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## The Impact of Gd<sup>3+</sup> on DNP of [1-<sup>13</sup>C]Pyruvate Doped with Trityl OX063, BDPA, or 4-Oxo-TEMPO

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### Abstract

Hyperpolarized [1-<sup>13</sup>C]pyruvate has become an important diagnostic tracer of normal and aberrant cellular metabolism for *in vitro* and *in vivo* NMR spectroscopy (MRS) and imaging (MRI). In pursuit of achieving high NMR signal enhancements in dynamic nuclear polarization (DNP) experiments, we have performed an extensive investigation of the influence of Gd<sup>3+</sup> doping, a parameter previously reported to improve hyperpolarized NMR signals, on the DNP of this compound. [1-<sup>13</sup>C]Pyruvate samples were doped with varying amounts of Gd<sup>3+</sup> and fixed optimal concentrations of free radical polarizing agents commonly used in fast dissolution DNP: trityl OX063 (15 mM), 4-oxo-TEMPO (40 mM), and BDPA (40 mM). In general, we have observed three regions of interest, namely: (i) a monotonic increase in DNP-enhanced nuclear polarization  $P_{\text{dnp}}$  upon increasing the Gd<sup>3+</sup> concentration until a certain threshold concentration  $c_1$  (1–2 mM) is reached, (ii) a region of roughly constant maximum  $P$  from  $c_1$  until a concentration threshold  $c_2$  (4–5 mM), and (iii) a monotonic decrease in  $P_{\text{dnp}}$  at Gd<sup>3+</sup> concentration  $c > c_2$ . Of the three free radical polarizing agents used, trityl OX063 gave the best response to Gd<sup>3+</sup> doping with a 300 % increase in the solid-state nuclear polarization whereas addition of the optimum Gd<sup>3+</sup> concentration on BDPA and 4-oxo-TEMPO-doped samples only yielded a relatively modest 5–20 % increase in the base DNP-enhanced polarization. The increase in  $P_{\text{dnp}}$  due to Gd<sup>3+</sup> doping is ascribed to the decrease in the electronic spin-lattice relaxation  $T_{1e}$  of the free radical electrons which plays a role in achieving lower spin temperature  $T_s$  of the nuclear Zeeman system. These results are discussed qualitatively in terms of the spin temperature model of DNP.

### 1. INTRODUCTION

Since the pivotal invention of the fast dissolution technique in dynamic nuclear polarization (DNP) by Ardenkjaer-Larsen and co-workers in 2003,<sup>1</sup> DNP has attracted renewed and increasing interest especially in chemistry and biomedical NMR spectroscopy and imaging. DNP, a technology that has been used in the production of polarized targets for nuclear and particle physics experiments since the 1950s,<sup>2–5</sup> uses microwave irradiation to transfer high electronic polarization to the target nuclear spins (e.g. protons and deuterons) with optimal

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Supporting Information. <sup>13</sup>C microwave DNP spectra of trityl-doped [1-<sup>13</sup>C]pyruvate samples with longer irradiation times, <sup>13</sup>C pulsewidth calibration at DNP conditions, optimization of trityl OX063 free radical concentration in <sup>13</sup>C sodium pyruvate samples, polarization buildup curves of trityl-doped (15 mM) 1:1 (v/v) [1-<sup>13</sup>C]pyruvic acid:sulfolane sample with and without Gd-HP-DO3A, DNP-enhanced solid-state nuclear polarization  $P_{\text{dnp}}$  and  $T_1$  decay of BDPA-doped (20 mM) [1-<sup>13</sup>C]pyruvic acid samples, and solid-state  $P_{\text{dnp}}$  of 4-oxo-TEMPO-doped (20 mM) [1-<sup>13</sup>C]pyruvate samples. This material is available free of charge via the Internet at <http://pubs.acs.org>.

results at low temperature (1 K or less) and moderate magnetic field (> 1 T). While polarization transfer occurs at cryogenic temperatures, the incorporation of a fast dissolution device<sup>1</sup> that rapidly dissolves the frozen polarized sample allows the production of injectable liquids at physiological temperatures containing highly polarized nuclei of biological interest. Since the hyperpolarized state decays by spin-lattice  $T_1$  relaxation, an important requirement for successful dissolution DNP experiments is that the nucleus of interest should have sufficiently long  $T_1$  (typically at least 10 s) to preserve some or preferably, most of the nuclear spin polarization during the dissolution transfer from the polarizer to an NMR magnet/imaging system, a process that normally takes 5–10 s. Notable examples of biomedical applications of this technology include pH mapping in tumors using hyperpolarized  $^{13}\text{C}$ -bicarbonate,<sup>6</sup> grading the aggressiveness of prostate cancer with hyperpolarized  $^{13}\text{C}$ -pyruvate,<sup>7</sup> and in general, real-time monitoring of *in vitro* and *in vivo* biochemical/metabolic activities<sup>8–30</sup> via hyperpolarized  $^{13}\text{C}$  NMR spectroscopy (MRS) and imaging (MRI). Other long  $T_1$  nuclei that have been polarized via the fast dissolution DNP method include  $^{15}\text{N}$ ,<sup>31</sup>  $^6\text{Li}$ ,<sup>32</sup>  $^{89}\text{Y}$ ,<sup>33–35</sup> and  $^{107,109}\text{Ag}$ ,<sup>36</sup> among others.

Free radicals commonly used as polarizing agents in DNP include carbon centered free radicals such as trityl OX063 and BDPA and nitroxyls (Chart 1). The ESR properties of the free radical are crucial parameters in DNP. In this work, the results are qualitatively discussed in the context of the thermodynamic model of DNP involving the interaction of three thermal baths: electron Zeeman system, electron dipolar or spin-spin interaction system, and the nuclear Zeeman system.<sup>2–5</sup> Thermal mixing, which is the expected predominant DNP mechanism in our experiments, occurs when the ESR linewidth  $D$  of the free radical is greater than or comparable to the nuclear Larmor frequency.<sup>2–5</sup> In this regime, microwave irradiation dynamically cools the electron spin-spin interaction reservoir that has an energy matching the nuclear Zeeman reservoir, and there is thermal contact between the two reservoirs thereby resulting in equal spin temperature  $T_s$  for both systems.<sup>2–5</sup> The nuclear polarization levels achieved in DNP can be maximized by using appropriate glassing agents,<sup>35,37</sup> free radicals with narrow ESR linewidths,<sup>35,38</sup> and lower polarizer operating temperatures.<sup>39</sup> Another factor that can improve the maximum polarization (minimum  $T_s$  for nuclear spins) in DNP is the use of  $\text{Gd}^{3+}$  which has been described in earlier experiments on  $^{13}\text{C}$  samples doped with trityl OX063.<sup>40,41</sup> Consequently, several hyperpolarized  $^{13}\text{C}$  MRS and MRI experiments have reported the routine use of trace amounts (1–2 mM) of  $\text{Gd}^{3+}$  compounds and complexes such as  $\text{GdCl}_3$ , ProHance®, Magnevist®, Dotarem®, and 3-Gd® in trityl-doped  $^{13}\text{C}$  samples to dramatically improve the liquid-state NMR signal enhancements after dissolution.<sup>6,17–30,42–44</sup> The impact of  $\text{Gd}^{3+}$  on trityl-based polarizing agents has only been investigated over a limited concentration range (0–2 mM). Here, we present our studies on the influence of  $\text{Gd}^{3+}$  on the DNP of  $[1-^{13}\text{C}]$ pyruvate samples doped with three different free radical polarizing agents: trityl OX063, BDPA, and 4-oxo-TEMPO (Chart 1). In this work, the effect of  $\text{Gd}^{3+}$  (hereafter refers to the Gd-HP-DO3A complex) on the solid-state nuclear polarization  $P_{\text{dnp}}$  and spin-lattice relaxation  $T_1$  of  $[1-^{13}\text{C}]$ pyruvate was investigated over a wider concentration range (up to 8 mM) with each radical.

## 2. EXPERIMENTAL METHODS

### Materials

The free radical polarizing agents used in this work were obtained from commercial sources: (i) tris{8-carboxyl-2,2,6,6-benzo(1,2-d:5-d)-bis(1,3)dithiole-4-yl}methyl sodium salt (trityl OX063) [Oxford Instruments Molecular Biotoools], (ii) 1,3-bisdiphenylene-2-phenylallyl (BDPA) [Sigma-Aldrich], and (iii) 4-oxo-2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO) [Sigma-Aldrich].  $[1-^{13}\text{C}]$ pyruvic acid and  $[1-^{13}\text{C}]$ sodium pyruvate were purchased from Sigma-Aldrich. ProHance® (Bracco Diagnostics, New Jersey) was obtained as 0.5 M Gd-

HP-DO3A solution in water. All chemicals and glassing solvents (glycerol, sulfolane) used in this study were purchased from commercial sources and used without further purification.

**Trityl-doped samples**—[1-<sup>13</sup>C]sodium pyruvate solution (1.4 M) in 1:1 (v/v) glycerol:water glassing matrix was prepared and doped with trityl OX063 (15 mM). Small aliquots of these samples were prepared with different concentrations of Gd<sup>3+</sup> (0–8 mM ProHance).

**BDPA-doped samples**—BDPA was prepared in sulfolane via sonication (80 mM) and mixed with equal amount (volume) of [1-<sup>13</sup>C]pyruvic acid as previously described.<sup>45</sup> The final concentration of BDPA in the mixture was 40 mM. Small aliquots were prepared with different concentrations of Gd<sup>3+</sup> (0–8 mM ProHance).

**TEMPO-doped samples**—[1-<sup>13</sup>C]sodium pyruvate (1.4 M) in 1:1 (v/v) glycerol:water glassing matrix was prepared and doped with 4-oxo-TEMPO (40 mM). Small aliquots were prepared with different concentrations of Gd<sup>3+</sup> (0–8 mM ProHance).

