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# **γ-H2AX and other histone post-translational modifications in the clinic**

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# **Abstract**

Chromatin is a dynamic complex of DNA and proteins that regulates the flow of information from genome to end product. The efficient recognition and faithful repair of DNA damage, particularly double-strand damage, is essential for genomic stability and cellular homeostasis. Imperfect repair of DNA double-strand breaks (DSBs) can lead to oncogenesis. The efficient repair of DSBs relies in part on the rapid formation of foci of phosphorylated histone H2AX ( $\gamma$ -H2AX) at each break site, and the subsequent recruitment of repair factors. These foci can be visualized with appropriate antibodies, enabling low levels of DSB damage to be measured in samples obtained from patients. Such measurements are proving useful to optimize treatments involving ionizing radiation, to assay in vivo the efficiency of various drugs to induce DNA damage, and to help diagnose patients with a variety of syndromes involving elevated levels of  $\gamma$ -H2AX. We will survey the state of the art of utilizing  $\gamma$ -H2AX in clinical settings. We will also discuss possibilities with other histone post-translational modifications. The ability to measure in vivo the responses of individual patients to particular drugs and/or radiation may help optimize treatments and improve patient care.

#### **Keywords**

γ-H2AX foci; DNA double-strand breaks; Ionizing radiation; Biodosimeter; Chemotherapy; Clinical study

# **1. Introduction**

Much of the research on the origins of cancer has focused on cellular DNA since alterations in its sequence, of one kind or another, appear to be central to tumorigenesis. In some cases, these changes are manifested in genomic alterations involving chromosome rearrangements, duplications, and deletions, and may have originated from misrepaired DNA double-strand

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breaks (DSBs). These serious ramifications make the DSB among the most serious of DNA lesions.

DNA lesions occur in the context of chromatin, an organized structure of DNA and proteins consisting of fundamental units called nucleosomes, which contain DNA coils of 145–147 bp. The nucleosomes are spaced along the continuous DNA double-helix with varying lengths of DNA linking them [1]. The nucleosome consists of an octamer of histone proteins that form a core for the DNA coil. The linker is also a complex of DNA and proteins including other histones which spans the gap between adjacent nucleosomes while maintaining a continuous DNA double helix [1]. Compared to naked DNA, the DNA in the nucleosome is compacted ~6:1. Further organization of nucleosomes into 30 nm filaments increases the packing ratio to  $\sim$  40:1. Looping of the filaments brings the ratio to  $\sim$  1000:1 in interphase chromatin, and mitotic condensation brings the ratio to  $\sim$  10,000:1. Thus the repair of DNA lesions also occurs in the context of a highly dynamic complex structure.

The histone structures are highly conserved, consistent with the notion that these proteins play similar roles throughout evolution. Nucleosomal histones belong to four families, H2A, H2B, H3 and H4, while the linker histones are from one family, H1 [2, 3]. Each histone family contains multiple genes [4], most of which encode identical or almost identical proteins [5]. These differences are thought to reflect selective pressure rather than differential function [5]. However, in some families, several genes encode proteins with unique functions. Notably, the H2A family contains two species, H2AZ and H2AX, which are present throughout eukaryotic evolution from yeast to human [5]. Each nucleosomal octamer contains two molecules of each of the four families noted above. However, while the factors determining the relative abundance of the different gene products in each family are obscure, H2AZ and H2AX are found in a substantial minority of the H2A positions in mammals [5, 6].

#### **2. Histone post-translational modifications (PTMs)**

Histone post-translational modifications (PTMs) are dynamic biological sensors that adjust in response to endogenous and/or exogenous cellular stress [7–10]. Because some of these stresses can be associated with diseases such as cancer, identifying alterations in histone PTM homeostatic levels may yield valuable clinical information on treatment efficacy and/ or the condition of a disease [10, 11] (Figure 1). An increasing body of studies suggests that these histone PTMs, also referred as "the histone code" control both chromatin functions such as DNA replication, transcription, and DNA repair, and cell cycle (reviewed in [12]). A myriad of types and numbers of PTMs are present on the N- and C-terminal tails of all the histone species [13] (see Figure 2). This myriad of histone modifications include phosphorylation, acetylation, ADP-ribosylation, deamination, methylation, proline isomerization, sumoylation, propionylation, ubiquitination, and the most recently identified O-GlcNAcylation (Figure 2) [14–19]. To further increase the complexity of histone PTMs, lysine and arginine residues can be modified with mono-, di-, or tri-methyl groups and mono- or di-methyl groups respectively (Figure 2). It may be expected that new PTM sites and/or types still await discovery. However, because of its crucial role in DSB repair and genome stability, phosphorylated H2AX (γ-H2AX) has become one of the most widely known examples of a histone PTM in recent years (Figure 2).

In this review, we discuss first the status of H2AX phosphorylation as a clinical tool. We then survey the clinical uses of other histone PTMs.

# **3. H2AX and DNA DSBs**

While erroneous repair of DSBs is a major source of genomic instability and can lead ultimately to cancer, many cancer therapies induce DSBs as a way to kill cancer cells. For this reason, specific and sensitive in vivo biomarkers for DSB formation enable the responses of individual patients to these therapies to be monitored. The unique function of H2AX lies with the phosphorylation of a serine four residues from the C-terminus (C-4) [20], in a consensus sequence which has been conserved throughout eukaryotic evolution [21]. This modified form has been named  $\gamma$ -H2AX [20]. In mammals, many hundreds of histone H2AX molecules become phosphorylated in 10–30 minutes in the chromatin flanking each newly formed DSB [7]. These foci, named  $\gamma$ -H2AX foci, can be visualized with the appropriate antibody, enabling individual DSB detection by microscopy [7, 22] (Figure 3).

