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## The Jekyll-and-Hyde chemistry of *Phaeobacter gallaeciensis*

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### Abstract

*Emiliana huxleyi*, an environmentally important marine microalga, has a bloom- and-bust lifestyle in which massive algal blooms appear and fade. *Phaeobacter gallaeciensis* belongs to the roseobacter clade of  $\alpha$ -Proteobacteria, whose populations wax and wane with that of *E. huxleyi*. Roseobacter are thought to promote algal growth by biosynthesizing and secreting antibiotics and growth stimulants (auxins). Here we show that *P. gallaeciensis* switches its secreted small molecule metabolism to the production of potent and selective algaecides, the roseobactinoids, in response to p-coumaric acid, an algal lignin breakdown product that is symptomatic of aging algae. This switch converts *P. gallaeciensis* into an opportunistic pathogen of its algal host.

While bacteria use a vast repertoire of small molecules to sense and respond to the world around them, relatively few of these molecular signals and biosynthetic responses have been characterized in detail<sup>1–4</sup>. Most of the responsible molecules remain to be discovered, and these discoveries can lead to interesting chemical and biological insights<sup>5–7</sup>. Studying the intimate associations (symbioses) between bacteria and other organisms can be especially revealing, as the participants will have frequently evolved a distinctive set of molecules with specialized functions<sup>8</sup>. Some of the most abundant and least investigated of these symbioses occur in the oceans between members of the roseobacter clade and microscopic algae<sup>9</sup>.

*Emiliana huxleyi*, a microscopic alga covered with elaborate CaCO<sub>3</sub> disks, drifts freely in the sunlit layers of the ocean where it and related marine phytoplankton photosynthesize nearly half of the planet's O<sub>2</sub><sup>10</sup>. In addition to producing O<sub>2</sub> and reduced organic molecules, *E. huxleyi* plays an important role in global carbon cycling by removing CO<sub>2</sub> from the ocean and sequestering it as CaCO<sub>3</sub><sup>11,12</sup>. *E. huxleyi* can be found in most of the world's oceans, and often forms massive blooms (>10<sup>5</sup> km<sup>2</sup>) with more than 10<sup>6</sup> cells/L, which because of their size and the reflectivity of CaCO<sub>3</sub> in their coccoliths, can be easily detected by satellites<sup>13–15</sup>. During a bloom, *E. huxleyi* can account for 80–90% of the phytoplankton cells in the area, and bacteria belonging to the roseobacter clade, a diverse group within the  $\alpha$ -Proteobacteria, account for up to 60% of the bacterial community<sup>9,16</sup>. Because of the correlation between the microalgal blooms and roseobacter predominance, associations between the two are thought likely.

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### Author Contributions

M.R.S., R.J.C., R.K. and J.C. designed experiments and wrote the manuscript. M.R.S. performed roseobactinoid isolation, structure elucidation and antibacterial assays, R.J.C. performed microscopy and flow cytometry for antialgal activity assays.

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Recent studies have shown that microalgae and roseobacter can be attracted to or repelled by each other, which suggests an intermittent symbiosis<sup>17–21</sup>. Since many microalgae cannot grow normally in marine settings without bacterial symbionts, bacterial colonization of microalgae clearly has a beneficial role<sup>22,23</sup>. The molecular basis of the bacterially conferred benefit is not well understood, but some studies suggest that roseobacter metabolites may be antibiotics and auxins that suppress the growth of potentially parasitic bacteria and promote algal growth, respectively<sup>20,24–27</sup>. The microalgae, in turn, could contribute nutrients and a suitable surface for roseobacter colonization and biofilm formation as roseobacters have host-associated lifestyles<sup>28</sup>. In one such example, the roseobacter species, *Phaeobacter gallaeciensis*, which associates with marine eukaryotes including algae<sup>20,29</sup>, produces the auxin phenylacetic acid (Fig. 1a, 1), and the potent broad spectrum antibiotics tropodithetic acid (TDA, Fig. 1a, 2) and its valence tautomer thiotropocin (Fig. 1a, 3)<sup>24,25,30</sup>. How this apparently mutualistic *P. gallaeciensis*-microalga symbiosis changes as algal growth inevitably wanes is unknown<sup>31,32</sup>. Here we report that p-coumaric acid (pCA, Fig. 1a, 4) a small molecule generated by *E. huxleyi* induces *P. gallaeciensis* BS107 to produce potent but selective algaecides, which we have named roseobactocides A and B. Roseobactocide biosynthesis likely involves an alternative use of the compounds employed in antibiotic and auxin production and transforms a bacterial mutualist into an opportunistic pathogen.