### Microwave frequency sweep

The samples (100  $\mu$ L aliquots) were polarized in a HyperSense® DNP (Oxford Instruments, England) for 3 minutes at each different microwave frequency and the NMR signal intensity was recorded with the built-in solid state NMR spectrometer. A series of hard rf excitation pulses was applied to destroy the residual magnetization before starting the polarization of the sample at the next microwave frequency. In addition, the <sup>13</sup>C microwave DNP spectra with longer microwave irradiation time (1 hour) of trityl-doped <sup>13</sup>C pyruvate samples were also recorded (see the Supporting Information) for comparison.

### Measurement of solid-state nuclear polarization

The DNP-enhanced nuclear polarization of the frozen sample  $P_{\text{dnp}}$  was calculated by multiplying the NMR signal enhancement  $\epsilon$  (ratio of integrated NMR intensity of the hyperpolarized NMR signal over the thermal NMR signal of the sample) with the calculated thermal nuclear polarization  $P_{\text{thermal}}$  of the sample at cryogenic conditions. The calculated <sup>13</sup>C thermal polarization at 3.35 T and 1.4 K is  $P_{\text{thermal}}=6.147\times 10^{-2}\%$  using the Boltzmann distribution equation for an ensemble of <sup>13</sup>C nuclear spins. The NMR spectra were acquired using a Varian VNMRS spectrometer (Agilent Technologies, Santa Clara, CA).

### Measurement of solid-state nuclear spin-lattice relaxation time $T_1$

100  $\mu$ L aliquots were polarized at 3.35 T and 1.4 K in the HyperSense until they reached their corresponding maximum polarizations. The microwave source was then turned off and the decay of the hyperpolarized NMR signal of the sample inside the polarizer (3.35 T, 1.4 K) was monitored by applying a 1.5-degree rf excitation pulse (see pulsewidth calibration in the Supporting Information) every 300 s using the Varian VNMRS spectrometer. The decay curves were fitted to an equation accounting for the magnetization decay due to  $T_1$  decay and rf excitation.<sup>46</sup> Values of <sup>13</sup>C  $T_1$  of the sample in the frozen state were extracted from these fits.

### Data Analyses

The NMR data were acquired using a Varian VNMR spectrometer and the spectra were processed using ACDLABS ver. 12 software (Advanced Chemistry Development, Inc., Toronto, Canada). The graphs, fits, and data analyses were done using Igor Pro ver. 6 (Wavemetrics Inc., Portland, OR).

### 3. RESULTS AND DISCUSSION

#### 3.1. DNP of trityl-doped [1-<sup>13</sup>C] sodium pyruvate

A number of experiments<sup>1,35,41,47</sup> have shown that the DNP of low- $\gamma$  nuclei (e.g. <sup>13</sup>C, <sup>89</sup>Y) doped with the free radical trityl OX063 proceeds predominantly via thermal mixing. The optimum concentration of trityl OX063 in <sup>13</sup>C samples that gives the maximum solid-state polarization in a reasonable amount of microwave irradiation time has been reported to be ~15 mM<sup>41,48</sup> (see also the Supporting Information). Trityl OX063 (Chart 1) has an ESR linewidth  $D = 2.24$  mT,<sup>41</sup> which is among the narrowest of the free radicals used for fast dissolution DNP-NMR. In the thermal mixing regime, trityl OX063 is an efficient DNP polarizing agent for low- $\gamma$  nuclei such as <sup>13</sup>C because its narrow  $D$  translates to lower electron heat capacity yielding a lower spin temperature for the electron spin-spin interaction reservoir which is in thermal contact with the nuclear Zeeman system.<sup>2-5</sup> This results in lower spin temperature of the nuclear Zeeman system/high nuclear polarization  $P_{\text{dnp}}$ .

Figure 1 shows the microwave DNP spectra of 1.4 M [1-<sup>13</sup>C]sodium pyruvate in 1:1 (v/v) glycerol:water glassing matrix doped with a) 15 mM trityl OX063, b) 15 mM trityl OX063 plus 5 mM Gd<sup>3+</sup>, and c) 5 mM Gd<sup>3+</sup>. The microwave DNP spectra shown here were plotted by recording the <sup>13</sup>C NMR intensity every after three minutes of microwave irradiation of the sample at different microwave frequencies. This relatively short irradiation time would give the approximate locations of the optimum DNP irradiation frequencies, namely the positive polarization peak  $P(+)$  and negative polarization peak  $P(-)$ . A comparison with the microwave DNP spectra of <sup>13</sup>C samples taken at a longer microwave irradiation time (1 hour; see the Supporting Information) reveals almost the same locations of  $P(+)$  and  $P(-)$ , with a slight offset of 5–10 MHz down in frequency relative to the data taken with a 3-minute irradiation time shown in Figure 1. Theoretically, the longer irradiation times would give values closer to the actual locations of the optimum polarization peaks. For practical purposes, however, the shorter irradiation times could show the approximate locations of  $P(+)$  and  $P(-)$ . Nevertheless, this slight offset only has a relatively minor effect on the polarization buildup results considering the broadness of the polarization peaks.

The addition of Gd<sup>3+</sup> to trityl-doped [1-<sup>13</sup>C]sodium pyruvate samples leads to two main effects: (1) a decrease in the separation distance between polarization peaks  $P(+)$  and  $P(-)$  as shown in Figure 1b and (2) an increase in the NMR intensity. Since it was shown elsewhere<sup>49</sup> that the polarization buildup time constant  $\tau_{\text{bu}}$  tends to become longer further out the tails of the microwave DNP spectrum, a question arises on whether or not the first effect is due to polarization buildup kinetic effect attributed to a relatively short irradiation time (3 minutes). The <sup>13</sup>C microwave spectrum of the <sup>13</sup>C pyruvate sample doped with 4 mM Gd<sup>3+</sup> measured at the longer irradiation time (1 hour; see the Supporting Information) shows the same narrowing of the polarization peak separation distance. Currently, the exact cause for the decrease in the separation between  $P(+)$  and  $P(-)$  is not clear. For the second effect, the improvement in the DNP-enhanced NMR intensity in the presence of Gd<sup>3+</sup> has been observed previously<sup>40,41</sup> and is ascribed to the shortening of the electronic spin-lattice relaxation time  $T_{1e}$ .

To eliminate the possibility that the paramagnetic Gd<sup>3+</sup> itself acts as polarizing agent, we also performed a microwave sweep on [1-<sup>13</sup>C] sodium pyruvate samples doped with 5 mM Gd<sup>3+</sup> only as displayed in Figure 1c and found no indication that Gd<sup>3+</sup> acts as polarizing agent in this microwave frequency window. This is expected because the frequency range the HyperSense is capable of is centered on  $g \approx 2$  organic free radicals such as trityl OX063 and BDPA. The ESR resonance of  $g \approx 2$  metal ions such as Gd<sup>3+</sup> is located further upfield (lower microwave frequency in a fixed field) that is outside the microwave frequency

window of the HyperSense. It should be noted however, that  $\text{Gd}^{3+}$  compounds such as  $\text{GdCl}_3$  and the  $\text{Gd}^{3+}$  complex of 1,4,7,10-terazacyclododecane-1,4,7,10-tetraacetic acid (DOTA) have been used as DNP polarizing agents for protons at 70–80 K where, neglecting the broad overlapping resonances of the higher order transitions, the narrow ESR linewidth of the central transition  $\pm 1/2 \rightarrow \mp 1/2$  of the  $S=7/2$   $\text{Gd}^{3+}$  can transfer polarization to the proton spins via the solid effect.<sup>50,51</sup> However, at low temperature close to 1 K, the electron polarization is higher and most of the electron spins reside in the Zeeman ground state ( $m_s=7/2$ ) and thus the transitions from this level form a broad signal which dominates the ESR spectrum.<sup>52</sup> In addition to the fact that the  $\text{Gd}^{3+}$  ESR resonance is located far from that of the trityl OX063, the very broad ESR line of  $\text{Gd}^{3+}$  due to the higher-order transitions would be ineffective for DNP via thermal mixing. Therefore, the  $\text{Gd}^{3+}$  in this system does not act as polarizing agent in this case but rather aids the free radical electrons in achieving more efficient transfer of polarization to the nuclear spins.

So far, the effect of  $\text{Gd}^{3+}$  has not been investigated systematically over a wide concentration range. Therefore, we have performed DNP experiments with trityl-doped (15 mM)  $[1-^{13}\text{C}]$  sodium pyruvate samples in which the concentration of  $\text{Gd}^{3+}$  was varied from 0 to 8 mM. The samples were irradiated at 94.072 GHz near the positive polarization peaks of Gd-free and Gd-doped  $^{13}\text{C}$  samples. Figure 2a shows the representative  $^{13}\text{C}$  polarization buildup curves at 3.35 T and 1.4 K of samples containing different concentrations of  $\text{Gd}^{3+}$ . Figure 2b provides a summary that highlights the three  $\text{Gd}^{3+}$  concentration regions of interest on the basis of its effect on solid-state nuclear polarization of  $[1-^{13}\text{C}]$ pyruvate. The maximum  $^{13}\text{C}$  polarization in the absence of  $\text{Gd}^{3+}$  was 9.6 % after microwave irradiation of the sample for 3–4 hours. The  $^{13}\text{C}$  nuclear polarization increases nearly linearly as  $\text{Gd}^{3+}$  is increased from 0–2 mM then plateaus between 2–5 mM at a polarization level of ~40 % as shown in Figure 2b, and then declines at  $\text{Gd}^{3+}$  concentration  $c > 5$  mM. In comparison, a previous DNP study on neat  $[1-^{13}\text{C}]$ pyruvic acid doped 15 mM trityl OX063 polarized at 3.35 T and 1.2 K yielded a  $^{13}\text{C}$  nuclear polarization improvement from ~20 % to ~40 % with the addition of 1.5 mM  $\text{GdCl}_3$ .<sup>41</sup> In Figure 2c, the polarization buildup time constant  $\tau_{\text{bu}}$  monotonically increases with  $\text{Gd}^{3+}$  doping over this same concentration range. In a simple scenario, a shorter electronic  $T_{1e}$  would mean that the free radical electron would polarize nuclear spins faster, thus  $\tau_{\text{bu}}$  should decrease. This result however suggests that the polarization buildup kinetics in this case is more than just fast electronic relaxation and nuclear spin diffusion.

