

Circulating DNA: Diagnostic Tool and Predictive Marker for Overall Survival of NSCLC Patients

Raquel Catarino¹*, Ana Coelho¹, António Araújo², Mónica Gomes¹, Augusto Nogueira¹, Carlos Lopes^{1,3}, Rui Medeiros^{1,3,4,5}

1 Molecular Oncology Group Investigation Center, Portuguese Institute of Oncology, Porto, Portugal, 2 Medical Oncology Department, Portuguese Institute of Oncology, Porto, Portugal, 3 Abel Salazar Institute for the Biomedical Sciences, Porto, Portugal, 4 Research Department, Portuguese League Against Cancer (Norte), Porto, Portugal, 5 Faculty of Health Sciences of Fernando Pessoa University, CEBIMED, Porto, Portugal

Abstract

Purpose: The purpose of our study was to determine whether the amounts of circulating DNA (cDNA) could discriminate between NSCLC patients and healthy individuals and assess its value as a prognostic marker of this disease.

Methods: We conducted a study of 309 individuals and the cDNA levels were assessed through real-time PCR methodology.

Results: We found increased cDNA levels in NSCLC patients compared to control individuals. We also found a decreased overall survival time in patients presenting high cDNA levels, when compared to lower cDNA concentrations.

Conclusions: Quantification of cDNA may be a good tool for NSCLC detection with potential for clinical applicability.

Citation: Catarino R, Coelho A, Araújo A, Gomes M, Nogueira A, et al. (2012) Circulating DNA: Diagnostic Tool and Predictive Marker for Overall Survival of NSCLC Patients. PLoS ONE 7(6): e38559. doi:10.1371/journal.pone.0038559

Editor: Chulso Moon, Johns Hopkins University, United States of America

Received April 5, 2012; Accepted May 8, 2012; Published June 12, 2012

Copyright: © 2012 Catarino et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Funding: The authors acknowledge funding of this work by the Ministry of Health of Portugal. The authors also gratefully acknowledge the financial support of an individual post-doctoral grant of the first author from the Ministery of Science, Technology and Superior Education-FCT (Fundação para a Ciência e Tecnologia: SFRH/BPD/72932/2010). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

1

Competing Interests: The authors have declared that no competing interests exist.

* E-mail: raquelcatarino@yahoo.com

Introduction

Lung cancer is a worldwide problem. At the time of diagnosis, 50% of patients have advanced incurable disease. Different chemotherapy combinations – with or without targeted therapies – yield similar results despite the continuous efforts of clinicians [1].

Non-small cell lung cancer (NSCLC) accounts for about 80% of lung cancers. No more than 10% of these can be treated with surgery because of the lack of an early diagnosis or because of a high frequency of metastasis at diagnosis [2]. The prognosis is poor with only 10-15% of patients surviving 5 years after diagnosis. This dismal prognosis is attributed to the lack of efficient diagnostic methods for early detection and lack of successful treatment for metastatic disease. Within the last decade, rapid advances in molecular biology and radiology have provided a rational basis for improving early detection and patients' outcome. The aim for early detection is to identify lung cancer at a stage early enough to be curable by surgery. Prognostic factors predict survival independent of the treatment applied and can classify patients as high or low risk. The most important prognostic factor is stage according to the TNM system [3,4]. Other prognostic factors include clinical aspects, as gender, age, weight loss and cardiovascular disease, elevated lactate dehydrogenase levels, FDG-PET scan and pathological aspects [5,6]. A major hurdle in the attempts to improve the survival of these patients has been the lack of a simple, non-invasive and effective test for early prediction of therapeutic efficacy.

The finding that tumors are capable of shedding DNA into the blood stream, which can be recovered from both serum and plasma and used as surrogate source of tumor DNA, has opened new areas in cancer diagnosis and prognosis [7]. A number of studies have examined the mechanism behind origin and release of free DNA in the circulation and its clinical implications for lung cancer diagnosis, prognosis, and monitoring the effect of cytotoxic therapies [7].

The presence of extracellular nucleic acids in the human bloodstream was first described in 1948 by Mandel and Métais [8]. In recent years, many studies identified genetic alterations in cellfree circulating DNA (cDNA) from plasma or serum and tumor DNA in many tumor types, including lung cancer [9].

Previous studies indicate that circulating nucleic acids in peripheral blood are originated from tumors, through apoptosis, necrosis or cell lysis of tumor cells [10,11]. The presence of tumor DNA in blood is probably the result, in variable proportions, of these different mechanisms, such as apoptosis, necrosis, cell lysis and circulating tumor cells lysis, which produce DNA leakage or excretion.

There are multiple potential uses for cDNA quantification in cancer diagnosis and prognosis. It may represent a valuable source of tumor DNA when the exact position of a suspected primary lesion is not clearly defined, or when biopsies are not available [12]. However, direct comparison of the available data is often prevented by differences in the parameters analysed and by lack of standardized methodology and analysis procedures. Any future

application of plasma or serum DNA analysis for diagnostic purposes will depend on the reproducibility and reliability of results, both of which require optimization and equivalent procedures. For this study, we selected an assay designed for the human telomerase reverse transcriptase (hTERT) genomic sequence that was consistently developed in a previous study [13]. The quantification of circulating DNA through a sophisticated molecular method can be a useful diagnostic tool to differentiate patients with tumors from healthy individuals and can identify those with increased risk of cancer. There are several studies that have valued the different concentration of free plasma DNA among patients with lung tumors and healthy individuals, and have used different data analysis methods and laboratory procedures [14]. So far, the different methods utilized for sample processing and storage, for the extraction and quantification of plasma DNA and the choice of different target genes, have not allowed the use of circulating DNA in the clinical practice [15]. The evaluation of the reproducibility of data obtained from other groups is necessary for any eventual future application of this test. For this purpose, we decided to apply a real-time PCR methodology previously described [16], in order to confirm the reproducibility of the results.

