

# Genetic variations of HSPA1A, the heat shock protein levels, and risk of atherosclerosis

Elena Dulin · Pedro García-Barreno ·  
Maria C. Guisasola

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**Abstract** HSPA1A is a serum and intracellular heat shock protein with antiapoptotic and antithrombotic properties. The present study examines the hypothesis that a decrease in the synthesis of this protein in relation to certain polymorphisms of the regulatory region of the *HSPA1A* gene can define a vascular disease risk phenotype. A randomly selected population was studied and stratified into groups according to the degree of vascular risk. After applying the Task Force Chart to 452 people, the subjects were divided into three groups: group 0 (no vascular risk factor or risk < 5%),  $n=239$ ; group 1 (moderate (10–20%) risk, with no clinical cardiovascular disease),  $n=161$ ; and group 2 (overt atherosclerosis),  $n=52$ . Serum and intragranulocytic HSPA1A was quantified, and direct Sanger sequencing was performed in all subjects. An analysis was made of the association of two single nucleotide polymorphisms (db *rs1008438* –110A/C and db *rs1043618* +190 G/C) with circulating and intragranulocytic HSPA1A and the risk of atherosclerosis. The atherosclerotic subjects showed significantly lower circulating HSPA1A levels than the other groups, regardless of the genotype. The patients with CC genotype for both polymorphisms showed significantly lower intragranulocytic HSPA1A levels than the other genotypes. Serum HSPA1A concentrations could be proposed as a biomarker of cardiovascular disease. CC homozygosis for polymorphisms db *rs1008438* and db *rs1043618* is associated

with a decrease in the intragranulocytic production of HSPA1A. Given the antiatherogenic functions of intracellular HSPA1A, the –110A and +190 G alleles could constitute potential genetic biomarkers of a less severe clinical phenotype for the risk of developing atherosclerosis.

**Keywords** Heat shock protein HSPA1A · Single nucleotide polymorphisms · Leukocytes · Vascular risk · Atherosclerosis

## Introduction

Atherosclerosis is the main cause of cardiovascular diseases and the number one killer of the population in the developed countries (Domanski et al. 2011). Atherosclerosis is widely recognized as a chronic inflammatory disease that involves innate and adaptive immune responses (Jara et al. 2006). The antigens regarded as candidates for triggering the immune response in atherosclerosis include the heat shock proteins (HSPs) (Mehta et al. 2005).

Within the superfamily of HSPs, HSPA1A (Kampinga et al. 2009) is the main molecular chaperone. It has antiinflammatory and antiapoptotic functions (Yenari et al. 2005) and has been one of the proteins most widely studied in relation to the pathogenesis and development of atherosclerosis. Although for years HSPs were regarded as exclusively intracellular molecules, they are now also known to be circulating molecules, and the presence of HSPA1A has been demonstrated in the serum of normal individuals (Pockley et al. 1998; Jin et al. 2004). HSPA1A may be released into the extracellular compartment by several mechanisms: transport with proteins that possess transmembrane domains to the cell surface and its secretion into the extracellular environment, and their entry into secretory lysosomal endosomes and their cell surface and release of the contents into the extracellular space or by inhibiting phospholipase C activity (Asea 2008). Once in the

E. Dulin  
Biochemistry Department,  
Hospital General Universitario “Gregorio Marañón”,  
Dr. Esquerdo 46,  
28007 Madrid, Spain

P. García-Barreno · M. C. Guisasola (✉)  
Experimental Medical & Surgery Unit,  
Instituto de Investigación Sanitaria Gregorio Marañón,  
Hospital General Universitario “Gregorio Marañón”,  
Dr. Esquerdo 46,  
28007 Madrid, Spain  
e-mail: mguisasola@mce.hggm.es

extracellular space, stress proteins have immunobiological properties, both proinflammatory and antiinflammatory roles in physiological and pathophysiological situations (Pockley and Multhoff 2008). Taking into consideration that HSPA1A has antiinflammatory properties due to inhibition of the expression of proinflammatory cytokines (Luo et al. 2008) and of proinflammatory transcription factors such as the nuclear factor kappa-B (NF- $\kappa$ B) by blocking target activation and binding (Shimizu et al. 2002; Stice and Knowlton 2008), low levels of intracellular and circulating HSPA1A would promote a proinflammatory state and increase the vulnerability of the arterial wall to the damaging action of vascular risk factors involved in endothelial dysfunction—the first stage in the development of the atherosclerotic plaque.