We interpret the increase in nuclear polarization with  $\text{Gd}^{3+}$  doping using the spin temperature model of DNP to explain the increase in the nuclear polarization with  $\text{Gd}^{3+}$  doping. Theoretically, the upper limit of DNP-enhanced nuclear polarization  $P_{\text{dnp,max}}$  for an ensemble of nuclei with spin  $I=1/2$  is given by:<sup>53,54</sup>

$$P_{\text{dnp,max}} = \tanh \left( \beta_L \frac{\omega_e \omega_l}{4D} \frac{1}{\sqrt{\eta(1+f)}} \right) \quad (1)$$

where  $\beta_L = \hbar/k_B T_L$  ( $\hbar$ ,  $k_B$ ,  $T_L$  are the Planck's constant divided by  $2\pi$ , the Boltzmann constant, and lattice temperature, respectively),  $\omega_e$  and  $\omega_l$  are the electron and nuclear Larmor frequencies, respectively,  $D$  is the ESR linewidth,  $\eta = T_{1Z}/T_{1D}$  ( $T_{1Z}$ ,  $T_{1D}$  are the electronic Zeeman and dipolar spin-lattice relaxation times, respectively), and  $f$  is the so-called “leakage factor” of nuclear relaxation. Equation 1 can be simplified to  $P_{\text{dnp,max}} = \tanh(\mu B/k_B T_{s,\text{min}})$  where the minimum spin temperature is expressed as  $T_{s,\text{min}} = (2D \sqrt{\eta(1+f)}/\omega_e) T_L$ .<sup>35</sup> These equations point out that the key to achieving high nuclear polarization levels under the thermal mixing DNP mechanism is to minimize the spin temperature  $T_s$  of the electron spin-spin interaction reservoir and in this model, lower



$T_s$  can be achieved by decreasing the values of these parameters:  $D$ ,  $T_L$ ,  $\eta$ , and  $f$  and/or increasing the magnetic field (higher  $\omega_e$ ). Since  $T_L$  and  $B$  are determined by the physical limits of the DNP hardware, the parameters that can be influenced by  $Gd^{3+}$  doping can be narrowed to  $D$ ,  $\eta$ , and  $f$ . It is assumed that  $Gd^{3+}$  doping has a minimal effect on the ESR linewidth  $D$  over the concentration range examined here (0 to 8 mM). This leaves the factor  $\sqrt{\eta(1+f)}$  as the composite parameter that effectively changes with  $Gd^{3+}$  doping.

Previous ESR studies<sup>41</sup> on trityl-doped samples (15 mM trityl OX063 in neat [1-<sup>13</sup>C] pyruvic acid at 3.35 T and 1.2 K) have shown that the electronic Zeeman  $T_{1Z}$  of the free radical paramagnetic electron was reduced from approximately 1.2 s to 0.3 s with the addition of 1 mM  $GdCl_3$  while the nuclear longitudinal relaxation  $T_1$  seemingly remained unaffected at this  $GdCl_3$  concentration. This result implies that the parameter  $\eta$ , in particular the electronic Zeeman relaxation time  $T_{1Z}$ , is reduced in the presence of  $Gd^{3+}$  thereby lowering the spin temperature of the electron spin-spin interaction reservoir. A thermodynamic view of this case is that the rate of cooling of the electron Zeeman system is faster than the rate of heating of the electron dipolar system.<sup>55</sup>

Let us first consider the  $Gd^{3+}$  concentration range of 0 to 1 mM where  $P_{dnp}$  monotonically rises. As mentioned before, the nuclear  $T_1$ 's in this region are barely affected as the  $Gd^{3+}$  predominantly shortens only the electronic  $T_{1Z}$  (Figure 3). Figure 2b shows the dependence of the maximum solid-state <sup>13</sup>C nuclear polarization on the concentration of  $Gd^{3+}$ . The maximum <sup>13</sup>C  $P_{dnp}$  for the  $Gd^{3+}$ -free sample (1.4 M [1-<sup>13</sup>C] pyruvate and 15 mM trityl OX063 in 1:1 (v/v) glycerol:water glassing matrix) is 9.6 % which corresponds to a spin temperature  $T_s=8.96$  mK.  $P_{dnp}$  increases almost linearly, which implies that the composite parameter  $\sqrt{\eta(1+f)}$  monotonically decreases, on the gradual addition of  $Gd^{3+}$  from 0 to  $c_1=1$  mM. Further addition of  $Gd^{3+}$  complex in the sample from  $c_1=1$  mM to  $c_2=5$  mM yielded a constant polarization of approximately 40 % which corresponds to  $T_s \approx 2$  mK. This suggests that  $\sqrt{\eta(1+f)}$  remains the same in this concentration range; in this case, a further decrease in electronic relaxation ratio ( $\eta$ ) due to  $Gd^{3+}$  doping is probably offset by a slight increase in the leakage factor  $f$  as suggested by the decrease of solid-state nuclear  $T_1$  with higher  $Gd^{3+}$  concentration shown in Figure 3. Finally for  $c > c_2$ , the polarization drops monotonically suggesting a monotonic increase in  $\sqrt{\eta(1+f)}$  (higher spin temperature). A detailed ESR measurement of the electronic relaxation of the free radical at DNP conditions is required to identify the individual effects of  $Gd^{3+}$  doping on  $\eta$  and implicitly on  $f$ .

The solid-state nuclear relaxation  $T_1$  of [1-<sup>13</sup>C] pyruvate samples (1.4 M in 1:1 (v/v) glycerol:water doped with 15 mM trityl OX063) at 3.35 T and 1.4 K monotonically decreases with increasing  $Gd^{3+}$  concentration as shown in Figure 3. At this low temperature, the relative motions of the electron and nuclear spins are frozen out, and thus the nuclear spins can relax through the fixed paramagnetic impurities which, in this case, refer to the trityl OX063 free radical (with a fixed 15 mM concentration) and a varying  $Gd^{3+}$  concentration (0 to 8 mM) present in the <sup>13</sup>C pyruvate samples. In general, the decrease in solid-state <sup>13</sup>C  $T_1$  with  $Gd^{3+}$  doping can thus be approximately explained by a relaxation equation of an ensemble of nuclear spins due to a low concentration of fixed paramagnetic impurities:<sup>2,3</sup>

$$\frac{1}{T_1} \approx \frac{8\pi S(S+1)}{5} \frac{N_s \gamma_e^2 \gamma_n^2 \hbar^2}{3 b^3} \frac{\tau_c}{1+(\omega_n \tau_c)^2} (1-P_e^2) \quad (2)$$

where  $S$  is the spin number,  $N_s$  is the number of paramagnetic impurities per unit volume,  $b$  is the diffusion barrier,  $\tau_c$  is the spin correlation time, and  $P_e$  is the electron thermal

polarization. The correlation time  $\tau_c$  is due to the fluctuating local field of the electrons. In the slow motion limit  $\omega_n \tau_c \gg 1$ , the term  $\tau_c / (1 + (\omega_n \tau_c)^2)$  reduces to  $1 / \omega_n^2 \tau_c$ . The factor  $(1 - P_e^2)$  indicates that as electron thermal polarization approaches unity (100 %) by decreasing the temperature and/or increasing the field, the nuclear relaxation rate  $1/T_1$  approaches zero because the electron spins are all in the ground state; the fluctuations disappear and the source of nuclear relaxation is removed.<sup>2,3</sup> It can be easily seen from Equation 2 that increasing the concentration of paramagnetic impurities  $N_s$  can lead to faster nuclear relaxation rate (shorter  $T_1$ ). Experimentally, although the decrease in the trityl OX063 free radical  $T_{1e}$  with  $Gd^{3+}$  doping was drastic (the  $T_{1e}$  at 3.35 T and 1.2 K decreased from  $\sim 1.2$  s to 0.3 s with the addition of 1 mM  $GdCl_3$ ),<sup>41</sup> the decrease in nuclear  $T_1$  is less dramatic (from  $T_1 = 10300$  s for a Gd-free sample to  $T_1 = 8200$  s for a  $^{13}C$  pyruvate sample doped with 8 mM  $Gd^{3+}$ ) as shown in Figure 3. These results suggest that the  $^{13}C$  nuclear relaxation in this case proceeds predominantly through the electron dipolar system and the small decrease in  $^{13}C$   $T_1$  with  $Gd^{3+}$  doping is attributed to the slight effect of the mechanism described in Equation 2. The solid-state  $T_1$  decay curves of hyperpolarized trityl-doped  $^{13}C$  pyruvate samples with 0 mM and 4 mM  $Gd^{3+}$  (Figure 3) almost overlap in agreement with the results of a previous study<sup>41</sup> where  $GdCl_3$  doping barely changed the  $^{13}C$   $T_1$  in the concentration range 0–2 mM.