## Results and discussion

We thought it likely that the algal-bacterial symbiosis would change as the microalgae aged. As algae senesce, their cell walls deteriorate and breakdown products are released into the surrounding medium. Since lignin and lignin components were recently identified in red, green and brown algal cells, lignin breakdown products such as p-coumaric acid (pCA, Fig. 1a, 4), which could indicate algal senescence, were tested as signals<sup>33–35</sup>. In addition to serving as a small molecule proxy of algal health, pCA has also been shown to be taken up by diverse bacteria – including the roseobacter *Silicibacter pomeroyi* – and used to biosynthesize p-coumaroyl-homoserine lactone (pCA-HSL, Fig. 1a, 5), a hybrid signaling molecule that can globally regulate gene expression<sup>36</sup>.

Bioinformatic and chemical analyses were employed to assess pCA biosynthesis in *E. huxleyi*. Bioinformatic analysis of the incomplete *E. huxleyi* genome (strain CCMP1516) showed orthologs for all five enzymes required to make H lignin from phenylalanine via pCA (Supplementary Table S1)<sup>37,38</sup>. The activity and function of these enzymes were confirmed by HPLC-MS analysis in two different *E. huxleyi* strains (CCMP1516 and CCMP372), which revealed pCA as a major secreted metabolite in these cultures (Supplementary Fig. S1). *E. huxleyi* is the first haptophyte that has been shown to produce lignin-like compounds.

We cultured three roseobacter strains – *S. pomeroyi* DSS-3, *Ruegeria sp.* R11, and *P. gallaeciensis* BS107 – in the presence of varying pCA concentrations (0.1–3 mM). At various time points, the cultures were extracted with ethyl acetate and analyzed by HPLC-MS (see Supplementary Methods). The HPLC-MS profiles from *S. pomeroyi* DSS-3 and *Ruegeria sp.* R11 cultures showed no significant pCA-dependent changes (Supplementary Fig. S2). However, in *P. gallaeciensis* BS107, pCA (1 mM) stimulated the production of a family of compounds characterized by a broad 430 nm peak in the UV-visible spectrum (Fig. 1b). In the absence of pCA, these compounds were not produced by *P. gallaeciensis* under any of the conditions we investigated (see Supplementary Methods). In addition, pCA regulated the production of only selected compounds; it did not, for example, affect TDA (2) production by *P. gallaeciensis* BS107 (Supplementary Fig. S3).

To examine the nature of the compounds induced in the presence of pCA further, two compounds were purified to homogeneity from 4 L cultures and named roseobacticide A (1.2 mg) and roseobacticide B (0.2 mg). High resolution MS analysis indicated molecular formulas of  $C_{16}H_{12}O_3S$  and  $C_{16}H_{12}O_2S$  for roseobacticide A and B, respectively. The low H/C ratio of 0.75 complicated structural elucidation by NMR methods. Consequently, roseobacticide A was crystallized by slow evaporation from  $CH_2Cl_2$ /hexanes and structurally characterized by single crystal X-ray diffraction analysis (Supplementary Table S2). This analysis provided the backbone connectivity, but some ambiguities in distinguishing atom types remained. The complete structure of roseobacticide A was finally solved by interpreting  $^1H$ ,  $^{13}C$ , gCOSY, gHSQC and gHMBC spectra (Supplementary Fig. S4 & Table S3) in light of the template provided by the X-ray analysis (Fig. 2a, **6**). With the structure of roseobacticide A in hand, the structure of roseobacticide B was easily characterized by analyzing 1-D and 2-D NMR data (Fig. 2b, **7**; Supplementary Fig. S5 & Table S4). The structures of roseobacticides A and B have no close relatives among known natural products or synthetic molecules. They share a 1-oxaazulan-2-one bicyclic core with an 7-thiomethyl group and a 3-phenyl or 3-(p-hydroxyphenyl) substituent. The bicyclic part of roseobacticide A is planar, and its plane is rotated  $33^\circ$  around the  $C_3-C_{11}$  bond relative to the plane of the p-hydroxyphenyl substituent (Fig. 2a).

