated three times.

### Evaluation of drug encapsulation and loading capacity

The loading capacity (LC) and encapsulation efficiency (EE) were measured indirectly by measuring the amount of remaining drug in the medium collected at the bottom of the falcon using UV spectrometry (CE7500; Cecil, Cambridge, UK) at 274 nm. Each sample was measured in triplicate.

The following equations were used to evaluate the LC and EE of the nanoparticles:<sup>36</sup>

$$EE (\%) = \frac{\text{Total GC} - \text{Free GC}}{\text{Total GC}} \times 100 \quad (1)$$

$$LC (\%) = \frac{\text{Total GC} - \text{Free GC}}{\text{Nanoparticle weight}} \times 100 \quad (2)$$

### Scanning electron microscopy

The surface morphology of the freshly prepared nanoparticles was observed using a scanning electron microscope (XL 30; Philips, Eindhoven, The Netherlands). Nanoparticles were dried on an aluminum disk at room temperature. The fixed nanoparticles were coated with gold using a sputter coater (SCD 005; Bal-Tec, Balzers, Liechtenstein).

### Transmission electron microscopy

Transmission electron microscopy (TEM; CEM 902A; Carl Zeiss, Oberkochen, Germany) was used to examine and compare the topography of the nanoparticles. Freeze-dried nanoparticles were suspended in deionized water before observation.

### Fourier transform infrared spectroscopy

Fourier transform infrared (FT-IR) spectra were analyzed using a Nicolet FT-IR Spectrometer (Magna IR 550; Madison, WI) at 4 cm<sup>-1</sup> resolution. The freeze-dried nanoparticles were mixed with KBr and pressed to a plate to investigate the chemical reactions between the drug and nanoparticle matrix.

### Differential scanning calorimetry

Differential scanning calorimetry (Mettler Toledo, DSC 823<sup>e</sup>, Greifensee, Switzerland) was used to determine the physical

status of GC in nanoparticles. An appropriate amount of samples (5–10 mg) were sealed in aluminum pans and scanned in a temperature range of 0 to 550°C with a heating rate of 20°C/minute. Inert atmosphere was maintained by purging nitrogen with a flow rate of 360 cm<sup>3</sup>/minute.

### In vitro drug release

Four milligrams of nanoparticles were dispersed in a freshly prepared phosphate-buffered saline (PBS; pH = 7.4) as a release medium in a dialysis membrane sac (mw cut-off 12 kDa; Sigma Aldrich). The enclosed dialysis sac was immersed in a beaker containing 50 mL of the release medium. The beaker was placed in a shaking incubator at 37°C under mild agitation (90–100 rpm). For each sample, 5 mL of the release medium was withdrawn at predetermined time intervals and replaced by the same medium at the same condition. The samples were analyzed for drug content by ultraviolet spectrometry as described above.

### Mucoadhesion studies

Mucoadhesion studies of Chi and Chi–PF nanoparticles were performed by mucus glycoprotein assay. Periodic acid/Schiff colorimetric method was used for determining the amount of free mucin to estimate the amount of adsorbed mucins on the nanoparticles. Schiff reagent was prepared by adding 1 g basic fuchsin to 100 mL water. Twenty microliters of HCl (2M) was added to the prepared fuchsin solution following addition of 0.1 g sodium metabisulfite to the Schiff reagent, and the final solution was incubated at 37°C until alteration of the color from brown to orange. Periodic acid reagent was freshly prepared by adding 10 µL of 50% v/v periodic acid solution to 7.0 mL of 7% v/v acetic acid solution. Standard solutions of mucin (0.25, 0.5, 0.75, and 1.0 mg per 2.0 mL) were used to prepare standard calibration curves. After adding 0.20 mL periodic acid reagent to the samples, the samples were incubated at 37°C in a water bath for 2 hours. Then, at room temperature, 0.20 mL Schiff reagent was added to the samples. After 30 minutes the absorbance of the solution was recorded at 556 nm in an ultraviolet spectrophotometer. A standard calibration curve was plotted to calculate the mucin content adsorbed to nanoparticles.<sup>37</sup>

To determine the mucoadhesion of the nanoparticles, 10 mg of the nanoparticles (Chi and Chi–PF PF = 20% w/w) was dispersed in 6 mL of the mucin solution (0.5 mg/mL). The suspensions were incubated for 1 hour at 37°C while being shaken. In order to analyze unadsorbed free mucin, the suspensions were then centrifuged (12,000 rpm for 5 minutes), and the supernatants analyzed by spectrophotometer at the

visible wavelength of 555 nm. The rest of the procedure was the same as for the standard solutions. Ethylcellulose was used as negative control, and Carbomer 940 was used as positive control for comparison purposes. Both controls were assessed with the same procedure as described previously.

### Cytotoxicity test

To assess the viability of HT-29 colon carcinoma against GC, blank nanoparticles (Chi, Chi–PF), and drug-loaded nanoparticles were tested using MTT assay. The HT-29 cell line was seeded in 24-well plates at a density of 150,000 viable cells per well and incubated for 24 hours to allow cell attachment. The cells were then incubated for another 24 hours with blank nanoparticles (Chi, Chi–PF) and GC-loaded nanoparticles (GC–Chi, GC–Chi–PF). Cells were then washed in PBS, and 200 µL of MTT solution (5 mg/mL) was added to each well. The plates were incubated for an additional 4 hours, and then the medium was discarded. Dimethyl sulfoxide (600 µL) was added to each well, and the solution was vigorously mixed to dissolve tetrazolium dye. Then 100 µL of the dissolved dye from each of the wells was transferred into 96-well plates. The absorbance of each well was measured by enzyme-linked immunosorbent assay reader (Anthous 2020; AnthosLabtec Instruments, Salzburg, Austria) at a test wavelength of 570 nm against a standard reference solution at 690 nm. Nanoparticles were sterilized by membrane filtration (0.2 µm) in a laminar hood before MTT assay.