### 3.2. DNP of BDPA-doped [1- $^{13}C$ ]pyruvic acid

The carbon-centered free radical, 1,3-bisdiphenylene-2-phenylallyl (BDPA) has an ESR linewidth  $D$  comparable with that of trityl OX063 and, therefore, is expected to have similar DNP efficiency via the thermal mixing process. Although BDPA is insoluble in water, it is readily soluble in sulfolane and a few other solvents (e.g. methanol, diethylene glycol monobenzyl ether, DMSO). We have shown recently that comparable or slightly higher nuclear polarizations can indeed be achieved for [1- $^{13}C$ ]pyruvic acid doped with BDPA (40 mM) than with trityl OX063 (15 mM) in the absence of  $Gd^{3+}$ .<sup>45</sup> In addition, BDPA offers the advantage of easy removal in the dissolution liquid by simple filtration when water is used as the dissolution solvent.<sup>45</sup> The optimum concentration of BDPA for DNP was found to be 20–40 mM, but, for practical purposes, 40 mM BDPA concentration is used because maximum nuclear polarization is reached with less microwave irradiation time.

For DNP experiments with BDPA, we opted to use free [1- $^{13}C$ ]pyruvic acid, which is miscible with sulfolane, instead of sodium [1- $^{13}C$ ]pyruvate salt which is poorly soluble in the glassing solvents (DMSO or sulfolane) suitable for BDPA. The DNP samples consisted of 1:1 (v/v) sulfolane:[1- $^{13}C$ ]pyruvic acid doped with 40 mM BDPA as described in a previous work.<sup>45</sup> The  $^{13}C$  microwave DNP spectra of BDPA-doped [1- $^{13}C$ ] pyruvic acid in the presence and absence of  $Gd^{3+}$  (Figure 4) are similar to those obtained with trityl OX063. The narrowing in the separation distance of the positive and negative polarization peaks in the presence of  $Gd^{3+}$  is also present. The polarization buildup curves were taken at 94.055 GHz, close to the positive polarization peaks of the Gd-free and Gd-doped DNP samples.

Figure 5a shows the polarization buildup curves for [1- $^{13}C$ ]pyruvic acid samples doped with different concentrations of  $Gd^{3+}$  and a summary of maximum  $P_{dnp}$  obtained with different  $Gd^{3+}$  concentrations is displayed in Figure 5b. Figure 5c shows that the polarization buildup time constant remains roughly constant ( $\tau_{bu} \approx 800$  s) over the  $Gd^{3+}$  concentration range of 0–8 mM. The relatively faster polarization buildup time  $\tau_{bu}$  for these BDPA-doped samples is attributed to a faster spin diffusion,<sup>56</sup> a combined effect of the high density of  $^{13}C$  spins (7.7 M [1- $^{13}C$ ]pyruvic acid after mixing with equal volume of sulfolane) as well as to the high BDPA free radical concentration (40 mM). The maximum  $^{13}C$  nuclear polarization attained for a Gd-free BDPA-doped (40 mM) pyruvic acid sample is close to 12 % corresponding to  $T_s = 7.16$  mK. Addition of  $Gd^{3+}$  in the sample of up to 1 mM led to a small increase in  $P_{dnp}$  (up to 14 % maximum polarization;  $T_s = 6.12$  mK) and this polarization level remained

roughly constant in the  $\text{Gd}^{3+}$  concentration range from  $c_1=1$  mM to  $c_2=4$  mM. Further addition of  $\text{Gd}^{3+}$  at  $c>c_2$  led to a monotonic decrease in the nuclear polarization.

These trends are similar to those obtained for the trityl doped-samples, but the improvement in the base nuclear polarization (Gd-free  $P_{\text{dnp}}$ ) for BDPA-doped samples is relatively small compared to the results obtained with trityl OX063. A question arises whether a lower concentration of BDPA would improve the maximum nuclear polarization currently achieved with  $\text{Gd}^{3+}$  doping. To this end, we have performed DNP measurements of the same samples doped with 20 mM BDPA. The base  $P_{\text{dnp}}$  was found to be close to 13 % and with the addition of 2.5 mM  $\text{Gd}^{3+}$ , the polarization jumped to 17 % ( $T_s=5.03$  mK) as shown in Figure 5b. With a lower BDPA concentration (20 mM) in the sample, the improved polarization level was achieved at the expense of a longer microwave irradiation time with a buildup time constant increased to  $\tau_{\text{bu}}=2050$  s (see the Supporting Information).

It should be noted that direct comparison of the effect of  $\text{Gd}^{3+}$  on the DNP with trityl OX063 and BDPA samples cannot be made because the substrates (sodium pyruvate and pyruvic acid) as well as the glassing matrices (water-glycerol and sulfolane) were different. The nature of glassing agents may have significant influence on DNP.<sup>35,37</sup> Preliminary data (Supporting Information) on the polarization of 1:1 sulfolane:[1- $^{13}\text{C}$ ]pyruvic acid sample doped with 15 mM trityl OX063 yielded a value close to 12 % and with the addition of 2.5 mM  $\text{Gd}^{3+}$ , the nuclear polarization only doubled to  $P_{\text{dnp}}\approx 25$  %.

Figure 6 shows the effect of  $\text{Gd}^{3+}$  doping on the solid-state nuclear  $T_1$  of the BDPA-doped samples inside the HyperSense polarizer at 3.35 T and 1.4 K. The solid-state  $T_1$  relaxation for a Gd-free [1- $^{13}\text{C}$ ] pyruvic acid sample doped with 40 mM BDPA is close to 3000 s and it decreases to 2200 s with the addition of 8 mM  $\text{Gd}^{3+}$ . On the other hand, a Gd-free  $^{13}\text{C}$  pyruvic acid sample doped with 20 mM BDPA has a  $T_1=6800$  s and with 10 mM  $\text{Gd}^{3+}$  doping,  $T_1=3400$  s (see the  $T_1$  decay curves in the Supporting Information). The higher polarization obtained with Gd-doped [1- $^{13}\text{C}$ ]pyruvic acid in the presence of 20 mM BDPA suggests that achieving optimal results requires a delicate balance of the electronic and nuclear relaxation parameters<sup>53</sup> that are embedded in Equation 1.

### 3.3. DNP of [1- $^{13}\text{C}$ ] sodium pyruvate doped with 4-oxo-TEMPO

The nitroxide-based free radical 4-oxo-TEMPO has an ESR linewidth ( $D=5.25$  mT at 2.5 T and 1 K)<sup>53</sup> that is much wider than that of the carbon-centered free radicals, trityl OX063 and BDPA. As a consequence, the DNP of 4-oxo-TEMPO-doped  $^{13}\text{C}$  substrates, which proceeds predominantly via thermal mixing, yields relatively lower polarization compared with trityl and BDPA. Nevertheless, 4-oxo-TEMPO and other nitroxyls are becoming routine polarizing agents in fast dissolution DNP-NMR because of their commercial availability and lower cost. The optimum concentration of 4-oxo-TEMPO for  $^{13}\text{C}$  DNP samples was found to be around 30-50 mM.<sup>37,57</sup>

Figure 7 shows the microwave DNP spectra of 1.4 M [1- $^{13}\text{C}$ ]pyruvate in 1:1 (v/v) glycerol:water glassing matrix doped with 40 mM 4-oxo-TEMPO in the presence and absence of  $\text{Gd}^{3+}$ . Due to the limited frequency range of the microwave source in the HyperSense, only the positive polarization peak of the DNP spectrum is accessible for measurement; the negative polarization peak is located at higher microwave frequency. The microwave DNP spectrum of the Gd-free sample in Figure 7a only shows positive NMR intensity whereas the Gd-doped sample in Figure 7b starts to show negative NMR intensity in the frequency range 94.20–94.30 GHz, indicative of negative spin temperature. The latter hints that part of the negative polarization peak is shown, most likely due to a substantial shift of  $P(-)$  to lower frequency similar to the  $^{13}\text{C}$  microwave DNP spectra of trityl and BDPA-doped samples with  $\text{Gd}^{3+}$ . It should be pointed out that the ESR linewidth of 4-oxo-



TEMPO<sup>53</sup> is larger than the Larmor frequencies of both <sup>1</sup>H and <sup>13</sup>C, thus both nuclear species could be polarized at the same microwave frequency via the thermal mixing process.<sup>37</sup> Unlike the trityl-doped <sup>13</sup>C samples where it was shown in a previous study<sup>48</sup> that <sup>1</sup>H proceeds mainly via the solid effect at 3.35 T and 1.2 K, a proper way to prepare identical initial state when plotting the <sup>13</sup>C microwave DNP spectrum of TEMPO-doped <sup>13</sup>C samples may necessitate destroying the proton polarization in addition to depolarizing the remnant <sup>13</sup>C magnetization from a previous microwave frequency. In our current instrumental setup, we could not verify this experimentally because the built-in NMR coil of the HyperSense polarizer cannot be tuned to <sup>1</sup>H but this point should be noted for future experiments of TEMPO-doped samples because of the thermal contact of <sup>1</sup>H and <sup>13</sup>C nuclear Zeeman systems via the electron spin-spin interaction reservoir.

Figure 8a shows the representative polarization buildup curves of 100  $\mu$ L aliquots of 4-oxo-TEMPO-doped <sup>13</sup>C pyruvate samples mixed with different concentrations of Gd<sup>3+</sup> at 3.35 T and 1.4 K. These buildup curves were taken at 94.07 GHz, the approximate location of  $P(+)$  for the TEMPO-doped <sup>13</sup>C samples. In the absence of Gd<sup>3+</sup>, the base DNP-enhanced nuclear polarization of the TEMPO-doped sample was found to be  $P_{\text{dnp}} \approx 5\%$  which is approximately half the base  $P_{\text{dnp}}$  yielded on the same sample doped with 15 mM trityl OX063 at 3.35 T and 1.4 K shown in Figure 2a. As mentioned before, this is expected since the broad 4-oxo-TEMPO  $D$  corresponds to a higher electronic heat capacity which leads to relatively higher spin temperature achieved for both the electron dipolar system and nuclear Zeeman reservoir.<sup>35,53</sup> A summary of the maximum nuclear polarization achieved as a function of Gd<sup>3+</sup> concentration is displayed in Figure 8b. Additionally, the polarization buildup time constants  $\tau_{\text{bu}}$  displayed in Figure 8c remained roughly the same in the 0–5 mM Gd<sup>3+</sup> doping range. The relatively short  $\tau_{\text{bu}}$ 's here is attributed to the high concentration of free radical present in these samples.

