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## Viral disruption of the blood-brain barrier

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## Abstract

The blood-brain barrier (BBB) provides significant protection against microbial invasion of the brain. However, the BBB is not impenetrable, and mechanisms by which viruses breach it are becoming clearer. *In vivo* and *in vitro* model systems are enabling identification of host and viral factors contributing to breakdown of the unique BBB tight junctions. Key mechanisms of tight junction damage from inside and outside cells are disruption of the actin cytoskeleton and matrix metalloproteinase activity, respectively. Viral proteins acting in BBB disruption are described for HIV-1, currently the most studied encephalitic virus; other viruses are also discussed.

#### Keywords

blood-brain barrier; viral encephalitis; tight junctions; matrix metalloproteinases

## Viral entry to the brain

Viral encephalitis is a potentially deadly sequela of viral infection for which there are few treatment options. It is frequently associated with blood-brain barrier (BBB; see Glossary) disruption, enabling entry of virus, inflammatory cells, and deleterious molecules into the brain parenchyma. Members of at least 11 virus families, including DNA viruses, retroviruses, and RNA viruses, cause encephalitis with significant morbidity and mortality [1]. There are a variety of means by which viruses enter the brain, primarily via neuronal transport or by crossing of one of several barriers to the central nervous system (CNS), including the BBB or the blood-cerebrospinal fluid barrier (choroid plexus). Several recent reviews covered viral entry via axonal transport and the resulting neuronal damage [2–4]. This review will focus on CNS entry mechanisms used by viruses that breach the BBB. Cell culture and animal studies of viral encephalitis have recently progressed through approaches used in studies of pathogenic conditions of the CNS such as ischemic stroke and multiple sclerosis. We first present background information on the structure, function, and disruption of the BBB, followed by a discussion of specific mechanisms by which viruses breach the BBB.

## **Components of the BBB**

The BBB is a physical, metabolic, and transport barrier between the peripheral circulation and the CNS [5]. The function of the barrier is contributed by features specific to brain

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microvascular endothelial cells, which form the walls of brain capillaries, and the interactions of these cells with other components of the neurovascular unit (NVU), especially astrocyte endfeet and extracellular matrix [6]. The NVU also includes pericytes, microglia, and neurons (Figure 1). Brain endothelial cells form extremely tight cell-cell junctions that are distinct from tight junctions of endothelia and epithelia elsewhere in the body, due to brain endothelial cells' morphology, biochemistry, and interactions with other cells of the NVU [5-7]. Brain endothelial cells lack fenestrations, have high numbers of mitochondria, are very thin, and have a low rate of pinocytosis, characteristics that relate to their specialized function. For example, high mitochondrial content in the NVU relative to other tissues is likely important for the energy required to maintain the structure and function of the BBB. Endothelial cells and associated pericytes are ensheathed by an endothelial cell basal lamina (vascular basement membrane). The composition of the endothelial basement membrane is distinct from that of a second basal lamina, the parenchymal basal lamina. This parenchymal basal lamina together with the astrocyte endfeet is termed the glia limitans. The perivascular space has been compared to a castle moat, between the vascular basement membrane (outer castle wall) and the glia limitans (inner wall) [8]. Leukocytes accumulate in this cerebrospinal fluid-filled perivascular space (moat), where immune surveillance occurs. When leukocytes are presented with their cognate antigens, they are activated and cross the glia limitans into the brain parenchyma. Brain endothelial cells have active transporters expressed on their apical and basal surfaces that exclude potentially detrimental molecules or enable passage of essential nutrients such as glucose and amino acids into the brain parenchyma. Intact brain endothelium in vivo is characterized by a very high transendothelial electrical resistance (TEER) due to its complex tight junctions, which result in both the effective block of passage of macromolecules and restricted diffusion of ions and polar solutes. The consequence of these features of brain endothelial cells is a restrictive barrier with controlled entry of plasma components.