Two single intronless genes (*HSPA1A* and *HSPA1B*) encode copies of the 641-amino acid protein Hsp70 which only differ by two amino acids (Kampinga et al. 2009). *HSPA1A* is expressed constitutively at low level and after thermal shock, and *HSPA1B* is expressed only after heat induction (Cascino et al. 1993). They are located in tandem along a ~15-kb region of chromosome 6p23.1 in the class III region of the major histocompatibility complex: The coding regions of *HSPA1A* and *HSPA1B* are identical except for six single base substitutions. The promoter and 3' untranslated region (UTR) of the genes have considerable sequence differences, probably due to their distinct regulation of translation and transcription (Smith et al. 2007). Since single-nucleotide polymorphisms (SNPs) of *HSPA1A* have been described in relation to susceptibility towards certain diseases, such as essential hypertension (Li et al. 2009) or ischemic stroke (Liu et al. 2007), we hypothesized that possible polymorphisms of the regulatory region of *HSPA1A* could affect HSPA1A protein synthesis, determining diminished, normal, or increased HSPA1A-producing phenotypes. The hypo-producing phenotype would entail a greater risk of developing atherosclerotic disease. The present study was made to identify SNPs in the regulatory region of *HSPA1A* gene and evaluate whether any of them could affect HSPA1A synthesis in a randomly selected population which was later stratified into different groups according to the degree of vascular risk.

## Methods

### Study population and design

The description of the study population and the research design were done in our previous study (Dulin et al. 2010). Briefly, this was an observational, cross-sectional epidemiological study on the incidence of classical and candidate vascular risk factors, carried out from January 2004 to June 2009. Inclusion criteria include randomly selected voluntary subjects of both sexes aged 40–60 years, employees of Gregorio Marañón University

General Hospital (HGUGM) in Madrid, Spain, who signed the informed consent. The study was approved by the Clinical Research Ethics Committee of Gregorio Marañón University General Hospital. All participants provided a clinical history and answered an epidemiological survey including age, personal and family medical history, smoking (number of cigarettes per year and smoking duration; if former smokers, number of years elapsed since smoking cessation), alcohol intake (if yes, grams of alcohol daily), treatments, and occurrence or presence of disease of atherosclerotic etiology. Blood pressure (BP) of all participants was measured with an automated BP recording device after an individual had been sitting quietly for 5 min. Blood was taken for the appropriate laboratory measurements. Exclusion criteria included pregnancy or breastfeeding, any systemic infection in the past 3 months, current oncological disease or radiotherapy/chemotherapy, autoimmune disease (rheumatoid arthritis, systemic lupus erythematosus, sarcoidosis), endocrine disorders (except for diabetes), liver disease, renal failure, glomerulonephritis, congenital heart disorder, oncohematological disease, or allergic disorders.

The Department of Epidemiology and Preventive Medicine of the Hospital calculated the sample size. In order to determine whether there is a correlation between serum and intragranulocytic levels of HSPA1A and the development or presence of atherosclerotic disease and to estimate the size of the study cohort, the following premises were assumed: (1) an estimated incidence of the disease of 12.5% in the group with a high serum and intracellular concentration of HSPA1A and (2) this population has half the risk of the population with a low concentration of developing the disease (RR 0.5); error protection: alpha 0.05 (bilateral), beta: 0.20.

### Assessment of vascular risk and classification of subjects

The calculation of the absolute risk of developing cardiovascular disease (CVD) in a given period of time, usually 10 years, is estimated based on the presence of prior coronary heart disease and the joint assessment of risk factors present (Grundy et al. 1999, Grundy 2007). For this purpose, there are several tables based on follow-up of the Framingham study population (D'Agostino et al. 2001). One of the most widely used is the Task Force Coronary Risk Chart (Wood et al. 1998). According to the coronary risk chart criteria, the studied population was classified into three groups: group 0 (G0): subjects with no vascular risk factor, or risk <5%; group 1 (G1): subjects with moderate vascular risk (10–20%) who do not have clinical CVD; and group 2 (G2): subjects with clinically established atherosclerotic disease; all of them suffered one of the specific atherosclerotic cardiovascular disease events: coronary heart disease, cerebrovascular disease, peripheral vascular disease, or heart failure (D'Agostino et al. 2008; Graham et al. 2007).

### Laboratory tests

Venous blood was drawn after a 12-h fasting period and centrifuged, and serum samples were frozen at  $-70^{\circ}\text{C}$  for subsequent testing. Total cholesterol, HDL-cholesterol, LDL-cholesterol, triglycerides, and glucose were quantified with a Hitachi Modular Analytic SVA autoanalyzer (Roche Diagnostics S.L., Barcelona, Spain).

### Isolation of polymorphonuclear neutrophil leukocytes (PMNs)

Twenty milliliters of blood was drawn using Vacuette™ tubes (Greiner bio-one A-4550 Kremsmünster, Austria). Five milliliters of whole blood was layered onto 3.5 mL of Polymorph-prep™ (Nycomed, Oslo, Norway) in 10-mL polystyrene centrifuge tubes (Nunc, Roskilde, Denmark) and centrifuged (Heraeus, Labofuge 400 e, Kendro Laboratory Products, Newton, USA) at 450–500 g for 30 min at room temperature. In the gradient that formed, erythrocytes were located at the bottom. Above, two bands were seen; the lower one, corresponding to the PMNs, was gently removed in 10 mL of phosphate-buffered and centrifuged at 700 g for 5 min, and the supernatant was discarded. An aliquot of  $10 \times 10^6$  cells was stored as dry pellet for DNA extraction, and the precipitate containing the PMNs was resuspended in 100  $\mu\text{L}$  of RIPA buffer supplemented with protease and phosphatase inhibitors. Cell lysates were homogenized by 40 passages in a Kontes homogenizer and centrifuged at 12,000 g for 15 min at  $4^{\circ}\text{C}$ . The supernatant was collected and stored at  $-70^{\circ}\text{C}$  until further processing. Protein concentration was measured by the Lowry microassay method, using the DC Protein Assay (Bio-Rad Laboratories, Hercules, CA, USA).

