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# **Non-Invasive Measurements of Carboxyhemoglobin and Methemoglobin in Children with Sickle Cell Disease**

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# **SUMMARY**

Assessment of oxyhemoglobin saturation in patients with sickle cell disease (SCD) is vital for prompt recognition of hypoxemia. The accuracy of pulse oximeter measurements of blood oxygenation in SCD patients is variable, partially due to carboxyhemoglobin (COHb) and methemoglobin (MetHb), which decrease the oxygen content of blood. This study evaluated the accuracy and reliability of a non-invasive pulse co-oximeter in measuring COHb and MetHb percentages (SpCO and SpMet) in children with SCD. We hypothesized that measurements of COHb and MetHb by non-invasive pulse co-oximetry agree within acceptable clinical accuracy with those made by invasive whole blood co-oximetry. Fifty children with SCD-SS underwent pulse co-oximetry and blood co-oximetry while breathing room air. Non-invasive COHb and MetHb readings were compared to the corresponding blood measurements. The pulse co-oximeter bias was 0.1% for COHb and −0.22% for MetHb. The precision of the measured SpCO was  $\pm 2.1\%$  within a COHb range of 0.4–6.1%, and the precision of the measured SpMet was  $\pm 0.33\%$ within a MetHb range of  $0.1-1.1\%$ . Non-invasive pulse co-oximetry was useful in measuring COHb and MetHb levels in children with SCD. Although the non-invasive technique slightly overestimated the invasive COHb measurements and slightly underestimated the invasive MetHb measurements, there was close agreement between the two methods.

#### **Keywords**

sickle cell anemia; pediatrics; oximetry; blood gas analysis; hemoglobins

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# **INTRODUCTION**

Sickle cell disease (SCD) is an inherited disorder in which the predominance of sickle hemoglobin in erythrocytes leads to chronic hemolytic disease and vaso-occlusive complications. Oxyhemoglobin desaturation (OHD) is a well-documented phenomenon in patients with SCD.<sup>1–7</sup> The OHD is attributed to hypoxemia and a low arterial partial pressure of oxygen  $(PaO<sub>2</sub>)$ , the rightward shift of the oxyhemoglobin dissociation curve, and elevated levels of dyshemoglobins. $8-14$  Carboxyhemoglobin (COHb) and methemoglobin (MetHb), elevated in the presence of intravascular hemolysis, are dysfunctional forms of hemoglobin incapable of transporting oxygen, resulting in decreased arterial oxygen content.10–14 In addition to chronic anemia in SCD, the contribution of dyshemoglobins to decreased arterial oxygen content needs to be considered when evaluating patients with SCD, as COHb and MetHb may be more important in causing OHD than a low  $PaO<sub>2</sub>$ .8,15-18

Most studies of OHD in children and adults with SCD have relied on non-invasive pulse oximeters, indirectly estimating the functional arterial oxyhemoglobin saturation  $(SaO<sub>2</sub>)$  by measuring the absorbance of light transmitted through well-perfused tissue, displayed as  $SpO<sub>2</sub>$ . The *functional* arterial oxyhemoglobin saturation,  $SaO<sub>2</sub>$ , is the ratio of oxyhemoglobin (oxyHb) to the sum of oxyHb and deoxyhemoglobin (deoxyHb), measured via co-oximetry. Since most pulse oximeters measure light absorbance at only two wavelengths of light, 660 and 940 nm (Figure 1), they only measure two light absorbers in blood, oxyHb and deoxyHb, and are incapable of distinguishing dyshemoglobins from oxyHb or deoxyHb.19 With elevated COHb and MetHb in the blood of patients with SCD, conventional pulse oximeters are subject to serious errors.20–22 The validity of twowavelength pulse oximeters in SCD has been questioned, as many have found  $SpO<sub>2</sub>$  to consistently overestimate the *fractional* arterial oxyhemoglobin saturation,  $FaO<sub>2</sub>Hb$ , the ratio of oxyHb to the sum of oxyHb, deoxyHb, COHb, and MetHb, measured via cooximetry.<sup>8,16–18,23</sup> To calculate the FaO<sub>2</sub>Hb, the most accurate reflection of true oxygen content and delivery to the tissues, it is currently necessary to measure arterial blood concentrations of oxyHb, deoxyHb, COHb, and MetHb by performing invasive arterial blood gas analysis with a laboratory blood co-oximeter, which spectrophotometrically measures light transmission through a blood sample at four or more discrete wavelengths of light, to distinguish oxyHb from deoxyHb, COHb, and MetHb.<sup>24–26</sup>