### Tight junctions in the brain

The complexes holding brain endothelial cells together are adherens junctions and tight junctions (Figure 1) [5]. The adherens junctions, composed of transmembrane cadherin proteins linked to the cell cytoskeleton by catenins, provide structural support and are important for the development of tight junctions. Tight junction complexes consist of both integral transmembrane proteins and peripheral membrane proteins. The integral transmembrane tight junction proteins include occludin, claudins, junctional adhesion molecules (JAMs), endothelial cell-selective adhesion molecule (ESAM), and the Coxsackie and adenovirus receptor (CAR) [5,9]. Occludin and claudins have external loops that mediate intercellular adhesion by interaction with occludin and claudins of neighboring cells [6]. The tight junction proteins that span the gap between cells can be altered in their localization or cleaved during BBB damage resulting from viral infections and other pathological conditions.

Cytosolic tails of the transmembrane tight junction proteins are associated with any of a large number of peripheral membrane (cytosolic) tight junction proteins, which can serve adaptor, scaffolding, signaling, or transcriptional activator functions [9]. In particular, claudins and occludin interact with zona occludens (ZO)-1, -2, and -3, which in turn link to the actin cytoskeleton. The endothelial cytoskeleton is critical for integrity of tight junctions: actin stress fibers and microtubules contribute to tension force and isometric cellular contraction required for barrier function [10]. Disruption of the endothelial cytoskeleton can contribute to or be a result of tight junction dysfunction. Disruption of occludin, claudin-5 and ZO-1 is an indicator of functional breakdown of the BBB [11]. The mechanisms that specific viruses use to alter tight junction proteins are discussed below.

### **BBB** disruption by viruses

BBB disruption can be both a cause and effect of viral and non-viral CNS disease such as stroke, cancer, traumatic brain injury, and multiple sclerosis [6]. Viruses known to cause disruption of the BBB or endothelial junctions include HIV-1, human T-cell leukemia virus (HTLV-1), lymphocytic choriomeningitis virus (LCMV), West Nile virus (WNV), and mouse adenovirus type 1 (MAV-1) (Table 1) [1,12-14]. HIV-1, simian immunodeficiency virus (SIV-1), feline immunodeficiency virus, and WNV are thought to invade the brain parenchyma by a 'Trojan horse' mechanism, through diapedesis of infected immune cells that either cross the BBB paracellularly (between cells) or transcellularly (through cells) [15–17]. WNV cell-free viruses and virus-like particles (VLPs) may transit human endothelial cells via a transcellular pathway that does not affect the integrity of the BBB [16,18]. Important mechanisms of BBB disruption associated with paracellular entry of viruses that are discussed in this review include alterations in expression or phosphorylation of tight junction proteins, disruption of the basal lamina, and disruption of the actin cytoskeleton. Only in a few cases have viral gene products been directly implicated in BBB disruption (e.g. HIV-1, see below); in the absence of such mechanisms, indirect effects of viruses on the immune system are likely causes of barrier disruption.

There are many modulators of transcellular and paracellular BBB permeability, including vasogenic factors, growth factors, cytokines and chemokines, matrix metalloproteinases (MMPs), free radicals, and lipid mediators [10]. Accordingly, the mechanisms used by infectious agents to compromise the BBB vary. In some cases BBB disruption may be caused directly by microbial products, but in most cases, multiple factors are likely to play a role. For example, induction of cytokines and chemokines upon viral infection of brain cells and leukocytes homing to the brain could cumulatively contribute to BBB disruption.

#### Disruption of tight junctions and basal lamina by secreted MMPs

MMPs are key mediators of tight junction protein alterations leading to BBB dysfunction [19,20]. These zinc-dependent enzymes have proteolytic activity that acts on the extracellular matrix, such as basal laminae in the NVU. MMP activity induced in pathological conditions causes BBB disruption not only by basement membrane degradation, but also by cleavage of tight junction proteins occludin and claudin-5 [21–24]. MMPs can also cleave cytokines, modulating their activity and thus inflammation [11]. MMPs are synthesized as inactive enzymes (zymogens), and their activity is regulated at four levels: gene expression, activation of proenzyme, enzyme inactivation by association with endogenous inhibitors (tissue inhibitors of metalloproteinases, TIMPs), and cellular compartmentalization [9]. Activation of MMPs occurs by cleavage by other MMPs or proteases, or by direct or indirect exposure to oxidative stress.