For this study, we selected an assay designed for the human telomerase reverse transcriptase genomic sequence. Amplification of hTERT was therefore used as a marker of the total amount of DNA present in plasma samples. hTERT expression and telomerase activity have already been reported as prognostic factors in NSCLC patients [17,18]. However, this study is not focused in the evaluation of hTERT expression as a tumorassociated marker. Instead, our working hypothesis was based on the use of a single copy gene, such as hTERT, as an indicator of the global amount of circulating DNA. This methodology has already been described by Sozzi and co-workers, and we processed our samples using the same analytic and pre-analytic methods that have been reported [16].

The present study was designed to analyze the efficacy of circulating plasma DNA levels in discriminating lung cancer patients from healthy individuals. Additionally, the utility of circulating plasma DNA as a prognostic marker of survival and in predicting response to therapy and disease progression was also assessed.

Materials and Methods

Study Population

We evaluated 104 newly diagnosed and untreated patients with non-small lung cancer (NSCLC) and 205 control individuals. Since 2002, 104 consecutive Caucasian patients admitted to the Portuguese Institute of Oncology of Porto (IPO-Porto), Portugal, with cytological or histological confirmed NSCLC, have been prospectively recruited to the study (median age 64.0 years; mean age 63.2 years; sd 10.0).

Considering the patients gender, 20 (18.9%) were female and 86 (81.1%) male individuals and regarding smoking habits, 24 (23.1%) were non-smokers and 80 (76.9%) were smoker or former-smoker individuals.

The control group consisted of 205 healthy individuals, 78 (38.0%) male and 127 (62.0%) female individuals, with a median age of 50.0 years (mean age 47.3; standard deviation 11.6), without clinical history of cancer, from the same geographic area as the case group.

The patients were evaluated according to the TNM staging system, and the assessment of tumour response to chemotherapy was based on RECIST (Response Evaluation Criteria In Solid

Tumors). The first line chemotherapeutic protocol consisted of platin-based doublet chemotherapy in combination with a third-generation cytotoxic compound such as paclitaxel, gemcitabine or docetaxel. The chemotherapeutic protocols were as follows: cisplatin (80 mg/m2 on day 1) + paclitaxel (175 mg/m2 on day 1 every 3 weeks); cisplatin (100 mg/m2 on day 1) + gemcitabine (1250 mg/m2 on days 1 and 8 every 3 weeks); carboplatin (AUC 6 on day 1) + paclitaxel (175 mg/m2 on day 1 every 3 weeks); carboplatin (AUC 6 on day 1) + gemcitabine (1000 mg/m2 on days 1 and 8 every 3 weeks).

The median follow-up time was 9 months (range 1–35 months). Patients' distribution according to the stage at the time of diagnosis was 4 patients (3.8%) presenting localized disease (stages I and II) and 100 (96.2%) with advanced disease (stages III and IV). From all patients, the histological type distribution was as follows: 38 patients (36.6%) with epidermoid NSCLC, 54 (51.9%) with NSCLC adenocarcinoma and 12 (11.5%) with other NSCLC histological types.

The study was approved by the institute's ethics committee. Informed written consent was obtained from all the subjects, according to Helsinki Declaration.

Sample Collection and DNA Isolation

A 5 ml sample of peripheral blood extracted from each patient was collected in tubes containing EDTA and plasma was immediately separated from the cellular fraction by centrifugation at 2500 rpm for 10 min at 4°C. The resulting supernatant (plasma) was frozen at –80°C. DNA was extracted from plasma by using QIAamp DNA Mini kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. The purified DNA from 200 μL of plasma was eluted in a final volume of 70 μL of buffer AE used for the elution and stored at –20°C.

DNA Quantification in Plasma

The quantification of cDNA was performed by a real-time quantitative PCR method, based on a continuous monitoring of fluorescence by an optical system [19]. The probe is labelled by two fluorescent dyes, one serves as a reporter on 5' end (VIC dye; Applied Biosystems, Foster City, CA). The emission spectrum of the dye is quenched by a second fluorescent dye at the 3' end (TAMRA; Applied Biosystems). Primers and probes were designed previously by Sozzi and co-workers to amplify the gene of interest, the hTERT single copy gene mapped on 5p15.33 [13]. The primers and probe's sequences were the following: primer forward, 5'-GGC ACA CGT GGC TTT TCG- 3'; primer reverse, 5'-GGT GAA CCT CGT AAG TTT ATG CAA- 3'; probe, VIC5'-TCA GGA CGT CGA GTG GAC ACG GTG-3' TAMRA.