In human cells, H2AX abundance relative to total H2A varies from about 2% in lymphocytes to 20% in SF268 glioma cells [23], a ratio which places an H2AX molecule in every 2.5 to 25 nucleosomes on average. The rationale for these different relative amounts of H2AX between cell types is unknown; however, about 0.03% of the H2AX is phosphorylated per DSB irrespective of H2AX abundance [24]. This fraction indicates that at least 2 Mbp equivalents of chromatin are involved per DSB, but studies on metaphase chromosomes show that regions approximately ten times larger are involved, suggesting in turn that only about 10% of the H2AX molecules in a focus are phosphorylated at any one time [7, 24].

The synthesis of most of the histone species is tightly linked to DNA replication by means of a unique mRNA structure, while that of a few, notably H2AZ and H3.3, is independent of DNA synthesis [5, 25]. The regulation of H2AX synthesis is unusual in that it is partially linked to DNA synthesis [5]. Because H2AX is involved in DNA repair, this singular means of H2AX production may ensure that both proliferating and quiescent cells contain numbers of H2AX molecules sufficient for foci formation. The H2AX C-4 serine is phosphorylated by members of the phosphatidylinositol (PI) 3-kinase family, including ataxia telangiectasia mutated protein (ATM), AT and Rad3-related protein (ATR) and DNA-dependent protein kinase (DNA-PK) [26–28]. However, other kinases such as the c-Jun N-terminal kinase (JNK) have been shown to phosphorylate H2AX in certain situation [29]. The  $\gamma$ -H2AX foci serve to accumulate a myriad of types and numbers of protein species involved in DSB repair, chromatin remodeling, and cell cycle control (reviewed in [30, 31]). That these foci serve to accelerate DSB repair is evidenced by the finding that the H2AX-null mouse is sensitive to clastogenic agents and DSB repair is slower than in wild-type mice [32].

While the C-4 serine and surrounding residues of H2AX are highly conserved through evolution [21], the linker between it and the conserved globular H2A core region is of variable sequence and length in different species. One hint of a possible explanation for the variation in the linker length among organisms is that it correlates with the variation in internucleosomal length of organisms through evolution, with single-celled eukaryotes having the shortest linker and mammals the longest [33].

# **4. γ-H2AX as a biomarker for DNA DSBs** *in vivo*

Cancer treatment may be improved if more information were available on the responses of individual patients to particular protocols. Currently, patient responses to treatments may be unknown for several weeks until tumor size is assessed by various imaging techniques [34]. By one criterion, RECIST, a 30% decrease in tumor diameters is considered a partial response. The Choi criterion also takes account of changes in tumor density. A more recent

criterion for evaluating patient responses is disease nonprogression, useful for cytostatic drugs which may not cause tumor shrinkage but do prevent tumor progression.

In addition, tumor markers or signatures, corresponding to multiple sets of genes or mRNAs that correlate positively with tumor outcome, are being examined. However, many markers are not tumor or even cancer specific and, unless carefully vetted, outcome signatures may not correlate with prognosis any better than random signatures [35].

Of course, the ultimate criterion for treatment success is long-term survival, information which is slow to accumulate. In light of these issues, a useful adjunct to these criteria may be the capability to measure patient drug responses at the molecular level. As mentioned above, the use of specific antibodies permits the visualization of  $\gamma$ -H2AX foci at individual DSB sites, enabling researchers to measure the clastogenic efficiency of a particular drug or radiation protocol in a particular patient. Such information may be useful for both to improve treatment protocols and to assess patient prognosis..

# **5. γ-H2AX assay types**

Detection of γ-H2AX, utilizing a variety of assays, is being used beyond basic research as a biomarker for cancer, a biodosimeter for radiation exposure and drug development, and a tool to identify genotoxic compounds in occupational or environmental studies [36] (Figure 4). However, microscopy is still the prevailing method for  $\gamma$ -H2AX detection for clinical applications since it is the most sensitive of the possible approaches, capable of detecting a single DSB [23]. Solid tissues can be processed as well as peripheral blood mononuclear cells (PBMCs) (reviewed by [23, 37, 38]). And importantly for research purposes, analysis by microscopy may discriminate between differential  $\gamma$ -H2AX responses with respect to drug type and cell population makeup.

While ionizing radiation and radiomimetic chemicals induce  $\gamma$ -H2AX foci in virtually all cells, whether quiescent or proliferating, drugs that interfere with DNA replication induce foci primarily in proliferating cells, leaving quiescent cells with fewer foci [23]. These replication-linked foci result generally from stalled replication forks or collisions with trapped topoisomerase complexes [39]. There are also other responses. For example, imatinib mesylate, a selective small-molecule protein kinase inhibitor, induces apoptosis in gastrointestinal stromal tumor cells, which is accompanied by strong  $\gamma$ -H2AX staining of pyknotic nuclei [40].

There is an ongoing intensive development of high throughput  $\gamma$ -H2AX foci counting systems for clinical assays to automate microscopic examination, including image processing that are intended to speed up analysis while maintaining sensitivity [41–43]. Another advantage unique to microscopy is that it can discriminate objects, such as foci from the background, while flow cytometry, also used with single cell suspensions such as PBMCs, cannot. Thus, while analysis by flow cytometry may be quicker than by microscopy, it is not as sensitive. Finally, a key advantage of microscopy vs. flow cytometry comes from the fact that tissue samples, including tumor biopsies, can be analyzed by microscopy while flow cytometry analysis is restricted to single cells (PMBCs, and bone marrow cells, among others).

In addition to  $\gamma$ -H2AX detection by microscopy in fixed cell or tissue samples, other types of assays utilizing cell and tissue extracts are available, but are as yet not available for the clinic (i.e., electrochemoluminescent-based detection system, and whole cell ELISA) [44, 45].