Increased production of reactive oxygen species (ROS) correlates with increased MMP activity during brain injury, and markers of oxidative stress colocalize with active MMPs [25]. Non-viral inducers of ROS alter tight junction protein expression and phosphorylation, stimulate increased MMP activity, and increase permeability of the BBB [26]. Viral infections of the CNS can directly increase ROS and reactive nitrogen species (RNS) through stimulation of intracellular signaling by virion components or cytotoxic effects of viral nonstructural proteins [27]. The host inflammatory response to viral infection can also generate ROS and RNS, which in turn stimulate inflammatory cytokines and MMP secretion by cells of the NVU. Thus viral infections of the CNS have the potential to cause BBB disruption by inducing oxidative damage that alters MMP activity.

Some MMPs are membrane-bound (membrane-type MMPs, MT-MMPs), with extracellular catalytic domains [28]. However, most MMPs are secreted, although they may stay localized

to the cell surface by association with MT-MMPs or other cell surface molecules. In the neuroinflammatory response, the primary secreted MMPs are MMP2, MMP3, and MMP9 [11]. MMP9 and MMP3 are inducible MMPs involved in inflammatory responses in the brain, whereas MMP2 is constitutively expressed by astrocytes, present in zymogen form throughout the brain, and activated upon host response to injury. MMP2, MMP3, and MMP9 all cleave tight junction proteins [21,23,24]. All cell types in the NVU are able to produce MMPs, and in pathological conditions (including viral infections), alterations in MMP mRNA and enzymatic activity have been found in endothelial cells, astrocytes, and microglia of the NVU, and in macrophages and neutrophils recruited from the circulation [28].

# Disruption of tight junctions by alterations to the actin cytoskeleton and peripheral membrane tight junction protein complexes

Tight junctions can also be disrupted from within cells. Changes to the actin cytoskeleton are likely to occur upon alterations to the tight junction proteins, resulting in paracellular permeability changes [10,29]. ROS play a role in disrupting tight junctions from within cells, by induction of the small GTPase RhoA, PI3 kinase and protein kinase B (PKB/Akt) signaling pathways with a concomitant rearrangement of the actin cytoskeleton, altered localization of occludin and claudin-5, and altered BBB integrity [30]. Other evidence that BBB disruption can be initiated from within cells is that alteration of the actin cytoskeleton induced by hypoxic stress is correlated with changes in BBB permeability and ZO-1 localization [31]. Furthermore, the tight junction proteins occludin, ZO-1, ZO-2, and claudin-5 are phosphoproteins; changes in their phosphorylation result in changes in localization and/or interaction, affecting BBB permeability [10]. Expression of monocyte chemoattractant protein-1 (CCL2) alters the actin cytoskeleton and localization of tight junction proteins in brain endothelium, disrupting the BBB [32]. HIV-1 alteration of tight junction proteins is dependent on CCL2 [33]. Many virus infections alter the integrity of the cytoskeleton [34], but the role of this in viral disruption of the BBB has not been well studied.

#### Breaching of the BBB by retroviruses

HIV-1 is one of the most-studied viruses with respect to viral and host processes involved in encephalitis and disruption of the BBB. Accordingly, for HIV-1 encephalitis there are data for many of the mechanisms of barrier disruption described above, particularly alterations of tight junction protein expression [12]. Both transcellular and paracellular diapedesis of infected leukocytes are involved in HIV-1 transit across the BBB [15]. Retroviral-associated neural disease caused by HTLV-1 is also characterized by alteration of tight junction protein expression [14].

Alteration of BBB function by HIV-1 infection is seen both in patients and *in vitro* models. Post-mortem brain samples of HIV-1-positive patients with encephalitis or HIV-1-associated dementia show increased monocyte infiltration and fragmented or reduced expression of the tight junction proteins ZO-1, occludin, and claudin-5; such disruption is not seen in controls (HIV-1 patients without encephalitis, HIV-1 seronegative patients, or cases who die of non-HIV-1 causes) [35,36]. *In vitro* culture systems for HIV-1 infection studies use primary human brain-derived microvascular or umbilical vein-derived endothelial cells, often co-cultured across transwell inserts with astrocytes [33]. Compared with uninfected activated peripheral blood mononuclear cells, HIV-1-infected cells introduced into culture systems cross the endothelial cell monolayers, altering tight junction protein expression and increasing permeability and MMP2 and MMP9 expression.