Fluorogenic PCRs were carried out in a reaction volume of 25 µL in a 7300 ABIPRISM System (Applied Biosystems). Each PCR reaction consisted of TaqMan Universal Mastermix (Applied Biosystem), probe (7.5 mmol/L), primer forward (5 mmol/L), primer reverse (5 mmol/L) and sterile water. DNA solution (2.5 µL) was used in each real-time PCR reaction. Thermal cycling was performed as previously described [13], and was initiated with a first denaturation step of 50°C for 2 minutes and then 95°C for 10 minutes followed by 50 cycles of denaturation at 95°C for 15 seconds and annealing and extension at 60°C for 1 minute. Each plate consisted of patient samples in duplicates and triplicate blanks of water as negative control. The calibration curve of each plate was calculated based on a dilution series of the TaqMan Control Human Genomic DNA Standard (Applied Biosystems) at 10 ng/ μ L: 1, 0.1, 0.01, 0.001 and 0.0001 ng/ μ L. All the data were analyzed using the 7300 System – SDS Software (version 1.2.3) Sequence Detection Software (Applied Biosystems).

Standard PCR amplification of cyclin D1 gene was performed in order to confirm the real-time PCR results. The protocol was performed according to previously described [20]. Briefly, the PCR reactions consisted of 30 pmol of each primer, 0.2 mM of each dNTP, 1.5 mM MgCl₂, $1 \times Taq$ Buffer, and 1 U of Taq DNA polymerase to a final volume of 50 µl. Primers used in the analysis were CYF (5'GTG AAG TTC ATT TCC AAT CCG C 3') and CYR (5' GGG ACA TCA CCC TCA CCC TCA CTT AC 3'). Thirty five cycles were performed, consisting of an initial heating at 95°C for 10 min to activate the enzyme, followed by 35 cycles of denaturation at 94°C for 1 min, annealing at 55°C for 1 min and extension at 72°C for 1 min, with a final extension step at 72°C for 2 min. PCR products (15 µl) were visualized by electrophoresis on 3% (v/v) agarose gels containing 0.5 μg/ml ethidium bromide. This analysis was performed with 40 edged samples obtained with real-time PCR methodology. Plasma samples of NSCLC patients with the highest and lowest values of cDNA, 20 samples each, were selected for this analysis. The band intensities from standard PCR were compared with the real-time PCR results.

Statistical Analysis

Data analysis was performed using the computer software SPSS for Windows (version 18.0) (SPSS Inc, Chicago, IL).

Linear amplification down to the last dilution was obtained in each experiment (Pearson correlation coefficient, 0.999 to 0.995).

DNA concentrations between healthy controls and patients were compared using the Mann-Whitney U- test. The Mann-Whitney test was also used to compare DNA levels and patients' clinical-pathological characteristics. $P \le 0.05$ was considered statistically significant. For the diagnostic discrimination of DNA concentrations between cancer patients and controls the area under the curve of the receiver operating characteristic curve (AUC–ROC) was assessed non-parametrically. A p-value of \leq 0.05 was regarded as significant. An AUC-ROC equal to 1 denotes perfect discrimination between patients with cancer and patients without cancer, a value equal to 0.5 denotes the lack of discrimination, and values in between indicate a degree of discrimination between strong and poor.

Chi-square analysis was used to compare categorical variables and a 5% level of significance was used in the analysis. The odds ratio (OR) and its 95% confidence interval (CI) were calculated as a measurement of the association between the amount of cDNA and probability of lung cancer presence. Logistic regression analysis was used to calculate the adjusted OR (aOR) and 95% CI, with adjustment for age, gender and smoking status.

The probabilities of survival were calculated, and the means and life tables were computed using the product-limit estimate of Kaplan and Meier. The curves were examined by the Log rank test, a statistical test for equality of survival distributions. Survival duration was defined as the time between diagnosis and either death or the last clinical evaluation of the patient. Clinicalpathological data and cause of death was determined from the patient's medical records.

Results

Distributing the CDNA Values between Healthy and **NSCLC Patients**

Our study was developed to investigate cell free circulating DNA (cDNA) by a real time PCR quantitative approach, performing all the technical procedures necessary for accurate and reproducible results. The quantification of cDNA was performed as previously described, by a real-time quantitative PCR assay [13].

We confirmed the real-time PCR results with standard amplification of cyclin D1 gene. We chose a different marker in order to confirm the relative amount of cDNA in plasma samples from NSCLC patients. Concordance of the amount of cDNA was obtained for all tested samples (data not shown).

This quantitative assay shows a strong discrimination power and a high sensitivity and specificity using the hTERT gene as a target sequence for quantification of cDNA in plasma. For patients with two or more samples collected after diagnosis and before treatment, the mean cDNA concentration was calculated.

Plasma DNA was not associated with age and smoking history in both case and control groups. However, considering the control group, cDNA levels were increased in men individuals (Table 1). There were no statistically significant differences in cDNA concentrations between probands in different histological groups and tumor stages (Table 2).

The mean cDNA plasma concentration in the control group (mean rank 122.7 ng/ml), was statistically different from the mean cDNA plasma concentration of the patient group (mean rank 270.0 ng/ml) (Mann-Whitney test, p<0.0001) (Figure 1).

