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Outcome of Lower-Intensity Allogeneic Transplantation in non-Hodgkin Lymphoma After Autologous Transplant Failure

César O. Freytes, MD¹, Mei-Jie Zhang, PhD², Jeanette Carreras, MPH², Linda J. Burns, MD³, Robert Peter Gale, MD, PhD⁴, Luis Isola, MD⁵, Miguel-Angel Perales, MD⁶, Matthew Seftel, MD⁷, Julie M. Vose, MD⁸, Alan M. Miller, MD⁹, John Gibson, MD¹⁰, Thomas G. Gross, MD, PhD¹¹, Philip A. Rowlings, MBBS, MS¹², David J. Inwards, MD¹³, Santiago Pavlovsky, MD, PhD^{14,*}, Rodrigo Martino, MD¹⁵, David I. Marks, MD¹⁶, Gregory A. Hale, MD¹⁷, Sonali M. Smith, MD¹⁸, Harry C. Schouten, MD¹⁹, Simon Slavin, MD²⁰, Thomas R. Klumpp, MD²¹, Hillard M. Lazarus, MD²², Koen van Besien, MD¹⁸, and Parameswaran N. Hari, MD, MS²

¹South Texas Veterans Health Care System/University of Texas Health Science Center, San Antonio, TX, USA ²Center for International Blood and Marrow Transplant Research, Medical College of Wisconsin, Milwaukee, WI, USA ³University of Minnesota Medical Center, Minneapolis, MN, USA ⁴Cellegene Corporation, Summit, NJ, USA ⁵Mt. Sinai Hospital, New York, NY, USA ⁶Memorial Sloan-Kettering Cancer Center, New York, NY, USA ⁷Cancer Care Manitoba, University of Manitoba, Winnipeg, MB, Canada ⁸University of Nebraska Medical Center, Omaha, NE, USA ⁹Baylor University Medical Center, Dallas, TX USA ¹⁰Royal Prince Alfred Hospital, Camperdown, NSW, Australia ¹¹Nationwide Children's Hospital, The Ohio State University, Columbus, OH ¹²Calvary Mater Hospital, Waratah, Australia ¹³Mayo Clinic Rochester, Rochester, MN, USA ¹⁴Fundaleu, Buenos Aires, Argentina ¹⁵Hospital de la Santa Creu I Sant Pau, Barcelona, Spain ¹⁶Bristol Children's Hospital, Bristol, United Kingdom ¹⁷All Children's Hospital, St. Petersburg, FL, USA ¹⁸University of Chicago Hospitals, Chicago, IL, USA ¹⁹University Hospital Maastricht, Maastricht, The Netherlands ²⁰Hadassah Medical Center, Tel Aviv, Israel ²¹Temple University BMT Program, Philadelphia, PA, USA ²²University Hospitals Case Medical Center, Cleveland, OH, USA

Abstract

We studied the outcome of allogeneic transplantation after lower-intensity conditioning regimens (reduced-intensity [RIC] and non-myeloablative [NST]) in non-Hodgkin lymphoma (NHL) relapsing after autologous transplantation. Non-relapse mortality (NRM), lymphoma progression/relapse, progression-free survival (PFS) and overall survival (OS) were analyzed in 263 NHL patients. All had relapsed after a prior autologous transplant and then received allogeneic transplantation from related (n = 26) or unrelated donors (n = 237) after RIC (n = 128) or NST (n = 135), and were reported to the Center for International Blood and Marrow Transplant Research (CIBMTR) between 1996 and 2006. Median follow-up of survivors was 68 months (range, 3–111). Three-year NRM was 44% (95% CI, 37%–50%). Lymphoma progression/relapse at three

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Corresponding author: Parameswaran N. Hari, MD, Center for International Blood and Marrow Transplant Research, Medical College of Wisconsin, P.O. Box 26509, Milwaukee, WI 53226. Telephone: 414-805-4613, Fax: 414-805-0714, phari@mcw.edu.
*Deceased

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years was 35% (95% CI, 29%–41%). Three-year probabilities of PFS and OS were 21% (95% CI, 16%–27%) and 32% (95% CI, 27%–38%) respectively. Superior performance score, longer interval between transplants, total-body irradiation-based conditioning regimen and lymphoma remission at transplantation correlated with improved PFS. Allogeneic transplantation after lower-intensity conditioning is associated with significant NRM, but can result in long-term PFS. We describe a quantitative risk model based on pretransplant risk factors in order to identify those likely to benefit from this approach.

Keywords

Non-Hodgkin Lymphoma; Allogeneic; Relapse

INTRODUCTION

Autologous hematopoietic progenitor cell transplantation (autotransplant) is widely used to treat recurrent or refractory non-Hodgkin lymphoma (NHL).^{1,2} Unfortunately, relapse is common after autologous transplantation and the prognosis for these patients is poor.⁽³⁾ Conventional chemotherapy is non-curative after autotransplant failure, and a second autotransplant mostly benefits a small group of patients relapsing after a long lymphoma-free interval.^{4,5} The results of conventional myeloablative allogeneic transplantation (allotransplant) performed in this setting are also poor (5% progression-free survival [PFS] at five years), as previously reported.⁶ Also, many patients are not candidates for myeloablative conditioning because of age or co-morbidities.