Similar to the pattern observed in trityl OX063 and BDPA-doped samples, the nuclear polarization monotonically increases as Gd<sup>3+</sup> is added until a concentration  $c_1 \approx 1$  mM, although the increase was relatively modest (only 20 % improvement of base  $P_{\text{dnp}}$ ) compared to the results obtained with trityl OX063 (approximately 300 % increase in base  $P_{\text{dnp}}$ ). Also, the solid-state nuclear polarization was roughly constant in the Gd<sup>3+</sup> concentration  $c_1 < c < c_2$ , where in this particular case  $c_2 \approx 3.5$  mM and at  $c > c_2$ , a monotonic decrease in  $P_{\text{dnp}}$  is observed. These results point out that the influence of Gd<sup>3+</sup> on  $P_{\text{dnp}}$  are similar for all three radicals, however the effect is most pronounced in trityl-doped samples where the free radical concentration used is relatively lower (15 mM). Similar measurements performed on samples doped with reduced 4-oxo-TEMPO concentration (20 mM; see the Supporting Information) yielded roughly the same improvement in  $P_{\text{dnp}}$  with Gd<sup>3+</sup> doping at a longer microwave irradiation time. Concomitant with these changes in  $P_{\text{dnp}}$  with Gd<sup>3+</sup> doping is a monotonic decrease in the solid-state nuclear  $T_1$  as the sample is doped with Gd<sup>3+</sup> from 0 to 8 mM as shown in Figure 9. The <sup>13</sup>C  $T_1$  drop from 2400 s with 0 mM Gd<sup>3+</sup> to 1400 s with 8 mM Gd<sup>3+</sup>. Finally, it is currently unclear why the optimum concentration of trityl OX063 in DNP samples is generally lower than the optimum concentration of 4-oxo-TEMPO and even BDPA. It should be noted, however, that the chemical structure of trityl OX063 as shown in Chart 1 is special compared to 4-oxo-TEMPO and BDPA: its paramagnetic electron is a) enclosed in a very symmetric structure to minimize the  $g$ -anisotropy and b) surrounded mainly by non-NMR active nuclei which lowers the hyperfine interaction.<sup>53</sup>

## 4. CONCLUSION

In summary, we have extensively investigated the effects of Gd<sup>3+</sup> over a wide concentration range on the DNP of a biologically important substrate [<sup>1-13</sup>C]pyruvate polarized with

different free radicals used in fast dissolution DNP, trityl OX063, 4-oxo-TEMPO, and BDPA. We have found three regions of interest for all three radicals in the plot of DNP-enhanced polarization versus  $Gd^{3+}$  concentration: (i) a monotonic increase in DNP-enhanced nuclear polarization  $P_{dnp}$  upon increasing the  $Gd^{3+}$  concentration until a certain threshold concentration  $c_1$  (1–2 mM), (ii) a region of constant maximum  $P_{dnp}$  from  $c_1$  until a concentration threshold  $c_2$  (4–5 mM), and (iii) a monotonic decrease in  $P_{dnp}$  at  $Gd^{3+}$  concentration  $c > c_2$ . Of the three free radical polarizing agents examined here, the symmetric, carbon-centered trityl OX063 (15 mM) gave the best response to  $Gd^{3+}$  doping with 300 % increase in the base solid-state DNP-enhanced polarization whereas addition of  $Gd^{3+}$  at the optimum concentration to BDPA (40 mM) and 4-oxo-TEMPO-doped (40 mM) samples yielded only a modest 5–20 % increase in the base polarization. The improvement in  $P_{dnp}$  with  $Gd^{3+}$  doping is ascribed to the decrease in the electronic relaxation parameter  $\eta$  which results in a lower spin temperature achieved by the nuclear Zeeman system. Extensive ESR studies are needed to elucidate the details of the exceptional improvement in the DNP-enhanced polarization of trityl-doped samples with  $Gd^{3+}$  doping compared to samples doped with BDPA or 4-oxo-TEMPO.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

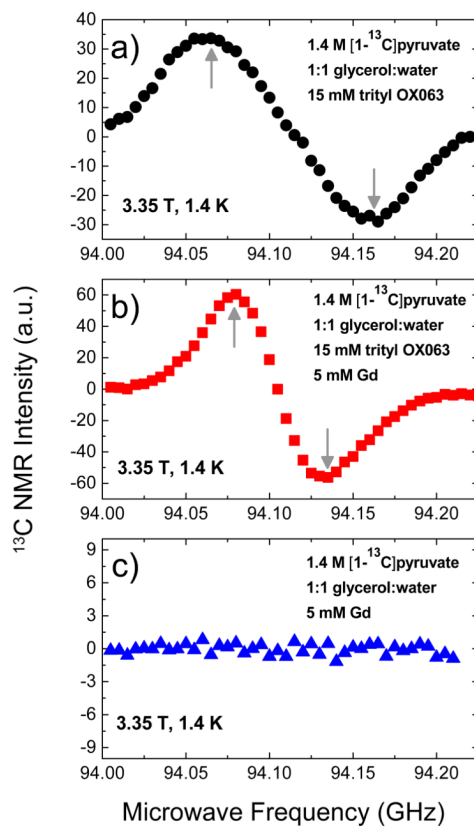
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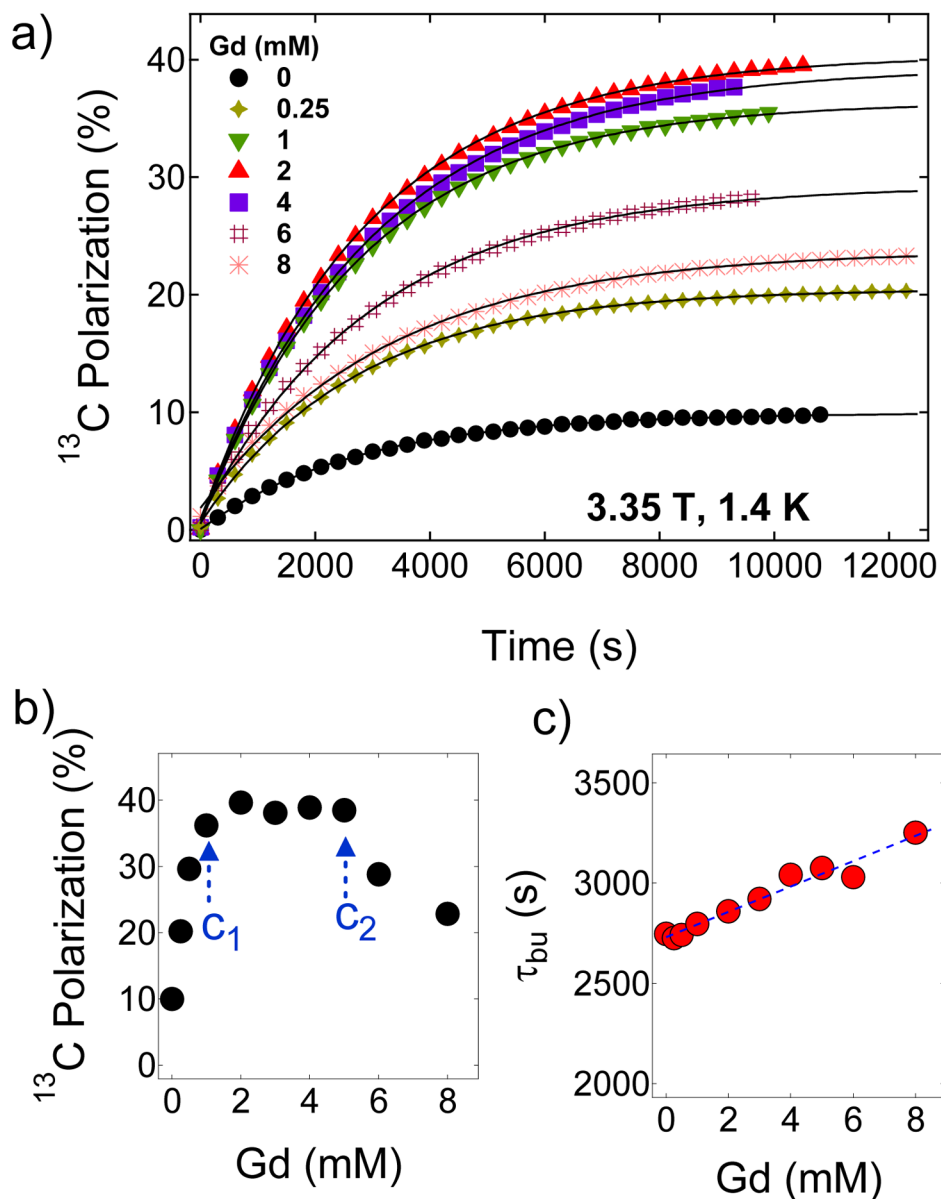
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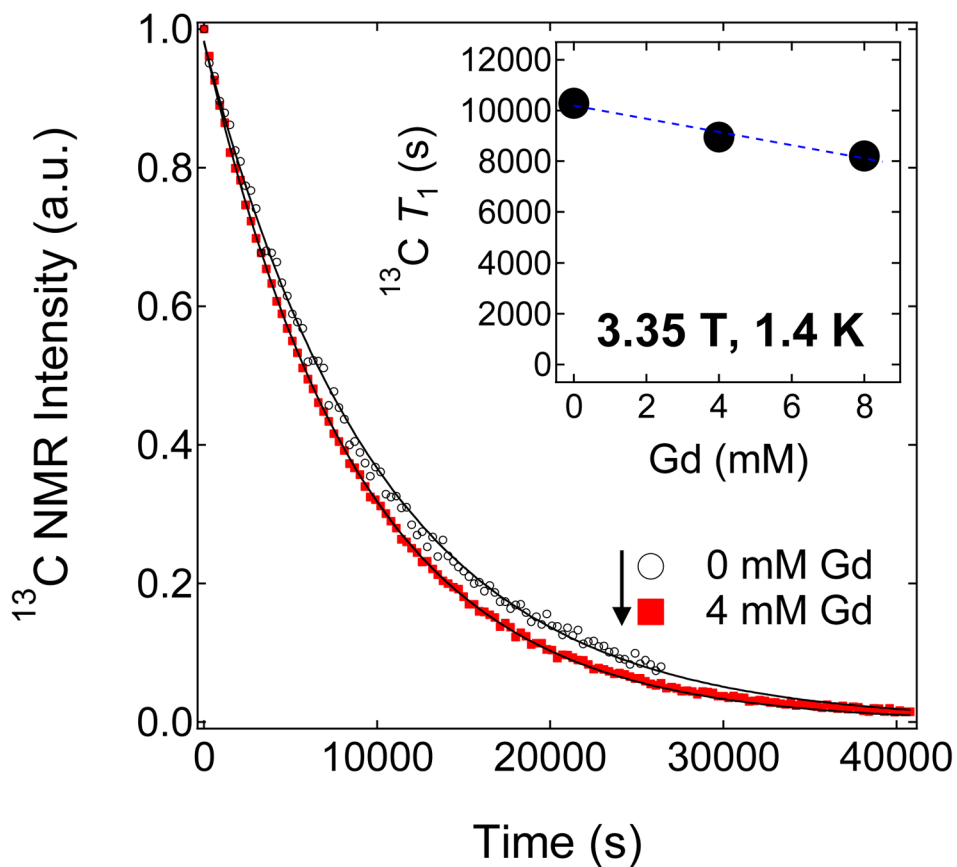