An important recent development in the study of human encephalitic pathogens, particularly lentiviruses, has been the use of the immortalized, well-characterized brain endothelial cell line hCMEC/D3, obtained by expressing human telomerase reverse transcriptase (hTERT) and simian virus 40 (SV40) large T antigen [37]. This new cell line recapitulates important characteristics of primary human brain endothelial cells and has been successfully used in *in vitro* BBB studies with pathogenic microbes [14,17,38]. For example, hCMEC/D3 cells infected by co-culture with a HTLV-1-producing cell line have higher permeability than when co-cultured with a control line not producing viral particles; and migration of infected lymphocytes across hCMEC/D3 cells is higher than migration of control lymphocytes [14]. Another culture system is Rhesus macaque brain microvessels, which when incubated with SIV-infected leukocytes show a significant loss of ZO-1 expression associated with increased expression of focal adhesion kinase (FAK) [39].

In addition to host components, viral proteins contribute to changes in BBB function during HIV-1 infection [12]. Three HIV-1 proteins have been implicated in altering BBB integrity, Tat, gp120, and Nef. Tat is a multifunctional viral protein that acts as a transactivator, enhancing initiation and elongation of viral transcription. Tat is secreted from infected cells, and it can enter other cells and affect their function. There is evidence for several mechanisms by which Tat contributes to BBB disruption. A key target of Tat is vascular endothelium, where it activates inflammation and angiogenesis. Specifically, Tat acts on endothelial cells, inducing proliferation, expression of adhesion molecules, release of proteolytic enzymes, and adhesion to the extracellular matrix via focal adhesions [40]. Introduction of Tat into human brain microvascular endothelial cells results in altered expression of tight junction proteins and activation of Ras signaling [41–44]. Similarly, injection of Tat into mice results in altered expression of tight junction proteins occludin and ZO-1, accumulation of inflammatory cells, and activation of mitogen-activated protein kinase (MAPK) [12]. Tat interacts with MMP pathways, and may thus alter BBB function during HIV infection. For example, Tat was recently reported to inhibit endothelial cell occludin expression and promote its cleavage by MMP9, by a RhoA-dependent pathway [45]. MMP9 mRNA, protein, and enzymatic activity levels are increased when astrocytes are treated with Tat [46]. This upregulation of expression is dependent on MAPK, NF- $\kappa$ B (nuclear factor-kappa B) and Tat-induced tumor necrosis factor-alpha production. It should be noted that the physiological relevance of effects of Tat seen *in vitro* is controversial, because it is not clear whether sufficient levels of extracellular Tat in the circulation are achieved, even in microenvironments [12].

Another HIV-1 protein that affects BBB integrity is gp120, a virion envelope protein [12]. gp120 can be found in patient serum, and it crosses brain endothelial cells in culture by adsorptive endocytosis, giving it access to cells in the NVU. A transgenic mouse model in which gp120 is secreted was used to examine the role of circulating gp120 [47]. Compared to wild-type controls, transgenic mice had more brain blood vessels with permeability to albumin, indicating damage to the BBB. Additional experiments with endothelial cells from both transgenic or control mice, incubated with serum from transgenic mice, showed that the effect is gp120-dependent [48]. In another animal model, injection of gp120 alters the BBB in rats, increasing expression of MMP2 and MMP9 and decreasing expression of claudin-5 and laminin, a component of the BBB basal lamina; oxidative stress is implicated as a contributing mechanism [49]. A role for gp120 in BBB disruption is also supported by in vitro evidence that gp120 added to cultured human brain endothelial cells enhances monocyte migration, increases permeability, decreases TEER, and disrupts expression of tight junction proteins ZO-1, ZO-2, and occludin (but not claudins or actin) [50,51]. A proteasomal mechanism is apparently involved in the gp120-induced degradation of the ZO proteins, but how that is initiated and the mechanism for degradation of other tight junction

proteins remain unknown [52]. Taken together, these *in vivo* and *in vitro* data suggest that circulating gp120 in HIV-infected humans may contribute to BBB disruption.