Reduced-intensity conditioning (RIC) and non-myeloablative conditioning (NST) regimens are increasingly used in patients with NHL. These lower-intensity conditioning regimens are reported to have lower non-relapse mortality (NRM) and can be used in older persons with co-morbidities.⁷ Lower-intensity regimens for allotransplant use lower doses of conditioning chemotherapy and radiation, and rely on an immune-mediated graft-versus-lymphoma (GVL) effect for disease control. The magnitude of this effect in NHL is unclear.^{8,9}

Prior studies reporting on RIC or NST allotransplant for NHL relapsing after autotransplant have limited numbers of patients, variable histologies and variable follow-up limiting comparisons.^{10–14} In order to analyze the wider applicability and effectiveness of this modality, we analyzed long-term outcomes of lower-intensity (RIC/NST) allotransplant for relapsed B-cell NHL (B-NHL) after a prior autotransplant using data from the Center for International Blood and Marrow Transplant Research (CIBMTR). To date, this represents the largest study of patients with NHL treated with lower-intensity conditioning allotransplant after autotransplant failure.

SUBJECTS AND METHODS

Data Sources

The CIBMTR is a research affiliation of the International Bone Marrow Transplant Registry (IBMTR) and the National Marrow Donor Program (NMDP) established in 2004, which comprises a voluntary working group of more than 450 transplantation centers worldwide that contribute detailed data on consecutive allogeneic and autologous hematopoietic cell transplants to a Statistical Center at the Medical College of Wisconsin in Milwaukee and the NMDP Coordinating Center in Minneapolis. Participating centers are required to report all transplants consecutively; compliance is monitored by on-site audits. Patients are followed longitudinally, with yearly follow-up. Computerized checks for discrepancies, physicians' review of submitted data and on-site audits of participating centers ensure data quality.

Observational studies conducted by the CIBMTR are performed in compliance with the Privacy Rule (HIPAA) as a Public Health Authority, and in compliance with all applicable federal regulations pertaining to the protection of human research participants as determined by continuous review of the Institutional Review Boards of the National Marrow Donor Program and the Medical College of Wisconsin since 1985.

Subjects

Outcomes of 263 adult patients (> 21 years) with B NHL relapsing after autotransplantation who then received lower-intensity conditioning regimens followed by allotransplantation between 1996 and 2006 were analyzed. Follicular, diffuse large B-cell (DLBCL) and mantle-cell lymphoma histologies were included. Recipients of planned tandem auto-allotransplants and those in first complete remission at the time of their allotransplantation were excluded. Donors were HLA-matched siblings for 26 recipients and HLA-matched unrelated (URD) for 237 recipients.

Only a limited number of those relapsing after autotransplantation subsequently receive an allotransplant. In the period between 1990 and 2006, a total of 6395 patients with post-autotransplantation relapse of B-NHL registered with the CIBMTR, 5.8% (373) received a subsequent allogeneic transplant after RIC/NST conditioning regimens. The cohort studied in this report is a subset of those patients for whom comprehensive data were available, with high-level reporting, with complete case report forms. We confirmed that the global cohort and the study subset had similar outcomes.

Definitions

Lower-intensity conditioning regimens and HLA matching—Lower-intensity conditioning regimens were categorized as RIC or NST using established consensus criteria.¹⁵ Previously established validated criteria for categorizing degree of HLA matching were used.¹⁶ Well-matched cases had either no identified HLA mismatch and informative data at four loci, or allele matching at HLA-A, B & DRB1 (6/6).

Endpoints—Primary outcomes were NRM, relapse/progression, PFS and survival. NRM was defined as death from any cause in the first 28 days or death without evidence of lymphoma progression/relapse. Progression was defined as an increase of ≥25% in the sites of lymphoma or development of new sites of lymphoma. Relapse was defined as recurrence of lymphoma after a complete response (CR). For PFS patients were considered treatment failures at the time of relapse/progression or death from any cause. Patients alive without evidence of disease relapse or progression were censored at last follow up and the PFS event was summarized by a survival curve. The OS interval variable was defined as the time from date of transplant to date of death or last contact and summarized by a survival curve. Other outcomes analyzed included acute and chronic graft-versus-host disease (AGVHD and CGVHD) and cause of death (COD). AGVHD was defined and graded based on the pattern and severity of organ involvement using established criteria.¹⁷ CGVHD was defined as the development of any chronic GVHD based on clinical criteria. Both these events were summarized by the corresponding cumulative incidence estimate with death without development of GVHD as the competing risk.

Statistical Analyses

Probabilities of PFS and survival were calculated using the Kaplan-Meier product limit estimate. Probabilities of NRM, lymphoma progression/relapse and acute and chronic GVHD were calculated using cumulative incidence curves to accommodate competing risks.^{18,19} Associations between subject-, disease-, and transplant-related factors and outcomes of interest were assessed using multivariate Cox proportional hazards regression.

A stepwise forward selection multivariate model was built to identify covariates that influenced outcomes. Covariates with a p-value <0.05 were considered significant. The proportionality assumption for Cox regression was tested by adding a time-dependent covariate for each risk factor and each outcome.²⁰ All variables met the proportional hazards assumption. Results were expressed as relative risks (RR) or the relative rate of occurrence of the event.