### Statistical analysis

Results are shown as mean ± standard deviation. Statistical data analyses were performed using statistical software program (SPSS 16; SPSS Inc, Chicago, IL). Comparison between data was done using one-way analysis of variance with a *P*-value < 0.05 as the minimal level of significance.

## Results and discussion

### Mean diameter, size distribution, and zeta potential of nanoparticles

To obtain nanoparticles with sizes below 200 nm, nanoparticles were prepared utilizing low-molecular-weight Chi (16–20 kDa).<sup>37</sup> The nanoparticles were prepared by ionic gelation upon addition of TPP to Chi in acetic acid under gentle magnetic stirring at room temperature. TPP has five negative ionic charge points that interact with the positive amino groups of Chi in acetic acid solution. Different parameters influence the characters of the nanoparticles. These include pH,<sup>38,39</sup> molecular weight of Chi,<sup>40</sup> Chi and

TPP concentration, addition of a second polymer/copolymer, and addition of an active compound.<sup>8,9,35</sup>

Among these parameters, Chi and TPP are the most important factors that control the size of the prepared nanoparticles. Chi and TPP can form nanoparticles in specific moderate concentrations.<sup>8</sup> Nanoparticles with smaller size have valuable characteristics such as improved drug delivery, longer circulation in blood, and lower toxicity.<sup>5,35</sup>

Table 1 shows the effect of increasing TPP concentration on particle size. It was observed that application of TPP with higher concentration can significantly increase the size of the particles ( $P < 0.002$ ). This could be due to the increase in the amount of anionic groups in the preparation medium, which causes more electrostatic interaction with positive amino sites on Chi, reduction of the positive surface charge, and increments in nanoparticles size ( $P < 0.003$ ). Zeta potential influences the stability of the nanoparticles through electrostatic repulsion.<sup>38,41</sup> TPP concentrations higher than 0.03% w/v form aggregated solutions, which are not confirmed as nanoparticles according to the report by Calvo et al.<sup>8</sup>

Table 2 demonstrates that the size of Chi nanoparticles increases as Chi concentration is increased. In all cases, TPP concentration was kept constant (0.025% w/v). The increased viscosity of higher Chi concentrations prevents effective ionic interaction between TPP and Chi solution, which increases nanoparticle size<sup>42</sup> with increase in zeta potential ( $P < 0.007$ ).

We prepared nanoparticles containing PF with the intention to make nanoparticles with a controlled release profile.<sup>13</sup> The addition of PF results in decreased size ( $P < 0.036$ ), which can be attributed to the formation of a rigid gel leading to lesser water uptake. Slighter swelling causes a reduction in the mean diameter of the particles in aqueous medium (Table 3).<sup>43</sup> Since there is no significant change in zeta potential by increasing concentrations of PF ( $P < 0.586$ ), it can be concluded that PF is mostly incorporated inside the nanoparticle matrix. This may be due to the PF concentration. In the

**Table 1** Effect of TPP concentration on mean diameter and zeta potential of Chi nanoparticles

TPP concentration (%w/v)	Mean diameter (nm)	Polydispersity index	Zeta potential (mv)
0.015	80 ± 5.65	0.230 ± 0.36	35.2 ± 0.62
0.02	109 ± 2.82	0.208 ± 0.50	29.85 ± 1.49
0.025	113 ± 5.29	0.186 ± 0.027	26.2 ± 1.76
0.03	166.6 ± 8.08	0.194 ± 0.018	18.13 ± 0.55

**Note:** Chi = 0.1% w/v.

**Abbreviations:** Chi, chitosan; TPP, sodium triphosphate.

**Table 2** Effect of Chi concentration on mean diameter and zeta potential of Chi nanoparticles

Chi concentration (%w/v)	Mean diameter (nm)	Polydispersity index	Zeta potential (mv)
0.2	148 ± 1.67	0.261 ± 0.026	35.8 ± 0.54
0.4	242 ± 2.56	0.358 ± 0.047	38.2 ± 1.25
0.6	256 ± 1.45	0.312 ± 0.029	44.1 ± 2.31
0.8	328 ± 3.32	0.379 ± 0.034	45.6 ± 0.76
1	452 ± 5.43	0.433 ± 0.053	46.9 ± 2.46
1.2	584 ± 3.47	0.486 ± 0.041	49.8 ± 1.78

**Note:** TPP = 0.025% w/v.

**Abbreviations:** Chi, chitosan; TPP, sodium triphosphate.

concentrations utilized in this study, conformational change in the copolymer molecule occurs and gives rise to a close-packed monomolecular unit with the poly(oxypropylene) hydrophobic core surrounded by poly(oxyethylene) units or the formation of multimolecular aggregates that are trapped inside the nanoparticle matrix.<sup>44</sup> In this case, PF does not seem to be attached to the surface of the nanoparticles, thus it does not influence the surface charge of the particles.