Nef is a third HIV-1 protein implicated in damage of the BBB. Nef is a pleiotropic accessory protein, whose best characterized activities are alteration of antigen presentation by major histocompatibility complex class I (MHC-I) and downregulation of CD4 [53]. Nef is expressed in astrocytes of AIDS patients, particularly those with moderate to severe dementia [54]. Nef expression activates cultured astrocytes, elevating expression of markers associated with brain inflammation [55]. Nef also increases sensitivity of cultured astrocytes to hydrogen peroxide, a ROS [56]. It is hypothesized that Nef may contribute to pathology in HIV-1-infected people through this mechanism, particularly in the absence of adequate functional glutathione peroxidase. HIV-1 infection of astrocyte cultures in an endothelial cell co-culture model increases permeability of the endothelium, causing endothelial cell apoptosis, altered astrocyte endfoot formation, and signaling between uninfected and infected cells in a gap junction-dependent mechanism [57]. This bystander effect of HIV-1infected astrocytes on neighboring cells has in vivo support from experiments with astrocytes of SIV-infected macaques. HIV-1 infection of astrocytes also increases their production of pro-MMP2 and pro-MMP9 [46,58]. Due to the importance of astrocyte endfeet in BBB integrity, it will be interesting to learn whether Nef is responsible for these reported HIV-1 effects in astrocytes, thereby contributing to disruption of BBB integrity. Taken together, studies on Tat, gp120, and Nef indicate that BBB disruption during viral infection can be attributed to specific viral proteins. Similar analysis of viral protein involvement for other viruses will increase our understanding of virus-host interations in encephalitis.

#### Breaching of the BBB by RNA viruses

Flaviviridae are among the best-studied RNA viruses that compromise the BBB. As described above, WNV traffics across the BBB both by a Trojan horse mechanism and as a cell-free virus [16]. Remarkably, transit of cell-free virus or VLPs does not alter permeability of the BBB, and rather than a decrease in tight junction protein expression in cultured brain endothelial cells (as seen in other virus infections), there is an increase at the time of peak viral replication [16,18]. WNV infection of cultured brain cortical astrocytes, but not endothelial cells, increases MMP mRNA, protein, and activity, and increases expression of TIMPs [59]. Incubation of WNV-infected astrocyte supernatant with brain endothelial cells results in degradation of tight junction proteins, with a concomitant loss of TEER and barrier integrity. Infection of cultured rat astrocytes with another flavivirus, Japanese encephalitis virus (JEV), increases MMP9 expression in a NF- $\kappa$ B-, MAPK- and ROS-dependent manner [60].

*In vivo*, WNV infection of wild-type mice results in BBB permeability that is greater than in mice deficient for Toll-like receptor 3 (TLR3) [61]. The *Tlr3*<sup>-/-</sup> mice are more resistant to lethal WNV infection, indicating that the TLR3-mediated inflammatory response increases the ability of WNV to enter the brain. WNV infection increases activity and mRNA expression of MMP9 in mouse brains; and from experiments with MMP9–/– mice, MMP9 has been shown to disrupt the BBB and lead to virus entry into the brain [62]. This study also showed that MMP9 protein is elevated in cerebrospinal fluid of WNV-infected patients compared to uninfected controls. Interestingly, lethal WNV infection in some mouse strains or hamsters occurs without BBB breakdown [63]. The mechanism for this is not understood, but the authors note that lymphocytic cells may traffic to the CNS by routes other than the BBB. As with WNV, infection of mice with JEV results in deformation of tight junctions and increased permeability of Evans blue dye in the brain [64].

The dissociation of BBB permeability from lethality occurs in infection by another RNA virus, rabies virus. Rabies virus is a neurotropic negative-sense RNA virus that enters the brain via retrograde axonal transport rather than by disrupting the BBB [1]. Nonetheless, in rabies virus infections of mice, increased BBB permeability and inflammation occur differentially in various parts of the brain, accompanied by clearance of virus and a lack of neurological sequelae [65]. The BBB disruption is dependent on CD4<sup>+</sup> T cells, and mice in which there is more extensive BBB permeability and CNS inflammation survive a lethal rabies virus infection better [66,67]. This indicates that in rabies virus infection, BBB disruption enables infiltration of immune effectors critical for survival.

Effects of two other RNA viruses on CNS vascular pathology have recently been reviewed. Some strains of Theiler's murine encephalomyelitis virus, a positive-sense RNA virus, induce acute encephalitis with alterations in tight junction protein expression [68,69]. In meningitis caused by LCMV, a negative-sense RNA virus, virus-specific CD8<sup>+</sup> T cells are required for induction of disease; they are recruited into the CNS, resulting in increased vascular permeability and BBB disruption [68]. However, uncal herniation due to ventricular leakage and edema, rather than the BBB damage, appear to be the cause of the fatal choriomeningitis [68,70]. Thus, similar to WNV and rabies, LCMV-induced BBB disruption itself is not lethal; instead ventricular failure is likely responsible for mortality.