# **6. Biological samples for γ-H2AX assays**

Because cell proliferation is different among human tissues (i.e. higher proliferation rates in intestine, and bone marrow), the choice of biospecimens is critical for studies of drugs that target DNA metabolism. In contrast, protocols using radiation (i.e. radiotherapy) may produce DSBs more homogeneously throughout the human body, independently of DNA metabolism. There are several possible choices for patient tissue samples, each with its advantages and issues [38]. One option is to obtain tumor tissue samples by surgery or biopsy to assess drug impact. However, tumors collection may be difficult or unsafe for the patient, and several complexities cloud straightforward interpretation of the relationship between γ-H2AX focal incidence and tumor response to a drug. For example, tumor heterogeneity due to differences in vascularization and genetic makeup may affect γ-H2AX formation and removal, confounding the relationship of  $\gamma$ -H2AX formation with tumor prognosis. Thus γ-H2AX responses may differ among different metastases in the same patient as well as among different cells of the same tumor mass.

Nevertheless, being able to assess changes in γ-H2AX levels before and after drug treatment may reveal useful information. Recently, a novel method to obtain patient cancer cells by non-invasive means has been developed. Many tumors shed cells into the blood stream, cells which may be the source of future metastases. These circulating tumor cells (CTCs) are rare, ~1–10 per 7.5 ml blood, but their incidence has been correlated with progression-free, and overall survival [46]. CTCs isolated from patients after treatment exhibited increased incidence of γ-H2AX foci, indicating that they may be useful in assessing the efficacy of administered drugs [47]. Thus knowing how CTCs respond may be useful for optimizing cancer treatments.

Many chemotherapeutic agents also target the patient's normal cells. Compared to tumor cells, γ-H2AX responses in normal cells may be more uniform, reproducible, and informative. Also, several types of normal cells can be obtained non-invasively (Figure 5). Levels of  $\gamma$ -H2AX have been quantified by microscopy or flow cytometry in PBMCs, and by microscopy in skin biopsies, plucked hair bulbs and buccal cells [48–52]. However, the analysis of PBMCs is still by far ( $> 90\%$ ) the method of choice in examining  $\gamma$ -H2AX formation in vivo (see tables). Following blood collection, PBMCs are purified by density gradient prior to  $\gamma$ -H2AX staining. The use of PBMCs is already a standard procedure in many clinical protocols, and venous blood samples are commonly drawn in the clinic. In addition, PBMCs contain low  $\gamma$ -H2AX focal background levels, on average less than one focus per 5–10 cells [53]. Such low backgrounds improve the detection of low levels of DNA damage and enable measurement of irradiation doses as small as 1 mGy, equivalent to an average of 0.1 foci per cell [54].

The major disadvantage of PBMCs is their state of terminal differentiation, which might make them less useful for studies of chemotherapeutic drugs that produce DSBs by interfering with DNA replication [38]. However, another accessible tissue that does contain proliferating cells is the skin. The keratinocytes proliferate from a basal layer and differentiate as they migrate toward the skin surface [55]. Skin biopsies are necessary to obtain the basal keratinocytes, an issue which limits their routine use due to its invasive nature. It is noticeable in sections of skin biopsies that hair follicle cells often exhibit the largest  $\gamma$ -H2AX response after drug treatment [56, 57]. An alternative, and less invasive procedure for obtaining at least some of these follicle cells, is plucking [58, 59]. Plucked hairs have been previously used as a surrogate tissue in the clinic for diagnostics [60–62] and a recent phase 1 clinical trial included the use of  $\gamma$ -H2AX detection in plucked eyebrow hair bulbs to confirm the effects of a PARP (Poly (ADP-ribose) polymerase) inhibitor in

vivo [49]. Like plucked hairs, the use of exfoliative oral cells has been previously promoted as a non-invasive technique for cancer diagnosis [63] and for  $\gamma$ -H2AX detection [64].

# **7. Applications: γ-H2AX as a radiation biodosimeter**

The most obvious application of  $\gamma$ -H2AX measurements is the assessment of DNA damage from ionizing radiation. The radiation can be external as during typical radiation treatments or internal as during radioisotope therapy, where radioisotopes are given by infusion or ingestion. A non-exhaustive list of clinical studies utilizing  $\gamma$ -H2AX as a biodosimeter is presented in Table 1.

#### **7.1 External irradiation**

Because exposure to ionizing radiation from medical procedures has increased sharply in the last previous decades [65], radiation biodosimetry is increasingly becoming a priority in the clinic. Until recently, radiation received by patients has been quantitated solely by mathematical models and/or physical measurements with no reliable tool to measure its biological effects in patients [66].

Thus, new radiation biomarkers are needed to help evaluate the health risks from radiation exposures. Such biomarkers would help determine the doses received by patients and the biological effects of ionizing radiation on those individuals. Additionally, they would help clinicians to improve irradiation procedures used for diagnostics and/or treatments. Many studies have demonstrated the relevance of using  $\gamma$ -H2AX to detect and quantify DSBs. Microscopy has revealed robust  $\gamma$ -H2AX foci following ionizing radiation with the number of foci strongly corresponding to the expected number of DSBs [54]. Ex vivo irradiation of lymphocytes results in the appearance of 10 to 15 foci per Gy per cell within minutes after exposure [59, 67]. While γ-H2AX formation is rapid, reaching a maximum within 30 min of irradiation, some foci may remain for hours or days with the residual foci numbers also being proportional to the initial dose received [59, 68].