**Figure 1.** Microwave DNP spectra of 100  $\mu\text{L}$  samples containing 1.4 M  $[1-^{13}\text{C}]$ sodium pyruvate in 1:1 glycerol:water glassing matrix doped with a) 15 mM trityl, b) 15 mM trityl and 5 mM  $\text{Gd}^{3+}$ , and c) 5 mM  $\text{Gd}^{3+}$ . These data were taken in the HyperSense at 3.35 T and 1.4 K using a 100 mW microwave source. The up and down arrows indicate the approximate locations of the positive and negative polarization peaks, respectively.

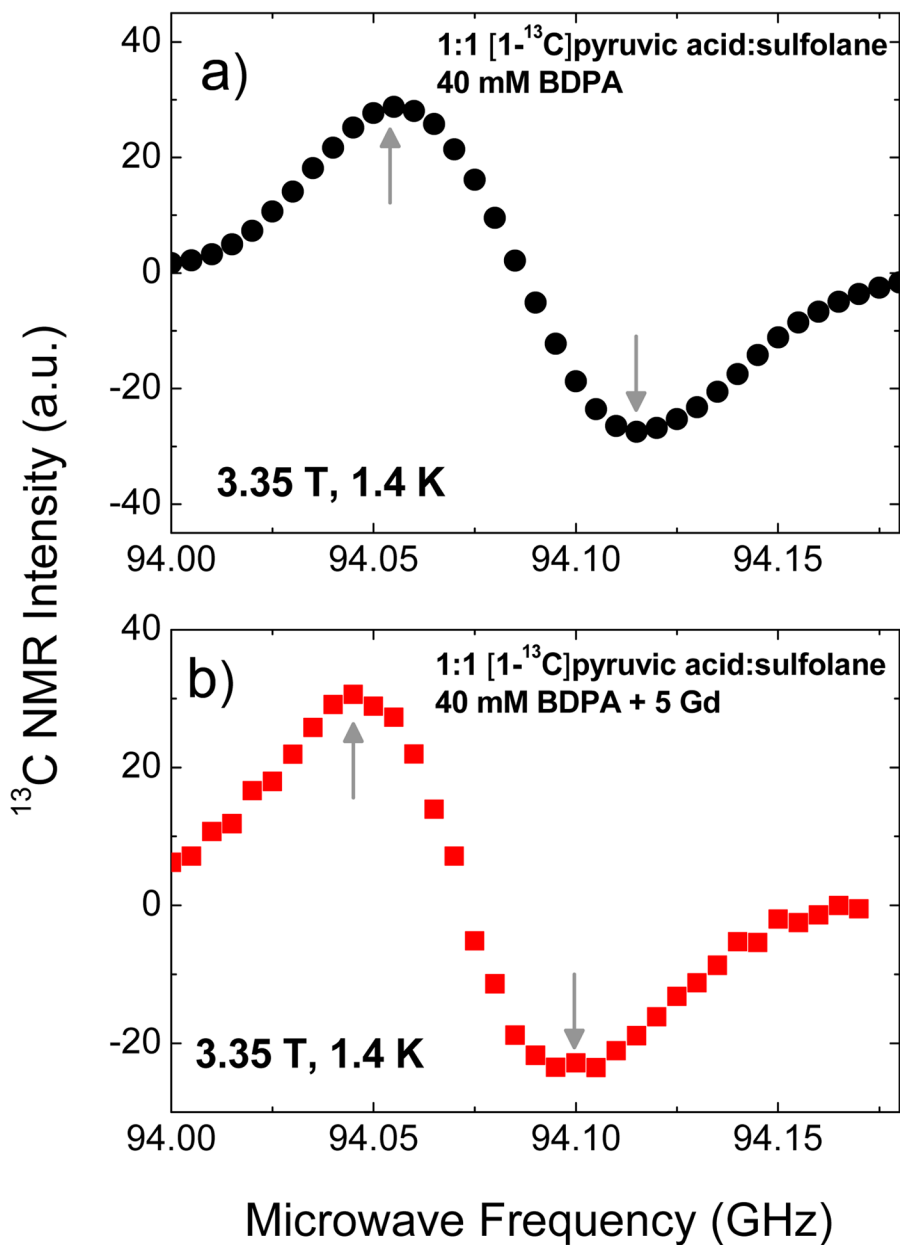


**Figure 2.**

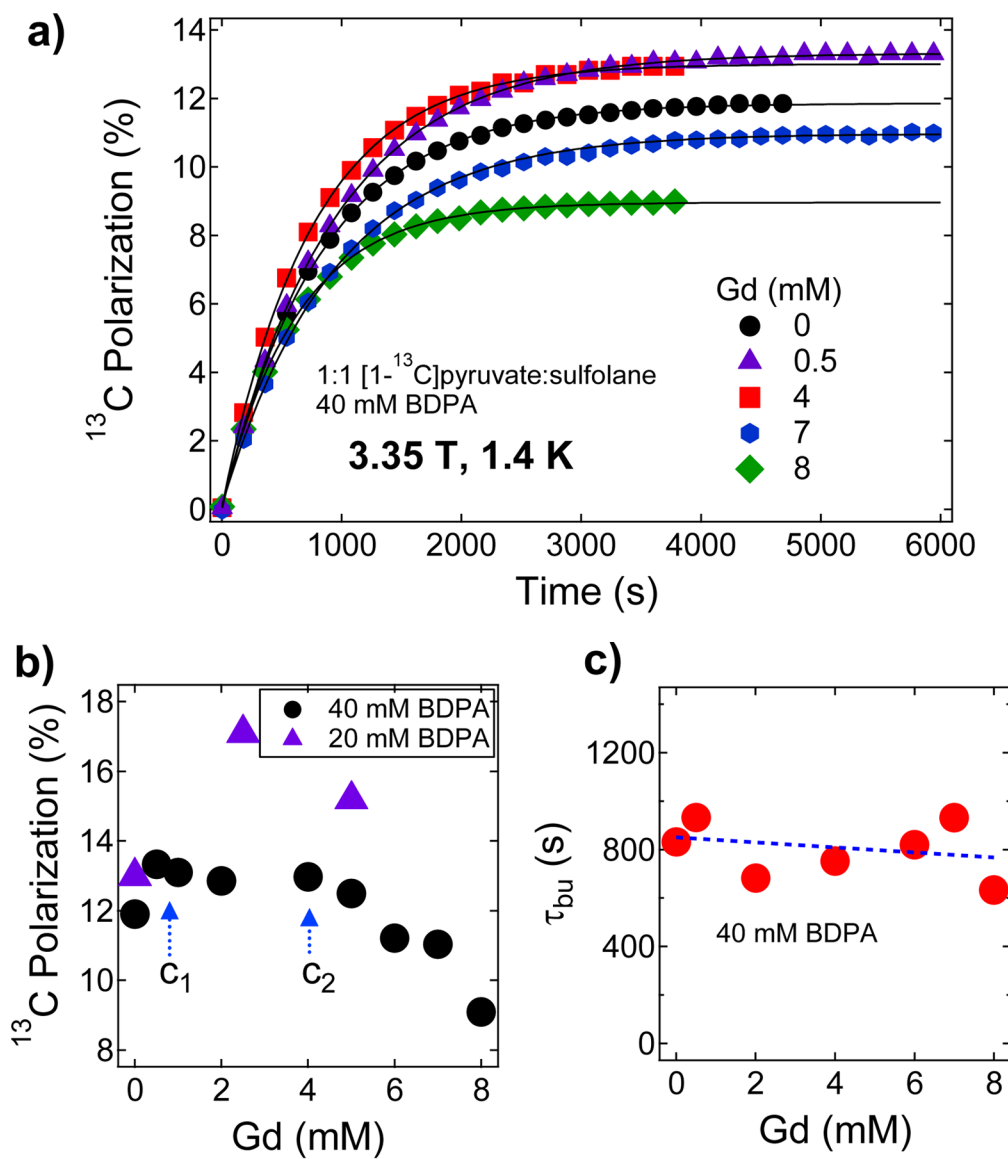
a) Representative polarization buildup curves of 1.4 M  $[1-^{13}\text{C}]$ pyruvate in 1:1 (v/v) glycerol:water glassing matrix doped with 15 mM trityl OX063 and mixed with different concentrations of  $\text{Gd}^{3+}$ . These curves were taken by irradiating the samples at 94.072 GHz with a 100 mW microwave source at 3.35 T and 1.4 K. The solid lines are fits to a mono-exponential buildup equation. b) The maximum  $^{13}\text{C}$  nuclear polarization of  $[1-^{13}\text{C}]$ pyruvate sample as a function of  $\text{Gd}^{3+}$  concentration at 3.35 T and 1.4 K. c) Polarization buildup time constant  $\tau_{\text{bu}}$  versus  $\text{Gd}^{3+}$  concentration derived by fitting the polarization buildup curves with a single-exponential buildup equation.