To assess pCA's ability to elicit roseobacticide production, a dose-response analysis of the amount of **6** as a function of pCA concentration was carried out (Fig. 2c). Below 0.4 mM, no roseobacticides are produced. At and above 1.2 mM, production of **6** no longer increases indicating a switch-like regulation of roseobacticide production. The effective concentration of pCA within the biofilm between *P. gallaeciensis* and its host in an aging culture is difficult to estimate given the limited diffusion in this tiny region, but it certainly exceeds bulk solution measurements. In 10-day old *E. huxleyi* cultures (strain CCMP1516) grown in the laboratory, pCA concentration in bulk solution was  $\sim 25 \mu M$  (see Supplementary Fig. S1), but near the algal surface, it could be substantially higher.

How is the unusual roseobacticide core biosynthesized? Recent reports have noted that tropone, phenylacetic acid and methanethiol are all produced by *P. gallaeciensis* BS107, and these building blocks suggest a model for the biosynthesis of **7** (Fig. 2d) that could guide future studies<sup>25</sup>. In this model, tropone undergoes a favored 1,8-addition of the enolate of phenylacetyl-CoA, to give intermediate **8**, followed by lactonization and release of CoA to yield intermediate **9**, a formal [8+2] annulation product of the starting substrates<sup>39,40</sup>. A two-electron oxidation of **9** to **10**, followed by 1,8-addition of methanethiol to  $C_7$  and another two-electron oxidation yields **7**.

The molecules so far uncovered in this symbiosis are a tribute to phenylalanine's versatility, as tropone and phenylacetic acid, likely precursors of the roseobacticides, are biosynthesized from phenylalanine, as is pCA, the induction signal, and virtually all the components of cell wall lignin. The conversion of Phe to tropone and to phenylacetic acid has been well-documented<sup>25,41</sup>. The known pathway from Phe to pCA involves Phe ammonia lyase (PAL) and cinnamic acid hydroxylase<sup>42,43</sup>. PAL has also been shown to reversibly transform pCA to Tyr<sup>42</sup>. An interesting feature of the biosynthetic pathway would be the use of exogenous pCA in the biosynthesis of **6** in analogy to the formation of pCA-containing acylhomoserine lactone signals by other bacteria (Fig. 1, **5**).<sup>36</sup> While the *P. gallaeciensis* genome does not encode a recognizable PAL homologue, there could be a functionally equivalent enzyme. Alternatively, pCA could be the signal, or part of a hybrid signal such as pCA-HSL (**5**), that leads to induction of roseobacticide biosynthetic genes<sup>36,44</sup>. Experiments to address these issues are currently underway.

To determine possible roles for roseobacticides in mediating the roseobacter-algal symbiosis, the effects of roseobacticides on bacteria and microalgae were tested. The half-maximal inhibitory concentrations (IC<sub>50</sub> values) were determined for a panel of marine bacteria and *B. subtilis* 3610. No significant antibacterial activity was detected (IC<sub>50</sub> > 0.16 mM, Supplementary Table S5). However, assays against a diverse selection of microalgae (the Prymnesiophytes *E. huxleyi* and *Isochrysis* sp., the green alga *Tetraselmis suecica*, the diatom *Chaetoceros muelleri* and the cryptomonad *Rhodomonas salina*) showed that roseobacticides had specific and potent algaecidal activity. Incubation of *E. huxleyi* with **6** or **7** resulted in cell lysis after 24 h, with cellular damage visible after 12 h (Fig. 3, a–c). The loss of chloroplasts suggests that roseobacticides may be acting directly on the chloroplast or that they are inducing apoptosis causing loss of cellular integrity. The IC<sub>50</sub> values were determined by flow cytometry as it allowed thousands of cells to be rapidly counted for each concentration of roseobacticide tested, and **6** showed an IC<sub>50</sub> of ~2.2 μM and 0.10 μM against *E. huxleyi* and *R. salina*, respectively (Supplementary Fig. S6 & Table S5). The other three algal strains were less susceptible with IC<sub>50</sub> values > 35 μM, though *C. muelleri* showed major morphological changes upon incubation with **6** (Fig. 3, d–e). Because of the limited quantities of **7**, it was only tested against *E. huxleyi* yielding an IC<sub>50</sub> of 0.19 μM (Supplementary Fig. S6). Based on the recovered amounts of **6** and **7**, their bulk solution concentration in the 4 L cultures would be ~1 μM and 0.2 μM, respectively. However, the concentration encountered by the algal host with a bacterial biofilm cannot be accurately determined.