The first study to introduce the use of  $\gamma$ -H2AX in the clinic analyzed skin punch biopsies collected from prostate cancer patients undergoing radiotherapy [48] (Table 1). Microscopy revealed a linear response between γ-H2AX signals and irradiation doses in the biopsies taken at different distances from the radiation field. Their results suggested that a standard technique using  $\gamma$ -H2AX could be developed to assess the radiation doses in exposed individuals and to follow the biological effect in vivo of such radiation. Soon after, another study followed the formation and disappearance of  $\gamma$ -H2AX foci in lymphocytes of individuals subjected to small radiation doses during computed tomography examinations [53]. Similarly, the mean numbers of  $\gamma$ -H2AX foci in patient lymphocytes treated by radiotherapy was found to exhibit a linear dependence on the applied mean body doses [67]. However, the dependence between dose and average incidence of  $\gamma$ -H2AX foci in lymphocytes was found to differ by as much as four-fold depending on the location of the irradiated site on the body. Lymphocyte distribution, circulation and migration through the different organs may explain these differences. For example, lymphocytes that migrate more slowly in capillaries vs. large vessels may receive more radiation dose [67, 69].

Overall, γ-H2AX has been used as to follow the biological effects of a wide range of exposures, from a few cGy, to cumulative doses greater than 70 Gy, doses encountered during diverse clinical procedures ranging from routine examinations (x-ray examination, computed tomography (CT)), to surgical procedures using radiation-x-ray imaging (coronary angiographic procedures, cardiac catheterization), and to cancer radiotherapy (Table 1). Unexpected findings may come from these studies. In one, lymphocytes taken from individuals, adults, and children, undergoing angiographic procedures [70] or cardiac

catheterization [71] exhibited generally good correlations between γ-H2AX levels and radiation doses, however in some cases with children, the incidence of foci in the low-dose range were substantially greater than expected [71]. The authors of this study concluded that pediatric radiation risks may be underestimated and suggested that further safety measures should be taken to minimize and optimize children exposure to radiation.

γ-H2AX measurements have been used to compare radiation protocols that differ in their dose-distribution for local staged prostate cancer (Table 1). Comparison of two methods, Step-and-Shoot-IMRT and conventional 3D conformal radiotherapy revealed differences in the incidence of  $\gamma$ -H2AX foci in the lymphocytes, leading the authors to confirm  $\gamma$ -H2AX measurements as a means to document the reduction of medium-dose-exposure for normal tissue by Step-and-Shoot-IMRT [69]. This finding demonstrates how the biological effects of low-dose radiation can be documented for patients in order to use the lowest dose consistent with obtaining the desired results. Another clinical study of patients subjected to a thoracic or abdominal contrast CT scan utilized two CT scanners with dose settings differing by a factor of two. The authors found twice as many foci at the higher setting, and concluded that lowering scanner settings will result in a reduction of X-ray-induced DNA damage in patients [52].

In another comparative study, patients with possible coronary artery stenoses underwent multidetector coronary computed tomography angiography using two protocols, helical data acquisition with retrospective electrocardiogram (ECG) gating, and sequential data acquisition. The authors showed that the sequential data acquisition method, which permits a relevant dose reduction, led to lower  $\gamma$ -H2AX foci levels [72]. Additionally, this study revealed that the addition of calcium scoring that uses a higher radiation dose (to check for the buildup of calcium in plaque on the walls of coronary arteries) led to a significant elevation of  $\gamma$ -H2AX foci numbers. The authors of this study concluded that clinical procedures should carefully consider the mode and adapt the CT protocols in order to avoid exposure to unnecessary radiation. In addition, individual factors, such as the body mass index, should be considered to adjust CT settings.

Apart from measuring the absorbed doses by patients in clinical procedures,  $γ$ -H2AX-based biodosimetry could be useful with victims of accidental exposure. Individuals receiving radiation doses requiring immediate life-saving medical treatments could be identified. A recent study evaluated the use of  $γ$ -H2AX as a biodosimeter, using non-human primates subjected to total-body irradiation in the non-lethal to lethal dose ranges [59]. Using pragmatic scenarios for accidental exposures, the authors showed that γ-H2AX detection in lymphocytes and plucked hair bulbs may be useful for estimation of radiation dose at times at least 4-days post-exposure at doses of 3.5 Gy and above. A robotic system using the  $\gamma$ -H2AX assay in lymphocytes has been developed to respond to major radiological accidents. The fully automated high-throughput system (named RABIT for Rapid Automated Biodosimetry Tool) was designed to analyze  $\gamma$ -H2AX fluorescence in lymphocytes present in a single drop of blood from a fingerstick. The automation of lymphocyte isolation, immunolabeling of  $\gamma$ -H2AX and high speed imaging allows the analysis of up to 30,000 samples per day [41, 73]. Similarly, a portable microfluidic fluorescence spectrometer, using a suspension of lymphocytes stained for γ-H2AX, is being developed and could become a portable triage tool for field use to help classify people into appropriate treatment categories based on radiation exposure levels [74].

#### **7.2 Internal irradiation**

In addition to its use as a biodosimeter for external radiation, γ-H2AX has been employed during therapy in which radioisotopes were given by infusion or oral ingestion. In cell culture, these radioisotopes were shown to generate numbers of DSBs that correlated with

the levels of  $\gamma$ -H2AX foci [75]. The genotoxicity of several constructs containing radioisotopes,  $^{125}I$ ,  $^{111}I$ n, and  $^{213}Bi$  [75–77], were tested in cell culture utilizing  $\gamma$ -H2AX measurements.  $γ$ -H2AX was recently introduced in a clinical study using  $131$  radionuclide therapy after surgery to ablate remnant thyroid tissue and treat any iodine-avid metastases [78]. Because the blood is irradiated by β-particles from circulating  $131$  and from penetrating -radiation originating from activity dispersed throughout the body,  $γ$ -H2AX was quantified in leukocytes at various times after iodine administration. The peak of the  $\gamma$ -H2AX signal was observed at 2 hr post administration and declined thereafter; however, substantial γ-H2AX signals were still observable after 6 days. In 24 patients evaluated for physical dosimetry, there was a good correlation between  $γ$ -H2AX levels and the mean absorbed doses which ranged between 0.17 and 0.57 Gy. The authors concluded that  $γ$ -H2AX is a useful marker for detecting radiation exposure from radionuclide treatments, even for absorbed doses below 20 mGy to the blood. The authors did note, however, that there was also substantial inter-individual variation. A factor that is important with interpretation of  $\gamma$ -H2AX data in irradiation studies is that during internal medicine procedures, radionuclides are systemic and continuously present in the body; while during external irradiation procedures, such as CT scan, radiotherapy, angioplasty, etc, the exposures are short-term and acute, with quasi-synchronization of DSB formation and repair. Moreover, while external treatments generally involve partial-body-irradiation, individuals receiving radionuclide therapy are subjected to whole-body internal irradiation.