Sensitivity and Specificity for Circulating Plasma DNA Levels as a Diagnostic Marker

The ROC curve was made to evaluate the diagnostic power of the circulating DNA concentration. The ROC analysis is performed through the study of the function that links the sensibility with 1-specificity. The subtended area by the ROC curve (Figure 2) shows a synthetic index of the overall capacity of the test in differentiating between healthy and ill individuals. The closer the area gets to the unit, the greater its discriminating ability. According to our data, the area under the ROC curve is 0.88 (95% CI, 0.84–0.92; p<0.0001). We found that lower cut-off values increased the sensitivity of the assay but at the cost of specificity and vice versa. Using the results of the ROC curve, an analysis was made on the test performance with respect to the different threshold values (Table 3). The results showed that, with a threshold of 20 ng/mL, there is a probability of illness of 71% when the test is positive (PPV). A DNA cut-off level of >20 ng/ml differentiated between lung cancer patients and controls with a specificity of 83% and sensitivity of 79%.

Table 1. Correlation between circulating plasma DNA levels and demographic characteristics of the subjects.

Characteristics	Mean rank of plasma DNA level (ng/ml)				
	Cases (n = 104)	P* value	Controls (n = 205)	P* value	
Age (years)					
<64	54.70	0.614	101.48	0.342	
≥64	51.68		69.33		
Gender					
Female	43.95	0.123	91.57	< 0.001	
Male	55.72		121.62		
Smoking history					
No	50.33	0.688	58.72	0.217	
Yes	53.15		66.79		

*Mann-Whitney test.

doi:10.1371/journal.pone.0038559.t001

Table 2. Correlation between circulating plasma DNA levels and clinic-pathological parameters of NSCLC patients.

Characteristics	Mean rank of plasma DNA level (ng/ml)		
	Cases (n = 104)	P* value	
Histological type			
Epidermoid	51.91	0.981	
Non-Epidermoid	52.05		
Tumor stage			
I/II	64.25	0.427	
III/IV	52.03		

*Mann-Whitney test. doi:10.1371/journal.pone.0038559.t002

CDNA Concentration and Risk of NSCLC

An elevated concentration of plasma cDNA was associated with a higher chance for the presence of NSCLC. Our results indicate that high cDNA concentrations are more prevalent among cancer cases, comparing to control individuals (Table 4). Data analysis demonstrates that individuals with high cDNA concentrations present an increased chance for the presence of NSCLC.

Keeping the cut-off value as the reference value equal to 6 ng/mL, the relative risks of illness by DNA classes are shown in Table 4. The risk of pathology increases as the DNA concentrations increase: with concentrations between 6.0 and 20.0 ng/mL the risk for illness is over 3 times higher, when compared with cDNA concentrations lower than 6 ng/mL (OR, 3.33; 95% CI, 1.29–8.58; p = 0.010); high concentrations greater than 20.0 ng/mL are associated with a risk of illness of over 36 times higher (OR, 36.71; 95% CI, 15.49–86.99; p<0.0001). For the cut-off value of 20 ng/ml, logistic regression analysis adjusted by age,

gender and smoking status confirmed these associations (aOR, 12.69; 95% CI, 4.95–32.52; p<0.0001).

CDNA as a Prognostic Marker of NSCLC

We looked at correlation between pre-treatment circulating plasma DNA levels and survival time in 73 NSCLC patients, who received a first line chemotherapeutic protocol consisting of platin-based doublet chemotherapy in combination with a third-generation cytotoxic compound such as paclitaxel, gemcitabine or docetaxel and for which survival data was available. Survival analysis was performed according to plasma DNA levels distribution using the Kaplan–Meier method and Cox-regression analysis.

The mean survival rates were statistically different according to the patients' cDNA concentrations, indicating that patients with high cDNA levels presented a lower mean survival time than the other patients (16.8 vs 22.4 months; Log Rank test, p=0.024). Using a multivariate Cox regression model, we found a decreased overall survival time in patients presenting high cDNA levels (above 20.0 ng/ml), when compared lower cDNA concentrations, with stage (P=0.171) as covariate (hazard ratio, P=0.171) as COX (Figure 3).

Discussion

Diagnostic assays based on blood sample analysis are becoming an area of study with growing interest, mainly because of the simplicity of sampling and the future potential of automation of the technical methods for clinical applicability. The presence of circulating tumor DNA in plasma of patients with lung cancer arouse great interest since, with a simple blood test, a valid marker could be set out for a possible screening, diagnosis, prognosis, progression of disease and the monitoring of treatment response. Unfortunately, the standardization of the test is difficult because of the lack of a suitable standard test and the use of different extraction and quantification methods [14,21].

Mean cDNA levels (ng/ml)

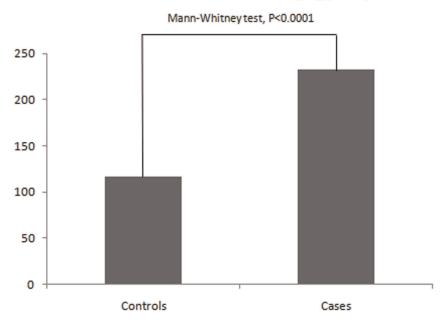


Figure 1. Mean rank of cDNA plasma concentrations in pre-treatment NCLC patients and control individuals. *Mann-Whitney U-test. doi:10.1371/journal.pone.0038559.g001