The following variables were considered in multivariate analyses: age at allotransplant, sex, Karnofsky Performance Score (KPS) at allotransplant, time from diagnosis to autotransplant, time from autotransplant to allotransplant, NHL histology, disease status and sensitivity to chemotherapy at allotransplant, conditioning regimen intensity (RIC vs. NST), donor type (HLA identical related vs. HLA well-matched URD vs. HLA partially-matched URD), donor-recipient gender match (female donor and male recipient versus all other combinations), donor-recipient cytomegalovirus (CMV) state (donor and recipient CMV-seronegative vs. all other combinations), graft source (bone marrow vs. blood), year of allotransplant (1996–2003 versus 2004–2006) and type of GVHD prophylaxis. The interval from autotransplant to relapse was not available in all patients. Therefore, the interval from the autotransplant to allotransplant was used as a surrogate variable, combining the intervals from autotransplant to relapse and the interval from such relapse to allotransplant.

RESULTS

Subject- and Transplant-Related Variables

Subject-, disease-, and transplant-related characteristics are listed in Table 1. Two hundred sixty-three patients from 69 centers received an allotransplant for NHL with lower-intensity conditioning after relapse following a prior autotransplant. Median age at allotransplantation was 52 years (range, 23–70 years). Eighty-nine (34%) had KPS < 90 at time of allotransplant.

One hundred forty-seven patients (56%) had DLBCL or follicular large-cell NHL, 72 (27%) had mantle cell lymphoma, and 44 (17%) had follicular lymphoma. Fifty-seven of the DLBCL patients were reported to be the consequence of histologic transformation from a lower grade lymphoma. Median interval from diagnosis to autotransplant was 19 months (range, 2–278 months). Eighty-five patients (33%) had their autotransplant < 1 year after diagnosis. Median interval between auto- and allotransplant was 25 months (range, 4–159 months). Fifty-two (20%) patients received their allotransplant < 1 year after their autotransplant, 80 (30%) patients received them between 1–2 years, and 131 received their allotransplant (50%) > 2 years after their autotransplant. Only 67 patients (27%) were in complete remission (2nd CR) at the time of allotransplant. One hundred fifty-nine (63%) patients were considered to have chemotherapy-sensitive disease at allotransplant.

Conditioning regimens were classified as RIC in 128 (49%) patients and NST in 135 (51%). Sixty-six (25%) patients received total body radiation (TBI) of 2 Gy, 65 patients (25%) received lower dose melphalan < 150 mg/m², and 62 (24%) received fludarabine and cyclophosphamide regimens. Three-fourths of patients received rituximab as treatment at some point before allotransplant. A bone marrow graft source was used in 21%. One hundred forty-one (54%) patients received their allotransplant between 2004 and 2006. Seventeen (6%) received donor lymphocyte infusions (DLI) for relapse or failure to achieve CR after their allotransplant. Median follow-up of survivors was 68 months (range, 3–111 months).

Outcomes

Outcomes are summarized in Table 2. One hundred ninety-four patients died (74%). Twenty-three (9%) were alive with lymphoma and 46 (18%) were alive lymphoma-free without relapse at last follow-up. The 100 day mortality rate was 30% (95% confidence interval [95% CI], 25%–36%). NRM rates were 39% (95% CI, 33%–45%), 44% (95% CI, 37%–50%) and 47% (95% CI, 40%–53%) at 1, 3 and 5 years after allotransplantation. Incidences of lymphoma progression/relapse were 31% (95% CI, 25%–36%), 35% (95% CI, 29%–41%) and 36% (95% CI, 30%–42%) at 1, 3 and 5 years after allotransplant. Figure 1a illustrates cumulative incidences of NRM and lymphoma progression/relapse.

Figure 1b illustrates actuarial probabilities of PFS and survival. PFS rates were 30% (95% CI, 25%–36%), 21% (95% CI, 16%–27%) and 17% (95% CI, 13%–22%) at 1, 3 and 5 years after allotransplant. Survival rates were 44% (95% CI, 38%–50%), 32% (95% CI, 27%–38%) and 27% (95% CI, 21%–32%) at 1, 3 and 5 years after allotransplant.

The incidence of grade 2 acute GVHD within 100 days of transplantation was 39% (95% CI, 34%–45%). The incidences of chronic GVHD were 37% (95% CI, 31%–43%) and 40% (95% CI, 34%–46%) at 1 and 5 years after allotransplant. PFS did not correlate with histologic type of NHL (Figure 2), except for lower PFS (but not survival) in patients with transformed large-cell lymphoma.

Seventeen patients received DLI post allotransplant for lymphoma progression/relapse. Survival after DLI was short—12% (95% CI, 2%–31%), 6% (95% CI, 0–24%) and 6% (95% CI, 0–24%) at 1, 3 and 5 years, respectively.

Causes of death were lymphoma-relapse/progression in 50 (26%), infection in 33 (17%), organ failure in 32 (16%) and acute and chronic GvHD in 23 (12%) patients. Table 5 illustrates the causes of death.

Multivariate analyses

NRM—KPS significantly correlated with NRM. Those patients with a KPS < 90 had higher risk of NRM (RR 2.57 [95% CI, 1.57–3.25]; $p < 0.001$). Figure 3 illustrates the probability of NRM by KPS.