Another issue that remains to be resolved is the inter-individual variation often observed. This may be due to individual differences in physiology or to unnoticed differences in procedure. If the former,  $\gamma$ -H2AX-based biodosimetry gives researchers the tools to study the relevant physiological factors (i.e. age, alteration of genes involved in DNA repair), and if the latter, it enables researchers to determine the important variables in a procedure. Yet, one way to better appreciate the inter-variability between patients would consist in minimizing variations due to differences in sample preparation and analysis. This may be accomplished by reducing the human error factor through the development of automated sample preparation together with automated microscopy, image processing and foci quantitation [79].

# **8. Applications: The use of γ-H2AX in drug efficacy measurements**

There are many therapeutic strategies to combat cancer. These include among others, hormonal therapy to impede growth signaling through hormone receptors on cancer cells, immunotherapy to boost the immune system to increase cancer cell killing, targeted therapy to thwart growth signaling pathways in cancer cells using small enzyme inhibitors or antibodies, and chemotherapy to interfere with cell division and DNA metabolism [80]. As with radiotherapy, chemotherapy can act by generating sufficient DSB numbers in cancer cells to induce cell death [23]. Thus monitoring DSB formation using  $\gamma$ -H2AX can be a sensitive indicator of drug efficiency.  $\gamma$ -H2AX has been used in cell culture studies [23], and animal experiments [81] as routes to measure drug toxicity, pharmacokinetics, and efficacy.

γ-H2AX was first used in a clinical study to test a combination of clofarabine and cyclophosphamide for patients with relapsed acute leukemias [82]. In 12 of 13 patient samples, γ-H2AX assays indicated that the drug combination of clofarabine and cyclophosphamide induced greater amounts of DNA damage compared to cyclophosphamide alone. To date, γ-H2AX has been or is intended to be used as a pharmacodynamic biomarker in more than three dozen clinical trials of a broad spectrum of drugs generating DNA damage, including DSBs, directly or indirectly (see Table 2 or clinicaltrials.gov). These drugs include DNA alkylating and/or cross-linking agents,

topoisomerases 1 and 2 inhibitors, nucleoside analogues, a mitotic inhibitor, PARP inhibitors (involved in DNA repair [38]) and histone deacetylase (HDAC) inhibitors ([83]) (see Table 2 or clinicaltrials.gov). Many clinical protocols involving the  $\gamma$ -H2AX assay are investigating drug combinations of a PARP (i.e., olaparib and veliparib) or HDAC inhibitor (entinostat) with a DNA-binding antitumor drug (Table 2). The working hypothesis behind these combination protocols is that an enzyme inhibitor blocking some aspect of repair will increase the potency of the DNA damaging agent [38].

There are two main motivations for the clinical use of  $\gamma$ -H2AX during chemotherapy. First,  $\gamma$ -H2AX is a pharmacodynamic biomarker which may help determine the genotoxic potential of novel anti-cancer drugs in patients. Second, γ-H2AX assays may potentially allow clinicians to tailor treatment to individuals, taking into account sensitivities and/or previous treatments. In addition to phase I, II and III clinical trials for drug development,  $\gamma$ -H2AX is also currently being utilized in Phase 0 studies (Table 2). Phase 0 is a recent exploratory protocol using small groups of patients designed to accelerate the development of promising drugs by testing whether a drug performs as expected from preclinical in vitro studies [84]. In Phase 0 studies, patients are treated with a potentially active agent at 10% of its expected effective dose in order to observe whether there are any molecular changes indicative of drug activity not just in vivo but in humanus. Thus,  $\gamma$ -H2AX is utilized to measure any DSBs in the patient's cells at this sub-therapeutic dose.

# **9. Applications: γ-H2AX and clinical diagnostics**

In addition to its use in the clinic as a marker for both radiation biodosimetry and drug development for cancer research, recent studies have also demonstrated the putative use of γ-H2AX for medical diagnosis (Table 3). The following section will describe how the presence of γ-H2AX residual foci have been correlated with radiosensitivity in individuals as well as the potential of  $\gamma$ -H2AX to analyze cancer progression or the impact of other diseases on genome integrity.

#### **9.1 Radiation hypersensitivity**

Radiotherapy remains a major cancer treatment strategy, but normal tissue toxicity represents a serious limiting factor. It is estimated that between 1–5% of radiotherapy patients exhibit severe side effects, e.g., mucositis, erythema, edema, and fibrosis among others [85–87]. Therefore, there is a crucial need to find predictive markers of radiation hypersensitivity. Such markers would allow the diagnosis of radiosensitive patients and therefore allocate individualization of radiotherapy through modified irradiation protocols. Several assays, (e.g., micronucleus, colony survival, DNA pulsed-field gel electrophoresis, and comet assay), have been tested as predictors of radiosensitivity in normal tissues with modest success [86]. Because radiosensitivity is often observed in patients with genetic disorders linked to DNA damage repair defects, (e.g., ATM, retinoblastoma, Nijmegen breakage syndrome, Fanconi anemia, Ligase IV syndrome, and Seckel syndrome among others), the use of  $γ$ -H2AX is likely to be a good candidate to quickly predict DNA damage repair defects and therefore radiosensitivity [88]. A non-exhaustive list of clinical studies utilizing  $γ$ -H2AX for radiation toxicity is compiled in Table 3. The first radiosensitive patient uncovered in a clinical study using  $γ$ -H2AX showed abnormal kinetics of  $γ$ -H2AX foci after undergoing CT examinations [53].

