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Neurotransmitter receptors and cognitive dysfunction in Alzheimer's disease and Parkinson's disease

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Abstract

Cognitive dysfunction is one of the most typical characteristics in various neurodegenerative diseases such as Alzheimer's disease and Parkinson's disease (advanced stage). Although several mechanisms like neuronal apoptosis and inflammatory responses have been recognized to be involved in the pathogenesis of cognitive dysfunction in these diseases, recent studies on neurodegeneration and cognitive dysfunction have demonstrated a significant impact of receptor modulation on cognitive changes. The pathological alterations in various receptors appear to contribute to cognitive impairment and/or deterioration with correlation to diversified mechanisms. This article recapitulates the present understandings and concepts underlying the modulation of different receptors in human beings and various experimental models of Alzheimer's disease and Parkinson's disease as well as a conceptual update on the underlying mechanisms. Specific roles of serotonin, adrenaline, acetylcholine, dopamine receptors, and *N*-methyl-D-aspartate receptors in Alzheimer's disease and Parkinson's disease will be interactively discussed. Complex mechanisms involved in their signaling pathways in the cognitive dysfunction associated with the neurodegenerative diseases will also be addressed. Substantial evidence has suggested that those receptors are crucial neuroregulators contributing to cognitive pathology and complicated correlations exist between those receptors and the expression of cognitive capacities. The pathological alterations in the receptors would, therefore, contribute to cognitive impairments and/or deterioration in Alzheimer's disease and Parkinson's disease. Future research may shed light on new clues for the treatment of cognitive dysfunction in neurodegenerative diseases by targeting specific alterations in these receptors and their signal transduction pathways in the frontal-striatal, fronto-striato-thalamic, and mesolimbic circuitries.

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Keywords

Neurodegeneration; Alzheimer's disease; Parkinson disease; receptors; cognitive dysfunction

1. Introduction

Neurodegenerative disorders are one of the most frequent causes of death and disability worldwide and have a significant clinical and socio-economic impact. Two of the most common types of neurodegenerative diseases are Alzheimer's disease (AD) and Parkinson's disease (PD). It has been well documented that in addition to motor disorders, cognitive dysfunction such as dementia, characterizes the clinical presentation common to these two diseases. Although different mechanisms such as neuronal apoptosis and inflammatory responses (Pascual, 2011) are involved in the pathogenesis of cognitive dysfunction in neurodegeneration, there is increasing evidence that shows that alterations in various receptors may account for the progression of cognitive decline. The activation of G protein-coupled receptors (GPCRs) modulates the intracellular signal transduction pathways (e.g., inhibiting the adenylyl cyclase/protein kinase A (PKA) signaling cascade or stimulating the phospholipase C (PLC)/protein kinase C (PKC) signaling cascade (Polter, 2010)), thus producing the secondary messenger, cAMP, and subsequently regulating calcium ion flux, membrane excitability, and cAMP-responsive transcription factors such as the cAMP response element binding protein (CREB) (Nichols and Nichols, 2008). The 5-HT₆ receptor modulation results in the release of different neurotransmitters, such as glutamate and acetylcholine, which facilitates learning and memory processes (Schechter et al., 2008; West et al., 2009). The modification of these receptors may be associated with amyloid plaque formation and tau neurotoxicity and may mediate the subsequent oxidative stress related to neuronal toxicity, which results in cognitive impairment (Xiong et al., 2004). This review highlights the current findings of the effects of receptor alterations on cognitive dysfunction in neurodegenerative diseases such as AD and PD and provides a conceptual update on the multiple underlying mechanisms of neurodegenerative pathology.

2. Alterations in neurotransmitter receptors in Alzheimer's disease

2.1. Serotonin receptors and Alzheimer's disease

There are at least 16 different types of serotonin receptors, which can be broadly divided into seven sub-families, 5-HT₁ to 5-HT₇, based on their primary physiological mechanisms (Hoyer, 1997). Inoue et al. (1985) presented a positron emission tomographic atlas of the serotonin receptor distribution in the normal and abnormal human brain in 1985 (Inoue et al., 1985). Most of these receptors belong to the GPCR family of receptors, with the exception of the 5-HT₃ receptor (a ligand-gated ion channel). Their activations stimulate intracellular responses through distinct signal transduction pathways, such as the inhibition of the adenylyl cyclase/protein kinase A (PKA) signaling cascade or the stimulation of the phospholipase C (PLC)/protein kinase C (PKC) signaling cascade, which regulates extracellular signal-regulated mitogen-activated protein kinase and proliferation of related pathways (Li, 2010; Polter, 2010), which can subsequently influence cognitive impairment in neurodegenerative diseases. Although various characteristics and the potential psychopharmacological significance of 5-HT receptor subtypes have been identified, a major aim of this present review is to summarize the current knowledge of the potential associations between 5-HT receptors and cognitive deficits in neurological disorders.

Several lines of evidence from animal and clinical studies have indicated the role of 5-HT and its receptors in different aspects of cognitive dysfunction, such as cognitive deficits, learning, and memory decline (Meneses and Hong, 1999; Sumiyoshi, 2007). In one study,

Yasuno et al. demonstrated a negative correlation between verbal memory and the binding potential of 5-HT_{1A} receptors in the hippocampus, where a dose-dependent decline in explicit verbal memory in healthy volunteers upon the administration of tandospirone, a 5-HT_{1A} partial agonist, was observed (Yasuno et al., 2003). It was shown that increased 5-HT_{1A} receptor density correlated with the cognitive impairment observed in AD and provided the basis for the use of 5-HT_{1A} antagonists in AD treatment (Lai et al., 2002). Similarly, 5-HT_{1B/1D} was also found to be associated with cognitive dysfunction in AD. A recent study confirmed that 5-HT_{1B/1D} receptor density was significantly reduced in the frontal and temporal cortex of AD patients with impaired Mini-Mental State Examination (MMSE) scores (Garcia-Alloza et al., 2004).

Lai et al. (2003b) reported that MMSE scores were more closely related to neuronal degeneration when compared to the alterations in 5-HT_{1A} receptors as demonstrated by the similar pattern of 5-HT receptor binding affinity and density in both the AD and control group. The dementia severity was measured by patient MMSE scores, which corresponded to the neuronal degeneration (Lai et al., 2003a). In contrast, Truchot et al. found a significant decrease in 5-HT_{1A} receptor density in the hippocampus, inferior temporal gyrus, and inferior occipital gyrus of AD patients using positron emission tomography (PET) (Truchot et al., 2008). These diverging results can, however, be explained by the stark differences in the investigational methodologies adopted and the tested groups used in each study.

Similar to the 5-HT_{1A} receptor, the 5-HT₂ receptor is also widely distributed in the brain and is closely related to cognitive dysfunction. Using [18F]setoperone PET, Blin and colleagues reported a significant reduction in the 5-HT₂ receptor binding in the cerebral cortex of AD patients compared to healthy controls (Blin et al., 1993). Similar findings were reported by Lai and colleagues who found that the 5-HT_{2A} receptor density in frontal and temporal cortical neurons was reduced in severely demented AD patients compared to controls. This finding also suggested that the amount of neocortical 5-HT_{2A} receptor loss could predict the rate of cognitive decline in AD (Lai et al., 2005). Using MRI and [18F]altanserin PET imaging, Hasselbalch and colleagues investigated sixteen patients with mild cognitive impairment (MCI) and observed widespread reductions in 5-HT_{2A} receptor binding in the neocortical areas of amnesic MCI patients when compared to control subjects (Hasselbalch et al., 2008). Hasselbalch, Lai, and Blin's studies indicated an important association between 5-HT₂ receptors and the cognitive decline in AD (Blin et al., 1993; Lai et al., 2005; Hasselbalch et al., 2008). However, unlike the 5-HT₂ and 5-HT₆ receptors, the 5-HT₄ receptors are not significantly involved in the regulation of cognition. Using [3H]GR113808, no significant changes in 5-HT₄ receptor binding were observed in the postmortem frontal and temporal cortex of AD patients compared with those of the control subjects (Lai et al., 2003b).