### Serum and intracellular HSPA1A

HSPA1A was quantified in diluted serum 1:5 using the Hsp70 ELISA kit (EKS-715, Assay-Designs-Stressgen, Ann Arbor, Michigan, USA) in accordance with the instructions of the manufacturer. The EKS-715 test recognizes recombinant and native plasma and serum HSPA1A, and there is no cross-reactivity with constitutive Hsp70 (HSPA8) or human Hsp60 (HSPD1) (Multhoff and Hightower, 2011). Results were expressed in nanograms per milliliter. The working range (linearity) for HSPA1A was 0.34–6.25 ng/ml, with a sensitivity of 0.30 ng/mL. The inter-assay and intraassay coefficients of variation of the assays were  $<10\%$ .

The concentration of intracellular HSPA1A was quantified using the Hsp70 ELISA kit for cell lysates (EKS-700B, Assay-Designs-Stressgen, Ann Arbor, Michigan, USA) in identical 20- $\mu\text{g}$  aliquots of protein from the cell lysate. The results were expressed as ng HSPA1A/

$\mu\text{g}$  total protein. The assay is certified for use in detection of HSPA1A in human cell lysates and tissue (Multhoff and Hightower, 2011).

### Sequencing of the regulatory region of the HSPA1A gene

A 1,053-bp sequence comprising the promoter, the 5'UTR region, and part of the *HSPA1A* gene coding region was amplified by PCR in three fragments from the genomic DNA isolated from the PMNs of each of the study subjects. Given the length of the fragment, the latter was sequenced in the form of three sub-fragments, followed by coupling of the sub-sequences obtained. To this effect, use was made of primers specifically designed from the published sequence (GenBank, NT\_007592, gi: 224514668): For the first sub-fragment, the sequences of the forward and reverse primers were 5'-ACTGCA-CAACCGGGTCCCC-3' and 5'-AGTCGTCACGGA-GACCCGCC-3', respectively. For the second sub-fragment, they were 5'-GGCGGCACTCTGGCCTCTGA-3' and 5'-GGCCATGCCGGTTCCTGCT-3', and for the third, the used primers were 5'-GGCGGCACTCTGGCCTCTGA-3' and 5'-GCCAGTGCTTCATGTCCGAC-3'.

The optimized PCR reaction mixture in a final volume of 100  $\mu\text{L}$  consisted of 50  $\mu\text{L}$  of PCR Master MIX (Promega, WI, USA), 50 pM of each forward and reverse primer, and 400 ng of the DNA template. All reactions were performed using an iCycler thermal cycler (Bio-Rad Laboratories, Hercules, CA, USA). The cycle conditions were  $95^{\circ}\text{C}$  for 2 min, followed by 40 cycles of  $94^{\circ}\text{C}$  for 30 s (denaturation),  $62^{\circ}\text{C}$  for 30 s (annealing), and  $72^{\circ}\text{C}$  for 45 s (extension). The PCR products were purified using the High Pure PCR Product Purification Kit (Roche, WI, USA), separated by 0.6% agarose gel electrophoresis and visualized under UV light using ethidium bromide staining. Direct Sanger sequencing was carried out on an ABI PRISM 3100 genetic analyzer (Applied Biosystems, Inc., Foster City, CA, USA). Sequence alignment and polymorphism identification were performed using Chromas 2.3 software (Technelysium Pty Ltd., Tewantin QLD, USA). A search of the region sequenced was performed in dbSNP ([www.ncbi.nlm.nih.gov](http://www.ncbi.nlm.nih.gov)) to record all polymorphisms reported in the region.