GC-loaded nanoparticles were prepared upon addition of GC in 0.025% w/v TPP into 0.1% w/v Chi or Chi–PF in acetic acid solution. To determine the effect of GC concentration on particle size, various concentrations of GC in 0.025% w/v TPP solution were applied. Table 4 shows that generally addition of GC increases the size of Chi nanoparticles, but does not significantly affect their zeta potential ( $P < 0.865$ ). The effect of GC concentration on particle size is more significant when the concentration rises from 0.4 to 0.6 mg/mL ( $P < 0.002$ ).

In general, GC-loaded Chi–PF nanoparticles size did not grow significantly at concentrations up to 0.4 mg/mL ( $P < 0.586$ ), but there was a change in size when the concentration of GC was increased from 0.4 to 0.6 mg/mL ( $P < 0.021$ ), and the size remains constant at concentrations above 0.6 mg/mL of GC in TPP solution (Table 5). GC is a low-molecular-weight anticancer drug. Therefore it may not be possible to severely increase the GC particle diameter until

**Table 3** Effect of PF concentration on mean diameter and zeta potential of Chi nanoparticles

PF (%w/w)	Mean diameter	PdI	Zeta potential
10	128 ± 2.64	0.165 ± 0.002	25.46 ± 0.75
15	117 ± 3.0	0.221 ± 0.068	23.86 ± 1.8
20	107 ± 3.29	0.148 ± 0.007	23.46 ± 1.2

**Notes:** Chi = 0.1% w/v, TPP = 0.025% w/v.

**Abbreviations:** Chi, chitosan; PdI, Polydispersity Index; PF, Pluronic F®127; TPP, sodium triphosphate.

**Table 4** Characterization of Chi nanoparticles by varying GC concentration

GC (mg/mL)	Mean diameter (nm)	Pdl	Zeta potential (mv)	EE (%)	LC (%)
–	113 ± 5.29	0.186 ± 0.027	26.2 ± 1.76	–	–
0.2	123.5 ± 3.53	0.143 ± 0.026	25.9 ± 0.71	63.68 ± 0.21	3.94 ± 0.40
0.4	130.66 ± 3.21	0.211 ± 0.031	25.46 ± 1.15	71.07 ± 0.39	5.26 ± 0.42
0.6	154 ± 4.58	0.157 ± 0.066	24.75 ± 0.77	67.25 ± 1.89	6.01 ± 0.28
0.8	157 ± 6.2	0.199 ± 0.043	24.4 ± 1.69	65.44 ± 1.13	5.48 ± 0.47
1	156.33 ± 4.04	0.310 ± 0.056	24.06 ± 2.05	63.79 ± 2.88	5.56 ± 0.53

**Notes:** Chi = 0.1% w/v, TPP = 0.025%.

**Abbreviations:** Chi, chitosan; EE, encapsulation efficiency; GC, gencitabine; LC, loading capacity; Pdl, Polydispersity Index; PF, Pluronic F®127; TPP, sodium triphosphosphate.

it reaches its maximum capacity inside the nanoparticles. GC concentration did not influence the zeta potential of the prepared nanoparticles ( $P < 0.944$ ).

### Nanoparticle encapsulation efficiency and loading capacity

The effect of different concentrations of GC on the EE and LC of Chi nanoparticles was determined. The observed results did not show a significant change in EE of nanoparticles ( $P < 0.1$ ), but maximum EE (71%) was achieved at 0.4 mg/mL of GC concentration (Table 4). While maximum LC was observed at 0.6 mg/mL of GC in TPP solution, the increase in drug concentration from 0.4 mg/mL to 1 mg/mL did not significantly affect the LC ( $P < 0.228$ ).

To determine the effect of PF incorporation on the EE and LC of the nanoparticles, 0.6 mg/mL of GC in TPP was added to different amounts of PF (10%, 15%, 20% w/w) in Chi in acetic acid solution. Augmentation of PF reduced EE ( $P < 0.024$ ), but did not significantly influence the LC of the nanoparticles ( $P < 0.126$ ) (Table 6). As analysis of FT-IR spectrum shows, GC interacts via a hydrogen bond and electrostatic interaction with the free positive amine groups available inside the nanoparticles. PF competes with GC in interacting with Chi by occupying the functional sites

**Table 5** Effect of GC concentration on the mean diameter and zeta potential of Chi–PF nanoparticles

GC concentration (mg/mL)	Mean diameter (nm)	Pdl	Zeta potential (mv)
–	107 ± 3.29	0.210 ± 0.011	23.50 ± 1.32
0.2	102 ± 1.92	0.167 ± 0.028	25.42 ± 0.87
0.4	98 ± 1.43	0.193 ± 0.015	24.9 ± 2.10
0.6	115 ± 2.12	0.167 ± 0.023	25.3 ± 0.65
0.8	109 ± 3.10	0.22 ± 0.031	24.7 ± 1.98
1	111 ± 1.64	0.154 ± 0.018	25.12 ± 1.57

**Note:** PF = 20% w/w.

**Abbreviations:** Chi, chitosan; GC, gencitabine; Pdl, Polydispersity Index; PF, Pluronic F®127.

of the Chi. The small molecular structure of GC cannot overcome the hindrance effect of PF, therefore incorporation of PF leads to reduced EE and therefore lower LC in comparison with Chi nanoparticles. The same results were reported by Wu et al when poly(ethylene glycol) (PEG) was added prior to gelation of Chi with TPP. Addition of PEG led to a lower encapsulation of ammonium glycyrrhizinate into the nanoparticles.<sup>45</sup>