Recently, an FDA approved non-invasive pulse co-oximeter has been developed to estimate percentages of COHb and MetHb, displayed as SpCO and SpMet. The pulse co-oximeter uses a fingertip sensor with 8 distinct wavelengths of light to non-invasively measure COHb and MetHb by spectrophotometry. As shown previously, in children with SCD, the partial pressure of oxygen at which hemoglobin is 50% saturated with oxygen  $(P_{50})$ , as measured by spectrophotometry, was similar to that measured by manometric methods, suggesting that spectrophotometry is accurate in patients with SCD.<sup>8</sup> Earlier studies have demonstrated a strong agreement between non-invasive SpCO and SpMet levels and measurements performed by blood co-oximetry in healthy adult volunteers.20 The pulse co-oximeter has been evaluated in clinical studies, but not in patients with  $SCD<sup>27-29</sup>$  This study evaluated the accuracy and reliability of the multi-wavelength pulse co-oximeter to non-invasively measure COHb and MetHb percentages in children with SCD. We hypothesized that in children with SCD, measurements of COHb and MetHb by non-invasive pulse co-oximetry agree with those made by invasive whole blood co-oximetry within an acceptable clinical accuracy of 3% for COHb and 1% for MetHb.

## **MATERIALS AND METHODS**

This study was approved by The Children's Hospital of Philadelphia Institutional Review Board (No. 2007-6-5188). All subjects eligible for participation in this study were enrolled after obtaining informed consent from their parents and, when appropriate, assent or consent from the subject.

#### **Study Design**

Fifty African American children with SCD-SS, 2–18 years old, not receiving chronic blood transfusions or hydroxyurea, participated in this prospective study. Subjects were recruited over a one year period from the Comprehensive Sickle Cell Center at The Children's Hospital of Philadelphia. Subjects were clinically at baseline and studied at steady state, defined as a three month interval since the last red blood cell transfusion and one month since the last acute chest syndrome or painful episode. None of the subjects required supplemental oxygen and none smoked.

At the time of testing, while awake and breathing room air, each subject had an appropriately sized multi-wavelength fingertip sensor placed on the 3<sup>rd</sup> digit of the right hand and attached to a pulse co-oximeter (Radical-7 Rainbow SET Pulse CO-Oximeter; Masimo Corporation; Irvine, CA). Calibration and measurement techniques were performed according to published protocols.30 Measurements were recorded every two seconds for two minutes and internally stored in the pulse co-oximeter. Measurements were also obtained for two minutes using the 4<sup>th</sup> digit of the right hand, 3<sup>rd</sup> digit of the left hand, and 4<sup>th</sup> digit of the left hand, the best perfused digits.<sup>30</sup> The averages of the two second measurements obtained over the two minute recording intervals from each digit were used in the data analysis.

Immediately after the non-invasive measurements were performed, a sample of arterial blood (n=34) was anaerobically drawn from the radial artery into a pre-heparinized 1-mL syringe and placed on ice. Arterial blood samples were not obtained in 16 subjects because arterial puncture was technically unsuccessful in 10 subjects and 6 subjects refused arterial puncture. These 16 subjects had venous samples drawn. Blood samples were analyzed within 10 minutes using a pre-calibrated and quality-controlled Rapidlab 1265 blood gas analyzer and co-oximeter (Siemens Medical Solutions Diagnostics; Tarrytown, NY) according to standard laboratory practice. $31$  Blood gas analysis of the arterial blood samples provided values of pH,  $PaO<sub>2</sub>$ ,  $PaCO<sub>2</sub>$ , and calculated values of  $SaO<sub>2</sub>$  and  $FaO<sub>2</sub>Hb$ . Blood cooximeter analysis of the arterial (n=34) and venous (n=16) samples provided spectrophotometric values of total hemoglobin and percentages of COHb and MetHb. The blood COHb and MetHb percentages of each subject were compared with the average values of SpCO and SpMet obtained from all 4 fingers using the pulse co-oximeter.