Lymphoma Progression/Relapse—Interval from autologous to allogeneic transplantation significantly correlated with the risk of lymphoma progression/relapse. Recipients of an allotransplant < 2 years after autotransplant were at higher risk of progression/relapse (RR 2.09 [95% CI, 1.37–3.18]; $p = 0.001$) (Figure 4).

PFS and Treatment Failure—Table 3 shows the multivariate analysis of PFS. Patients with a KPS < 90 had nearly a two-fold increased risk of treatment failure, and lower PFS, compared to patients with a higher KPS (RR 1.78 [95% CI, 1.33–2.40]; $p < 0.001$). Those receiving an allotransplant within two years after a prior autotransplant had a lower PFS and higher risk of treatment failure (RR 1.49 [95% CI, 1.13–1.96]; $p = 0.004$). Recipients of conditioning regimens without TBI had lower PFS (RR of treatment failure = 1.66 [95% CI, 1.20–2.29]; $p = 0.002$). Supplemental Table 1 compares the clinical characteristics of patients who received TBI versus patients receiving non-TBI based regimens. Patients who had never achieved a CR (primary induction failure [PIF]) had lower PFS (RR of treatment failure = 1.89 [95% CI, 1.12–3.18], $p = 0.017$). Figure 5 shows probabilities of PFS according to risk factors. Figure 6 illustrates PFS after allotransplantation by individual conditioning regimens. The type of conditioning regimen, RIC vs. NST, did not impact PFS.

GVHD—Patients with KPS < 90, those receiving TBI-based regimens, and those receiving grafts from female donors had higher risk of developing grade 2 acute GVHD. The only variable correlated with chronic GVHD was the graft source: those receiving blood cell grafts had an increased risk compared to bone marrow (RR 2.45 [95% CI, 1.33–4.48]; $p=0.004$). Patients with grade 2 acute GVHD were less likely to develop lymphoma progression/relapse (RR = 0.55 [95% CI, 0.34–0.90]; $p = 0.0166$) in univariate analysis, but this was not statistically significant in the multivariate model. Chronic GVHD had no impact on probability of lymphoma relapse/progression (RR = 0.71, [(95% CI, 0.37–1.34]; $p = 0.2869$).

Survival—Survival was significantly correlated with KPS. Patients with a KPS of < 90 had a higher risk of death (RR 1.92 [95% CI 1.43–2.56]; $p<0.001$).

Risk Model—Based on the significant pretransplant variables identified in the multivariate model we developed a risk scoring system outlined in Table 4. Those with all four adverse risk factors (KPS <90, never in CR, non-TBI-based conditioning, and interval between autotransplant and allotransplant ≥ 24 months) had an 8.32 times higher risk of death or relapse than patients with no risk factors. Similarly, those with three risk factors (KPS <90, never in CR and non-TBI-based conditioning) had a 5.58 times higher risk of death or relapse. Those with two risk factors (KPS <90 and never in CR) had a 3.36 times higher risk of death or relapse.

DISCUSSION

Our aims were to define outcomes after allogeneic transplantation using lower-intensity conditioning regimens in patients with B-cell NHL relapsing after an autotransplant, and to identify subject-, disease- and treatment-related variables correlated with outcomes. This study represents a large cohort of patients, from multiple centers with long follow-up, thereby providing a perspective on the feasibility and effectiveness of this treatment strategy.

Despite the lower intensity of the conditioning regimens, three-year NRM was high at 44% (95% CI, 38%–46%). In multivariate analysis, KPS was the sole predictor of NRM: those with a KPS < 90 had two-fold higher NRM than patients with a KPS ≥ 90 . NRM in our study was higher than previously reported. In the study by Branson et al using HLA-identical sibling donors, 14-month mortality was 20%.²¹ Martino et al reported 24% NRM (95% CI, 15%–41%) at one year with HLA-identical sibling donors.⁷ Escalon et al reported 5% NRM in patients with chemo-sensitive lymphoma receiving transplants from HLA-identical related donors.²² Baron et al reported 28% NRM at three years after allotransplant from unrelated donors.²³ A recently published study by the European Group for Blood and Marrow Transplantation (EBMT) reported a three-year non-relapse mortality of 28.2%.²⁴ It is likely that differences in NRM between studies reflect subject selection, proportion of unrelated donors and wide confidence intervals. About 40% of the patients in our study had a KPS < 90. Also, 90% of patients in our study received unrelated donor transplants. Furthermore, the proportion of unrelated donor transplants that were well matched was only about 60%, compared to a higher proportion of well-matched unrelated donors in other studies.^{22,23} Another significant difference is that our study cohort was almost a decade older than patients in most prior studies.

The risk for lymphoma progression/relapse was 31% (95% CI, 25%–36%) at one year, increasing to 36% (95% CI, 30%–42%) at five years. These data are similar to other studies.^{23,25} The major risk factor correlated with risk of lymphoma progression/relapse was a shorter time interval between autologous and allogeneic transplantation, likely a surrogate

for a short time to relapse after autotransplant. In multivariate analyses, superior KPS, longer interval between autologous and allogeneic transplantation, the use of TBI and more favorable disease status at the time of transplantation correlated with superior PFS. As in previous studies, disease status at the time of allotransplant correlated with PFS. Patients with PIF (who had never achieved a prior CR) had the highest risk of treatment failure.^{7,23,26} In prior studies, these patients were excluded or had worse outcomes.^{22,27} Interestingly, the use of TBI for conditioning substantially improved PFS, which is consistent with our prior study of myeloablative allotransplants in this setting.⁶ Use of TBI was also found to decrease the rate of recurrence in a prior CIBMTR study of follicular lymphomas.⁸ The quantitative risk model we describe is predictive of progression free survival and helps define the risks and benefits of allogeneic transplantation in this setting in practice.