### Morphology of nanoparticles

SEM micrographs (Figure 1) of Chi and Chi–PF nanoparticles loaded with GC, show that particles are spherical and uniform. TEM images of the nanoparticles also confirms the spherical shape. In Chi–PF nanoparticles, darker spots were observed inside the spherical matrix of the nanoparticles (Figure 2B), while they are not seen in Chi nanoparticles (Figure 2A). As both NP formulations prepared for TEM imaging were loaded with GC, thus, these darker spots are responsible for the contrast observed between Chi and PF. This micrograph proves the incorporation of PF inside the nanoparticle matrix and disproves the attachment of PF on the nanoparticle surface.

### Interaction between GC and nanoparticle matrix

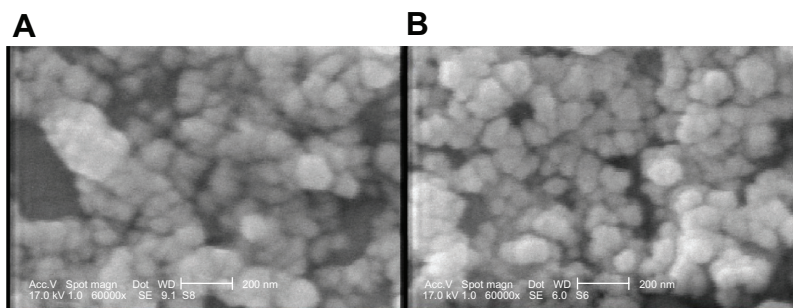
FT-IR spectrometry was used to find out the nature of interaction between GC, Chi, or TPP. Various physicochemical interactions between GC, Chi, PF, and TPP can alter the

**Table 6** Encapsulation efficiency and loading capacity of Chi–PF with different PF concentrations

PF (%w/w)	EE (%)	LC (%)
10	68.47 ± 1.97	5.43 ± 0.12
15	63.67 ± 0.78	4.85 ± 0.35
20	56.22 ± 1.56	4.33 ± 0.26

**Notes:** GC = 0.6 mg/mL, Chi = 0.1% w/v, TPP = 0.025% w/v.

**Abbreviations:** Chi, chitosan; EE, encapsulation efficiency; GC, gencitabine; LC, loading capacity; PF, Pluronic F®127.

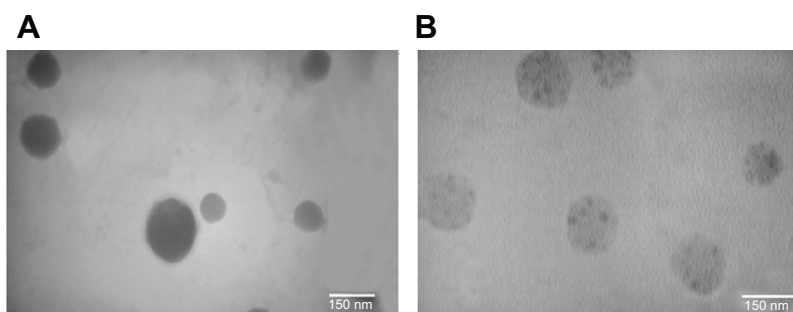


**Figure 1** SEM micrographs of GC-loaded (A) Chi nanoparticles (B) Chi-PF nanoparticles.

**Abbreviations:** Chi, chitosan; GC, gemcitabine; PF, Pluronic F<sup>®</sup>127; SEM, scanning electron microscopy.

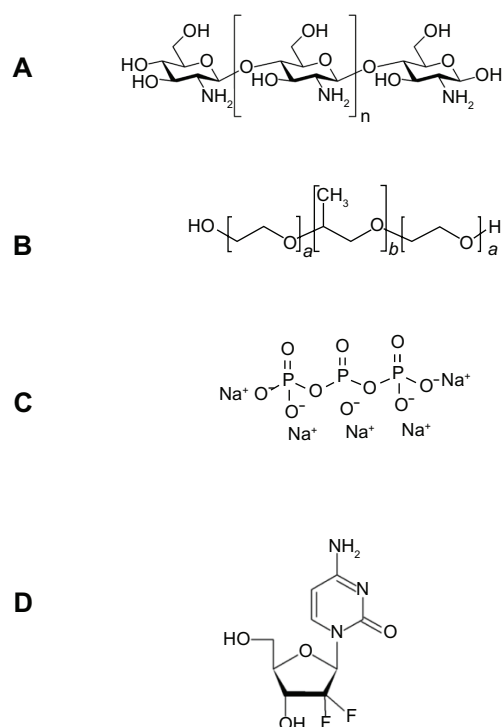
absorption peaks or broaden them. Nanoparticles are formed in an aqueous acidic medium, which leads to a positive charge for Chi due to the existence of a primary amino group on its molecular structure that can form an electrostatic interaction with TPP. In addition, by the existence of fluorine, hydrogen, oxygen, and nitrogen atoms in GC molecular structure (Figure 3), we expect hydrogen bonding between GC and nanoparticle matrix. In the spectra of pure Chi in Figure 4C, the vibrational band at 1638.3  $\text{cm}^{-1}$  represents the primary amino group in Chi, while the stretching bands at 1073.9  $\text{cm}^{-1}$ , 1429.7  $\text{cm}^{-1}$ , and 3442.4  $\text{cm}^{-1}$  are due to the C–O, C–H, and hydroxyl groups present in Chi, respectively. Two peaks at 2918.7  $\text{cm}^{-1}$  and 2852.8  $\text{cm}^{-1}$  show the stretching band of methylene in Chi structure. For blank Chi nanoparticles (Figure 4B), the amino band is shifted to 1550.3  $\text{cm}^{-1}$ , which indicates the ionic interaction between TPP and  $\text{NH}_2$  of Chi. The broad band with a maximum at 3370.8  $\text{cm}^{-1}$  represents hydrogenic bonds between hydroxyl groups in Chi with TPP. These interactions lead to a decrease in Chi solubility and nanoparticle formation. In the case of GC (Figure 4D), there is a characteristic peak at 1743.7  $\text{cm}^{-1}$ , which corresponds to the ureido group in GC molecular structure (Figure 3D). A peak at 3440.8  $\text{cm}^{-1}$  is due to the overlapped hydroxyl and amino bands in this area. When GC