#### **Statistical Analysis**

Statistical analyses were performed using SPSS (Chicago, IL) and MedCalc for Windows, version 9.5.0.0 (Mariakerke, Belgium) software. A two-tailed  $p$ -value < 0.05 was the criterion for statistical significance. Descriptive statistics were calculated for the variables measured. Statistical analysis of agreement, comparing calculated bias and precision, between the non-invasive and invasive measurements was performed using the Bland and Altman technique.32,33 This analysis involved comparing and plotting the differences between measurements obtained from the non-invasive and invasive techniques against the average value of the two measurements. The *bias* was the mean of the differences between the values measured by each technique, and the precision was the standard deviation of the measurement differences, an indicator of measurement uncertainty. We defined bias so that

a positive difference (bias) indicated a non-invasive measurement overestimating the comparison invasive measurement.

Linear regression analysis was used to examine the relationship between oxyhemoglobin saturation and dyshemoglobin measurements. Sample size estimation was based on testing the two techniques for equivalence in measuring COHb and MetHb. We assumed the mean differences between non-invasive and invasive COHb and MetHb measurements were 1.25 (common standard deviation of 2) and 0.25 (common standard deviation of 0.45), respectively. We further assumed that differences in COHb measurements between 0 and 2.5 and differences in MetHb measurements between 0 and 0.75 were clinically insignificant and within published uncertainties for the pulse co-oximeter ( $SpCO: \pm 3\%$  and  $SpMet:$  $\pm 1\%$ ).<sup>30</sup>

# **RESULTS**

The average age of the 50 subjects was  $8.3 \pm 5.0$  (mean $\pm$ SD) years and 23 were females. Pulse co-oximetry and blood co-oximetry results are shown in Table 1. The mean  $SpO<sub>2</sub>$  was 97% with six subjects'  $SpO<sub>2</sub>$  93%. All 34 subjects (68%) who had arterial blood obtained, including the 6 with  $SpO<sub>2</sub>$  93%, were normoxemic (PaO<sub>2</sub> > 70 mm Hg) in room air. The percent of dyshemoglobins measured by the pulse co-oximeter (SpCO and SpMet) and by the laboratory blood co-oximeter (COHb and MetHb) are displayed in Figure 2. There was no significant difference in COHb values measured from arterial and venous blood; however, there was a small but statistically significant difference in MetHb values measured with arterial and venous blood samples  $(p=0.002)$  using the Wilcoxon two sample test (Figure 3). The pulse co-oximeter values of SpCO and SpMet were not statistically different in subjects who had arterial or venous blood drawn.

The mean SpCO was 2.5±2.1% (95% CI, 1.9 to 3.1%) and mean COHb was 2.4±0.8% (95% CI, 2.2 to 2.7%). There was greater variability in the non-invasive SpCO measurements compared to the invasive COHb measurements (Figure 2). Utilizing the Bland and Altman analysis (Figure 4), the pulse co-oximeter slightly *overestimated* COHb with a bias of 0.1% (95% CI, −0.5 to 0.7%), within average COHb levels of 0.4–6.1%. As shown in Figure 4, the magnitude of the SpCO measurement affected the bias, such that at average COHb values <2%, the SpCO underestimated the COHb and at average COHb values >4%, the SpCO overestimated the COHb. However, the measurement precision of SpCO was  $\pm 2.1\%$ .