#### **9.2 Tumor diagnosis**

 $\gamma$ -H2AX levels were found to be increased significantly in both precancerous and cancerous lesions, supporting the notion that genomic instability precedes cell transformation [89, 90]. On that basis, several studies have suggested that  $γ$ -H2AX may be used for the diagnostics

of cancer development (Table 3). Diagnosing metastatic renal cell carcinoma (RCC) by fineneedle aspiration can be challenging. However, γ-H2AX was shown to be a useful adjunct in the diagnosis of this disease compared to other existing diagnostic antibodies [91, 92].

γ-H2AX was also found to be helpful in understanding cancer development in blood malignancies. Multiple myeloma is an aggressive and deadly hematological malignancy. Usually, disease progression is accompanied by the clonal expansion of malignant plasma cells in the bone marrow [93]. Although multiple myeloma is virtually always preceded by a stable precursor condition termed monoclonal gammopathy of undetermined significance (MGUS), the precise mechanism(s) of the disease development are still unknown [94]. A recent study showed ongoing DNA damage intensification across the disease spectrum; with low γ-H2AX levels in cells of MGUS patients compared to high γ-H2AX levels in plasma cells from patients with multiple myeloma. In addition, γ-H2AX foci were not observed in any of the normal PC or B-cell samples examined [95].

However, the use of  $γ$ -H2AX assays can have limitations. Although several studies have reported that γ-H2AX is expressed with greater intensity in a high percentage of melanoma cells compared to preneoplastic lesions (nevi) and normal melanocytes (see [91] for example), it was shown that  $\gamma$ -H2AX did not appear to be a prognostic indicator for melanoma. However,  $\gamma$ -H2AX may still have some diagnostic utility in separating melanoma from dysplastic nevi [91].

#### **9.3 Chronic inflammation**

There are multiple mechanisms involved in cancer. If a small percentage of cancers are hereditary, many factors, such as spontaneous mutations, constant exposure to exogenous and endogenous DNA damage agents, poor diet, obesity, etc, may increase cancer risk. In healthy cells, the transcription factor p53, which is activated by DNA damage, prevents cancer development by inducing senescence or apoptosis. Spontaneous or inherited mutations in p53 remove this checkpoint [96, 97]. Activated oncogenes alone or in combination to other stresses, i.e. overproduction of reactive oxygen species and chronic inflammation among others, can also lead to increased and recurrent DNA damage contributing to precancer-associated genomic instability [98] (Table 3). Therefore γ-H2AX may be a useful marker for genomic instability to assess risks of tumorigenesis in patients with chronic health problems. Recent studies show increased DSB levels in cells from patients with chronic inflammation. Colonocytes from individual experiencing ulcerative colitis, a chronic inflammatory disease that predisposes to colorectal cancer, showed that increased γ-H2AX might reflect oxidative damage due to chronic inflammation [99]. Similarly, examination of lung tissues from patients with chronic obstructive pulmonary disease (COPD) revealed high levels of  $γ$ -H2AX in alveolar wall cells compared to control individuals [100]. The authors of the study suggested that severe COPD is indeed linked to oxidative DNA damage. Thus, persistent oxidative-induced DNA damage could cause nuclear hypermutability which, in turn, would increase the risk of lung cancer and/or influence COPD pathogenesis. Childhood obesity, often linked to chronic low-grade inflammation, has been associated with an increased risk of developing some types of cancer later in life [101]. Recently,  $γ$ -H2AX was analyzed in lymphocytes from 119 children classified as normal, overweight, or obese. The study showed that both overweight and obese children had significantly higher levels of  $γ$ -H2AX when compared to children with normal weight [101]. Therefore, the results of such a study could link obesity to an increased genomic instability, making a connection for putative cancer development.

#### **9.4 Other disorders with elevated γ-H2AX**

Increased γ-H2AX was also observed in lymphocytes of individuals with Dyskeratosis congenita [102], an inherited disorder characterized by premature aging, bone marrow failure and a predisposition to cancer [103]. This increase was also observed in patients with occult HBV infections, infections which are often associated with poor therapeutic response and increased risk of developing hepatocellular carcinoma [104]. There may be an increased risk of cancer related mortality in patients with schizophrenia, especially breast cancer for women and lung cancer for men [105]. Interestingly, immortalized lymphoblasts from patients with schizophrenia, show increased spontaneous DSBs, as marked by  $\gamma$ -H2AX [106].

# **10. Other histone post-translational modifications used as biomarkers in the clinic**

Deregulation in any of the histone PTMs may alter gene expression and lead to changes in these cellular processes resulting in tumorigenesis [107, 108]. Similarly, alterations in the activities of histone-modifying enzymes such as histone deacetylases and histone methyltransferases have been linked to cancer [109]. A reasonable hypothesis is that exogenous and endogenous stresses may result in the alteration of specific types of histone PTMs, facilitating oncogenesis (Figure 1). Pressure on genomic integrity would also include genotoxic traumas, such as irradiation and/or chemotherapeutic drugs used to fight cancer. For these reasons, measuring levels of histone PTMs in patient samples has been used in clinical studies for prognosis as well as to serve as predictive biomarkers to gauge patient response to new chemotherapeutic drugs (Table 4).

Like  $\gamma$ -H2AX, other histone modifications may be used as biomarkers of cancer. Indeed, the loss of H4 lysine 16 acetylation and H4 lysine 20 trimethylation was shown to be a common feature of human cancers [110]. Aberrant patterns of H4 and H3/H4 modifications were described in non-small cell lung carcinoma [111] and prostate cancer [112] respectively when compared to normal tissue. Also, compared to normal prostate tissue, prostate tumors exhibit aberrant patterns of H3 and H4 modifications [112].