was loaded in Chi nanoparticles (Figure 4A), a small band at 1735.7  $\text{cm}^{-1}$  appeared. On the other side, the free amino band at 1638.3  $\text{cm}^{-1}$  vanished and the peak at 1436.6  $\text{cm}^{-1}$  broadened. Therefore a strong interaction between GC and amino groups of Chi has occurred. The broad band at 3000–3500  $\text{cm}^{-1}$  indicates the strong hydrogen bond between drug and nanoparticle matrix. For PF (Figure 5B), a strong stretching band at 2870  $\text{cm}^{-1}$  represents the stretching vibrational band of methylene group. As in blank Chi–PF nanoparticles (Figure 5A), this peak remains and confirms the incorporation of PF in Chi–PF nanoparticles. For GC-loaded Chi–PF nanoparticles (Figure 5C), the ureido peak exists, which authenticates the encapsulation of GC in the nanoparticle matrix. In GC-loaded Chi–PF nanoparticles, the primary amino peak of Chi at 1560  $\text{cm}^{-1}$  in blank nanoparticles was shifted to 1443.1  $\text{cm}^{-1}$ . The same peak exists in GC-loaded Chi nanoparticles (Figure 4A). This may prove the potent interaction of the amino group of Chi with the drug. One possible mechanism can be the ionic interaction between GC and  $\text{NH}_2$  of Chi in addition to the hydrogen bond. Electron resonance between  $\text{NH}_2$  and the C = O functional group inside the pyrimidine structure of GC in TPP solution (pH = 9), leads to a negative charge on carbonyl group in the molecular structure of GC. This negative charge interacts



**Figure 2** TEM micrographs of GC-loaded (A) Chi nanoparticles (B) Chi-PF nanoparticles.

**Abbreviations:** Chi, chitosan; GC, gemcitabine; PF, Pluronic F<sup>®</sup>127; TEM, transmission electron microscopy.



**Figure 3** Schemes for (A) chitosan, (B) Pluronic®, (C) sodium tripolyphosphate, and (D) gemcitabine.

with the positive amino group of Chi in acetic acid solution when mixed together to form nanoparticles.

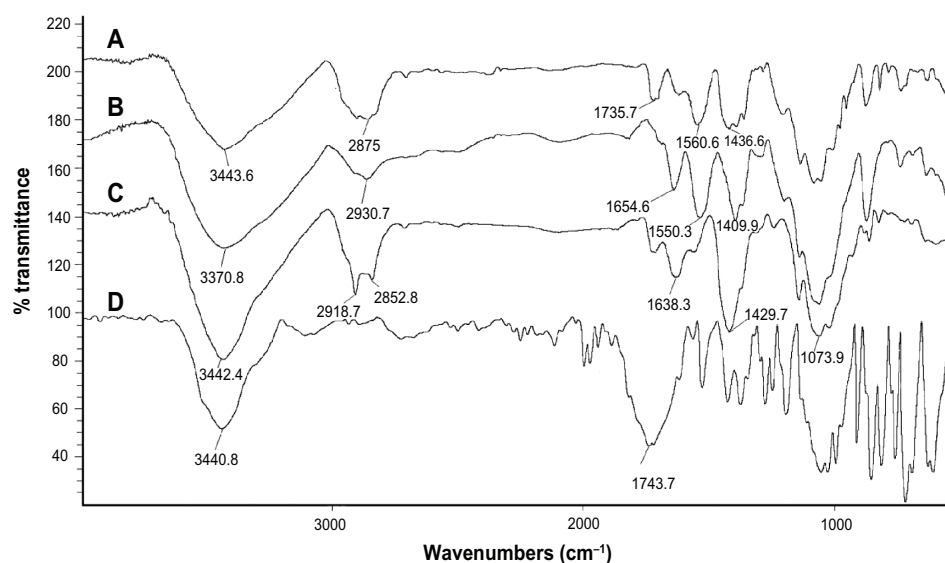
### Physical status of GC in nanoparticles

To confirm the physical state of the drug in nanoparticles, drug alone, blank nanoparticles of Chi and Chi–PF, and drug-loaded nanoparticles of the mentioned formulations

were examined with DSC. As shown in Figure 6D, the melting point of drug alone is observed with a sharp peak at 291.61°C. In blank Chi nanoparticles (Figure 6B), there was a broad endothermic peak at 130.22°C and an exothermic peak at 298.82°C. In blank Chi–PF nanoparticles (Figure 6F), an endothermic peak at 57.01°C and an exothermic peak at 419°C appeared. Blank nanoparticles of Chi and Chi–PF physically mixed with GC (Figure 6C and E, respectively) show similar endothermic peaks at the same temperature. In both cases, no melting peak was observed when GC-loaded Chi nanoparticles (Figure 6A) and GC-loaded Chi–PF nanoparticles (Figure 6G) were studied. It can be concluded that the drug is incorporated in its amorphous or disordered crystalline phase inside the nanoparticle matrix.