Most previous studies had limited statistical power to detect differences in outcomes between lymphoma subtypes. The survival of patients with DLBCL, follicular and mantle cell lymphoma was similar in our study. Although we found shorter PFS in patients with histological transformation of follicular lymphoma, it did not translate into shorter overall survival.

The use of lower-intensity allotransplants is predicated on a GVL effect. However, it has been difficult to consistently detect a GVL effect in this setting.^{8,9} In our study, persons with grade 2 acute GVHD were less likely to develop lymphoma progression/relapse, but this effect was not significant in multivariate analysis. Mohty et al, in a small study, reported a correlation between the acute GVHD and lymphoma relapse.¹² Others reported a correlation between chronic GVHD lymphoma progression/relapse, while the EBMT study did not demonstrate a beneficial effect of either acute or chronic GvHD.^{23–25} In aggregate, these data do not support the presence of a strong, consistent GVL effect in this population of patients with advanced relapsed NHL.

Our study has several limitations. The time interval between autotransplant and relapse, and the time to allotransplant following relapse, are relevant disease-related variables that were not available to us. Instead, we used the time interval between autotransplant and allotransplant as a surrogate incorporating both time intervals. Furthermore, our study population does not include all patients who relapsed after an autotransplant and were eligible for RIC/NST allotransplant. In fact, only a minority of patients relapsing after autotransplant undergoes allotransplantation. The reasons are beyond the scope of our analysis, but may relate to the failure of salvage therapies for NHL relapse, early mortality after relapse, ineligibility for allotransplant, or patient/physician choices. Our results are only applicable to NHL patients who receive an allogeneic transplant.

The survival of patients with NHL who relapse after autotransplant is poor.^{28,29} Our previous study reported only a 5% PFS five years after myeloablative allotransplant for patients failing an autotransplant.⁶ Myeloablative conditioning in this setting has been largely abandoned in favor of lower-intensity conditioning regimens, as illustrated by this study and the recent EBMT report.²⁴ Relapse or progression of NHL in this cohort of advanced, high-risk patients who underwent lower intensity allogeneic transplantation was 36% at five years, with the vast majority of relapses happening within the first year after transplantation. However, NRM was also high, contributing to the five-year PFS of 17% and overall survival of 27%. More effective and less toxic conditioning regimens as well as post transplant anti-lymphoma therapy need to be developed to improve these outcomes since the most common causes of failure were disease progression and NRM. Despite these sobering results, our risk model based on pretransplant characteristics defines a subset of patients that can benefit from lower intensity allogeneic transplantation after autologous transplant

failures. Patients with late relapses, superior KPS and controlled disease are especially likely to benefit from this approach and they should be considered for this modality.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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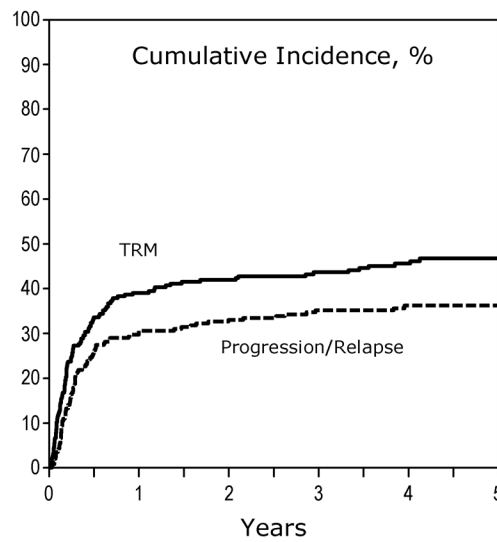
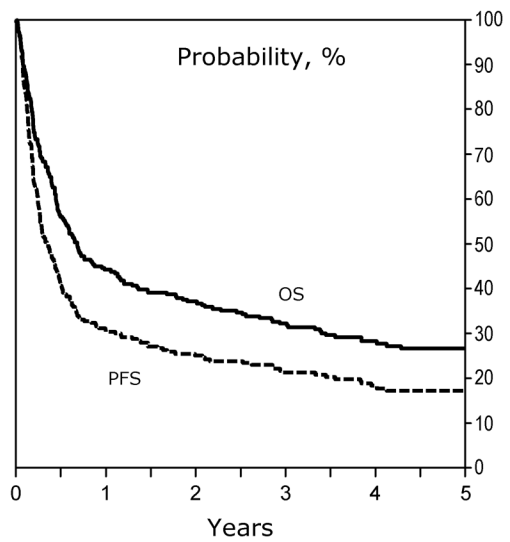
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Figure 1a**Figure 1b****Figure 1.**

a) Cumulative incidence of NRM and disease progression after RIC/NST in patients who experience relapse after auto-HSCT for NHL. b) Probabilities of PFS and OS after RIC/NST in patients who experienced relapse after auto-HSCT for NHL.