#### **10.1 Drugs targeting other histone PTMs**

The potential for clinical use of histone PTMs is related to drug development. Promising compounds for modifying chromatin are being introduced as novel anticancer drugs [113]. Administered alone or in combination with DNA damaging agents (Table 4), they may alter gene expression and/or DNA damage repair pathways, thereby inhibiting uncontrolled proliferation or boosting rates of malignant cell death.

One example of the growing use of compounds for modifying chromatin is that of histone deacetylase inhibitors (HDACis) (Tables 1, 4). Treatment of cancer cells with HDACis induces histone hyperacetylation and changes in the levels of a large range of proteins associated with apoptosis and proliferation (see [114] for review). In the first study reporting a clinical response to an HDACi, romidepsin (also referred to depsipeptide) was administered to patients with T-cell lymphoma. Elevated levels of histone H3 acetylation were found in Sézary cells as soon as 4 hr after drug administration [115] (Table 4). Romidepsin was also used in a phase I clinical trial to treat acute myeloid leukemia (AML) and chronic lymphocytic leukemia (CLL) [116]. Pharmacodynamic data collected by microscopy and immunoblotting showed increased levels of H4 and H3 acetylation (H4 lysines 5, 8, 12, and 16; H3 lysines 9 and 14) at 4 hr (AML) and 24 hr (both AML and CLL) after drug infusion, times that were shown to correlate with decreased HDAC activity in vivo.

#### **10.2 Histone PTMs as prognostic indicators**

In addition to their use as biomarkers for early diagnosis of cancer, histone PTMs have also been used as indicators of survival (Table 4). Several studies have shown an association between some histone PTM levels, tumor aggressiveness, and patient outcome [117]. Reduced cellular levels of H3 lysine 4 dimethylation, lysine 9 dimethylation or lysine 18 acetylation were linked to poor prognoses for pancreatic cancer [118]. Low cellular levels of H3 lysine 27 trimethylation were associated with poor outcomes in breast, ovarian and pancreatic cancers [119] while the loss of H4 lysine 20 trimethylation was found to be associated with poor survival of lung cancer patients with adenocarcinoma [111]. Patients with stage II lung carcinoma exhibiting lower levels of H2A lysine 5 acetylation were shown to have decreased survival compared to those with higher levels [120]. Analysis of H3 modifications in resected tumors from lung cancer patients showed that stage I adenocarcinoma patients with higher levels of H3 lysine dimethylation had favorable survival prognoses, while patients with higher levels of H3 lysine acetylation had poorer survival prognoses [120].

The prognostic value of histone H3 phosphorylation (PPH3) was evaluated in a homogeneous group of node-negative invasive breast cancers, from women less than 55 years of age, treated with adjuvant systemic chemotherapy [121]. PPH3 expression levels correlated with tumor diameter, estrogen receptor and carcinoma grade. The study showed that the 45% of early breast cancer patients with limited PPH3 expression had excellent prognoses (96%), in contrast to the lower survival rate (58%) of patients with strong PPH3 expression. Overall, PPH3 was pointed out as the strongest prognostic indicator, including its mitotic activity index, for operable lymph node-negative cancer patients under 55 years of age [121].

#### **11. Concluding Remarks**

The development of agents for cancer treatment is a slow and laborious process. Despite many successes in the discovery of new anti-cancer drugs, only 5% of prospective drugs gain final approval [122]. The discovery and use of new biomarkers, such as  $γ$ -H2AX, would enable clinicians to evaluate a drug's effectiveness in a particular patient more quickly, reducing the time and cost of drug development, i.e., "fail fast". The use of  $\gamma$ -H2AX has already aided studies of the effects of many drugs targeting various cancer types, e.g., leukemia, breast, colon, glioma, sarcoma, ovarian, etc. (Table 2). As a result, it is expected that an increasing number of early clinical trials will use γ-H2AX in the future because of its convenience and sensitivity.

The ability to measure the effect of a drug soon after administration to a patient promises to bring a new dimension to patient health. The inter-individual variations in treatment response could be measured and accounted for by optimizing protocols to individual patients. For cancer treatment,  $\gamma$ -H2AX levels taken as a measure of DNA damage may lead to one, improved treatments using ionizing radiation and other anticancer agents, two, accelerated validation of new candidate chemotherapeutic agents, and three, optimization of treatment to individual patients minimizing undesirable side-effects while maximizing treatment efficacy. Other measures, such as histone acetylation levels, are also being developed for monitoring cancer treatment. In the future, measuring alterations in histone PTMs, including but not limited to  $\gamma$ -H2AX, of individual patients in response to a particular drug, may become part of standard operating procedures in order to optimize individual patient treatments.

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#### **Highlights**

- **•** We review the uses of γ-H2AX and other modified histone species in the clinic.
- **•** γ-H2AX is a useful radiation biodosimeter.
- **•** γ-H2AX can be used to assay DNA damage during chemotherapy.
- **•** γ-H2AX can be used to optimize cancer treatments and other procedures.
- **•** Uses of other modified histone species are discussed.

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#### **Figure 1. The rationale of using histone post-translational modifications in the clinic**

Because clinical treatments (radiotherapy and chemotherapy) and diseases such as cancer have an impact on chromatin structure, replication/transcription, and DNA repair, they will induce an alteration in histone post-translational modifications (including  $\gamma$ -H2AX formation). By identifying specific changes in histone PTMs, clinicians could assess a patient response to a treatment or a drug, as well as to evaluate a cancer prognosis.