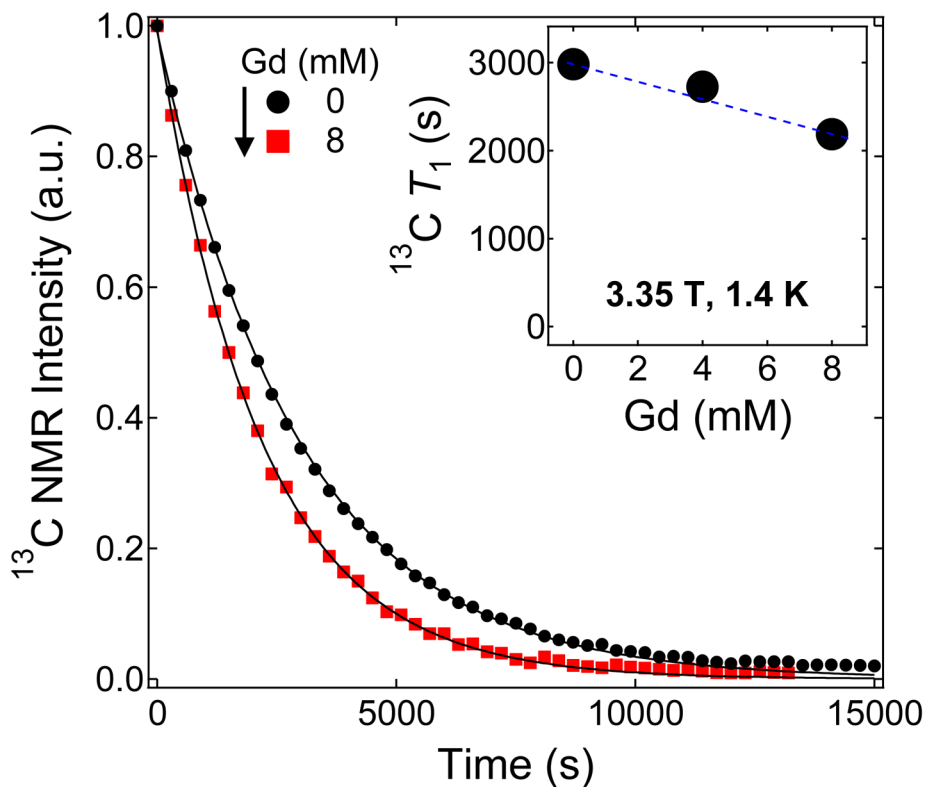
**Figure 3.** Representative decay curves of the hyperpolarized solid-state NMR signal of 100  $\mu\text{L}$  trityl-doped samples (1.4 M  $[1-^{13}\text{C}]$ pyruvate in 1:1 (v/v) glycerol:water doped with 15 mM trityl OX063) mixed with different concentrations of  $\text{Gd}^{3+}$ . Inset:  $^{13}\text{C}$  solid-state  $T_1$  values extracted from the decay curves versus different  $\text{Gd}^{3+}$  concentrations.



**Figure 4.** Microwave DNP spectra of 1:1 [1- $^{13}\text{C}$ ]pyruvic acid:sulfolane samples doped with a) 40 mM BDPA and b) 40 mM BDPA plus 5 mM  $\text{Gd}^{3+}$ . These data were taken in the HyperSense at 3.35 T and 1.4 K using a 100 mW microwave source. The up and down arrows indicate the approximate locations of the positive and negative polarization peaks, respectively.

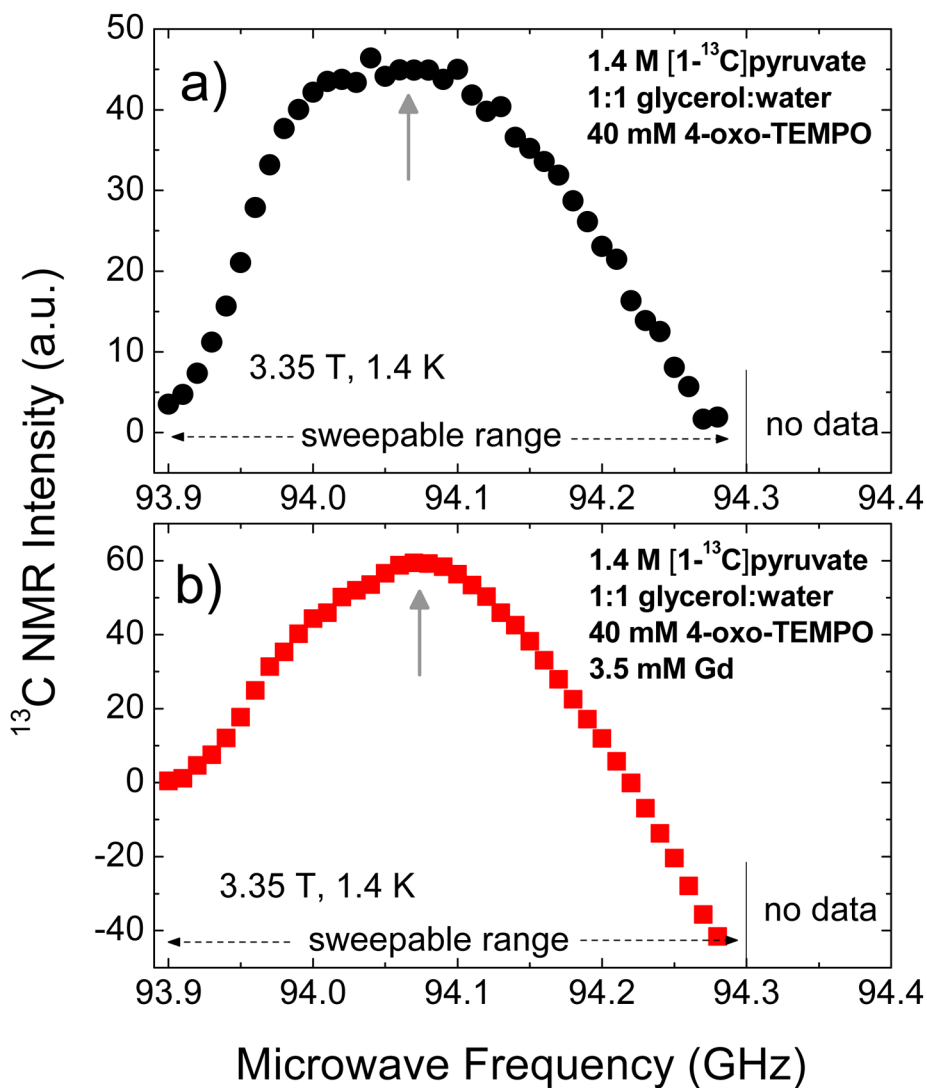


**Figure 5.**  
 a) Polarization buildup curves of 1:1 (v/v)  $[1-^{13}\text{C}]$ pyruvic acid:sulfolane doped with 40 mM BDPA and mixed with different concentrations of  $\text{Gd}^{3+}$  at 3.35 T and 1.4 K. The buildup was monitored by irradiating the samples at 94.055 GHz with a 100 mW microwave source.  
 b) the maximum  $^{13}\text{C}$  nuclear polarization of  $[1-^{13}\text{C}]$ pyruvate sample as a function of  $\text{Gd}^{3+}$  concentration derived from Figure 5a)  
 c) Polarization buildup time constant versus  $\text{Gd}^{3+}$  concentration derived by fitting single-exponential buildup equation of data from Figure 5a.