The production of pCA and dimethylsulfoniopropionate (DMSP) – a major algal metabolite that some roseobacters, including *P. gallaeciensis*, can use as a sole C- and S-source<sup>45</sup> – by other micro- and macroalgae, and by terrestrial plants found in the marine environment<sup>46</sup>, suggests that *P. gallaeciensis* may interact with a variety of hosts in the ocean. Although there is no direct evidence for a naturally-occurring *E. huxleyi*-*P. gallaeciensis* symbiosis, several lines of support argue that they may interact. Their geographic ranges overlap, as both have been isolated from the same sites (the North Sea and the Tasman Sea)<sup>29,47,48</sup>. In addition, *E. huxleyi* is a prolific producer of DMSP<sup>49,50</sup>, which *P. gallaeciensis* can take up and transform<sup>45,51</sup>. Finally, the diverse primary and secondary metabolism of *P. gallaeciensis* and its quorum sensing and biofilm forming repertoire imply host interactions in agreement with the isolation of *Phaeobacter* spp. from a range of marine eukaryotes and algae.

The most parsimonious explanation for our findings is that there are two distinct phases in the *P. gallaeciensis*-algal interaction (Fig. 4). In the first phase, the young algal host is in a mutualistic association with *P. gallaeciensis* to which the bacteria contribute TDA antibiotics that protect the algal host from bacterial pathogens<sup>20</sup>, and phenylacetic acid that promotes algal growth<sup>25,26,52</sup>. In the alternative phase, increased concentrations of pCA, which could indicate elevated algal population density and/or algal senescence, signal *P. gallaeciensis* to produce roseobacticides from phenylacetic acid and the tropone precursor in TDA biosynthesis – a shift from growth- and health-promoting small molecules to toxins. This shift from mutualism to pathogenesis may occur to allow *P. gallaeciensis* rapid access to the plentiful food sources provided by the aging algal cells and allow the bacteria to rapidly dissociate from a dying host, disperse, and re-associate with healthy microalgae elsewhere. The roseobacter abundance observed at the conclusion of microalgal blooms supports both scenarios<sup>9,53</sup>.

## Materials and Methods

### General procedures

$^1\text{H}$ ,  $^{13}\text{C}$  and 2-D NMR spectra for **6** and **7** were recorded in the inverse-detection probe of a Varian Inova spectrometer (600 MHz for  $^1\text{H}$ , 150 MHz for  $^{13}\text{C}$ ).  $^{13}\text{C}$  NMR spectra were recorded on the same instrument with a broad-band probe. The 1-D/2-D NMR spectra for **6** and **7** were collected in a 3 mm Norell Select Series NMR tube (Sigma Aldrich) and a 1.7 mm NMR Capillary tube (Wilmad), respectively.

### Cultivation of Roseobacter strains and HPLC-MS analysis

Roseobacter (*Phaeobacter gallaeciensis* BS107, *Ruegeria* sp. R11 and *Silicibacter pomeroyi* DSS3) and other marine bacterial strains (see Supplementary Table S5) were streaked out from frozen stocks and maintained on Marine Broth (Difco 2216) supplemented with 1.5% agar and incubated at 30°C for two days. A 50 mL test tube containing 5 mL of ½ strength yeast extract, tryptone and sea salt medium (YTSS, per L: 2 g yeast extract, 1.25 g tryptone, 20 g sea salt) was inoculated with each strain and grown overnight at 30°C on a horizontal rotating drum fermenter. A 500 mL Erlenmeyer flask containing 50 mL of YTSS medium and 0–3 mM pCA was inoculated with 0.5 mL of the overnight culture and incubated at 160 rpm and 30°C for 3 d. After 3 d, each culture was extracted once with an equal volume of ethyl acetate, dried in vacuo, resuspended in methanol and analyzed by HPLC-MS as described in Supplementary Methods.