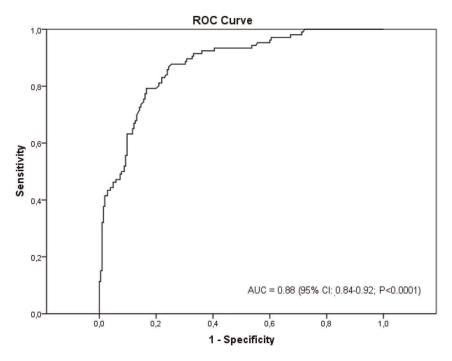


Figure 2. Receiver-operating characteristics (ROC) curve to calculate sensitivity and specificity of circulating plasma DNA as a tumor marker of NSCLC.

doi:10.1371/journal.pone.0038559.g002

The presence of abnormally high levels of free circulating DNA in plasma/serum of cancer patients was first demonstrated in 1977 [22]. The possibility of recovering tumor-derived DNA from the patient's blood has provoked great expectation. This method offers a non-invasive means to obtain tumor surrogate material, which could represent a unique source for diagnostic and prognostic applications. However, only recently cell free DNA is becoming an issue of growing interest and its possible use as a marker for cancer diagnosis or prognosis has been investigated. Recent studies demonstrated higher cDNA levels in lung cancer patients comparing to control individuals, however, the laboratorial methods have not been consistently evaluated, namely the procedures for DNA isolation and quantification [23]. Moreover, there are some contradictory results regarding the analyses of the clinical-pathological features, such as clinical staging and the prognostic and predictive value of quantification of cell free DNA and relapse-free evaluation that should be studied in more detail [7,11,24]. A number of methods have been described to assess the amount of DNA present in plasma, including competitive binding

Table 3. Test performance.

Cut-off valu	-			
(ng/ml)	Sensitivity	Specificity	PPV	NPV
3	100.0	25.85	41.08	100.0
6	93.40	50.73	49.50	93.69
9	92.45	63.90	56.98	94.24
12	89.62	68.78	59.75	92.76
15	87.74	74.63	64.14	92.17
20	79.24	83.41	71.19	88.60

doi:10.1371/journal.pone.0038559.t003

radioimmunoassay, SYBER green, PicoGreen and others, but most lack the sensitivity and specificity required.

Recent studies used real-time PCR methodology for cDNA quantification [11,23,25,26]. The quantification of a single copy gene seems a sensitive and adequate method for assessing cDNA levels, and various markers have already been tested, namely, amplification of hTERT [13,26], lactate dehydrogenase [11] and beta-globin [25] genes. In the present work, we used real-time PCR for DNA quantification, which can be regarded as the standard method currently available [26].

In our study, we found no statistically significant differences in cDNA concentrations between probands in different histological groups and tumor stages. However, there is some controversy in the relationship between DNA levels and the clinical-pathological features [27]. Conflicting data have also been reported about circulating DNA as a prognostic factor in lung cancer patients. Some studies showed a correlation between an elevated plasma DNA concentration and poor survival [11,25], whereas other studies did not report such a relationship [16,26]. This might be explained by differences in patient selection, covering both nonsmall cell lung cancer (NSCLC) and small cell lung cancer (SCLC), and both treated and untreated patients. Moreover, techniques for sample collection and DNA quantification differed between these studies. Thus, at present, the prognostic value of circulating DNA for survival has not been established yet. Also, the relationship of circulating DNA with age, gender, histology, stage and pulmonary inflammatory conditions is not clear. Our results are in agreement with the observations found in other studies, regarding the lack of correlation between plasma DNA levels and clinical-pathological parameters [11]. A correlation with age was reported in some [1,13], but not in all studies [16,25]. Similarly, no correlation has been established with histologic subtypes [16,28,29,30]. Disparity also exists with regard to variables such as clinical staging. Some studies report that plasma DNA was highest in patients with stage IV disease [29], whereas in other studies there was no such

Table 4. Frequency and odds ratio of plasma DNA concentrations among the control group and NSCLC patients.

cDNA levels (ng/ml)	Controls (n = 205) N (%)	Patients (n = 104) N (%)	OR*	95% CI*	P *
<6	104 (93.7)	7 (76.3)	1.00	Reference	-
[6-20]	67 (81.7)	15 (18.3)	3.33	1.29-8.58	0.010
≥20	34 (28.8)	84 (71.2)	36.71	15.49-86.99	< 0.0001
<20	171 (88.6)	22 (11.4)	1.00	Reference	-
≥20	34 (28.8)	84 (71.2)	19.20	10.58-34.87	< 0.0001

*For 20 ng/ml cut-off value, P<0.0001, OR = 12.69 and 95% Cl: 4.95–32.52, using logistic regression analysis adjusted by age, gender and smoking status. doi:10.1371/journal.pone.0038559.t004

association [11,13,16,22,28,30]. As already discussed, these discrepancies in results across the studies might be due to technical differences prevalent in various studies. These differences provide an insight into the present status of circulating DNA research and of the need for larger controlled studies with standardized procedures.

We found increased levels of circulating DNA in NSCLC patients compared to control individuals. Similar results were also found in other studies, with higher DNA plasma concentrations found in cancer patients when compared to healthy individuals [13,16,26,31,32,33]. According to the majority of the quantitative studies performed until the present time, cell free circulating DNA is observed in healthy subjects at concentrations between 0 and

100 ng/ml of blood with an average of 30 ng/ml, whereas in cancer patients the concentration in plasma or in serum varies between 0 and 1000 ng/ml, with an average of 180 ng/ml [12]. However, these values constitute just a reference to evaluate cell free DNA concentrations, as the percentage of circulating DNA originated from tumor cells can vary from one cancer model to another [12]. The plasma DNA concentrations observed in this study were similar to the cell free DNA level averages described in the literature [1,11,16,34].