The serotonin 5-HT₆ receptor is another interesting receptor subtype due to its unique distribution and multiple modulating mechanisms in the CNS. In the central nervous system (CNS), this receptor is mainly distributed in the striatal, hippocampal, and cortical areas of the brain (Ruat et al., 1993). Increasing evidence shows that the blockade of the 5-HT₆ receptor leads to an improvement in learning and memory impairments (Mitchell and Neumaier, 2005; Perez-García and Meneses, 2005). Da Silva Costa et al. found that the 5-HT₆ receptor antagonist, SB-271046, significantly improves spatial recognition memory and reverses age-related deficits in the spatial recognition memory of aged mice (Da Silva Costa et al., 2009). Marcos et al. indicated that acute and subchronic treatment with the selective 5-HT₆ receptor antagonist, SB-271046, in unimpaired rats significantly improved memory retention and acquisition in rats, suggesting a potential role of 5-HT₆ receptor antagonists in the treatment of cognitive dysfunction (Marcos et al., 2008). In addition, a similar conclusion was drawn from Rosse and Schaffhauser's study (Rosse and Schaffhauser,

2010). Moreover, West et al. reported that the activation of the 5-HT₆ receptor, by employing the selective 5-HT₆ receptor agonist, WAY-181187, may modulate synaptic plasticity via attenuating long-term potentiation (LTP). In addition, this effect could be dose-dependently prevented by treatment with the selective 5-HT₆ receptor antagonist, SB-399885 (West et al., 2009).

2.2. Serotonin receptor-mediated signaling mechanisms in Alzheimer's disease

Several signaling pathways have been recognized for their involvement in the mechanisms that underlie 5-HT receptor-mediated improvement of cognitive deficits. The 5-HT₆ receptor activates G(s) proteins, which stimulate adenylyl cyclase and, consequently, the production of the secondary messenger cAMP. cAMP activates protein kinase A (PKA), which phosphorylates downstream effectors and subsequently regulates calcium flux, membrane excitability, and cAMP-responsive transcription factors, such as CREB (Nichols and Nichols, 2008). Cumulative data support the expression of 5-HT₆ receptors on GABAergic neurons (Upton et al., 2008). 5-HT₆ receptors modulate a wide variety of neurotransmitters, such as glutamate and acetylcholine, and facilitate learning and memory processes (Hirst et al., 2006; Schechter et al., 2008; West et al., 2009). Moreover, the activation of microglia and astrocytes by A β promotes neuronal injury through the disruption of synaptic integrity and alterations in neurotransmitter and secondary messenger signaling (Hardy and Selkoe, 2002). A schematic overview of the involvement of 5-HT receptors in cognitive dysfunction in AD is shown in Fig. 1.

2.3. Adrenergic receptors and Alzheimer's disease

Adrenergic receptors, a class of metabotropic GPCRs, are sensitized to catecholamines, specifically norepinephrine and epinephrine. There are two main groups of adrenergic receptors, α and β , with several subtypes, including α 1, α 2, β 1, β 2, and β 3.

Several lines of evidence have demonstrated that adrenergic receptors are closely associated with cognitive declines in AD patients (Laureys et al., 2010). Two studies conducted by Shimohama et al. demonstrated that both the beta and alpha-adrenergic receptors were present in the human brain and significantly correlated with cognitive impairment in AD patients (Shimohama et al., 1986, 1987). A series of clinical studies by Kalaria et al. showed that beta 2 receptors were significantly increased in the cerebral microvessels, prefrontal cortex, and hippocampus in AD patients (Kalaria et al., 1989a; Kalaria et al., 1989b; Kalaria and Harik, 1989). One genetic study demonstrated that Gly16Arg and Gln27Glu, two polymorphisms of the β 2-AR gene, interacted with the epsilon4 allele and markedly increased AD susceptibility and risk (Yu et al., 2008). Changes in the different subtypes of adrenergic receptors as well as different changes in adrenergic receptor subtypes displayed different behaviors, such as the presence or absence of aggression in AD patients. For instance, there was a higher density of beta1 and beta2 adrenergic receptors in the cerebellar cortex in aggressive AD patients, while no significant differences were observed in the adrenergic receptors of the frontal cortex or hypothalamus in these AD patients (Russo-Neustadt and Cotman, 1997). Another study by Kalaria and Andorn reported a lower density of α 2 receptors in the prefrontal cortex of AD patients (Kalaria and Andorn, 1991), which was consistent with a study conducted by Meana and colleagues (Meana et al., 1992). Several lines of evidence demonstrated that the α 2 adrenoceptors in the hippocampus and frontal cortex in AD were dramatically decreased (Pascual et al., 1992), and that the α 1D/2C-AR mRNA expression in the hippocampus were significantly reduced (Szot et al., 2006). This may be due to compensatory changes in the remaining noradrenergic neurons in the locus ceruleus and hippocampus of AD patients (Szot et al., 2006). However, some studies have reported conflicting results, demonstrating an upregulation of alpha1-adrenoceptors in AD patients with dementia and aggressive behavior (Sharp et al., 2007). Taken together,

both animal and clinical studies have demonstrated a significant influence of adrenergic receptors in the cognitive impairment associated with AD. However, additional studies are needed to investigate the precise mechanisms involved.

2.4. Acetylcholine receptors and Alzheimer's disease

The acetylcholine receptor (AChR) is an integral membrane protein that is the target of the neurotransmitter, acetylcholine. According to their specific affinities and sensitivities to different molecules, acetylcholine receptors can be classified as either nicotinic receptors or muscarinic receptors. Nicotinic receptors are located in the skeletal neuromuscular junction and autonomic ganglia, whereas muscarinic receptors are expressed in the brain and parasympathetic effector organs (Kato and Agid, 1979; Paterson and Nordberg, 2000). Our previous study indicated that muscarinic receptors were widely distributed in cerebral areas, such as the piriform cortex, secondary somatosensory cortex, cingulate cortex, caudate putamen, and some limbic regions, including the nucleus accumbens, hippocampus, prefrontal cortex, and primary motor cortex, suggesting the involvement of muscarinic receptors in cognitive dysfunction (Wang et al., 2008).

Although the pathological hallmarks of AD are the formation of amyloid beta-peptide and neurofibrillary tangles (NFTs) (Miranda et al., 2000), there exists considerable evidence, which implicates defects in acetylcholine receptors in the pathological mechanisms of AD (Barrantes et al., 2010; Medeiros et al., 2011). Growing evidence shows the importance of muscarinic receptors in AD. Tsang et al. showed that M1 receptor densities did not change in AD patients, but M1/G-protein coupling in the frontal cortex was significantly decreased and correlated with the severity of cognitive decline (Tsang et al., 2006). Poulin et al. demonstrated that M3-muscarinic receptor knockout mice showed a deficit in conditioned fear learning and memory in a receptor phosphorylation/arrestin-dependent manner, suggesting a functional role of muscarinic receptors in AD (Poulin, 2010). Another study demonstrated that the M1-muscarinic receptor agonist, TBPB, exhibited beneficial effects on the processing of the amyloid precursor protein and decreased A β production in vitro, suggesting that selective activation of the M1 receptor may provide a novel approach for the treatment of symptoms associated with AD (Jones, 2008).