### Breaching of the BBB by DNA viruses

The mechanisms that DNA viruses use to enter the brain include both neural spread and breaching of the BBB. Herpes simplex virus-1 (HSV-1) causes rare but severe encephalitis, responsible for the majority of sporadic fatal viral encephalitis cases in the United States [71]. Similar to rabies virus, HSV-1 enters the brain via a neuronal route, and in HSV-1 encephalitis (HSE) BBB damage is seen. In a mouse model of HSE, MMP2 and MMP9 activity are increased, and *in situ* zymography indicates that MMP9 activity is centered around meninges and parenchymal blood vessels in the brain [71].

MAV-1 causes a fatal encephalomyelitis in susceptible strains of mice, its natural host [72,73]. The virus infects endothelial cells and causes significant histopathology in brain vasculature [72–74]. MAV-1 infection induces increased BBB permeability that is largely independent of inflammation [13]. Primary mouse brain endothelial cells infected with MAV-1 have decreased TEER, tight junction mRNA and protein levels compared to mock-infected cells. MAV-1 replication in the brain is limited to perivascular regions, leading to the hypothesis that the virus crosses the BBB by direct endothelial cell infection. However, entry into the CNS by a Trojan horse mechanism via monocytes, which are also infected by MAV-1, cannot be ruled out [74,75]. Although MAV-1 infection results in inflammatory cell infiltration in the brain, in infected mice in which inflammation is greatly reduced, BBB disruption is equivalent to that in control mice [13]. MAV-1 may thus stimulate an innate host response in infected endothelial cells that induces BBB disruption prior to and/or independent of cellular inflammation, possibly by increasing MMP activity of cells in the NVU and circulation.

#### Other virus-tight junction interactions: comparative lessons

Endothelia and epithelia share some features, such as tight and adherens junctions, although their permeabilities vary. For example, skin epithelium is a tighter barrier than intestinal epithelium [76]; and due to the unique properties of brain endothelial cells discussed above, the complex tight junctions of brain endothelium are nearly impenetrable compared to other less structurally organized endothelia. Some aspects of tight junction regulation are shared between endothelia and epithelia, including modulation by phosphorylation and oxidative stress [77]. The protein compositions of tight junctions of endothelia and epithelia are

generally similar. However, BBB tight junctions have specific claudins (i.e., claudins 3, 5, and 12) that are key to maintenance of barrier function [5,6,78].

Viruses interact not only with tight junctions in the brain, but also tight junctions of airway and intestinal epithelial cells [79]. Some components of tight junctions or adherens junctions are viral attachment receptors or entry factors. For example, JAM-1 is a receptor for reovirus [80] and feline calicivirus [81]; occludin is required for Coxsackievirus B3 entry [82]; and claudin-1 and occludin are hepatitis C virus (HCV) entry factors [83,84]. Nectin-4, an adherens junction protein, was recently identified as an epithelial cell receptor for measles virus [85,86]. Some proteins were first identified as viral receptors and subsequently shown to be components or possible regulators of tight junctions, including CAR [87] and the receptor for human hepatitis A virus, respectively [88]. Why viruses use tight junction proteins as attachment or entry receptors is poorly understood [79]. However, in the setting of the BBB, targeting of tight junctions may enable viral access to the brain parenchyma. For example, recently HCV RNA has been demonstrated to be present in brain tissues of infected individuals, and *in vitro* brain endothelial cell infection by HCV appears to be claudin-1-dependent [17].