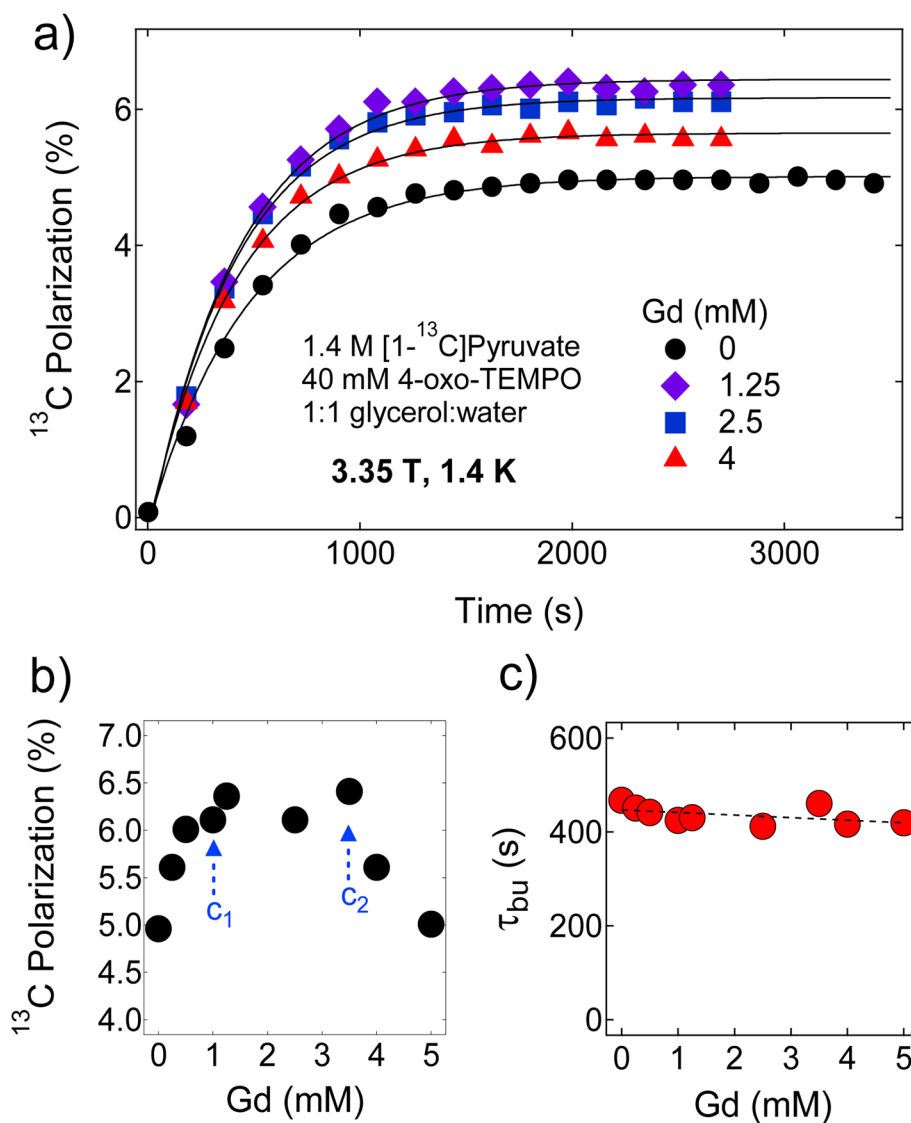


**Figure 6.** Representative decay curves of the hyperpolarized  $^{13}\text{C}$  NMR signal of 1:1 (v/v)  $[1-^{13}\text{C}]$ pyruvic acid:sulfolane doped with 40 mM BDPA mixed with different  $\text{Gd}^{3+}$  concentration. The solid lines are fits to an equation accounting for the decay of hyperpolarized NMR signal due to rf excitation and  $T_1$  decay. Inset: a summary of the solid-state  $^{13}\text{C}$  nuclear  $T_1$  relaxation values of BDPA-doped samples mixed with different  $\text{Gd}^{3+}$  concentration.

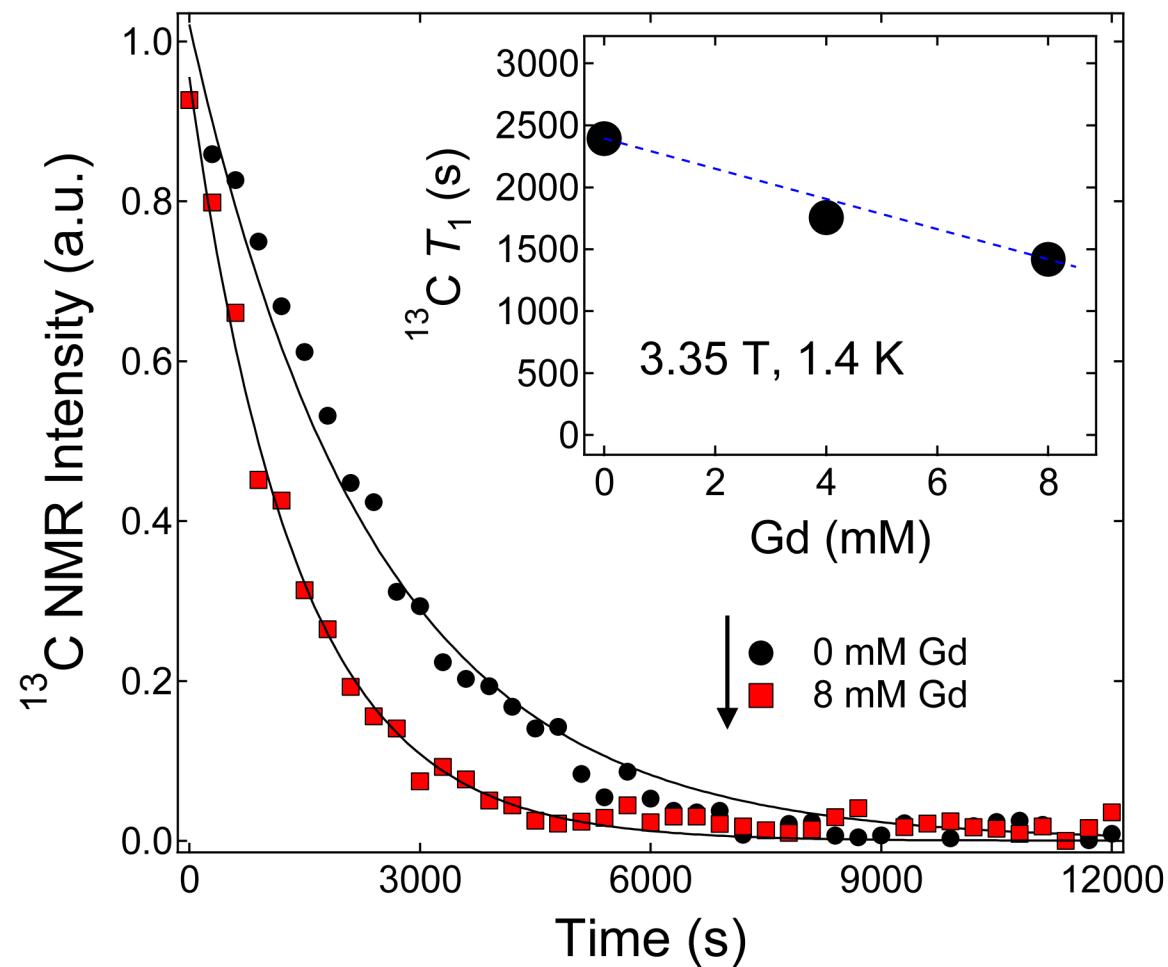




**Figure 7.** Microwave DNP spectra of 100  $\mu$ L samples of 1.4 M [1-<sup>13</sup>C]pyruvate in 1:1 (v/v) glycerol:water doped with a) 40 mM 4-oxo-TEMPO and b) 40 mM 4-oxo-TEMPO and 3.5 mM Gd<sup>3+</sup>. These data were taken in the HyperSense at 3.35 T and 1.4 K using a 100 mW microwave source. The up arrows indicate the approximate location of the positive polarization peak. Due to the limited microwave frequency range of the source, measurement at frequency  $\omega_c > 94.30$  GHz, where the negative polarization peak is located, is not accessible.

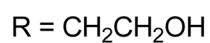
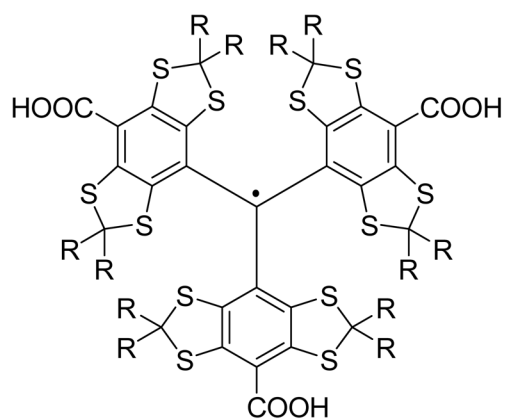


**Figure 8.** a) Representative polarization buildup curves of 1.4 M  $[1-^{13}\text{C}]$ pyruvate samples doped 40 mM 4-oxo-TEMPO and mixed with different concentrations of  $\text{Gd}^{3+}$  at 3.35 T and 1.4 K. The buildup was monitored by irradiating the samples at 94.07 GHz with a 100 mW microwave source. b) the maximum  $^{13}\text{C}$  nuclear polarization of  $[1-^{13}\text{C}]$ pyruvate sample as a function of  $\text{Gd}^{3+}$  concentration derived from Figure 8a. c) Polarization buildup time constant vs.  $\text{Gd}^{3+}$  concentration derived by fitting the polarization buildup curves with a single-exponential buildup equation.

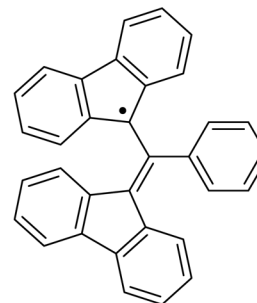


**Figure 9.**

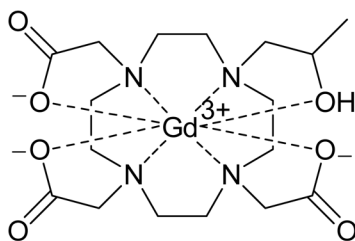
Representative decay curves of the hyperpolarized solid-state NMR signal of 100  $\mu\text{L}$  TEMPO-doped samples (1.4 M  $[1-^{13}\text{C}]$ pyruvate in 1:1 (v/v) glycerol:water doped with 40 mM 4-oxo-TEMPO) mixed with different concentrations of  $\text{Gd}^{3+}$ . The experiments were performed after the samples achieved maximum polarization level and the microwave source was turned off. Inset: Summary of the  $^{13}\text{C}$  solid-state  $T_1$  values extracted from the decay curves versus different  $\text{Gd}^{3+}$  concentrations.



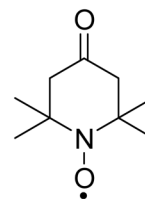
Trityl OX063



BDPA



Gd-HP-DO3A



4-oxo-TEMPO

**Chart 1.**  
The free radical polarizing agents and  $\text{Gd}^{3+}$  complex used in this work.