The nicotinic receptor is another acetylcholine receptor subtype and the two main nAChR subtypes expressed in the CNS are the $\alpha 7$ and $\alpha 4\beta 2$ receptors (Wevers and Schroder, 1999). Perry et al. and Paterson and Nordberg described a substantial reduction in nicotinic receptor expression in AD (Perry et al., 1986; Paterson and Nordberg, 2000). One postmortem study demonstrated that the number of nicotinic receptors declined in all of the examined brain areas in AD patients (Rinne et al., 1991). Consistent with previous reports, recent studies found a decrease in nicotinic receptor expression in AD. A clinical study compared AD to mild cognitive impairment (MCI) and normal aging and found a substantial decrease in nicotinic receptor binding (Sabbagh et al., 2006). Sabri et al. observed changes in $\alpha 4$ and $\beta 2$ nicotinic receptor expression in patients with AD and MCI and found a profound reduction in $\alpha 4\beta 2$ nicotinic receptors that were closely correlated with the severity of cognitive impairment (Sabri et al., 2008). In contrast, Mitsis et al. reported that nAChRs did not change during the prodromal and early stages but was reduced in the late stage of AD (Mitsis et al., 2009). Ellis et al. also examined the distribution of $\alpha 4\beta 2$ nAChRs in 15 patients with early AD and did not observe any association between $\alpha 4\beta 2$ nAChRs and cognitive decline in the early stage of AD (Ellis et al., 2008). Findings obtained from animal studies were also consistent with human results. One transgenic animal study confirmed that $\alpha 7$ -nAChR knockout mice were significantly impaired in their odor span and showed impaired attention when compared to wild-type mice (Young et al., 2007). However, Dzieczapolski et al. reported that transgenic AD mice lacking the $\alpha 7$ nAChR gene exhibited neuroprotective effects and improved learning and memory behavior compared

with control groups. It was suggested that A β 1–42 binds to α 7 nAChR, which mediates A β -induced tau protein phosphorylation (Dziewczapolski et al., 2009). In an early AD mouse model, α 7 nAChRs also provided neuroprotection through the maintenance of the septo-hippocampal cholinergic phenotype, the preservation of hippocampal integrity, and decreasing the A β accumulation and oligomerization (Hernandez et al., 2010). Counts et al. found that α 7 nAChR expression increased in the nucleus basalis of neurons and this trend was inversely associated with the MMSE score, suggesting a compensatory response to the decline of cholinergic activity (Counts et al., 2007). More preclinical and clinical studies have demonstrated that α 7 nAChR agonists and partial agonists led to an improvement in cognitive deficits (Faghih et al., 2007; Roncarati et al., 2009; Chen et al., 2010).

2.5. Acetylcholine receptor-mediated signaling pathways in Alzheimer's disease

Recent studies have focused on studying the mechanisms through which acetylcholine receptors are associated with the improvement in cognitive deficits in AD patients. nAChR-mediated calcium signaling have been suggested to play important roles in learning and memory in aging (Miwa et al., 2006; Gubbins et al., 2010). Miwa et al. found that lynx1 null mutant mice exhibited an enhanced performance in learning and memory via the hyperactivation of nAChRs (Miwa et al., 2006). The activation of nAChRs elevated cytoplasmic calcium levels and triggered a series of calcium-dependent intracellular processes, such as neurotransmitter release and gene expression, that mediate learning and memory processes (Turner, 2004). The activation of nAChRs mediates three types of cytoplasmic calcium signals: instantaneous, short-term (through cellular signaling cascades), and long-term effects (via gene expression) (Shen and Yakel, 2009). One study by Soliakov showed that presynaptic nAChR activation could modulate transmitter release such as striatal dopamine through protein kinase C (PKC) (Soliakov and Wonnacott, 2001). Long-term effects mainly rely on calcium influx increases to activate CaMKII/IV, ERK/MAPK and CREB, which would alter gene expression or induce long-term potentiation (LTP) (Chang and Berg, 2001; Hu et al., 2002), subsequently underlying the contribution of nAChRs to cognitive dysfunction (Ji et al., 2001; Bitner, 2007). The accumulation of A β and tau protein in the brain is a key feature in AD pathogenesis. Moreover, A β peptides negatively alter the cholinergic system at multiple sites, including ACh synthesis, ACh release, and AChRs (Auld et al., 2002). A β oligomers may modulate calcium homeostasis, LTP and synaptic plasticity by binding to nAChRs, and stimulating downstream signaling pathways (Lilja et al., 2011), which subsequently leads to declined cognition. A schematic overview of various mechanisms of nAChRs in cognitive dysfunction in AD is shown in Fig. 2.

2.6. Dopamine receptors and Alzheimer's disease

Dopaminergic receptors are a class of metabotropic GPCRs and are involved in many neurological processes, such as motivation, cognition, and learning. There are two different classes of dopamine receptors, D1-like and D2-like, with five subtypes: D1, D2, D3, D4, and D5 (Contreras et al., 2002). Both D1 and D2 dopamine receptors are critical for learning and memory processes, which primarily function in the prefrontal cortex (Beaulieu and Gainetdinov, 2011). Recent studies have demonstrated significant roles of D1 and D2 receptors in modulating synaptic plasticity and mediating cognitive dysfunction via cAMP/PKA signaling cascades, DARPP-32, and CREB modulation (Chang and Berg, 2001; Hu et al., 2002). In addition, increasing evidence has shown a close dopaminergic-cholinergic and dopaminergic-glutamatergic interaction, which may play an important role in anxiety, and learning and memory (El-Ghundi et al., 2007).

Although abundant studies have investigated the correlation between dopamine receptors and PD, few studies have investigated the association of dopamine receptors and AD. Using

PET, Pizzolato et al. showed that D2 receptor binding was significantly reduced in AD patients without overt extra-pyramidal symptoms (Pizzolato et al., 1996). However, Kemppainen et al. presented conflicting evidence that D1, but not D2, receptor binding in either the putamen and caudate nucleus in AD was decreased in AD patients (Kemppainen et al., 2000) and that no significant association was observed between the binding density of dopamine D1/D2 receptors in the putamen and caudate nucleus and cognitive deficits (Kemppainen et al., 2000). However, Kemppainen and colleagues found that the availability of the D2 receptor was reduced in the hippocampus and that the alteration of D2 receptor binding potentials in the right hippocampus was significantly and positively associated with verbal memory performance (Kemppainen et al., 2003). In addition, Tanaka et al. found that the reduction of striatal D2 receptor density was associated with severe behavioral abnormalities in AD (Tanaka et al., 2003). Taken together, it seems the reduction of dopamine receptors was positively correlated with severity of cognitive dysfunction in AD patients.

2.7. N-methyl-D-aspartate receptors and Alzheimer's disease

N-methyl-D-aspartate (NMDA) receptors, one of the families of ionotropic glutamate receptors, are widely studied and abundant in the cerebral cortex, hippocampus, nucleus accumbens and striatum (Yu et al., 1997; Janssen et al., 2005; Nilsson et al., 2007). Changes in NMDA receptor populations in the brain are closely associated with many important brain functions, including neuronal apoptosis (Yu et al., 1999), attention and movement (Bi and Sze, 2002) as well as anxiety and depression (Johnson and Shekhar, 2006; Wang et al., 2009). Recent studies have demonstrated that NMDA receptors in different brain regions, such as the amygdala and hippocampus mediate anxiety and fear-related activity (Blundell and Adamec, 2007; Harré et al., 2008), respectively.