### Purification of roseobactin A and B

The purification of **6** and **7** was carried out in the dark or under dim light. Four L of YTSS medium containing *P. gallaeciensis* BS107 and 1 mM pCA were cultured as described above. After 3 d, the culture was extracted once with an equal volume of ethyl acetate. The extract was dried over  $\text{Na}_2\text{SO}_4$  and evaporated to dryness in vacuo. The residue was dissolved in  $\text{CH}_2\text{Cl}_2$  and fractionated by flash silica gel chromatography (40 g, d=2.5 cm, l=20 cm). Roseobactins were eluted with a gradient of 0–20% MeOH in  $\text{CH}_2\text{Cl}_2$ . The fractions containing roseobactins, as monitored by the distinct broad 430 nm peak by UV-visible spectroscopy, were pooled, dried in vacuo, and purified further by reverse phase HPLC on a preparative Phenomenex C18 column (5  $\mu\text{m}$ , 21.2  $\times$  250 mm) operating at 12 mL/min using an isocratic elution of 35 % MeCN in  $\text{H}_2\text{O}$ , followed by a gradient of 35–100 % MeCN in  $\text{H}_2\text{O}$  over 40 min. **6** and **7** were then purified further using a semi-preparative Supelco Discovery HS C18 column (10  $\mu\text{m}$ , 10  $\times$  250 mm) operating at 3 mL/min with a gradient of 30–100 % MeOH in  $\text{H}_2\text{O}$  over 35 min. They were further purified to homogeneity by reapplication onto the Supelco Discovery HS C18 column and elution with the same gradient. This procedure yielded 1.2 mg and 0.2 mg of **6** and **7**, respectively.

### Roseobactin A

TLC ( $\text{CH}_2\text{Cl}_2$ :MeOH, 92:8 v/v):  $R_f = 0.55$ ; UV/vis  $\chi_{\text{max}}$  262 nm, 432 nm; HRMS (m/z):  $[\text{M}]^+$  calcd. for  $\text{C}_{16}\text{H}_{12}\text{O}_3\text{S}$ , 285.0585; found 285.0578. See Supplementary Table S3 for NMR data. **Roseobactin B**. TLC ( $\text{CH}_2\text{Cl}_2$ :MeOH, 92:8 v/v):  $R_f = 0.58$ ; UV/vis  $\chi_{\text{max}}$  262 nm, 316 nm, 430 nm; HRMS (m/z):  $[\text{M}]^+$  calcd. for  $\text{C}_{16}\text{H}_{12}\text{O}_2\text{S}$ , 269.0637; found 269.0635. See Supplementary Table S4 for NMR data.

### Assays of roseobactin A and B against selected algal strains

The algacidal effect of **6** and **7** was tested against five axenic microalgae (the Prymnesiophytes *Emiliania huxleyi* (CCMP372) and *Isochrysis* sp. (CCMP468), the green alga *Tetraselmis suecica* (CCMP908), the diatom *Chaetoceros muelleri* (CCMP1318) and the cryptomonad *Rhodomonas salina* (CCMP1319)). All strains were grown on L1 media

except for CCMP1318, which was grown in L1+Si. All strains were maintained at 24 °C under 12 h:12 h light:dark illumination. To perform assays, algal cultures were diluted 1:1 with the medium they were grown in, then 1 mL aliquots were placed in a 48 well microtitre plate and treated with  $10^{-2}$ – $10^{-9}$  mg/mL of **6** or **7**, or alternatively with MeOH solvent, or medium (as controls) and incubated for 24 h at 24 °C under 12 h: 12 h light:dark illumination. Each treatment was monitored microscopically at 2, 12 and 24 h. Cell counts were determined by flow cytometry and compared to the solvent control.