### Statistical analysis

Normal distribution of data was checked by the Kolmogorov–Smirnov normality test. Data with a normal distribution were compared by the Student's *t*-test or one-way ANOVA with Bonferroni correction. Those with unequal variance or without a normal distribution were analyzed using a nonparametric Mann–Whitney rank

**Table 1** HSPA1A polymorphism location and frequencies

	Position <sup>a</sup>	dbSNP accession <sup>b</sup>	Nucleotide position in gene	Genotype	Frequency	%
	-399 G/A	NA	31722967	GG	450	99.13
				GA	1	0.86
				AA	0	0
	-365 G/A	rs4713489	31722943	GG	422	93.4
				GA	27	6.0
				AA	2	0.4
	<b>-110 A/C</b>	<b>rs1008438</b>	<b>31723208</b>	<b>AA</b>	<b>147</b>	<b>32.5</b>
				<b>AC</b>	<b>211</b>	<b>46.7</b>
				<b>CC</b>	<b>93</b>	<b>20.6</b>
	+27 G/A	rs34397183	31712343	GG	449	99.6
				GA	2	0.4
				AA	0	0
	+58 C/A	rs33934112	31723376	CC	439	97.3
				CA	12	2.7
				AA	0	0
In bold, the most frequent SNPs	+120 T/C	rs11557922	31723437	TT	412	91.4
NA not available				TC	39	8.6
				CC	0	0
<sup>a</sup> Position relative to the ATG start codon, where the first base in the start codon is +1	<b>+190 G/C</b>	<b>rs1043618</b>	<b>31723507</b>	<b>GG</b>	<b>153</b>	<b>33.8</b>
				<b>GC</b>	<b>211</b>	<b>46.7</b>
<sup>b</sup> Identified in dbSNP ( <a href="http://www.ncbi.nlm.nih.gov">www.ncbi.nlm.nih.gov</a> )				<b>CC</b>	<b>87</b>	<b>19.2</b>

sum test or Kruskal–Wallis test, depending on the amount of variables. The  $\chi^2$  test or Fisher's exact test was used to assess the goodness-of-fit between the observed allele frequencies and the expected counterparts by Hardy–Weinberg equilibrium and to evaluate the differences in allele distributions between groups. The associations between variants and atherosclerosis risk were estimated by odds ratios (ORs) and 95% confidence intervals (CIs) using binary logistic regression analysis. A *p*-value of less than 0.05 was considered statistically significant, while *p*-values of 0.05 and 0.1 were considered indicative of a trend. All data analyses were carried out using the SPSS version 12.0 statistical package.

## Results

### General characteristics of the subjects

The general characteristics of subjects were described in our previous study (Dulin et al. 2010). Briefly, a total of 452 subjects who met the inclusion criteria and gave their informed consent were included, of which 234 were females (51.8%) with a mean age of 49.95±6.89 (*x*±*SD*) years and 218 were males (48.2%) with a mean age of 48.86±7.27 years. After applying the Task Force Coronary Risk Chart (Wood et al.1998), the subjects participating in the study were distributed in the next way: group 0 (G0): subjects with no vascular risk factor or a risk lower than 5%, *n*=

**Table 2** Allele and genotype frequencies of *rs1008438* in general population and in groups according to vascular risk degree

rs1008438 -110 A/C	Allele frequency		Allele distribution		Genotype		
	<i>p</i> (A)	<i>q</i> (C)	A ( <u>AA</u> + <u>AC</u> )	C ( <u>AC</u> + <u>CC</u> )	AA	AC	CC
General population ( <i>N</i> =451)	0.56	0.44	505	397	147(32.6%)	211(46.8%)	93(20.6%)
Group 0 ( <i>N</i> =238)	0.54	0.46	255	221	74 (31.1%)	107 (45%)	57(23.9%)
Group 1 ( <i>N</i> =161)	0.61	0.39	194	126	59 (36.6%)	76 (47.2%)	26(16.2%)
Group 2 ( <i>N</i> =52)	0.54	0.46	56	48	14 (26.9%)	8(53.8%)	10(19.2%)

**Table 3** Association between variants and atherosclerosis risk

Genotype	G0 (n=238)	G1 (n=161)	OR	95% CI	P
AA			1.75	0.98–3.11	<b>0.058</b>
AC			1.58	0.90–2.70	0.114
CC			1		
	G0 (n=238)	G2 (n=52)	OR	95% CI	
AA			1.08	0.45–2.60	0.867
AC			1.49	0.68–3.29	0.321
CC			1		
	G1 (n=161)	G2 (n=52)	OR	95% CI	
AA			0.62	0.24–1.57	0.311
AC			0.92	0.41–2.24	0.921
CC			1		
	G0 (n=232)	G1+G2 (n=213)	OR	95% CI	
AA			1.56	0.92–2.65	<b>0.066</b>
AC			1.54	0.94–2.53	<b>0.088</b>
CC			1		

OR (odds ratio), 95% CI (95% confidence interval), and P value between groups were calculated using binary logistic regression analysis

239; group 1 (G1): subjects with moderate vascular risk (10–20%) without clinical atherosclerosis, n=161; and group 2 (G2): subjects with overt atherosclerotic disease (coronary heart disease, cerebrovascular disease, peripheral vascular disease or heart failure) n=52 (D'Agostino et al. 2008; Graham et al. 2007).

#### SNPs identification in the regulatory region of HSPA1A

Sequencing of the regulatory region of the HSPA1A gene revealed 7 SNPs. Referring to the gene transcription start site as +1, SNP –110A/C (dbSNP accession number rs1008438) in the core promoter region and +190 G/C (dbSNP accession number rs1043618) in the 5'UTR region were the most frequent SNPs, and both of them were found in 445 of the 451 cases studied (98.66%) (Table 1). That was the reason why the analyses were made only for polymorphism –110 A/C.