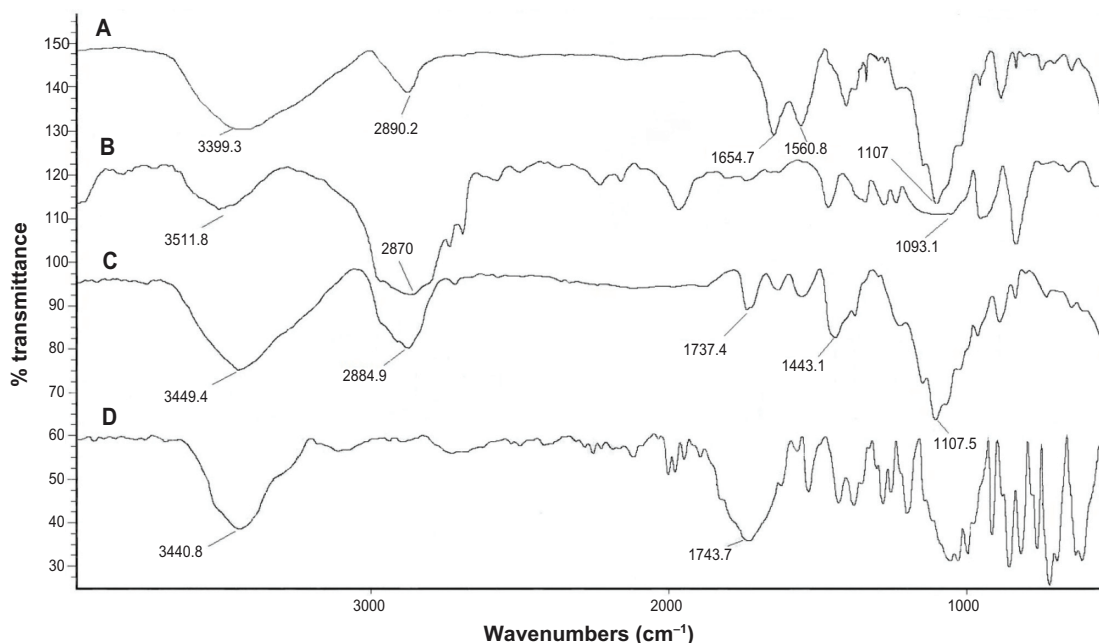
### In vitro release profile

We used different formulations containing PF (10%, 15%, and 20% w/w) to investigate the drug-release behavior of GC-loaded Chi–PF nanoparticles. The results were compared with GC-loaded Chi nanoparticles. The percentage of cumulative release was 85.86%, 79.58%, 71.23%, and 57.74% for Chi and Chi–PF nanoparticles incorporated with 10%, 15%, and 20% w/w PF after 72 hours, respectively. All formulations show an initial drug-release burst up to 6 hours continued by a steady-state release. The release medium easily penetrates into the tortuous paths on the peripheral surface of the nanoparticle matrix and dissolves the drug. The dissolved drug rapidly diffuses into the release medium near the surface of the nanoparticles, and exhibits a rapid burst release. Although our intention was to achieve a controlled



**Figure 4** Fourier transform infrared spectra of (A) GC-loaded Chi nanoparticles, (B) blank Chi nanoparticles, (C) Chi alone, and (D) GC.   
**Abbreviations:** Chi, chitosan; GC, gemcitabine; PF, Pluronic F®127.