The presence of free circulating DNA can be found in patients with malignant pathologies, but also in healthy individuals and in patients with non-malignant diseases, namely erythematic lupus, rheumatoid arthritis, lung embolism, myocardium infarction,

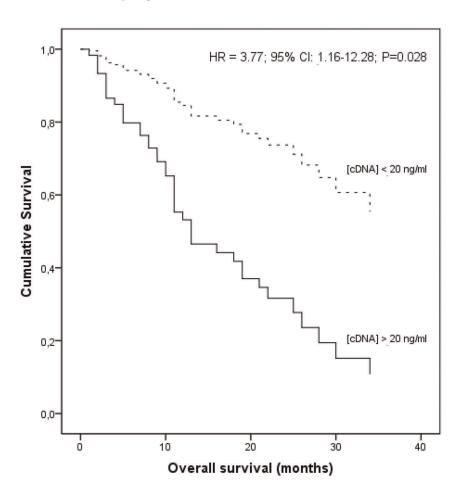


Figure 3. Cox regression analysis of overall survival according to cDNA levels, with tumor stage as covariate. doi:10.1371/journal.pone.0038559.g003

traumas or invasive therapeutics practices [35,36,37]. However, DNA concentrations seem to be much higher in patients with malignant pathologies [27,37]. There are various advanced hypotheses about the release mechanisms of DNA in circulation. For healthy individuals, it is presumed that circulating DNA originates from the death of lymphocytes or other nucleated cells, whereas for patients with neoplastic pathologies, there were several hypothesis, namely lysis of tumor cells [12].

The purpose of our study was to confirm the results of the previous researches about the free circulating DNA's capacity of differentiating healthy individuals from patients with NSCLC cancer and to validate the method used for future clinical application.

Increased plasma cDNA concentration was associated with a higher probability of lung cancer presence. To our knowledge, similar OR values were never reported previously for any biologic marker and could be of substantial benefit in clinical practice. Our study has confirmed the literature data, indicating that higher DNA concentrations can be correlated with the condition of the

High plasma DNA levels were also associated with decreased overall survival. Although there are conflicting results [31], some studies also found a correlation between an elevated plasma DNA concentration and poor survival [11,25,26,32].

A recent study has demonstrated that the levels of cell free DNA present in cancer patients constitute a stable parameter over time and its variations may be due to real clinical alterations in the patient, as long as all the technical and methodological steps are controlled [38]. Therefore, it becomes important to carefully monitor the methods for blood sampling, plasma DNA isolation and DNA quantification. Some quantification methods, such as colorimetric kits, are not based on the amount of amplifiable DNA but in the total amount of nucleic acids, which can include doublestranded and single-stranded DNA and RNA.

A different approach has been described by Silva and coworkers in colon cancer [39]. This study investigated the presence of RNA from epithelial tumors in plasma from patients with colorectal carcinomas and its correlation with tumor characteristics and circulating tumor cells. Epithelial tumor RNA was associated with advanced stages and circulating tumor cells.

The differences of the results observed in literature may also reflect biological causes (histology, tumor origin, stage or tumor size) or technical issues (blood processing, cell free DNA isolation and quantification). There is insufficient data for the comparison of all these parameters between different types of cancer, as it is still unclear, whether all types of cancer can release altered cell free DNA and at the same rate [12]. It has been demonstrated that clearance of cell free DNA from the bloodstream seems to be a rapid process, and one possible explanation is based on the cell free DNA sensibility to plasma nucleases, such as DNAse1 [40]. Moreover, a study has demonstrated that, for a patient with a tumor load of 100 g in size, up to 3.3% of the tumor DNA entered the circulation every day [41]. In another study, mutations in TP53 or KRAS2 were detected in whole blood at least one year before diagnosis in 67% of patients, which indicates that tumor DNA is released in blood before conventional diagnosis and enhances the importance of this marker in cancer prevention [42].

Other tumor markers that play an important role in lung carcinogenesis and are implicated in the treatment response of NSCLC patients should also be evaluated, namely cyclin D1, kRAS and EGFR (epidermal growth factor receptor). These markers have been intensively studied in oncology and are directly associated with lung cancer etiology. The analysis of these markers should be performed in future works, in order to clarify its correlation with lung cancer phenotype, tumor aggressiveness and circulating DNA.

We think that the results are promising enough to encourage further research in the area of circulating DNA as a tool for monitoring therapeutic efficacy in lung cancer patients. Identification of additional, more specific, and more sensitive plasmabased biomarkers, which can be used in combination with circulating DNA, may further improve the diagnostic power of current imaging tools for indicating therapeutic efficacy.

Conclusions

This study demonstrates that higher levels of free circulating DNA can be detected in patients with lung cancer compared to healthy individuals by a quantitative PCR assay. We have shown that the analytic procedure reported by Sozzi et al [13] is useful to discriminate between healthy subjects and NSCLC patients. The reproducibility of this method could represent a first step for its future application in the clinical practice.

Although some concerns regarding the sample size and age of case and control groups may be evaluated, this study suggests a non-invasive diagnostic tool that can be further investigated in future prospective studies for detection of lung cancer and assessment of its potential applicability as a complementary diagnostic and prognostic tool in clinical practice. We believe that our results may help to improve discussion between researchers in the field and that different methodological approaches must be considered regarding the real meaning of cDNA in lung cancer patients.