#### Allelic frequencies

There were no significant differences in allele frequencies of –110A/C and +190 G/C (data not shown).

Table 2 shows the allele and genotype frequencies both in global population and in each group without significant differences among them. The genotype frequencies for the studied polymorphisms respected the Hardy–Weinberg equilibrium ( $p>0.05$ ) in all groups. Similar genotype/allele distributions were noted by gender stratification (data not shown).

**Table 4** Circulating HSPA1A levels (ng/mL) according to genotype in the general population and stratified by risk groups

AA	P <sup>a</sup>	AC	P <sup>a</sup>	CC	P <sup>a</sup>	P <sup>b</sup>	P <sup>c</sup>
General population (N=146)							
1.18 (0.00–7.54)		1.21 (0.05–29.55)		1.27 (0.28–5.23)			
G0 (N=74)	G1 (N=58)	G2 (N=14)	G1 (N=75)	G2 (N=28)	General population (N=90)		NS
1.24 (0.19–4.22)	1.25 (0.0–7.54)	1.02 (0.31–3.48)	1.46 (0.10–6.76)	1.14 (0.05–3.90)	G0 (N=56)	G1 (N=25)	G2 (N=9)
					1.42 (0.33–3.15)	1.15 (0.69–5.23)	1.09 (0.28–1.76)
							NS (P=0.13)

Data are expressed as median (range)

<sup>a</sup>P: differences according to genotypes, the grouping variable being vascular risk (Kruskal–Wallis test, P=NS)

<sup>b</sup>P: differences according to genotype in the general population (Kruskal–Wallis test, P=NS)

<sup>c</sup>P: differences according to vascular risk group. Serum HSPA1A concentration was significantly lower in the group of patients with established atherosclerosis disease than in the other two groups regardless of the genotype involved (Kruskal–Wallis test)

**Table 5** Dose–response relationship of variations on serum and intracellular HSPA1A levels in different groups of vascular risk

	Group 0		Group 1		Group 2		P
Serum HSPA1A (ng/mL)	N=235	1.26 (0.19–29.55)	N=158	1.22 (0.00–7.54)	N=51	1.09 (0.05–3.90)	0.028*
Intracellular HSPA1A (ng/μg protein)	N=239	38.96 (1.56–107.01)	N=161	40.45 (5.61–160.75)	N=52	38.62 (7.92–88.41)	NS**

\*  $P < 0.05$  group 2 vs group 0 and group 1 combined (Kruskal–Wallis test) and separately: group 2 vs group 0 (Mann–Whitney test  $p = 0.010$ ) and group 2 vs group 1 (Mann–Whitney test,  $p = 0.010$ ); \*\*  $P = \text{NS}$  (Kruskal–Wallis test,  $p = 0.587$ )

The presence of genotype CC in polymorphism –110 A/C was associated to a relative risk of 1.75 (95% CI 0.98–3.11,  $p = 0.058$ ) of undergo vascular risk factors and a relative risk of 1.56 (95% CI 0.92–2.65,  $p = 0.066$ ) of presenting vascular risk factors and clinically established atherosclerotic disease (Table 3).

#### Serum HSPA1A

Serum levels of HSPA1A were detectable in 444 subjects, representing 98.23% of the cases, and the data were not normally distributed. The median concentration of circulating HSPA1A was 1.21 ng/mL (range 0.00–29.55 ng/mL). No statistically significant differences were found in the concentration of circulating HSPA1A according to the genotype in either the general population or on stratifying by risk groups. The concentration of circulating HSPA1A was significantly lower in the group with established atherosclerotic disease than in the other two groups—both combined ( $p = 0.028$ , Kruskal–Wallis test) and on considering group 0 (Mann–Whitney test  $p = 0.010$ ) and group 1 (Mann–Whitney test  $p = 0.010$ ) separately, regardless of the genotype involved (Table 4).

#### Intracellular HSPA1A

In all the studied subjects we were able to quantify the intracellular HSPA1A concentration—no significant differences being observed in relation to the degree of vascular risk (Table 5). In contrast, the subjects with CC minor allele frequency (MAF) showed significantly lower intracellular HSPA1A levels than the other genotypes ( $p < 0.001$ , Kruskal–Wallis test). On analyzing according to the vascular risk group, the decrease in intracellular HSPA1A concentration persisted in the CC genotype carriers regardless of the vascular risk group they belong to ( $p < 0.05$ , Kruskal–Wallis test) (Table 6).