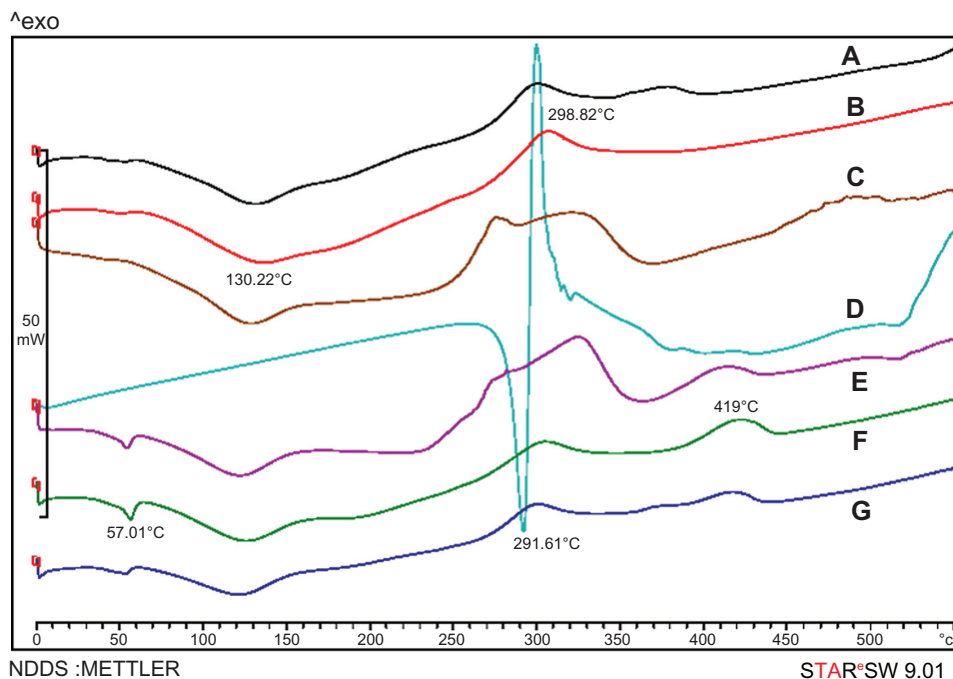




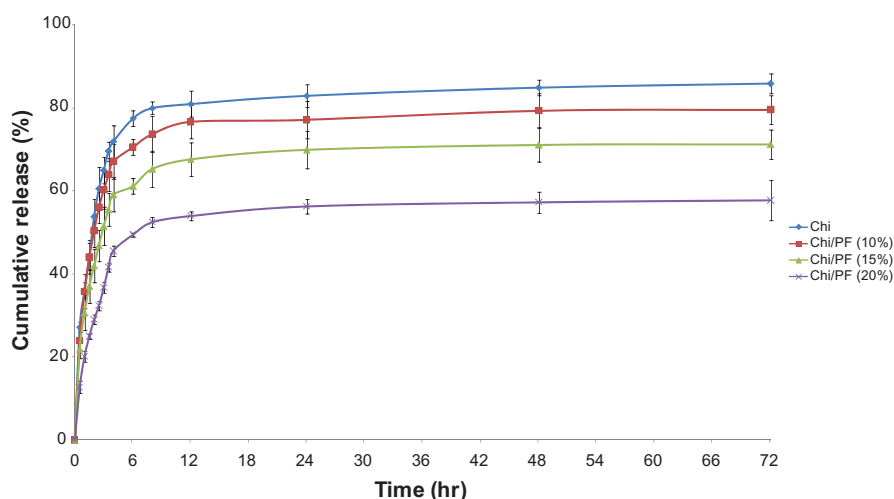
**Figure 5** Fourier transform infrared spectra of (A) blank Chi–PF nanoparticles, (B) PF, (C) GC-loaded Chi–PF nanoparticles, and (D) GC. **Abbreviations:** Chi, chitosan; GC, gemcitabine; PF, Pluronic F®127.

release profile by PF augmentation (Figure 7), incorporation of PF led to a lower release rate for Chi–PF nanoparticles in comparison with Chi nanoparticles. This might be due to the presence of PF, which has inhibited some of the paths for drug release. In addition, studies observed that PF solutions show

a thermoreversible gelation property at concentrations higher than 15%–20% w/w. This copolymer is liquid at 4°C–8°C. At body temperature, this polymer aggregates into micelles forming a semisolid gel with a dehydrated core of PPO surrounded by hydrated PEO. This micellar nature prolongs the



**Figure 6** Differential scanning calorimetry thermograms of (A) GC-loaded Chi nanoparticles, (B) blank Chi nanoparticles, (C) physical mixture of GC and blank Chi nanoparticles, (D) GC, (E) physical mixture of GC and Chi–PF nanoparticles, (F) blank Chi–PF nanoparticles, and (G) GC-loaded Chi–PF nanoparticles. **Abbreviations:** Chi, chitosan; GC, gemcitabine; PF, Pluronic F®127.



**Figure 7** In vitro release of gemcitabine from gemcitabine-loaded Chi and different formulations of Chi–PF nanoparticles in PBS (pH = 7.4) at 37°C (n = 3). **Abbreviations:** Chi, chitosan; PBS, phosphate-buffered saline; PF, Pluronic F®127.

release rate of GC. As discussed previously, the higher the PF concentration, the more rigid gel forms, therefore leading to a lower release rate.<sup>46</sup>

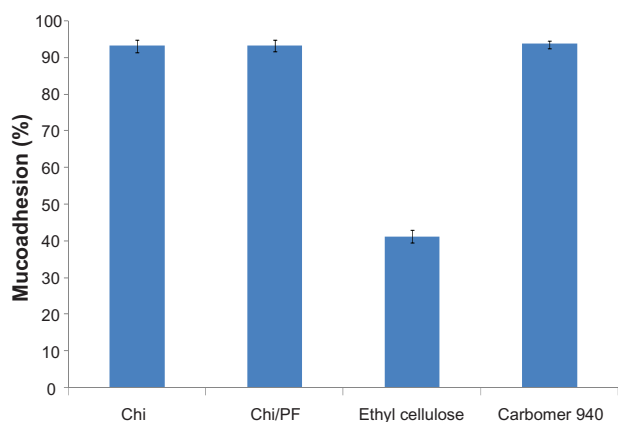
### Mucoadhesion study

The mucoadhesive behavior of Chi and Chi–PF nanoparticles were studied through mucus glycoprotein assay. Results are reported as mucoadhesion percentages in Figure 8; both formulations show high mucoadhesion in comparison with negative control. Incorporation of PF did not show a significant change in mucoadhesiveness. High mucoadhesivity of the nanoparticles is attributed to the hydrogen bond and ionic interaction of the positive charge of Chi amino groups with mucin chains.<sup>47</sup> Aghaei Moghaddam et al reported higher mucoadhesion for smaller particles than for larger particles.<sup>33</sup> The small nanoparticle size provides a large surface