Levels of circulating DNA could also identify higher-risk individuals for this disease screening and chemoprevention trials. Furthermore, plasma DNA could also be used to detect cancer specific molecular markers, such as mutations and amplifications, and individualize and monitor drug treatment, namely resistance to targeted therapies without the need for repeated tumor biopsies in metastatic disease.

We found a significant decrease in the overall survival for higher concentrations of cell free circulating DNA and a very significant increase in the patients' risk for the presence of lung cancer in individuals with high cDNA levels. Cell free circulating DNA may represent an important source of biomarkers at several steps of carcinogenesis, including early detection of preneoplastic lesions and monitoring of cancer. Moreover, levels of plasma DNA could be tested as a potential intermediate biomarker of the efficacy of

Large-scale prospective studies are necessary for populationbased studies and molecular epidemiologic studies, in order to implement a clinical application in lung cancer detection, diagnosis, prognosis and prediction of treatment response.

Acknowledgments

The authors thank the Liga Portuguesa Contra o Cancro (Portuguese League against Cancer) - Centro Regional do Norte and AstraZeneca Foundation for their support.

Author Contributions

Conceived and designed the experiments: RC CL RM. Performed the experiments: MG AN. Analyzed the data: RC AC AA. Contributed reagents/materials/analysis tools: AC AA MG AN. Wrote the paper: RC

References

- Paci M, Maramotti S, Bellesia E, Formisano D, Albertazzi L, et al. (2009) Circulating plasma DNA as diagnostic biomarker in non-small cell lung cancer. Lung Cancer 64: 92–97.
- 2. Sethi T (2002) Lung cancer. Introduction. Thorax 57: 992-993.
- Brundage MD, Davies D, Mackillop WJ (2002) Prognostic factors in non-small cell lung cancer: a decade of progress. Chest 122: 1037–1057.
- Wakelee HA, Bernardo P, Johnson DH, Schiller JH (2006) Changes in the natural history of nonsmall cell lung cancer (NSCLC)—comparison of outcomes and characteristics in patients with advanced NSCLC entered in Eastern Cooperative Oncology Group trials before and after 1990. Cancer 106: 2208– 2217.
- de Geus-Oei LF, van der Heijden HF, Corstens FH, Oyen WJ (2007) Predictive and prognostic value of FDG-PET in nonsmall-cell lung cancer: a systematic review. Cancer 110: 1654–1664.
- Jackman DM, Johnson BE (2005) Small-cell lung cancer. Lancet 366: 1385– 1396.
- Fleischhacker M, Schmidt B (2007) Circulating nucleic acids (CNAs) and cancer-a survey. Biochim Biophys Acta 1775: 181–232.
- Mandel P, Métais P (1948) Les acides nucléiques du plasma sanguin chez l'homme. CR Acad Sci Paris 142: 241–243.
- Esteller M, Sanchez-Cespedes M, Rosell R, Sidransky D, Baylin SB, et al. (1999)
 Detection of aberrant promoter hypermethylation of tumor suppressor genes in serum DNA from non-small cell lung cancer patients. Cancer Res 59: 67–70.
- Anker P, Mulcahy H, Chen XQ, Stroun M (1999) Detection of circulating tumour DNA in the blood (plasma/serum) of cancer patients. Cancer Metastasis Rev 18: 65–73.
- Gautschi O, Bigosch C, Huegli B, Jermann M, Marx A, et al. (2004) Circulating deoxyribonucleic Acid as prognostic marker in non-small-cell lung cancer patients undergoing chemotherapy. J Clin Oncol 22: 4157–4164.
- Gormally E, Caboux E, Vineis P, Hainaut P (2007) Circulating free DNA in plasma or serum as biomarker of carcinogenesis: practical aspects and biological significance. Mutat Res 635: 105–117.
- Sozzi G, Conte D, Leon M, Ciricione R, Roz L, et al. (2003) Quantification of free circulating DNA as a diagnostic marker in lung cancer. J Clin Oncol 21: 3902–3908.
- Zhang R, Shao F, Wu X, Ying K (2010) Value of quantitative analysis of circulating cell free DNA as a screening tool for lung cancer: a meta-analysis. Lung Cancer 69: 225–231.
- Bunn PJ, Jr. (2003) Early detection of lung cancer using serum RNA or DNA markers: ready for "prime time" or for validation? J Clin Oncol 21: 3891–3893.
- Sozzi G, Conte D, Mariani L, Lo Vullo S, Roz L, et al. (2001) Analysis of circulating tumor DNA in plasma at diagnosis and during follow-up of lung cancer patients. Cancer Res 61: 4675

 –4678.
- Van den Berg RM, Brokx H, Vesin A, Field JK, Brambilla C, et al. (2010) Prognostic value of hTERT mRNA expression in surgical samples of lung cancer patients: the European Early Lung Cancer Project. International journal of oncology 37: 455–461.
- Metzger R, Vallbohmer D, Muller-Tidow C, Higashi H, Bollschweiler E, et al. (2009) Increased human telomerase reverse transcriptase (hTERT) mRNA expression but not telomerase activity is related to survival in curatively resected non-small cell lung cancer. Anticancer research 29: 1157–1162.
- Heid CA, Stevens J, Livak KJ, Williams PM (1996) Real time quantitative PCR. Genome Res 6: 986–994.
- Catarino R, Pereira D, Breda E, Coelho A, Matos A, et al. (2008) Oncogenic virus-associated neoplasia: a role for cyclin D1 genotypes influencing the age of onset of disease? Biochemical and biophysical research communications 370: 118–122.
- Bremnes RM, Sirera R, Camps C (2005) Circulating tumour-derived DNA and RNA markers in blood: a tool for early detection, diagnostics, and follow-up? Lung Cancer 49: 1–12.