### Flow cytometry

For flow cytometry counts, duplicate 0.5 ml samples were fixed with 0.125% (vol/vol) glutaraldehyde in the dark for 10 min. Cells were then frozen in an ethanol dry ice bath and stored at –80 °C until analyzed. Cell concentrations were measured with an EPICS flow cytometer using red fluorescence and forward angle light scattering properties to identify the cells as previously described<sup>54</sup>.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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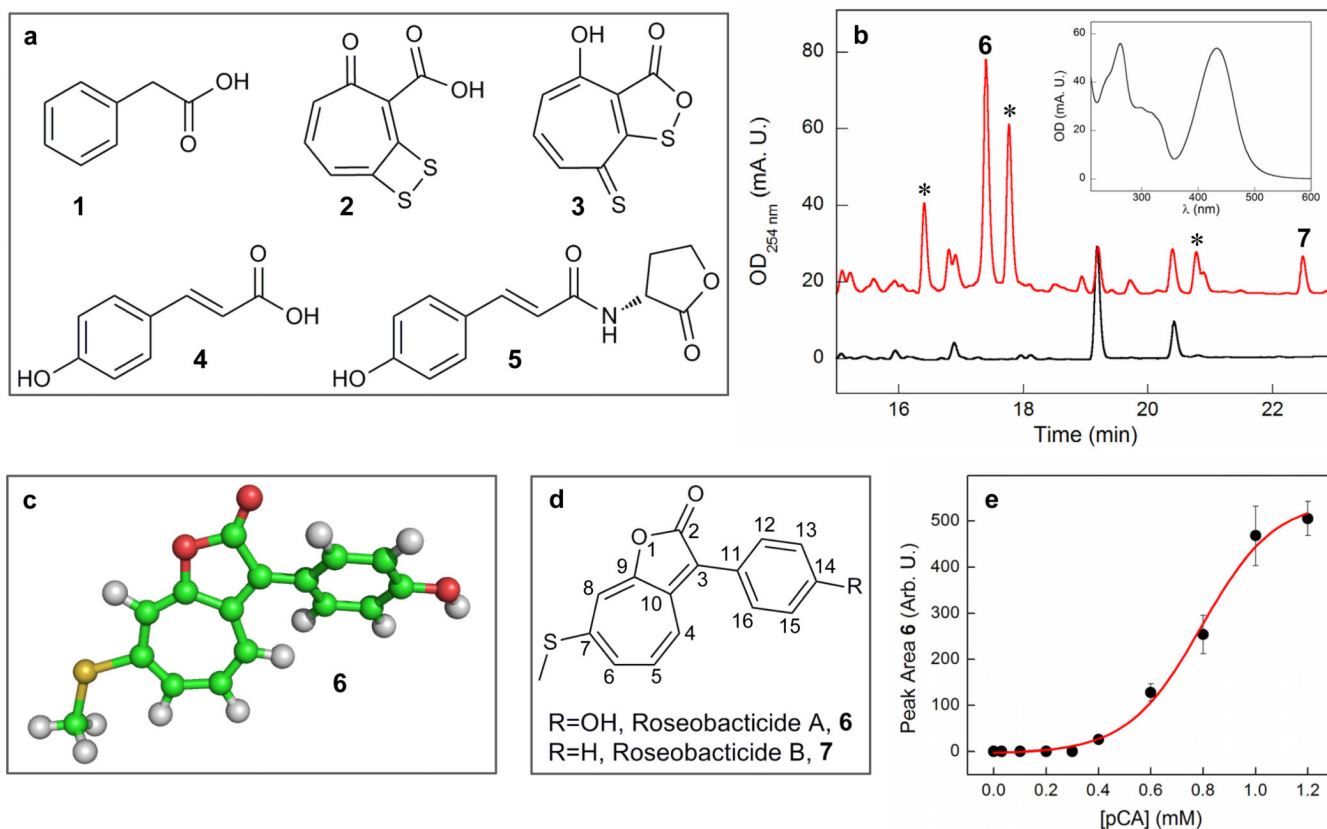
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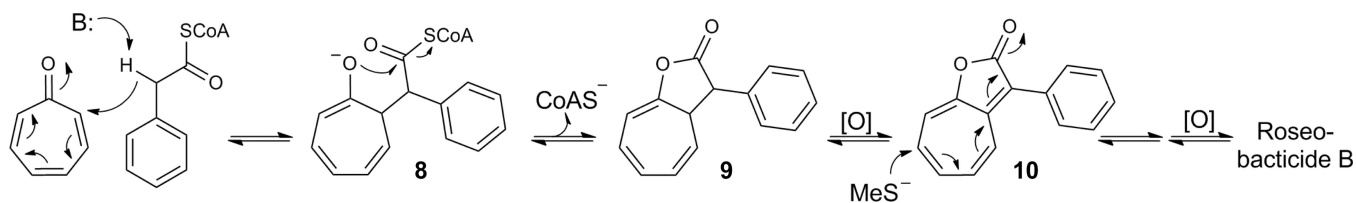
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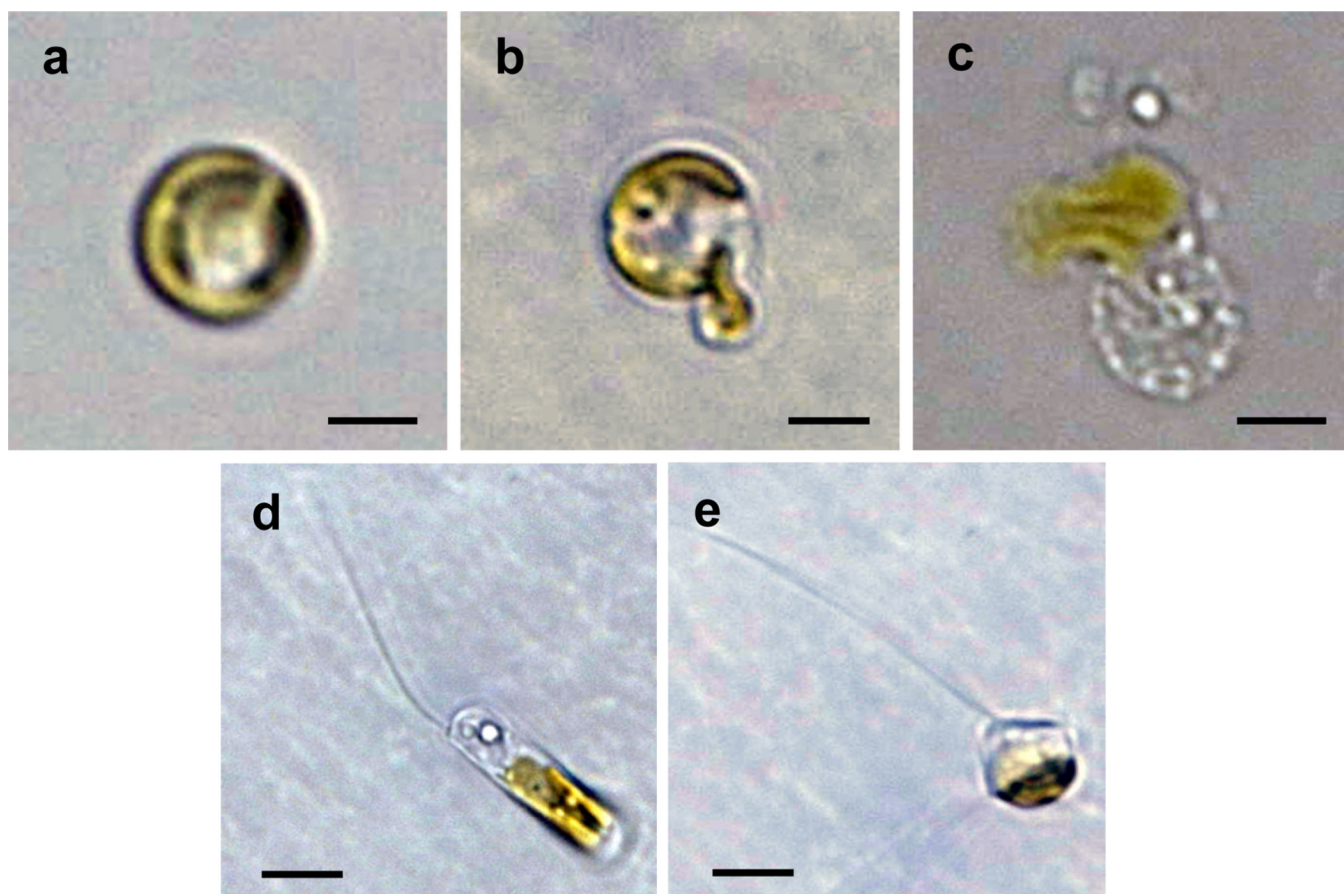
**Figure 1.**