The mean SpMet was  $0.4 \pm 0.3\%$  (95% CI, 0.3 to 0.5%) and mean MetHb was  $0.6 \pm 0.3\%$ (95% CI, 0.5 to 0.7%) with similar variability in the non-invasive and invasive measurements (Figure 2). The pulse co-oximeter slightly *underestimated* MetHb with a bias of −0.22% (95% CI, −0.3 to −0.1%), within average MetHb levels of 0.1 – 1.1% (Figure 5). The measurement precision of SpMet was  $\pm 0.33\%$ .

While the bias and precision of the SpCO and SpMet measurements were within acceptable clinical accuracy (3% and 1%, respectively), Lin's concordance correlations were low (0.14 and 0.27, respectively).

Regression analysis was performed to examine the relationship of  $FaO<sub>2</sub>Hb$  by co-oximetry in the subjects who had arterial blood obtained (Figure 6) and  $SpO<sub>2</sub>$  by pulse oximetry in all subjects (Figure 7) with the sum of the dyshemoglobin measurements by co-oximetry. The regression equation when comparing the  $FaO<sub>2</sub>Hb$  and  $COHb + MetHb$  was  $y =$ 100.31-2.72x. Both the y-intercept ( $p \le 0.0001$ ) and the slope ( $p \le 0.0001$ ) were statistically significant, and the  $R^2$  was 0.55. The regression equation when comparing the SpO<sub>2</sub> and COHb + MetHb was  $y = 100.87 - 1.24x$ . Both the y-intercept ( $p \le 0.0001$ ) and the slope ( $p=0.003$ ) were statistically significant, and the R<sup>2</sup> was 0.17.

#### **DISCUSSION**

SCD is a blood disorder characterized by adherent, rigid, abnormally-shaped erythrocytes that occlude blood vessels and compromise blood and oxygen supply to tissues and organs. Although the causes of tissue hypoxia in patients with SCD are complex, hypoxemia is recognized as a marker and predictor of vaso-occlusive complications of SCD, including stroke, vaso-occlusive pain episodes, acute chest syndrome, pulmonary hypertension, and progressive lung dysfunction.5,34–38 Not only do patients with SCD have decreased oxygen carrying capacity due to chronic anemia, they have elevated COHb and MetHb, which are unable to transport oxygen. In SCD, as in other hemolytic diseases, a by-product of hemoglobin catabolism is carbon monoxide which binds to hemoglobin, forming  $COHb$ .<sup>10–12</sup> Methemoglobin is also elevated in sickle erythrocytes which have impaired ability to return methemoglobin to its reduced (ferrous) state due to reduced NADH content and abnormal membrane associated ferric iron.13,14 Since COHb and MetHb are hemoglobin based derivatives, measurements of dissolved oxygen in plasma will not detect dyshemoglobins, and the PaO<sub>2</sub> may be normal, as in our subjects (Table 1), despite a decrease in oxygen carrying capacity.

Accurate measurements of blood oxygen levels have important clinical implications in hypoxemic SCD patients. Basing treatment decisions on conventional two-wavelength pulse oximetry data alone in children with SCD may be inappropriate since the assessment of oxygen status in SCD patients with elevated dyshemoglobins requires multi-wavelength cooximetry. We have shown in children with SCD, as in other patients with elevated amounts of COHb and MetHb, SpO2 over estimates  $SaO<sub>2</sub>$  and  $FaO<sub>2</sub>Hb$  (Table 1).<sup>21,22</sup> Despite the normal PaO<sub>2</sub> and SpO<sub>2</sub> of our subjects, the mean FaO<sub>2</sub>Hb was  $93\pm3.5$ %. Among subjects with arterial blood measurements, we found the presence of COHb and MetHb explained 55% of the variability in  $FaO<sub>2</sub>Hb$  (Figure 6) and among all subjects, the dyshemoglobins explained 17% of the variability in  $SpO<sub>2</sub>$  (Figure 7). The remaining variability may have been due to other important variables, such as: ventilation/perfusion mismatch, shunt, diffusion defects, and/or the rightward shift of the oxyhemoglobin dissociation curve. For every 1% increase in the amount of dyshemoglobins measured (COHb + MetHb) there was a 2.7% decline in FaO<sub>2</sub>Hb measured by co-oximetry, and a 1.2% decline in  $SpO<sub>2</sub>$  measured by pulse oximetry.