Glutamatergic modulation plays an important role in the pathogenesis of neuronal death in neurodegenerative diseases such as AD (Proctor et al., 2011). In addition to the roles of glutamate transmissions in neurodegenerative diseases, the mediation of NMDA receptor play key roles in the development of AD (Del Bel and Slater, 1991; Proctor et al., 2011). Because of the importance of NMDA receptors in the mechanisms of AD, many studies focus on the relationship between NMDA receptors and AD. Mishizen-Eberz et al reported that markedly reduced NMDA receptor binding levels were observed in the hippocampus and striatum of aged mice and AD patients (Mishizen-Eberz et al., 2004) in association with cognitive decline and anxiety. In a clinical study, Tsang et al demonstrated that the NMDA receptor NR2A subunit was significantly reduced in the orbitofrontal gyrus of high-anxiety Alzheimer's patients in comparison to low anxiety patients, indicating that changes in the expression of NMDA receptors in the brain potentially regulate anxiety-like activity (Tsang et al., 2008). One autoradiographic study conducted by Ulas and colleagues revealed that NMDA receptor binding sites decreased in the CA1 region in AD patients (Ulas et al., 1992). In severe AD cases, the intensity of NMDA NR1 expression within the CA fields was greater than that in the controls and mild AD groups, while no difference was observed between the controls and mild/modest AD patients (Ikonovic et al., 1999). Bi and Sze showed that NR2A and NR2B mRNA levels decreased in the entorhinal cortex and hippocampus of AD patients but that NR1 mRNA expression levels did not change when compared to control subjects (Bi and Sze, 2002). Similarly, in another AD postmortem study, Panegyres et al. observe no significant differences of NR1 receptor expression in brain areas such as the frontal lobe, superior temporal gyrus, and CA1 regions when compared with controls. However, he did find a slight reduction of NR1 receptors in the hippocampus and an increase in the frontal and superficial temporal gyrus (Panegyres et al., 2002). Gong et al. also found that NR1 expression levels were significantly reduced in 12 patients with AD (Gong et al., 2009). Another study demonstrated a reduction of NMDA

NR2 receptors in the postmortem brain tissue of AD patients (Hynd et al., 2004). In addition to the hippocampus, NMDA receptor changes have also been found in other brain regions. Fang et al. found increases in NMDA receptor-positive cells in the deep cortical layers of the frontal cortex of AD patients using immunohistochemical methods (Fang et al., 2005). However, Fang's study demonstrated that co-localization of the NMDA receptor with caspase-3 could contribute to the neuronal apoptosis and dementia of AD (Fang et al., 2005).

The effects of NMDA receptors on cognitive dysfunction have been well documented and various mechanisms are involved in NMDA receptor-mediated modulation in AD pathogenesis. Sun et al. showed that a reduction in the levels of NMDA NR1 and NR2B proteins in the hippocampi of a chronic cerebral hypoperfusion rat model, suggesting a close correlation between NMDA receptors and cognitive deficits (Sun et al., 2010a). Our previous study showed a significant positive correlation between hyperlocomotive as well as anxiolytic-like activities and the upregulation of NMDA receptors in different brain regions, which suggests the regulation of psycho-neurodegenerative processes by NMDA receptors (Wang et al., 2009). Snyder and colleagues confirmed enhanced endocytosis of NMDA receptors by A β in AD (Snyder et al., 2005). In addition to changes in the NMDA receptors, the genetic variation encoding the receptors could also affect cognitive dysfunction in AD. Data from a case-control study conducted by Liu et al. confirms that the exonic polymorphisms of NR3A, but not NR3B, are an important risk factor for AD pathogenesis among the Taiwanese population (Liu et al., 2009). One stereotaxic and genome-wide study confirmed that one SNP, rs10845840, which encodes the NMDA receptor NR2B subunit, is associated with temporal lobe volume and has proven to be a more informative phenotype than hippocampal volumes in AD (Stein et al., 2010). Taken together, genetic polymorphisms encoding NMDA receptors are associated with AD development.

2.8. N-methyl-D-aspartate receptor-mediated mechanisms in Alzheimer's disease

AD is characterized by cortical atrophy, the profound loss of neurons and synapses, apoptosis, reactive gliosis, intraneuronal neurofibrillary tangles, and extracellular senile amyloid plaques (Xiong et al., 2004; Gulyás et al., 2011). Several studies have elucidated that amyloid plaques lead to neuronal loss in AD and is mediated by NMDA receptors. In AD development, tau and amyloid plaques overactivate NMDA receptors and thus can cause CA²⁺ overflow into neurons and the activation key enzymes (Paoletti and Neyton, 2007; Fortin et al., 2010; Guetg et al., 2010). Xiong et al. found that the NMDA receptor antagonist can block inward currents in *Xenopus* oocytes that expressed NR1A/NR2B. The APP-stimulated monocyte-derived macrophages have been suggested to be responsible for the NR1A/NR2B activation due to the direct activation of NMDA receptor subtypes, which is relevant to the pathogenesis of AD, by APPs/A β -stimulated monocyte-derived macrophages conditioned media (Xiong et al., 2004). In addition to amyloid plaques, tau protein can also cause NMDA receptor activation and, consequently, NMDA receptor-mediated neurotoxicity in AD. Amadoro showed that Tau (tau 441) toxicity involves extrasynaptic NMDA NR2B receptors and ERK1/2 activation in both primary cultured cortical neurons and cerebellar granule cells (Amadoro et al., 2006). A schematic overview of the various mechanisms of NMDA receptors in cognitive dysfunction of AD is shown in Fig. 4.

It has been well documented that there is a close interaction between brain glutamatergic NMDA receptors and the monoamine dopaminergic system (de Bartolomeis, 2005). Dopaminergic disturbances in the brain can lead to glutamatergic NMDA receptor changes (Hallett, 2006) and vice versa (Hallett and Standaert, 2004). NMDA receptors are mediated dopamine-glutamatergic interactions by direct coupling between both NR1 and NR2A subunits with the C terminus of dopamine D1 receptor, and dopamine depletion can change the level of NMDA receptor expression (Hallett and Standaert, 2004). Oxidative stress is

another significant pathology in AD. A β oligomers induce neuronal oxidative stress through an NMDA receptor-dependent mechanism, which can be blocked by memantine, an NMDA receptor antagonist (De Felice et al., 2007). Decker demonstrated that NMDA receptors can mediate synaptic deterioration by the reduction of NMDA receptor expression through RNA interference, which blocks neuronal oxidative stress induced by A β oligomers (Decker et al., 2010). The ubiquitination of the tyrosine phosphatase 61 (STEP 61) was found to be involved in NMDA receptor endocytosis in AD (Kurup et al., 2010). However, further studies are needed to elucidate the mechanisms through which NMDA receptors influence cognitive dysfunction in AD. A hypothetical schematic depicting the association of NMDA receptors and AD is shown in Fig. 4