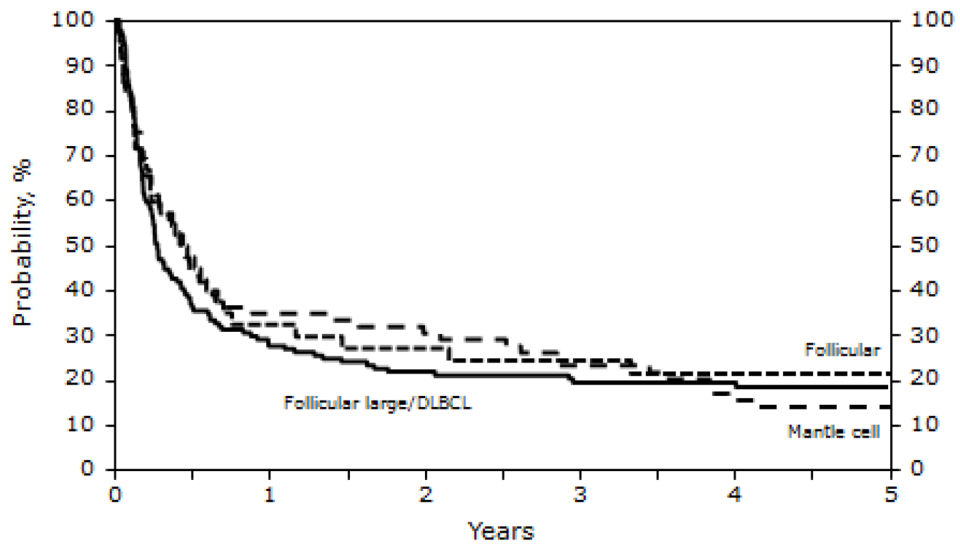


Figure 2. Probability of progression-free survival after RIC/NST in patients who experienced relapse after auto-HSCT for NHL, according to histology at the time of RIC/NST.

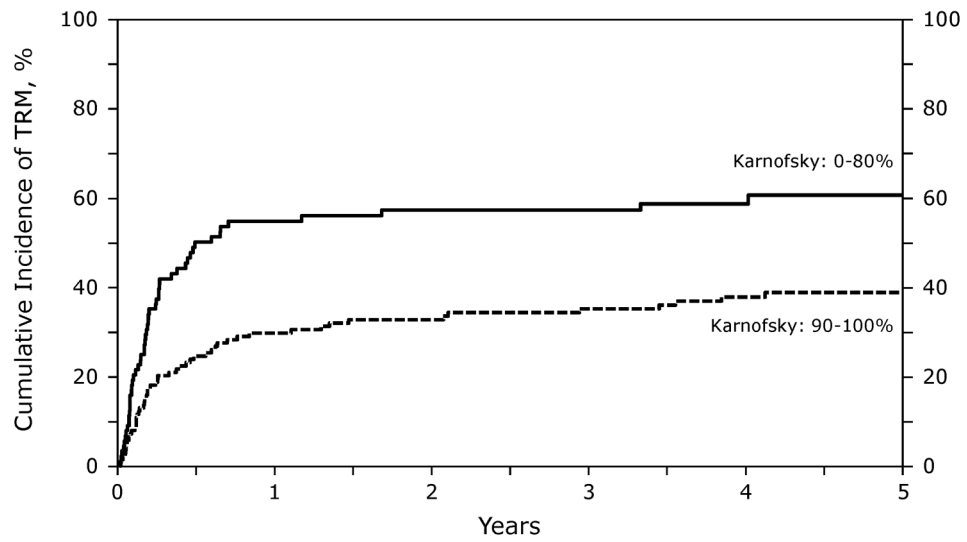


Figure 3. Probability of NRM after RIC/NST according to KPS in patients who experienced relapse after auto-HSCT for NHL.

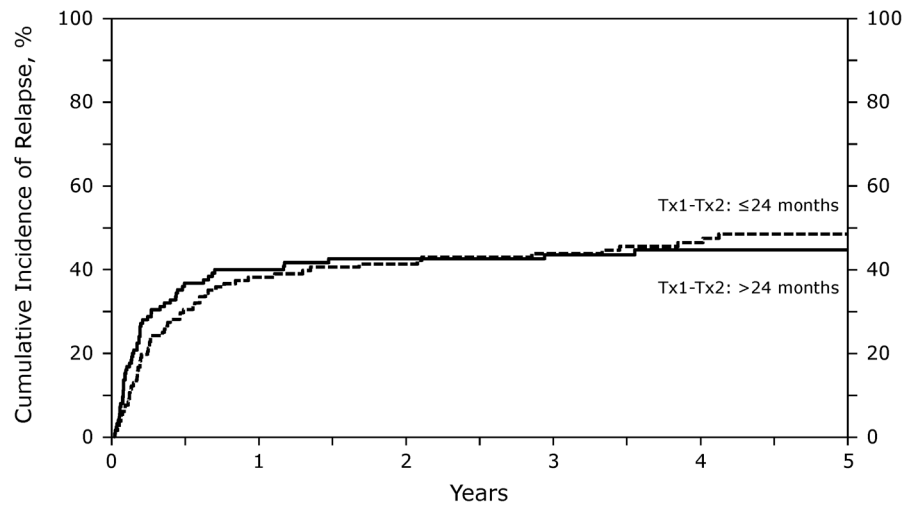


Figure 4. Probability of relapse after RIC/NST in patients who experience relapse after auto-HSCT for NHL, according to time interval between transplants