When calculating arterial blood oxygen content in SCD patients, FaO<sub>2</sub>Hb is the best index of oxyhemoglobin saturation.<sup>23,24,39–41</sup> However, without knowing the amounts of COHb and MetHb,  $FaO<sub>2</sub>Hb$  cannot be determined.<sup>42,43</sup> Co-oximetry is reliable for analyzing oxyHb, deoxyHb, COHb, and MetHb, and there is no difference in the spectral absorbance of blood from SCD-SS patients compared to individuals with normal hemoglobin genotype (AA).25,26,44 Unfortunately, measuring dyshemoglobin concentrations via blood sampling is invasive, painful, and subject to significant reporting delays. Additionally, blood cooximeters are not available in many medical facilities. The new pulse co-oximeter used in this study utilizes the principles of spectrophotometry and plethysmography to noninvasively perform rapid estimates of COHb and MetHb percentages.

In this methods comparison study, the use of a pulse co-oximeter to non-invasively measure COHb and MetHb was evaluated for accuracy and reliability by comparison with an accepted standard invasive method, whole blood co-oximetry. The Bland and Altman technique was used to analyze the level of agreement between non-invasive and invasive measurements by calculating bias and precision, rigorous measures of agreement between two clinical measurement techniques, each with their own inherent limitations and errors.32,33 Bland and Altman analysis was chosen over correlation analysis because, as Nickerson, et al. emphasized in their comparison of pulse oximeters and co-oximeters, two

instruments can have a perfect correlation and yet quantitatively disagree with each other.<sup>41</sup> Our Bland and Altman analysis in children with SCD showed SpCO and COHb values within the average range of  $0.4 - 6.1\%$ , and SpMet and MetHb values within the average range of  $0.1 - 1.1\%$ , values similar to those reported in other studies analyzing dyshemoglobin percentages in patients with SCD.<sup>8,45</sup> Normal COHb and MetHb levels in nonsmokers without SCD are less than 1.5% and less than 0.6%, respectively.<sup>46</sup>

There was no significant difference in the COHb values of the 16 subjects (32%) who had venous blood sampled compared to the 34 subjects (68%) who had arterial blood sampled (Figure 3). There was a small, but statistically significant difference ( $p=0.002$ ) in the MetHb levels measured from arterial and venous blood samples. These small differences in MetHb levels between arterial and venous blood should not significantly impact the measurement of FaO2Hb when using blood co-oximetry. The pulse co-oximeter values, SpCO and SpMet, were not statistically different in subjects who had arterial or venous blood sampled.

We found SpCO, with a bias of 0.1%, and SpMet, with a bias of −0.22%, to be closely comparable to invasive laboratory measurements. The precision of the pulse co-oximeter measurements,  $\pm 2.1\%$  for SpCO and  $\pm 0.33\%$  for SpMet, was within an acceptable clinical range of accuracy, and within published uncertainties for the pulse co-oximeter (SpCO:  $\pm 3\%$ and SpMet:  $\pm 1\%$ ).<sup>30</sup> Similar uncertainties of SpCO and SpMet using the pulse co-oximeter in healthy adults have been reported.<sup>20</sup> While the clinical agreement of the two measurement techniques was good, Lin's concordance correlations were low for COHb and MetHb measurements. This may be because the range of COHb and MetHb in the subjects analyzed was only slightly broader than the published accepted uncertainty of the pulse co-oximeter for COHb  $(\pm 3)$  and MetHb  $(\pm 1)$ . This does not detract from the clinical usefulness of the non-invasive measurement technique, whose bias and precision for measuring the dyshemoglobins were within the acceptable published range of the pulse co-oximeter.

Although our SCD subjects had elevated COHb and MetHb levels, this study was limited to clinically stable subjects who were at a steady disease state. Thus, further studies will be necessary to assess the performance of the pulse co-oximeter during episodes of acute chest syndrome or vaso-occlusive pain when COHb and MetHb may be further elevated.