On the basis of the hypothesis that subjects who are hyper-producers of intracellular HSPA1A present a lesser vascular risk phenotype, we classified the individuals according to percentiles  $P_{25}$ ,  $P_{50}$ , and  $P_{75}$ . The individuals with intracellular HSPA1A concentrations below  $P_{25}$  ( $< 25.54$  ng/μg protein) would be hypo-producers; those between  $P_{25}$  and  $P_{75}$  ( $P_{50} = 39.73$  ng/μg protein) would be normal producers, and

those with concentrations above  $P_{75}$  ( $> 52.94$  ng/μg protein) would be classified as hyper-producers, with the purpose of analyzing them in relation to the –110A/C SNP of the promoter.

As can be seen in Fig. 1a, the greatest percentage of intracellular HSPA1A hypo-producers corresponded to the subjects with the CC genotype. The assignment to a given vascular risk group revealed no significant differences in the percentages of hyper-, normal, or hypo-producing individuals (Fig. 1b).

The CC genotype entails a risk of being a low intracellular HSPA1A producer with respect to normal production of 1.673 (95% CI 1.191–2.351,  $p = 0.004$ ) versus the AC genotype and of 1.419 (95% CI 1.034–1.947,  $p = 0.033$ ) versus the AA genotype. The CC genotype entails a risk of being a low producer with respect to high production of 2.980 (95% CI 1.654–5.638,  $p < 0.001$ ) versus genotype AC and of 2.714 (95% CI 1.534–4.802,  $p < 0.001$ ) versus the AA genotype

## Discussion

Different studies have related low concentrations of HSPA1A at both intracellular level and in serum to the development of atherosclerosis (Pockley et al. 2009). Such low levels could be either a cause or a consequence of the disease (Hooper and Hooper, 2004; Pockley et al. 2004). The present study was designed to evaluate whether low levels of HSPA1A synthesis could be related to SNPs of the noncoding region of the *HSPA1A* gene, affecting its regulation at transcriptional level. To this effect, we sequenced the regulatory region comprising the promoter and the 5'UTR region, using DNA samples from peripheral blood PMNs. These cells were chosen because they are the most common type of leukocyte found in the circulation and due to their important role in the initiation and development of atherosclerosis. A close relationship has been demonstrated between a high PMN count and increased cardiovascular risk (Horne et al. 2005), and patients with unstable angina or acute myocardial infarction moreover present activated PMNs that release free oxygen radicals and inflammatory mediators which in turn interact with the endothelial cells—triggering the development of atherosclerosis (Baetta and Corsini 2010). Furthermore, circulating PMNs have been shown to be activated in a number of conditions associated

**Table 6** Intragramulocytic HSPA1A levels (ng/ $\mu$ g protein) according to genotype in the general population and stratified by risk groups

AA	$P^a$	AC	$P^a$	CC	$P^b$	$P^c$
General population (N=147)		General population (N=211)		General population (N=93)		
42.63 (4.64–124.75)		42.10 (2.62–160.75)		29.74 (1.56–92.27)		$P<0.001$
G0 (N=74)	G1 (N=59)	G2 (N=14)	G1 (N=76)	G2 (N=26)	G2 (N=10)	
44.85 (4.64–97.08)	45.77 (5.61–124.75)	39.91 (16.16–87.53)	43.15 (5.67–160.75)	43.85 (12.48–81.45)	26.48 (7.07–88.41)	NS
						$P=0.024$ ( $P=0.873$ )

Data are expressed as median (range)

<sup>a</sup>  $P$ : differences according to vascular risk group, the grouping variable being genotype (Kruskal–Wallis test,  $P=NS$ )

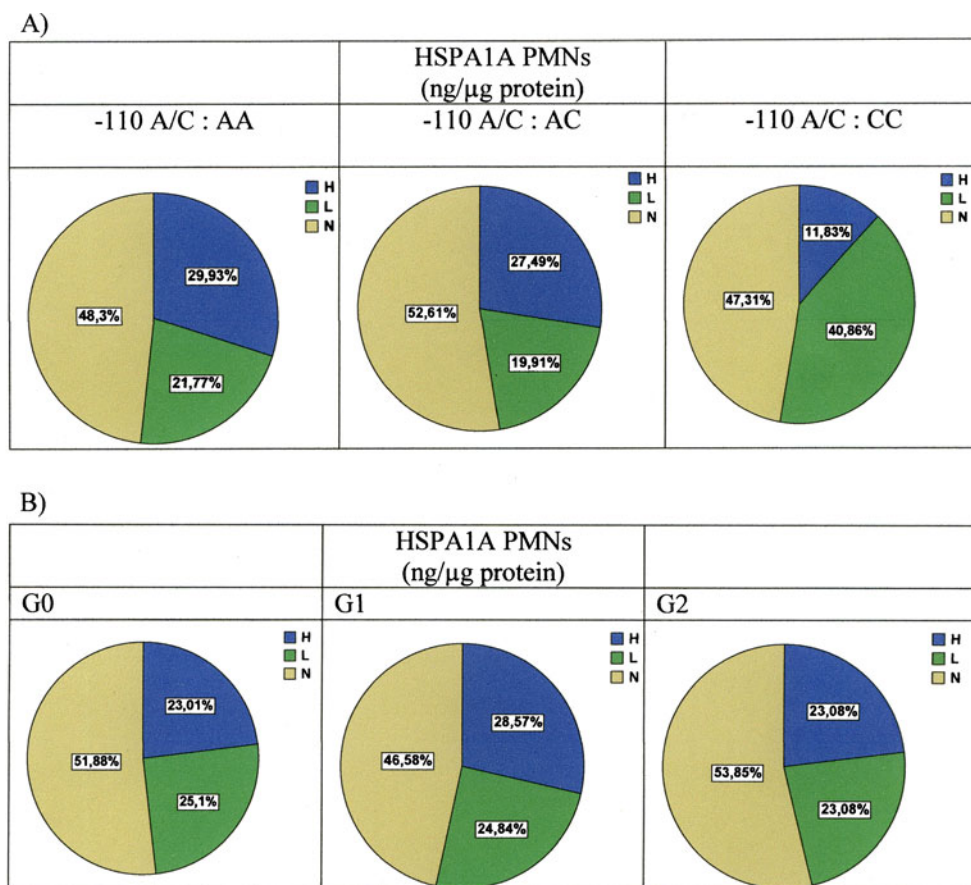
<sup>b</sup>  $P$ : differences according to genotype in the general population (Kruskal–Wallis test). Subjects with CC genotype showed significantly lower intragramulocytic HSPA1A concentrations than the other genotypes