Despite use of tight junction components as receptors by a number of viruses few correlations have been made with junctional damage upon virus binding. However, there is ample evidence for disruption of tight junctions of non-neural vasculature or epithelia at mucosal surfaces as a direct or indirect result of viral infection. For example, HCV infection promotes expression of vascular endothelial growth factor, which alters tight junction integrity and polarity of hepatocytes [89]. Hemorrhagic hantavirus infection of renal epithelial and endothelial cells results in redistribution and reduction in tight junction protein ZO-1 and reduced transpithelial electrical resistance [90]. Dengue virus infection increases vascular permeability, particularly in severe dengue hemorrhagic fever and dengue shock syndrome. It does so at least in part by inducing macrophage migration inhibitory factor, which causes redistribution of the tight junction protein ZO-1 [91]. In cases of severe influenza, in which there is multi-organ failure with edema and high levels of cytokine production, there is increased vascular permeability that is associated with loss of ZO-1 [92]. Given the variety of viruses that utilize tight junction and adherens junction proteins as attachment receptors or entry factors, and the known disruption of tight junctions outside the CNS by viruses, a fruitful area of study will be to investigate junctional damage that results in the BBB as a result of specific virus-host protein interactions.

#### **Concluding remarks**

The interplay between viruses and their hosts at the BBB is complex. From HIV-1, we have learned that specific viral genes affect (i) signaling pathways that lead to oxidative stress, (ii) expression of enzymes such as MMPs that disrupt the structure of tight junctions, iii) cytokines that affect inflammation, and (iv) proteasomal degradation of tight junction proteins from within cells. The cumulative effects of these are BBB damage. Much less is known about other encephalitic viruses, but accumulating evidence demonstrates that they too use a variety of mechanisms to breach the BBB. Many viruses disrupt the actin cytoskeleton as part of their life cycle [34], and since cytoskeletal integrity is essential for BBB function, these effects on the cytoskeleton likely play an underappreciated role in BBB disruption.

Much knowledge about BBB disruption by viruses has been gained by extending studies of non-viral CNS disease pathogenesis, including ischemic stroke and multiple sclerosis. Comparing and contrasting viral infections that alter tight junctions in the brain with those that do so in the respiratory, gastrointestinal, or genital tracts will also be informative in

future research investigating both viral genes and host response involved in tight junction disruption. Similarly, we can also learn from studies of parasites that breach or damage the BBB, such as *Plasmodium* spp., *Toxoplasma gondii*, and trypanosomes, which alter permeability by modifying expression of tight junction proteins and MMPs [38,68,93,94].

The development of good *in vitro* models, such as immortalized endothelial cells that retain key endothelial properties [37], holds promise for exciting new developments in the study of encephalitic viruses and how they disrupt the BBB (Box 1). Infections of small animals with viruses are important *in vivo* models that provide insight into virus-host interactions at the whole animal level. Moreover, expanded use of genetically altered mice, particularly knockouts in innate immune system components such as TLRs and inflammasome components, will enable studies of the impact of the host response to BBB disruption that are not possible *in vitro*. The use of sensitive *in situ* zymography to detect active MMPs [7], and live cell imaging, including intravital microscopy [4], will extend the use of *in vivo* and *in vitro* models. Currently we have a basic knowledge of how viruses disrupt the BBB. Advances in understanding virus-host interactions are likely to be forthcoming as researchers apply powerful genetic, immunological, biochemical, and cell biology approaches to this inquiry.

#### Box 1

#### **Outstanding questions**

- To what extent do virus-induced cytoskeletal changes in brain endothelial cells contribute to BBB disruption?
- What is the sequence of events in BBB damage by encephalitic viruses, i.e. disruption from within the cell, or degradation of basal lamina or tight junction proteins from outside? How does this differ among viruses?
- What viral gene products or host responses induce changes in tight junction protein expression in endothelial cells, leading to tight junction protein relocalization, altered phosphorylation, and/or degradation? What mechanisms are involved?
- To what extent do viral interactions with non-endothelial cells of the NVU (e.g. astrocytes) contribute to BBB disruption?
- What is the biological significance of viral CNS infection? What is the advantage to the virus of infecting this site?