3. Alterations in neurotransmitter receptors in Parkinson's disease

3.1. Adrenergic receptors and Parkinson's disease

In the 1980s, Cash et al. established a link between different types of adrenergic receptors and PD. He found an increase in the adrenergic $\alpha 1$ and $\beta 1$ receptor density in demented PD patients and a decrease in $\alpha 2$ adrenergic receptors (Cash et al., 1984). Studies of the adrenergic receptor binding pattern showed a decrease in the binding sites of $\alpha 1$ adrenergic receptors in the cerebral microvessels of the prefrontal cortex and putamen regions of PD patients (Cash et al., 1985). In the following year, Cash et al. found that adrenergic $\alpha 1$ receptors increased in the synaptosomal fraction, while adrenergic β receptors increased in the synaptosomal and microsomal fractions in PD patients (Cash et al., 1986). Visanji et al. found that the $\alpha 1$ -adrenoceptor antagonist prazosin significantly attenuated L-DOPA-induced hyperactivity in MPTP-lesioned macaques in a dose-dependent manner, suggesting that $\alpha 1$ -adrenoceptors are involved in the pathological response to dopaminergic neurodegeneration (Visanji et al., 2009). Furthermore, Belujon et al. showed that the activation of $\alpha 1$ and $\alpha 2$ adrenergic receptors in the subthalamic nucleus (STN) in 6-hydroxydopamine (6-OHDA)-lesioned rats markedly decreased locomotor activity by controlling STN firing, thereby indicating the role of $\alpha 1$ and $\alpha 2$ adrenergic receptors in the regulation of STN neurons (Belujon et al., 2007). Many studies have explored the relationship between adrenergic receptors and PD, but few studies have been successful in revealing the mechanism of cognitive impairment in PD patients. Therefore, future studies are highly desired to gain further understanding of these correlations and mechanisms.

3.2. Acetylcholine receptors and Parkinson's disease

Although many studies have investigated the relationship between AD and AChRs, only a limited number of studies have reported a similar association between PD and AChRs. Ward et al. indicated that nicotinic acetylcholine receptors were abundant in the nigrostriatal area and may be of relevance to occurrence of PD (Ward et al., 2008). Meyer et al. observed that the density of $\alpha 4\beta 2$ -nAChRs significantly decreased in patients with PD and correlated with the severity of cognition decline (Meyer et al., 2009). Oishi et al. also found that the density of nAChRs showed a significant decrease in the brainstem and frontal cortex of PD patients without dementia compared with the healthy individuals using (123)I-5IA SPECT (Oishi et al., 2007). A postmortem study on PD patients conducted by Rinne and colleagues indicated that nicotinic receptor expression declined in all areas of the brain and had a negative correlation with the degree of dementia in PD (Rinne et al., 1991).

3.3. Dopamine receptors and Parkinson's disease

In addition to motor dysfunction, PD patients also manifest non-motor symptoms such as sleep disorders, constipation, and dementia. Constituting the major part of non-motor dysfunction, cognitive deficits in advanced PD patients have recently drawn wider attention (Nieoullon, 2002). It has been reported that as a motor disorder, PD patients normally

display cognitive deficits not in the early stages, but in the more advanced stages of PD (Péron et al., 2009). More studies have focused on the relationship between dopamine receptors and dementia in PD and several lines of evidence have shown the association between the disturbance of dopaminergic receptors in brain regions and cognitive deficits in elderly people, PD, and AD (Fetsko et al., 2005; Reeves et al., 2005; Reeves et al., 2009; Reeves et al., 2010; Rieckmann et al., 2011). In addition to severe nigrostriatal denervation, PD patients also show temporal processing deficits and prefrontal cortical dysfunction, which are closely correlated with cognitive impairments (Harrington et al., 1998; Nagano-Saito et al., 2004).

Animal studies have shown that dopamine D1/D2 receptor expression were significantly decreased in the frontal cortex in Sprague–Dawley rats with a unilateral lesion in the medial forebrain bundle by 6-hydroxydopamine (Wang et al., 2005a); while stimulation of the dopamine D1/D2 receptor was also associated with cAMP alterations (Wang et al., 2005b). Namba's group found a dynamic change of D2 receptors in medial forebrain bundle (MFB)-lesioned PD rats. Moreover, at early stages of PD (4 weeks after lesion), striatal D2 receptors were upregulated, while the expression of striatal D2 receptors reduced at more advanced stages of PD (6 months after lesion). However, in the unilaterally striatal-lesioned rats, the D2 receptors were consistently downregulated (Sun et al., 2010b; Sun et al., 2011). In striatal-lesioned PD rat models, Fang et al. found that the PD rats demonstrated declined learning and memory at early stages (3–4 weeks after lesion) of PD (Fang et al., 2006). The combined findings obtained by Fang and Sun (Fang et al., 2006; Sun et al., 2010b; Sun et al., 2011) strongly suggested that the impaired learning and memory in the striatal PD rats were closely associated with the down-regulation of dopamine D2 receptors, most likely mediated by unknown mechanisms involving important changes in dopaminergic receptors.

Clinical findings demonstrated similar findings with the results obtained from animal studies. Clinically, PET/SPECT data has been used to investigate the alterations in dopaminergic receptors in PD patients. At early stages of PD, the dopamine D2 receptor binding as measured by PET/SPET was elevated in some brain regions, while the progression of PD with dementia occurrence (over years rather than months) has been found to correlate with lower dopamine D2 receptor binding in these patients, strongly implying the association of dopaminergic receptors and cognitive impairment (Antonini et al., 1995; Antonini et al., 1997b; Antonini et al., 1997a; Piggott et al., 1999). With disease progression, dopamine receptor expression declines at a relatively faster annual rate in the brain compared to the rate in healthy individuals. Kaasinen et al. demonstrated that dopamine D2 receptors profoundly decreased in the dorsolateral prefrontal cortex, temporal cortex, and medial thalami with the progression of PD (corresponding to an annual decline of 6–11%) (Kaasinen et al., 2003). In advanced, but not early, stages of PD, dopamine D2 and D3 receptor bindings were significantly decreased in the dorsolateral prefrontal cortex, anterior cingulate cortex, and medial thalamus compared with healthy controls (Kaasinen et al., 2000). In the hypothalamus, the D2 receptor binding potentials were also significantly reduced without a correlation with age and levodopa equivalent dose (Politis et al., 2008). Mizukawa et al. reported a significant reduction in the density of dopamine receptors in both the caudate and putamen of advanced PD patients (Mizukawa et al., 1993); while Boileau et al. found decreased dopamine D3 receptor binding in the ventral striatum and globus pallidus in drug-naive PD patients (Boileau et al., 2009).

However, conflicting results have also been reported in several studies. One study by Verstappen et al. indicated that D2 receptor expression increased in the corpora striata during the first year of the disease (Verstappen et al., 2007). Another study by Piggott and colleagues showed that PD patients with dementia exhibited an increase in D2 receptor density in the ventro-intermedius in correlation with cognitive decline as measured by

MMSE scores (Piggott et al., 2007). Similar results have been reported by Knudsen et al., demonstrating that the ratio of caudate dopamine transporters (DAT) and D2 receptor binding was significantly higher in patients with PD when compared with healthy subjects (Knudsen et al., 2004). The reasons for these contrasting results are still unclear, but may result from the different methodologies used in measuring dopamine receptor levels and the variability exhibited by the selected PD subjects; further investigation is required.