<sup>c</sup>  $P$ : differences according to genotypes between groups (Kruskal–Wallis test). The decrease in intracellular HSPA1A concentration persisted in the CC genotype carriers, regardless of the vascular risk group they belong to

with a high risk of developing atherosclerosis, such as hypertension (Ramasamy et al. 2010), type 2 diabetes (Hand et al. 2007), or hyperlipidemia (Mazor et al. 2008). The causal role of PMNs in atherogenesis and their implication in plaque vulnerability (Leclercq et al. 2007) are presently widely accepted.

Sequencing of the regulatory region of *HSPA1A* detected 7 SNPs, of which six are referenced in the dbSNP database. Despite the practically identical sequence of the coding region of *HSPA1A* and *HSPA1B*, the putative 3' and 5' promoters are dissimilar, with sequence variations (Cascino et al. 1993)—this allows us to affirm that the sequenced regulatory region is exclusively that corresponding to *HSPA1A*. The two most frequent SNPs,  $-110A/C$  db *rs1008438* and  $+190 G/C$  db *rs1043618*, were found together in 98.66% of the cases; as a result, the analyses were made on a unitary basis for  $-110A/C$ . The genotype frequencies for both polymorphisms were in Hardy–Weinberg equilibrium in each group. Our population was homogeneous with respect to gender, age, ethnicity, and geographic regions, thus minimizing the possible biases related to population. Moreover, the sample is representative of the Spanish population because volunteers come from different social classes and have different living standards and educational levels. In our study, patients suffering from some type of atherosclerotic disease showed significantly lower levels of circulating HSPA1A, regardless of the  $-110A/C$  and  $+190 G/C$  genotype. This agrees with prior studies (Pockley et al. 2003, Zhu et al. 2003, Martin-Ventura et al. 2007, Armutcu et al. 2008), and it has been discussed in our previous publication (Dulin et al. 2010). In contrast to these works, Zhang et al (2010) reported that high extracellular Hsp70 levels were associated with the increase and the severity of acute coronary syndrome (ACS), and recently, it has been reported that serum Hsp70 levels correlate with the severity of atherosclerosis in patients with peripheral artery disease of the lower extremities and carotid artery disease (Krepuska et al. 2011). The discrepancy between the results of the different studies could have been due, as the authors propose, to differences in population characteristics, the difference of samples (serum versus plasma), and different kinds of ELISA used. Anyway, further investigations to elucidate the potential mechanisms which could explain this controversy must be conducted. Although we could not show significant differences in the concentrations of circulating HSPA1A according to the genotype, median HSPA1A levels were higher in control subjects carrying the  $-110A/C$  CC genotype and  $+190 G/C$  CC genotype, which agrees with the studies of Zhang et al. (2011), in their case with statistically significant differences that could be explained because of the greater sample size in their study. They reported that this significant association was found only for  $-190 C/C$  genotype and not for  $-110A/C$ . In our study, both polymorphisms were associated in the same way to changes in serum and intragramulocytic HSPA1A

**Fig. 1 a** Percentage of intragranulocytic HSPA1A producers according to  $-110A/C$  genotype. *H* hyper-producers (subjects with intragranulocytic HSPA1A concentrations above  $P_{75}$ ), *N* normal producers (subjects with intragranulocytic HSPA1A concentrations between  $P_{25}$  and  $P_{75}$ ), and *L* hypo-producers (subjects with intragranulocytic HSPA1A concentrations below  $P_{25}$ ). CC genotype is a risk factor for low production of intracellular HSPA1A versus genotypes AA and CC ( $p < 0.05$ ,  $\chi^2$  test). **b** Percentage of intragranulocytic HSPA1A according to vascular risk group. No differences are observed between the groups in terms of percentage HSPA1A hypo-, normal, or hyper-producers



concentrations; these differences could be due to ethnic differences between the studied populations, which could explain the differences in the frequencies of the genotype  $-110A/C$ .