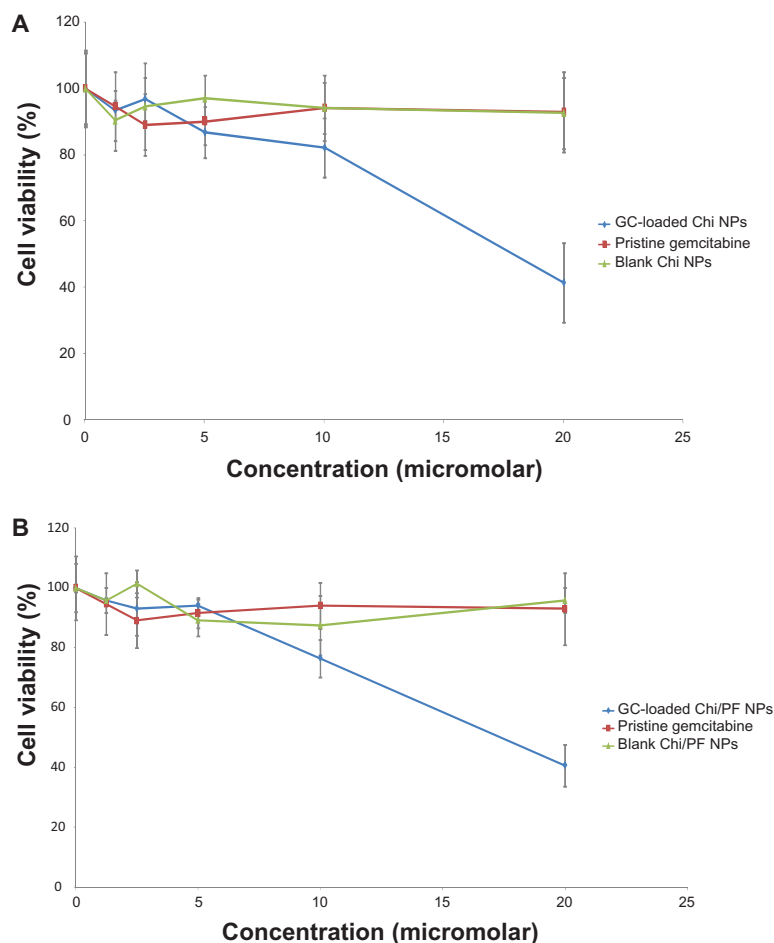
area and increase in mucin adsorption, which leads to a high mucoadhesive property for the nanoparticles.

### Cytotoxicity assay

The cytotoxicity of blank and GC-loaded Chi and Chi–PF nanoparticles and drug alone was assessed by MTT assay on the HT-29 colon carcinoma cell line. Blank nanoparticles and drug alone have no toxic effect on applied cells, but this effect was observed for GC-loaded nanoparticles (Figures 9A and 9B). There was no noticeable difference between the cytotoxic effect of GC-loaded nanoparticles prepared with Chi alone and Chi–PF (half-maximal concentration against 18.10 μM and 17.47 μM, respectively). Therefore, it can be concluded that incorporation of PF does not influence the cytotoxicity of the nanoparticles. GC is a hydrophilic anticancer drug that needs membrane transporters to enter the cells.<sup>29</sup> Cells can uptake nanoparticles by endocytosis<sup>48</sup> mediated by a clathrin-mediated process.<sup>49</sup> Therefore nanoparticles can act as drug delivery systems that facilitate drug entrance into the cells. HT-29 is a mucin-producing cell line.<sup>50,51</sup> As discussed previously, Chi and Chi–PF nanoparticles showed highly mucoadhesive properties, therefore GC-loaded Chi nanoparticles can interact with the mucin produced by HT-29 cell line and can become localized on the cell surface. Other studies have reported improved uptake of mucoadhesive Chi nanoparticles by the HT-29 cell line.<sup>52</sup> The release of GC from the adhered nanoparticles increases the availability of the drug for membrane transport and increases the accumulation of the drug inside the cells.<sup>28</sup> Both mechanisms described could be responsible for increased cytotoxicity of GC-loaded nanoparticles.



**Figure 8** Mucoadhesion (%) of Chi and Chi–PF nanoparticles (PF = 20% w/w) in comparison with controls (n = 3). **Abbreviations:** Chi, chitosan; PF, Pluronic F®127.



**Figure 9** The effect of GC-loaded Chi (A) and Chi–PF (B) nanoparticles on the viability of HT-29 (n = 4).  
**Abbreviations:** Chi, chitosan; GC, gemcitabine; PF, Pluronic F®127.

## Conclusion

GC-loaded nanoparticles were prepared by a mild process without using organic solvents. A particle size of less than 200 nm and high encapsulation efficiency demonstrated good mucoadhesion properties. GC-loaded nanoparticles could be considered as a good candidate for oral delivery of the anticancer drug. This hypothesis is partially proven by a cell culture study on the HT-29 colon carcinoma cell line. However other studies should be performed to improve the efficacy of these nanoparticles.

## Disclosure

The authors report no conflicts of interest in this work.

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