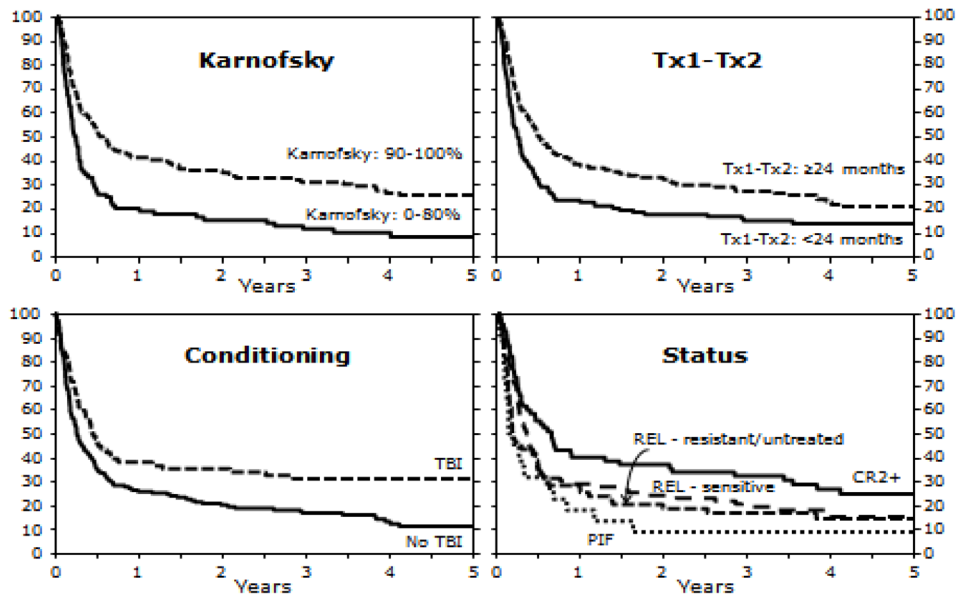


Figure 5. Probability of PFS after RIC/NST in patients who experienced relapse after auto-HSCT for NHL according to KPS, interval between auto-HSCT and RIC/NST, use of TBI as part of conditioning regimen, and disease status at the time of RIC/NST.

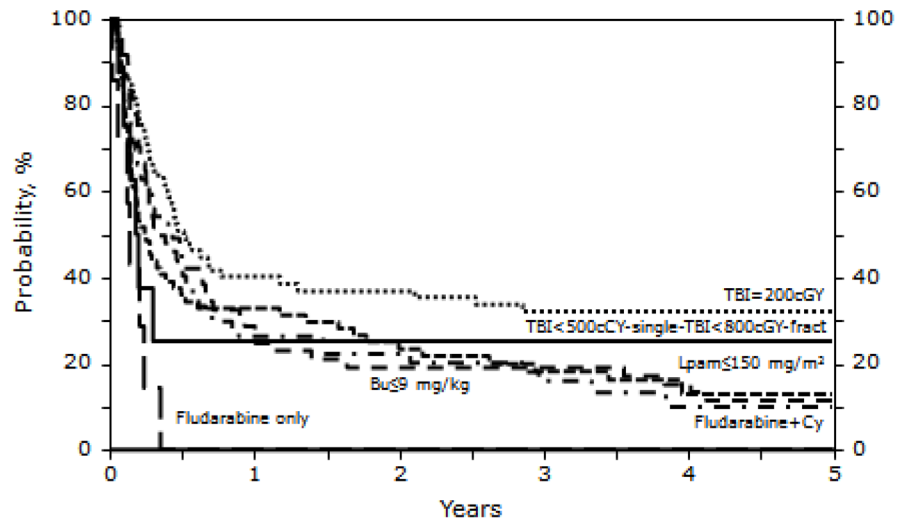


Figure 6. Probability of PFS after RIC/NST in patients who experienced relapse after auto-HSCT for NHL according to conditioning regimen.

Table 1

Patient-, disease- and transplant-related characteristics

Variable	N (%)
Number of patients	263
Age at allotransplant, median (range), years	52 (23–70)
Age at allotransplant, years	
21–30	14 (5)
31–40	34 (13)
41–50	71 (27)
51–60	107 (41)
61	37 (14)
Male sex	168 (64)
Karnofsky score at allotransplant	
< 90	89 (34)
Histology at allotransplant	
Follicular large/DLBCL	147 (56)
Follicular	44 (17)
Mantle cell	72 (27)
Histologic transformation after diagnosis	57 (22)
Time from diagnosis to first autotransplant, median (range), months	19 (2–278)
Time from auto- to allotransplant, median (range), months	25 (4–159)
Time from auto- to allotransplant, months	
<12	52 (20)
12–24	80 (30)
>24	131 (50)
Disease status at allotransplant	
CR2+	67 (27)
PIF (never in CR)	22 (9)
REL-sensitive	90 (36)
REL-resistant	58 (23)
REL-unknown/untreated	14 (6)
Chemosensitivity disease at allotransplant	
Sensitive	159 (63)
Others	104 (37)
Donor type	
Related	26 (10)
Unrelated	237 (90)
Donor/Recipient gender match	
M-M	112 (43)
M-F	54 (21)
F-M	56 (21)
F-F	41 (16)