In addition to the studies that demonstrate alterations in dopaminergic receptors in PD patients, there is increasing evidence that indicates a close relationship between the alteration in dopamine receptors and cognition in PD. Due to the activation of dopamine receptors in PD, dopamine receptor agonists were usually used to ameliorate the cognitive dysfunction observed in PD. Nigrostriatal 6-hydroxydopamine-lesioned PD rats develop attentional deficits, and this decline in cognition was significantly recovered after subchronic treatment with the dopamine D2/3 receptor agonist, piribedil (Turle-Lorenzo et al., 2006). Consistent with Turle-Lorenzo's study, Costa et al. treated advanced PD patients with the dopamine receptor agonists pergolide and pramipexole and found a significant improvement in the visual-spatial, visual-object, and verbal working memory tasks in PD patients; this suggests that the stimulation of dopaminergic receptors may ameliorate the cognitive impairment demonstrated in PD patients, potentially by modulating activity in frontal-striatal circuits (Costa et al., 2009). In contrast, Mehta et al. showed that the dopamine D2 receptor antagonist sulpiride could reduce spatial recognition, spatial working memory, planning, and attention set-shifting in young healthy male volunteers and in patients with PD, which strongly implies that the impairments observed in PD depend, in part, on dopamine D2 receptors, most likely involved in the frontostriatal pathway (Mehta et al., 1999).

In contrast with D2 receptors, D1 receptors present different characteristics in PD. One study by Sawaguchi showed that the local activation of the dopamine D1 receptors in the frontal cortical areas of monkeys played a facilitating role in working memory-guided directional movements (Sawaguchi, 2000). In non-demented PD patients, Cropley et al. conducted a PET study to examine the association between cognitive disturbances and D1 receptor density in fifteen non-demented patients with PD. They showed that the D1 receptor density in the frontal cortical regions did not change compared with that of healthy subjects (Cropley et al., 2008). Mattila et al. observed that the decrease in the density of D1 dopamine receptors in the caudate nucleus and putamen of PD patients was associated with the cognitive decline as measured by Reisberg's global deterioration scale (Mattila et al., 2001). To investigate the correlation of working memory decline with dopamine D1 receptor density in PD patients, Costa et al. treated PD patients with the D1 receptor agonist, pergolide, and found a clear improvement in working memory function, implying the importance of D1 receptor modulation in frontal-striatal circuits (Costa et al., 2009). In a randomized prospective multi-center study, Rektorova et al. indicated that dopamine D1 and D2 receptor agonists displayed positive effects on cognitive dysfunction in advanced PD patients (Rektorová et al., 2005; Rektorová, 2010).

3.4. Dopamine receptor-mediated signaling pathways in cognitive dysfunction in Parkinson's disease

It has become increasingly apparent that dopamine receptor-mediated signaling cascades are altered as a consequence of the dopaminergic denervation that occurs in PD and that the functional reactions of dopamine receptors may result from a variety of alterations in the signaling mechanisms (Hurley and Jenner, 2006). This view is supported by a number of recent studies. The simultaneous activation of the dopamine D2 receptor suppresses transmembrane Ca^{2+} currents, activated phospholipase C (PLC) and the calcium-dependent phosphatase calcineurin (Hernandez-Lopez et al., 2000). The functions of the dopamine

receptors were associated with the regulation of the cyclic adenosine monophosphate (cAMP)–protein kinase A (PKA)–dopamine and cAMP-regulated phosphoprotein through GPCR-mediated signaling pathways. After the denervation of nigrostriatal dopaminergic neurons, extracellular-signal-regulated kinase1/2 (ERK1/2)/MAP kinase was activated in response to D1 dopamine receptor agonists, either alone or in combination with the stimulation of corticostriatal afferents (Gerfen et al., 2002). The inhibition of MAP kinase was responsible for the phosphorylation of ERK1/2/MAP kinase and the blockage of the dopamine D1 receptor mediated the activation of ERK1/2/MAP kinase in the dopamine-depleted striatum (Gerfen et al., 2002). Several studies have linked ERK with the acute and chronic responses to dopaminergic drugs (Valjent et al., 2005; Beaulieu et al., 2006; Valjent et al., 2006; Beaulieu et al., 2007a). The activation of PI3-kinase and AKT can mediate D2 receptor neuroprotection against oxidative stress in PC12 cells (Nair and Sealfon, 2003). Moreover, D2 receptor activation of ERK can cause DNA synthesis and mitogenesis in different cell types (Ghahremani et al., 2000). Furthermore, the activation of MAP kinases is associated not only with cell survival and synaptic plasticity in post-mitotic neurons but also with acute behavioral responses to dopamine receptor stimulation (Impey et al., 1999; Otani et al., 1999). The inhibition of AKT1 and the potentiation of the behavioral effects of amphetamine in AKT1-KO mice by the stimulation of dopamine D2-like receptors further supports the involvement of AKT in dopamine-related behavioral responses (Beaulieu et al., 2007b). The stimulation of D2 receptors induced a partial inhibition of protein tyrosine phosphatase (PTP) activity and mitogen-activated protein kinase phosphatase (MKP) pathway in a 6-hydroxydopamine-lesioned PD rat model (Zhen et al., 2002). The D4MN9D cells that stably expressed D4 dopamine receptors were treated by the D4 dopamine receptor agonist PD168077, which induced a time- and dose-dependent activation of AKT and ERK (Zhen et al., 2001). The study demonstrated that D4 receptor-stimulated cell proliferation is mediated by the Src/SHC/Ras/ERK pathway (Zhen et al., 2001). A schematic overview of several mechanisms of dopamine receptors in cognitive dysfunction is shown in Fig. 3.

3.5. NMDA receptors and Parkinson's disease

Unlike the association between NMDA receptors and AD, there are few studies that establish a correlation between the cognitive dysfunction found in PD and NMDA receptors. Our recent work indicates that in PD rats, 6-hydroxydopamine induced anxiety and the downregulation of NMDA receptors in the hippocampus, CA1, amygdala, and caudate putamen, as observed in 6-hydroxydopamine-lesioned rats, whereas simvastatin significantly ameliorated the anxiety-like activity and restored the expression of NMDA receptors in several examined brain regions (Yan et al., 2011). A significant positive correlation was identified between the anxiolytic-like activity and the restoration of NMDA receptor expression in the hippocampus, amygdala and CA1 following simvastatin administration, which accompanied NMDA-mediated anti-inflammatory responses (Yan et al., 2011). Several lines of evidence have investigated the changes of NMDA receptors in experimental animal models of PD and human postmortem tissue from PD patients. However, the alterations of NMDA receptors in PD in both experimental models and human tissue showed contradictory results. Using autoradiographic methods, Wullner et al. found that NMDA binding sites were increased in the lesioned striatum of the 6-OHDA-lesioned rat (Wullner et al., 1994). Consistent with this finding, Hallett and Standaert reported a bilateral decrease in NMDA binding, which was more pronounced on the lesioned side, after an interval of 6 months (Hallett and Standaert, 2004). However, data from postmortem studies in humans present contrasting results. Meoni et al. reported that glutamate binding to the NMDA receptor was significantly reduced in the striatum of the post-mortem PD brain, while in the prefrontal cortex of the PD group, a decreased expression of NMDA NR1 mRNA was observed, with a maximal decrease observed in cortical layer IV (Meoni et al., 1999). In animal experiments, Dunah et al. employed co-immunoprecipitation methods and

demonstrated the abundance of NR1 and a decrease in NR2B subunits in lesioned striatal synaptosomal membranes obtained from a 6-OHDA-lesioned rat model. Moreover, the NR2A expression remained unchanged and a chronic treatment with L-dopa restored the abundance of the NMDA receptors in the striatal membranes (Dunah et al., 2000). However, the reasons for these conflicting observations still remain unclear. Further studies using different methodologies are needed to explore the changes of NMDA receptors and the relationship between NMDA receptors and cognitive dysfunction in PD.; while NMDA receptors would be a potential and important target in the treatment of PD (Gubellini et al., 2004).