- Leon SA, Shapiro B, Sklaroff DM, Yaros MJ (1977) Free DNA in the serum of cancer patients and the effect of therapy. Cancer Res 37: 646–650.
- Sozzi G, Roz L, Conte D, Mariani L, Andriani F, et al. (2005) Effects of prolonged storage of whole plasma or isolated plasma DNA on the results of circulating DNA quantification assays. J Natl Cancer Inst 97: 1848–1850.
- Silva JM, Silva J, Sanchez A, Garcia JM, Dominguez G, et al. (2002) Tumor DNA in plasma at diagnosis of breast cancer patients is a valuable predictor of disease-free survival. Clin Cancer Res 8: 3761–3766.
- van der Drift MA, Hol BE, Klaassen CH, Prinsen CF, van Aarssen YA, et al. (2010) Circulating DNA is a non-invasive prognostic factor for survival in non-small cell lung cancer. Lung Cancer 68: 283–287.
- Sozzi G, Roz L, Conte D, Mariani L, Andriani F, et al. (2009) Plasma DNA quantification in lung cancer computed tomography screening: five-year results of a prospective study. Am J Respir Crit Care Med 179: 69–74.
- Ziegler A, Zangemeister-Wittke U, Stahel RA (2002) Circulating DNA: a new diagnostic gold mine? Cancer Treat Rev 28: 255–271.
- Beau-Faller M, Gaub MP, Schneider A, Ducrocq X, Massard G, et al. (2003)
 Plasma DNA microsatellite panel as sensitive and tumor-specific marker in lung cancer patients. Int J Cancer 105: 361–370.
- Xie GS, Hou AR, Li LY, Gao YN, Cheng SJ (2004) Quantification of plasma DNA as a screening tool for lung cancer. Chin Med J (Engl) 117: 1485–1488.
- Herrera LJ, Raja S, Gooding WE, El-Hefnawy T, Kelly L, et al. (2005) Quantitative analysis of circulating plasma DNA as a tumor marker in thoracic malignancies. Clin Chem 51: 113–118.
- Gal S, Fidler C, Lo YM, Taylor M, Han C, et al. (2004) Quantitation of circulating DNA in the serum of breast cancer patients by real-time PCR. Br J Cancer 90: 1211–1215.
- Huang ZH, Li LH, Hua D (2006) Quantitative analysis of plasma circulating DNA at diagnosis and during follow-up of breast cancer patients. Cancer Lett 243: 64–70.
- Skvortsova TE, Rykova EY, Tamkovich SN, Bryzgunova OE, Starikov AV, et al. (2006) Cell-free and cell-bound circulating DNA in breast tumours: DNA quantification and analysis of tumour-related gene methylation. Br J Cancer 94: 1492–1495.
- Catarino R, Ferreira MM, Sousa A, Rodrigues H, R M (2007) Quantification of Free Circulating Tumor DNA in Plasma as a Diagnostic Marker in Breast Cancer. Eur J Cancer Supplements 5/4: 184.
- Laktionov PP, Tamkovich SN, Rykova EY, Bryzgunova OE, Starikov AV, et al. (2004) Extracellular circulating nucleic acids in human plasma in health and disease. Nucleosides Nucleotides Nucleic Acids 23: 879–883.
- Johnson PJ, Lo YM (2002) Plasma nucleic acids in the diagnosis and management of malignant disease. Clin Chem 48: 1186–1193.
- Pathak AK, Bhutani M, Kumar S, Mohan A, Guleria R (2006) Circulating cellfree DNA in plasma/serum of lung cancer patients as a potential screening and prognostic tool. Clin Chem 52: 1833–1842.
- Frattini M, Balestra D, Verderio P, Gallino G, Leo E, et al. (2005) Reproducibility of a semiquantitative measurement of circulating DNA in plasma from neoplastic patients. J Clin Oncol 23: 3163–3164; author reply 3164–3165.
- Silva JM, Rodriguez R, Garcia JM, Munoz C, Silva J, et al. (2002) Detection of epithelial tumour RNA in the plasma of colon cancer patients is associated with advanced stages and circulating tumour cells. Gut 50: 530–534.
- Lo YM, Zhang J, Leung TN, Lau TK, Chang AM, et al. (1999) Rapid clearance of fetal DNA from maternal plasma. Am J Hum Genet 64: 218–224.
- Diehl F, Li M, Dressman D, He Y, Shen D, et al. (2005) Detection and quantification of mutations in the plasma of patients with colorectal tumors. Proc Natl Acad Sci U S A 102: 16368–16373.
- Mao L, Hruban RH, Boyle JO, Tockman M, Sidransky D (1994) Detection of oncogene mutations in sputum precedes diagnosis of lung cancer. Cancer Res 54: 1634–1637.