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#### Glossary

**Adherens junctions** 

Protein complexes located at cell-cell contacts in endothelium and epithelium. Adherens junctions are essential for formation of tight junctions and are anchored on actin cytoskeletons

Astrocytes	Cells with long processes ('star' shaped) that comprise of the majority of neuroglial cells in the brain and spinal cord. Astrocytes are important for development and/or maintenance of BBB characteristics	
Blood-brain barrier (BBB)	The interface between the brain and peripheral circulation. It is composed of specialized capillaries and adjoining cells that function to strictly regulate substances entering the brain from the peripheral circulation	
Central nervous system (CNS)	The part of the nervous system that contains the brain and the spinal cord. Responsible for the control and coordination of the entire body	
Claudins	A family of small transmembrane proteins important for tight junction formation	
Endothelial cells	Cells forming the main structural component of blood vessels	
Junctional adhesion molecules (JAMs)	Members of the immunoglobulin family involved in cell-cell adhesion	
Lymphocytes	A subset of white blood cells of the immune system that includes T cells, B cells and NK cells	
Neurovascular unit (NVU)	An association of endothelium, extracellular matrix, astrocytes, pericytes, microglia, and neurons that contributes structurally and functionally to permeability of the microvasculature	
Matrix metalloproteinases (MMPs)	Zinc-dependent endopeptidases that are involved in a variety of processes including tissue repair, angiogenesis, cell division, apoptosis and host immunity. These proteins can be soluble, matrix- bound or cell-associated	
Microglia	Resident macrophages of the brain and spinal cord. They are the initial and main host immune system responders in the CNS	
Occludin	Transmembrane tight junction protein	
Paracellular transport	Transport of substances between or around cells	
Reactive oxygen and nitrogen species (ROS	RNS), Highly reactive molecules or free radicals that contain oxygen or nitrogen, respectively. These chemicals can mediate cellular damage by attacking biological molecules	
Tight junctions	Protein complexes that include transmembrane and cytoplasmic proteins. Tight junctions are located in intercellular clefts and form close associations that restrict the passage of molecules	
Tissue inhibitors of MMP (TIMPs)	Natural regulators of MMPs	
Transcellular transport	Transport of substances through a cell	
Zona (or zonula) occludens (ZO) proteins	A family of intracellular scaffolding proteins important for the structural integrity of intercellular tight junctions	

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#### Figure 1.

The neurovascular unit and junctions between endothelial cells. (a) cross-section of a brain microvessel, showing cells of the neurovascular unit. Neurons and their contacts with astrocytes are not shown. Cells in the lumen (bloodstream) include red blood cells, lymphocytes, monocytes, and neutrophils (not shown). Note the two basement membranes; the space between them is known as the perivascular space. (b) Enlargement of an endothelial cell-cell junction. Major molecules of the tight junction and adherens junction are shown; no order of the tight junction proteins within the tight junction is implied by the figure. Abbreviations: JAMs, junctional adhesion molecules; ESAM, endothelial cell-selective adhesion molecule; CAR, Coxsackie and adenovirus receptor; ZO, zona occludens. The figure is based on figures in [7,95].

#### Table 1

#### Viruses and their effects on the BBB

Virus	Effects on BBB	Refs
Herpes simplex virus	Increased MMP2 and MMP9 activity	[71]
HIV-1	Alteration of tight junction protein expression, which is likely CCL2-dependent	[12, 33, 35, 36]
	Increased MMP2 and MMP9 expression in <i>in vitro</i> culture supernatants	[33]
	Activation of Ras signaling	[41-44]
	Inhibition of tight junction protein expression with concomitant increase in MMP9 cleavage of tight junction proteins due to Tat	[45, 46]
	Higher vessel permeability due to presence of secreted gp120	[47]
	Increased expression of MMP2 and MMP9 with decreased expression of claudin-5 and laminin due to oxidative stress	[49]
HTLV-1	Alteration of tight junction protein expression	[14]
	Increased barrier permeability of cells and higher migration of infected lymphocytes in <i>in vitro</i> co-culture model	[14]
Japanese encephalitis virus (JEV)	Increased MMP9 expression	[60]
Lymphocytic choriomeningitis virus (LCMV)	CD8 <sup>+</sup> T cell-dependent BBB disruption	[68]
Mouse adenovirus type 1 (MAV-1)	Alteration of tight junction protein expression	[13]
Rabies virus	CD4 <sup>+</sup> T cell-dependent BBB disruption	[66, 67]
SIV	Alteration of tight junction protein expression associated with increased FAK expression	[39]
Theiler's virus	Alteration of tight junction protein expression	[68, 69]
West Nile virus (WNV)	Increased tight junction protein expression	[16]
	Increased MMP expression and activity	[59, 62]
	Increased TLR3-dependent inflammatory response leading to increased BBB disruption	[61]
Various viruses	Increased ROS and RNS production	[27]