4. Conclusion

The role of receptor alterations in the central nervous system has become increasingly recognized as a key element in the pathological progression of neurodegenerative diseases such as AD and PD. Whether this receptor modulation is destructive or beneficial depends on the severity and stage of the disease. Indeed, cognitive dysfunction found in AD and PD are determined by very complicated mechanisms, which are reflected not only in the disturbances of various receptors, but in the chemical neurotransmitters as well, such as glutamate, serotonin, and dopamine transmissions (Danbolt, 2001; Pralong et al., 2002; Piccini et al., 2003; Cheesman et al., 2005; Sawamoto et al., 2008; Huot et al., 2011). Nonetheless, the precise relationship between receptors and neurotransmission necessitates additional clarification. Future studies are needed to explore the interactions and alterations in different receptors and the various chemical neurotransmitters in different neural circuits such as the frontal-striatal, fronto-striato-thalamic and mesolimbic circuitries. Moreover, additional studies are required to understand how the associations between receptors and neurotransmitters mediate cognitive impairment in AD and PD. In the future, new approaches such as dynamic brain functional imaging will shed light on the roles of neurotransmission and receptors in regulating cognitive dysfunction in AD and PD. Additional studies focused on the optimal timing of processing is worthwhile to explore in light of recent research regarding timing mechanisms of cognitive pathology in neural circuitries. Future perspectives should also address the optimal timing of different receptors that mediate interventions as well as elucidate how these receptors function to modulate cognitive dysfunction in AD and PD. Novel research strategies should consider these complicated relationships and potentially integrate these elements into drug treatments to allow better management of cognitive pathology in AD and PD.

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Abbreviations

AD	Alzheimer Disease
PD	Parkinson's disease
NMDA receptors	N-methyl-D-aspartate receptors
GPCRs	G protein-coupled receptors
PKA	protein kinase A

PLC	phospholipase C
cAMP	Cyclic Adenosine Monophosphate
CREB	cAMP response element binding protein
5-HT	5-hydroxytryptamine
PKC	protein kinase C
MMSE	mini-mental state examination
PET	positron emission tomography
SPECT	Single-Photon Emission Computed Tomography
CNS	central nervous system
MCI	mild cognitive impairment
CNS	central nerve system
LTP	long-term potentiation
GABA	gamma-aminobutyric acid
AchR	acetylcholine receptor
NFTs	neurofibrillary tangles
nAChR	nicotinic acetylcholine receptor
WT	wild-type
CaMKII/IV	calmodulin-dependent protein kinases II/IV
ERK	external signal-regulated kinase
MAPK	mitogen-activated protein kinases
DARPP	dopamine and adenosine 3'5'-monophosphate-regulated phospho-protein
DAT	Dopamine transporters
APP	Amyloid precursor protein
MPTP	1-Methyl-4-phenyl-1,2,3,6-tetrahydropyridine
STN	subthalamic nucleus
6-OHDA	6-hydroxydopamine
MFB	medial forebrain bundle
DAT	dopamine transporters
PI3-kinase	1-Phosphatidylinositol 3-Kinase
DNA	Deoxyribonucleic acids
CA1	hippocampus 1

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Highlights

- Cognitive dysfunction is a characteristic of neurodegenerative diseases, especially AD and PD.
- Pathophysiological alterations in monoamine, acetylcholine and glutamate receptors contribute to cognitive impairment and/or deterioration.
- Abnormalities of serotonin-, adrenaline-, dopamine-, acetylcholine- and NMDA- signaling pathways differentially contribute to the pathogenesis of such cognitive dysfunction.
- Future research may shed light on new solutions of cognitive dysfunction in neurodegenerative diseases by targeting specific alterations in these receptors and their signal transduction in the frontal-striatal, fronto-striato-thalamic, and mesolimbic circuitries.

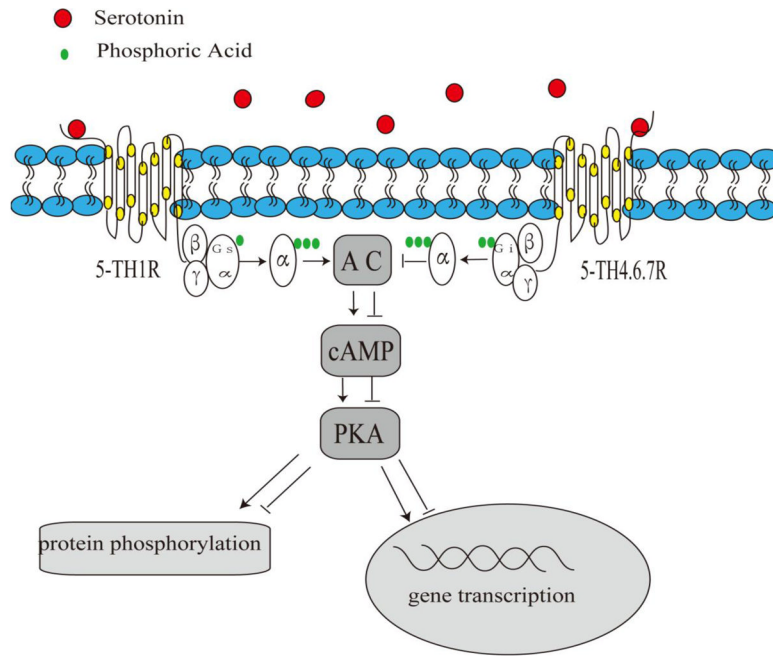


Fig 1. Potential serotonin receptor-mediated signaling in AD
 Stimulation of serotonin receptors potentially activates the secondary messenger, cAMP, and subsequently stimulates the PKA-mediated pathway in AD.