#### **Figure 2. Core histone post-translational modifications**

The sites of post-translational modifications (PTMs) occur mostly within the histone tail domains (top inset). Specific amino acid PTM sites (acetylation, ADP-ribosylation, biotinylation, citrullination, methylation, phosphorylation, SUMOylation and ubiquitination) that are known to occur on the core histones, H2A, H2B, H3 and H4, are indicated by colored symbols (symbol key in the right inset). Some residues (Lysine, Arginine) can undergo severa,l identical or different, forms of post-translational modification (i.e. methylation or acetylation, dimethylation or trimethylation, etc …), thus increasing the complexity of the histone code. H2AX is an H2A variant with a unique longer C-terminal tail consisting of an evolutionarily conserved motif and a linker of variable sequence and length. The conserved motif contains the C-4 serine that is phosphorylated upon DNA DSB formation (arrow).



**Figure 3.** γ**-H2AX focus formation and detection in human cells and/or tissues**

Top panel: H2AX is a variant of histone H2A that can replace other H2A subtypes in a subset of nucleosomes. Like other H2As, H2AX is composed of a central globular domain and two terminal tails. While the globular domain and the N-terminal tail are identical between H2AX and other H2As, H2AX holds a unique C-terminal tail containing an evolutionarily conserved "SQ" motif (green) connected by a "linker" that is variable, in sequence and length, through evolution (blue). The conserved "SQ" motif holds the C-4 serine that is phosphorylated upon DNA DSB formation. *Bottom panel*: Following exposure to radiation or genotoxic drugs and upon DSB formation, the H2AX C-4 serine is phosphorylated (γ-H2AX) **(1)**. H2AX phosphorylation spreads away from the DNA break and can be found within a 2–40 Mb region surrounding the DSB site **(2)**. As a result, the use of a specific anti-γ-H2AX antibody allows the visualization of DSBs as discrete foci **(3)** in cells (**a**, blood lymphocytes) as well as in tissues (**b**, hair bubs). In some cells, DSB induction can lead to apoptosis and accrued formation of both DSBs and γ-H2AX. Apoptosis can produce a γ-H2AX panstaining (**c**, **d**), a peripheral nuclear γ-H2AX staining (**d**) and/or a γ-H2AX staining of apoptotic bodies (**d**). Red: DNA; Green: γ-H2AX.



#### **Figure 4. Clinical applications for** γ**-H2AX**

The  $\gamma$ -H2AX assay has been developed for many clinical applications. In addition to its extensive used for basic research (to study DNA repair, drug mechanistic, radiation biology, etc…), γ-H2AX has been developed in animal studies (both for radiation research and drug development) and in the clinic (diagnostics, radiation biodosimetry, drug development). Because of its sensitivity, the  $\gamma$ -H2AX assay has also been used in environmental studies to identify genotoxic compounds or occupational practices leading to DNA damage.



#### **Figure 5. Human samples and methods used for the** γ**-H2AX assay**

While tumor sampling is the most appropriate biospecimen for  $\gamma$ -H2AX detection to follow cancer treatments, such biopsies are often poorly accessible, particularly for repetitive sampling. For this reason, clinicians have to rely on other sources of biospecimen that can be obtained non-invasively (blood samples, circulating tumor cells (CTCs), buccal cells, plucked hairs) or invasively (skin biopsies). It should be noted that some of these samples can be used for the detection of other histone PTMs (see Table 4). M: microscopy; MF: Microscopy (i.e. immunohistochemistry or immunocytochemistry) and FACS; Red star: human samples used for radiation biodosimetry; Blue star: human samples used for drug development.

#### **Table 1**

#### **Non-exhaustive list of clinical studies using the** γ**-H2AX assay for radiation biodosimetry**

Studies are separated in 3 major groups: x-ray examination (top), computed tomography (middle) and radiotherapy (bottom). A study illustrating the use of  $\gamma$ -H2AX as a biomarker to study the impact of space radiation on human health was added in the last row. All studies described in Table 1 used microscopy (i.e, immunocytochemistry or immunohistochemistry) for γ-H2AX detection.



**Abbreviations**: FC, flow cytometry; PTA, percutaneous transluminal angioplasty; SD, single dose; CD, cumulated doses; CT, computed tomography; CTA, computed tomography angiography; PTA, percutaneous transluminal angioplasty; 3D, three dimensional conformal; SSIMRT, step-and-shoot-intensity modulated radiotherapy. PBMCs, peripheral blood mononuclear cells.

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# **Table 2**

Non-exhaustive list of clinical studies using the  $\gamma$ -H2AX assay to measure chemotherapeutic drug effects in cancer patients. γ-H2AX assay to measure chemotherapeutic drug effects in cancer patients. Non-exhaustive list of clinical studies using the



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blood mononuclear cells; N/A, not specified; (\*) study completed; CML, chronic myelogenous leukemia.

#### **Table 3**

# Non-exhaustive list of clinical studies using  $\gamma$ -H2AX for diagnostics





**Abbreviations:** M: microscopy (immunocytochemistry or immunohistochemistry); FC: flow cytometry; N/A: not applicable; PBMCs, peripheral blood mononuclear cells; SD, single dose; CD, cumulated doses; (#): ClinicalTrials.gov Identifier



Abbreviations: M, microscopy (immunocytochemistry or immunohistochemistry); IB, immunohotting; FACS, Fluorescence-Activated Cell Sorting; WBCs, White blood cells; PBMCs, Peripheral Blood Abbreviations: M, microscopy (immunocytochemistry); IB, immunohistochemistry); IB, immunohlotting; FACS, Fluorescence-Activated Cell Sorting; WBCs, White blood cells; PBMCs, Peripheral Blood Mononuclear Cells; CLL, chronic lymphocytic leukemia; AML, acute myeloid lymphoma; ALL, acute lymphocytic leukemia; ac, acetylation; me, methylation; ph, phosphorylation; N/A, not specified Mononuclear Cells; CLL, chronic lymphocytic leukemia; AML, acute myeloid lymphoma; ALL, acute lymphocytic leukemia; ac, acetylation; me, methylation; ph, phosphorylation; N/A, not specified

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**Table 4**