Patients with SCD can have elevated levels of dyshemoglobins, and in combination with chronic anemia, have decreased arterial oxygen content. To better understand why a patient with SCD is having OHD, it is necessary to accurately measure the oxyhemoglobin saturation; knowing COHb and MetHb concentrations is essential. Non-invasive measurements of SpCO and SpMet with a pulse co-oximeter are beneficial in helping clinicians more accurately detect hypoxemia in children with SCD. Although the noninvasive technique slightly overestimated the invasive COHb measurements and slightly underestimated the MetHb measurements, there was close agreement between the two methods.

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#### **Fig 1.**

Light absorbance (extinction coefficient) versus wavelength for oxyHb, deoxyHb, COHb, and MetHb. Conventional pulse oximeters use 2 wavelengths of light, red (660 nm) and infrared (940 nm), to measure the absorbance of oxyHb and deoxyHb. From Barker SJ, Curry J, Redford D, Morgan S. Measurement of carboxyhemoglobin and methemoglobin by pulse oximetry: a human volunteer study. Anesthesiology 2006; 105:892–7 [Fig. 5 (p. 895)]. With kind permission from Wolters Kluwer Health.



#### **Fig 2.**

Box-and-whisker plots for the dyshemoglobin measurements. The lower, middle, and upper lines of the box represent the 25%ile, 50%ile, and 75%ile, respectively. The vertical lines span  $\pm 1.5$  times the interquartile range above and below the upper and lower quartiles, respectively. The measurements are: SpCO, pulse co-oximeter measurement of carboxyhemoglobin; COHb, laboratory co-oximeter measurement of carboxyhemoglobin; SpMet, pulse co-oximeter measurement of methemoglobin; MetHb, laboratory co-oximeter measurement of methemoglobin.





Box-and-whisker plots for the dyshemoglobin measurements obtained via arterial (n=34) and venous (n=16) blood samples. Symbols as in Figure 2.  $^{*}p=0.002$ .



#### **Fig 4.**

Bland-Altman bias plot of measurement differences, SpCO − COHb, against the mean of the measurements,  $(SpCO + COHb)/2$ , for carboxyhemoglobin (n = 50). COHb and SpCO are expressed as percentage saturation. Lines show values of bias (solid)  $\pm$  precision (long/short *dash*). Lines marked with a mean  $\pm$  1.96 SD represent the limits of agreement, between which 95% of the bias measurements lie.



#### **Fig 5.**

Bland-Altman bias plot of measurement differences, SpMet − MetHb, against the mean of the measurements,  $(SpMet + MetHb)/2$ , for methemoglobin (n = 50). MetHb and SpMet are expressed as percentage saturation. Lines show values of bias (solid)  $\pm$  precision (long/short dash). Lines marked with a mean  $\pm$  1.96 SD represent the limits of agreement, between which 95% of the bias measurements lie.



#### **Fig 6.**

Arterial fractional oxyhemoglobin saturation (FaO<sub>2</sub>Hb) as a function of dyshemoglobinemia (COHb + MetHb). The regression equation was  $y = 100.31 - 2.72x$ . The y-intercept ( $p \le 0.0001$ ) and the slope ( $p \le 0.0001$ ) were statistically significant. There was a negative correlation between the independent and dependent variables ( $\mathbb{R}^2 = 0.55$ ).



#### **Fig 7.**

Pulse oximetry oxyhemoglobin saturation  $(SpO<sub>2</sub>)$  as a function of dyshemoglobinemia (COHb + MetHb). The regression equation was  $y = 100.87 - 1.24x$ . The y-intercept ( $p \le 0.0001$ ) and the slope ( $p = 0.003$ ) were statistically significant. There was a negative correlation between the independent and dependent variables ( $\mathbb{R}^2 = 0.17$ ).

#### **TABLE 1**

Results of the Measurements Analyzed (n=50)



 $\alpha$ Subjects with arterial blood samples (n=34)