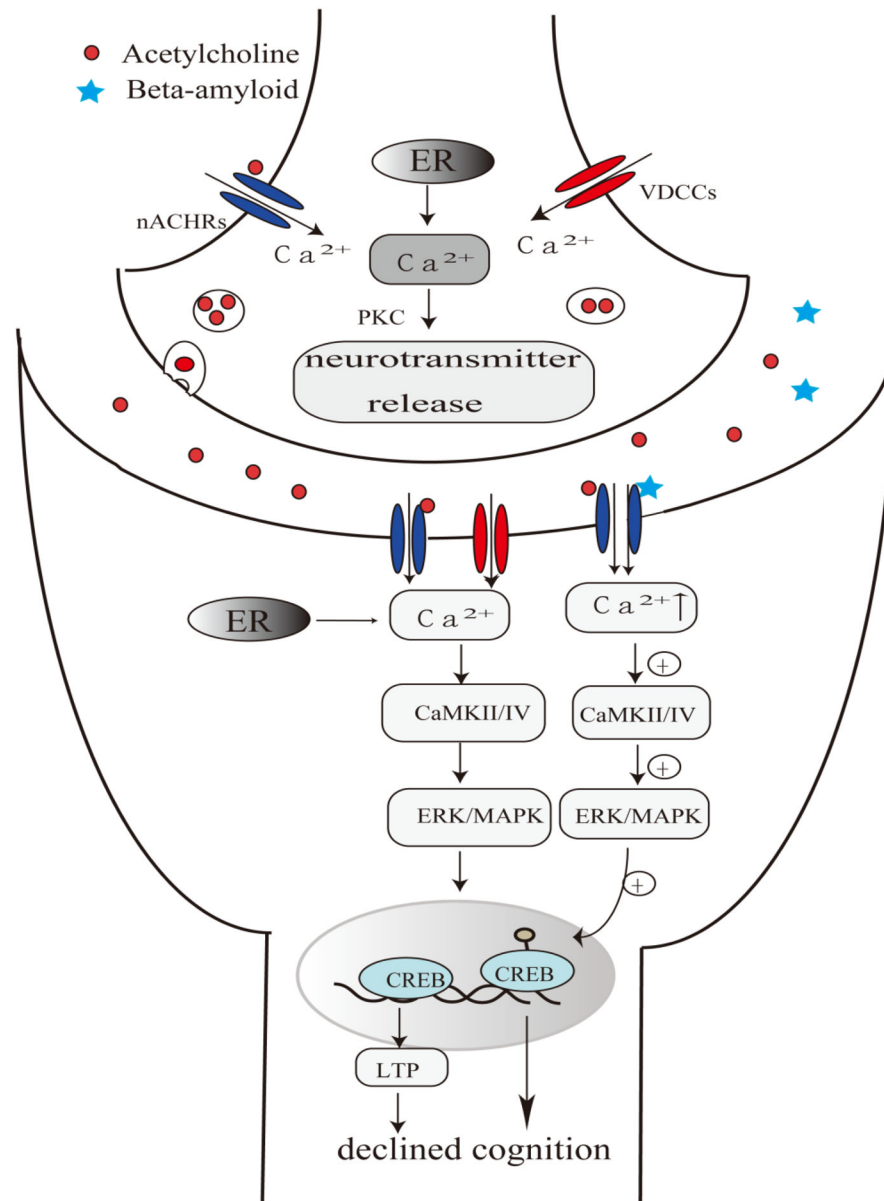


Fig 2. Proposed acetylcholine receptor-mediated pathways involved in AD

The activation of the acetylcholine receptor might elevate the cytoplasmic calcium inflow and trigger a series of calcium-dependent signaling processes such as CaMKII/IV and ERK/MAPK pathways.

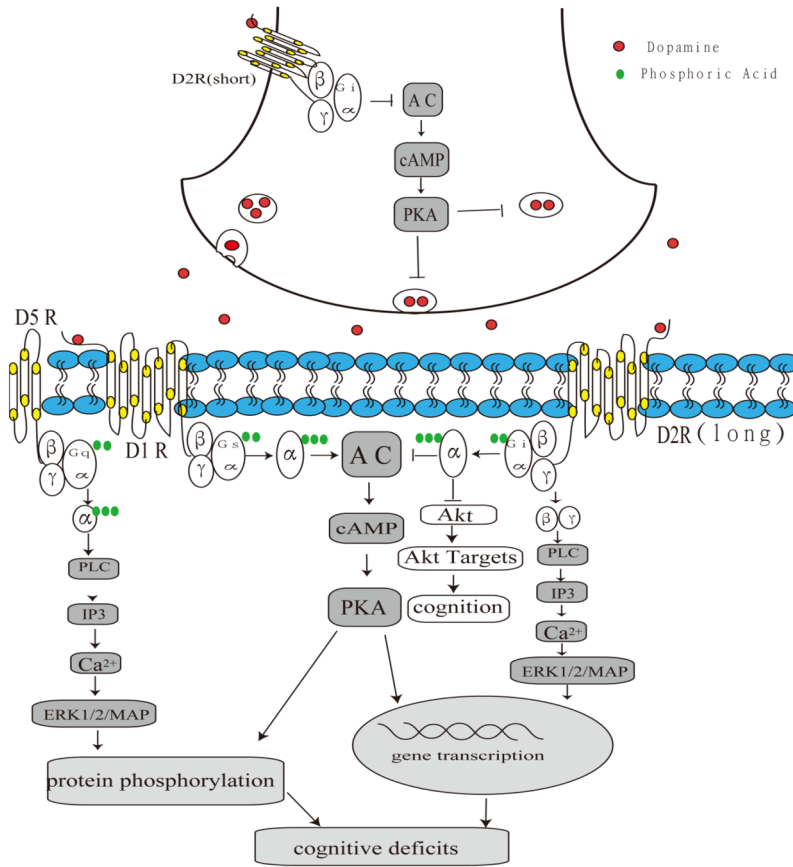


Fig 3. Proposed dopamine receptor-mediated signaling pathways in cognitive dysfunction in PD
 The simultaneous activation of dopamine receptors suppresses transmembrane Ca²⁺ currents and activates phospholipase C (PLC) and the calcium-dependent phosphatase calcineurin. ERK1/2/MAP, AKT and PKA signal pathways would be activated after the denervation of nigrostriatal dopaminergic neurons following dopamine receptor modulation.

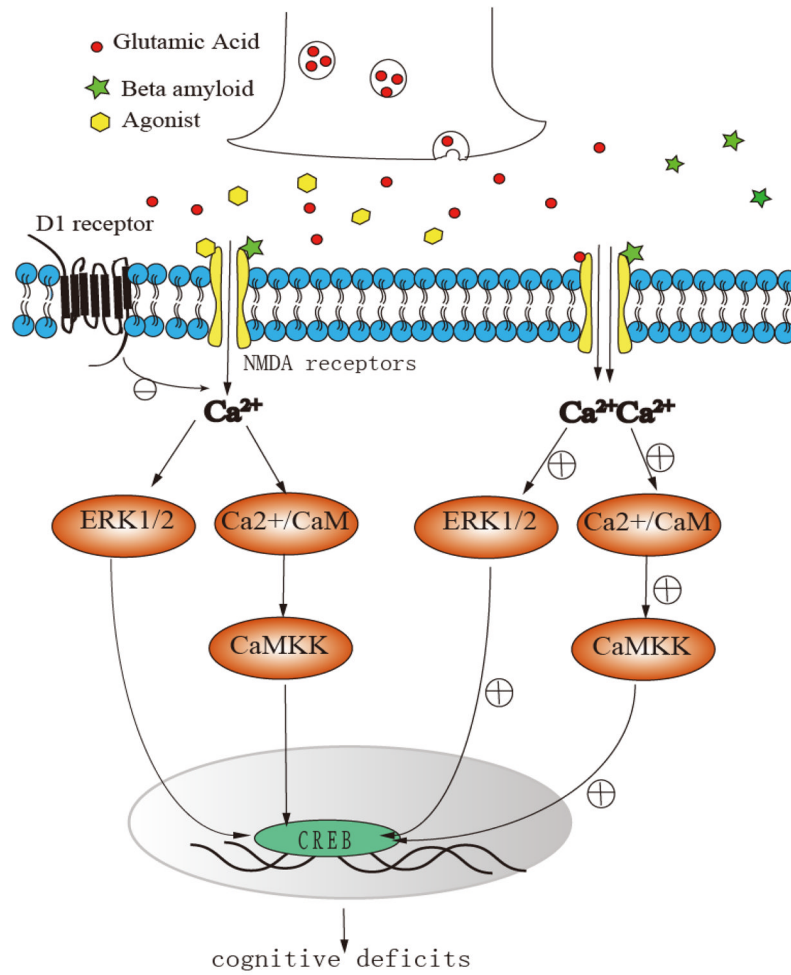


Fig 4. Proposed NMDA receptor-mediated mechanisms in cognitive dysfunction in AD
 In AD development, tau and amyloid plaques overactivate NMDA receptors and thus can cause Ca²⁺ overflow into dopaminergic neurons and activate several key enzymes, such as the calmodulin-dependent protein kinase kinase (CaMKK).