Variable	N (%)
Donor/Recipient CMV status	
+/+	50 (19)
+/-	23 (9)
-/+	90 (34)
-/-	87 (33)
Not tested/inconclusive	11 (4)
Conditioning regimen allotransplant	
Low dose TBI based (< 500 cGy)	9 (3)
Melphalan dose 150 mg/m ²	65 (25)
Busulfan dose 9 mg/kg	54 (21)
TBI dose=200 cGy	66 (25)
Fludarabine + Cyclophosphamide	62 (24)
Fludarabine only	7 (3)
Conditioning regimen at 2 nd transplant	
Reduced-intensity (RIC)	128 (49)
Non-myeloablative (NST)	135 (51)
Rituximab pre-allotransplant	195 (74)
Type of donor	
Well-matched	150 (57)
Partially matched	69 (26)
Mismatched	12 (5)
Unrelated, matching unknown	6 (2)
Related	26 (10)
Graft source	
Bone marrow	56 (21)
Peripheral blood	207 (79)
Year of allotransplant	
1996–1997	2 (1)
1998–1999	8 (3)
2000–2001	41 (16)
2002–2003	71 (27)
2004–2006	141 (54)
GVHD prophylaxis at allotransplant	
MTX + CsA ± other	35 (13)
CsA ± other	96 (37)
MTX + FK506 ± other	72 (27)
FK506 ± other	51 (19)
T-cell depletion ± other	4 (2)
Other/unspecified	5 (2)
Donor lymphocyte infusion after allotransplant ^d	17 (6)
Median follow-up of survivors, months	68 (3–111)

Abbreviations: DLCL=diffuse large cell lymphoma; CR=complete remission; PIF=primary induction failure; REL=relapse; CMV=cytomegalovirus; GVHD=graft-versus-host-disease; MTX=methotrexate; CsA =cyclosporine; FK506=tacrolimus; EVAL=evaluable

^a5 (29%) are alive and 12 (71%) are dead. Sixteen patients (95%) relapse/progressed after 2nd transplant. Completeness index follow up=90%.

Table 2

Univariate outcome probabilities

Outcome event	Prob. (95% CI)^a
30 day mortality	10 (7–15)
100 day mortality	30 (25–36)
Absolute neutrophil count > $0.5 \times 10^9/L$	
@ 28 days	91 (87–95)
@ 100 days	95 (92–97)
Acute GVHD @ 100 days, grades (2–4)	39 (34–45)
Chronic GVHD	
@ 1 year	37 (31–43)
@ 3 years	40 (34–46)
@ 5 years	40 (34–46)
NRM	
@ 1 year	39 (33–45)
@ 3 years	44 (37–50)
@ 5 years	47 (40–53)
Progression/relapse	
@ 1 year	31 (25–36)
@ 3 years	35 (29–41)
@ 5 years	36 (30–42)
PFS	
@ 1 year	30 (25–36)
@ 3 years	21 (16–27)
@ 5 years	17 (13–22)
Overall survival	
@ 1 year	44 (38–50)
@ 3 years	32 (27–38)
@ 5 years	27 (21–32)

^aProbabilities of neutrophil, acute and chronic GVHD, NRM, and progression/relapse were calculated using the cumulative incidence estimate. 100-day mortality, PFS and overall survival were calculated using the Kaplan-Meier product limit estimate.

Table 3

Multivariate analysis for progression-free survival

Variables:	N	Relative Risk of relapse/progression or death (95% CI)	P-value
Karnofsky score			
90	138	1.00	
<90	119	1.78 (1.33 – 2.40)	<0.001
Time from auto to allotransplant			
>24 months	128	1.00	
24 months	129	1.49 (1.13 – 1.96)	0.004
Conditioning regimen allotransplant			
TBI-based	73	1.00	
Non-TBI	184	1.66 (1.20 – 2.29)	0.002
Disease status at allotransplant*			$P_{\text{overall}}=0.043$
(1) CR2+	67	1.00	
(2) Relapse	156	1.26 (0.90 – 1.75)	0.177
(3) PIF	22	1.89 (1.12 – 3.18)	0.017
(4) Unknown	12	0.75 (0.37 – 1.51)	0.418

Abbreviations: CI = confidence interval.

Table 4

Risk Factor model for progression-free survival

Combination of Variables:	Relative Risk of relapse/progression or death (95% CI)
KPS <90 + PIF at allotransplant+ Time between transplants \geq 24 months + Non-TBI based conditioning	8.32 (4.00–17.33)
KPS <90 + PIF at allotransplant + Non-TBI based conditioning	5.58 (2.82–11.04)
KPS <90 + PIF at allotransplant	3.36 (1.84–6.13)
Time between transplants \geq 24 months + Non-TBI-based conditioning	2.47 (1.61–3.81)

Table 5

Causes of death

Causes of death	N eval	N (%)
Number of patients	194	
Primary disease	50	(26)
GVHD	23	(12)
Pulmonary syndrome	11	(6)
Infection	33	(17)
Organ failure	32	(16)
Hemorrhage	5	(3)
New malignancy	2	(1)
Vascular	2	(1)
Unknown	36	(19)