The intragranulocytic HSPA1A concentrations showed no significant differences between the disease-free population and the subjects with moderate vascular risk or established atherosclerotic disease. However, the individuals presenting the CC genotype for SNPs  $-110$  and  $+190$  had significantly lower intracellular HSPA1A levels. Intracellular HSPA1A is a cytoprotective molecule with chaperone function. An increase in intracellular HSPA1A concentration exerts antiinflammatory effects through negative regulation of the transcription of proinflammatory cytokines, decreased levels of TNF- $\alpha$  induced inter-cellular adhesion molecule (ICAM-1), and the in vivo inhibition of leukocyte adhesion to the endothelium (Pockley et al. 2009). Furthermore, HSPA1A possesses antithrombotic properties since it prevents the endothelial cell apoptosis (Schmitt et al. 2007) that contributes to atherosclerosis and atherothrombotic events (Bielecka-Dabrowa et al. 2009). Based on our results, homozygosity for  $-110A/C$  and  $+190 G/C$  SNPs entails a risk of presenting moderate vascular risk or declared atherosclerosis—probably as a result of diminished intracellular HSPA1A synthesis and a consequent partial loss of its antiinflammatory and antithrombotic properties. Previous studies evaluating the activity of the promoter of HSPA1A

gene through luciferase reporter assays have demonstrated that polymorphism *rs1008438* modifies the activity of the promoter, the  $-110A$  allele showing significantly higher promoter activity than the  $-110 C$  allele (Qi et al. 2009). He et al. (2009) demonstrated that the  $+190 C$  allele is also associated to a reduction in relative luciferase activity (RLA), from which it can be concluded that the  $+190 C$  allele in the 5'UTR region of the HSPA1A gene reduces the activity of the promoter and probably diminishes HSPA1A protein synthesis through translation efficiency or post-transcriptional regulation. This reduction in RLA is reinforced when the  $-110 C$  and  $+190 C$  alleles coexist. As has been demonstrated in our study, this would cause genotypes AA-110 and GG+190 to be associated with an increased production of HSPA1A versus the CC genotypes. The AA genotype would represent the healthiest genotype from the perspective of atherosclerotic disease, as a result of its association to high intracellular HSPA1A levels—affording a strong protection against the initiation and development of the disease. In coincidence with other authors (Li et al. 2009; Qi et al. 2009; He et al. 2009; Buraczynska et al. 2009; Zhang et al. 2011), this leads us to postulate the  $-110 A$  and  $+190 G$  alleles as possible genetic markers of less severe clinical phenotypes for the risk of developing atherosclerosis.

An observation of note in our study has been the distinct behaviors of serum HSPA1A and intragranulocytic HSPA1A



in relation to the genotype of the polymorphisms of the regulatory region. The observed reduction in circulating HSPA1A levels in atherosclerotic subjects would not be due to a reduction in production of the protein secondary to the existence of these polymorphisms. Whether the level of HSPA1A is a biomarker of the progression of the disease or has an active role in the endothelial lesion must be elucidated in future prospective studies. In contrast, the polymorphisms of the *HSPA1A* regulatory region effectively have been related to a decrease in the production of intragranulocytic HSPA1A—representing a possible genetic marker of risk for the development of atherosclerosis. Thus, it may be postulated that intracellular HSPA1A and serum HSPA1A would play different roles in relation to the etiopathogenesis and evolution of atherosclerosis, as has already been suggested by other authors (Cai et al. 2010, Zhang et al. 2011) and has been shown in the response to other stressors (Magalhaes et al. 2010).

The present study should be interpreted within the context of its limitations. Firstly, it involves a cross-sectional design, which inevitability entails the limitations of studies of this kind, i.e., the inability to prove the existence of a causal relationship. Secondly, our study involves a relatively small sample size in each group, though each group yielded significant results. On the other hand, we sub-divided research subjects into control subjects without disease or vascular risk <5% and subjects with moderate (10–20%) vascular risk at 10 years, but are unable to rule out the possibility that some of them may have presented sub-clinical atherosclerosis, since carotid artery ultrasound was not performed to measure intima-media thickness, and coronary angiography was not used to exclude possible silent myocardial infarction. Lastly, we only genotyped the regulatory region of *HSPA1A* and did not examine polymorphisms in other genes of the Hsp70 family which might be associated with atherosclerosis.

In summary, it has been demonstrated that the –110CC and +190CC genotypes of the *HSPA1A* gene entail a tendency towards increased vascular risk or risk of established atherosclerosis due to their significant correlation to diminished intragranulocytic HSPA1A synthesis and thus a reduction in its antiinflammatory and antithrombotic properties.

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