Effect of pCA on secondary metabolites produced by *P. gallaeciensis*. **(a)** Structures of phenylacetic acid (**1**), TDA (**2**), its valence tautomer (**3**), pCA (**4**) and pCA-HSL (**5**). **(b)** HPLC-MS profile of the EtOAc extract of *P. gallaeciensis* BS107 cultures 72 h after inoculation in the absence (black trace) and presence (red trace) of 1 mM pCA. The starred peaks contain the 430 nm absorption feature typical for roseobactins; their structures have yet to be determined. Inset, UV-visible absorbance spectrum of **6**. **(c)** Crystal structure of **6** solved to 0.82 Å resolution. **(d)** Structures of **6** and **7** and their numbering schemes. **(e)** Dose response of **6** as a function of [pCA]. Each point is the average of two independent measurements. The red line is a dose-response fit and yields an EC<sub>50</sub> of 0.79 ± 0.03 mM (see Supplementary Methods for details).

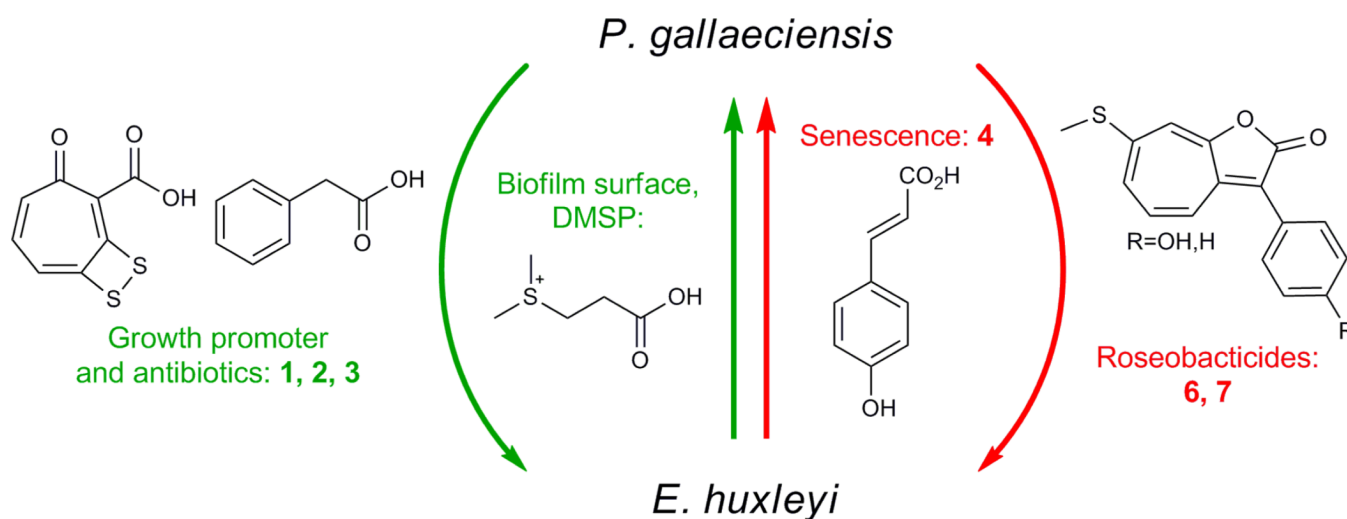


**Figure 2.**

A proposed biosynthesis for roseobacticides. Addition of the enolate of phenylacetyl CoA to the C2 of tropone gives **8**, which after lactonization and release of CoA yields the [8+2] annulation product of the starting substrates (**9**). Oxidation, followed by 1,8-addition of MeSH to **10** and another oxidation gives **7**. Phenylacetyl CoA is derived from **1**, which along with tropone and MeSH have been shown to be produced by *P. gallaeciensis* BS107<sup>25</sup>. **6** may be obtained from a similar reaction scheme starting with the addition of p-hydroxyphenylacetyl CoA to tropone.



**Figure 3.** Activity of **6** against *E. huxleyi* and *C. muelleri*. (a–c) Light micrographs of *E. huxleyi* after exposure to methanol (solvent control) for 24 hr (a) or to 3.5  $\mu\text{M}$  **6** for 12 hr (b) or 24 hr (c). (d–e) Light micrographs of *C. muelleri* after 24 h exposure to methanol (solvent control, d) or to 3.5  $\mu\text{M}$  **6** (e). Panels a–e contain live samples viewed by light microscopy and are typical of these experiments; scale bar = 2  $\mu\text{m}$ .

**Figure 4.**

Proposed working model for the interaction between *E. huxleyi* and *P. gallaeciensis*. In the mutualistic phase (green), *E. huxleyi* is healthy and provides DMSP and a biofilm surface to *P. gallaeciensis*, which in turn produces algal growth promoters and antibiotics (1–3) to protect *E. huxleyi*. The interaction turns pathogenic (red) when *E. huxleyi* senesces and releases the algal breakdown product 4, which induces *P. gallaeciensis* to produce the potent algaecides 6 and 7.