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# Virulence of *Streptococcus pneumoniae* serotype 6C in experimental otitis media

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#### Abstract

Increases in colonization with serotypes of *Streptococcus pneumoniae* not contained within the 7valent pneumococcal conjugate vaccine (PCV) have been reported among children following introduction. Serotype 6C has emerged as prevalent in nasopharyngeal colonization and acute otitis media (AOM), though it is uncommonly recovered from children with invasive pneumococcal disease. Vaccine serotypes within PCV7 have been replaced by nonvaccine serotypes without significant changes in the overall carriage rate. We hypothesize 1) that serotypes vary in their ability to evade host defenses and establish AOM following colonization and 2) the observed reduction in pneumococcal otitis results from a reduced disease potential by some 'replacement serotypes'. We compared the capacity of *S. pneumoniae* serotypes 6C and 19A to produce experimental otitis media (EOM) in a chinchilla model. The proportion of chinchillas that developed culture positive EOM and density of middle ear infection was evaluated. EOM was found in 28/82 (34%) ears challenged with 6C compared to 13/18(72.2%) with 19A [p=0.0003]. When disease due to 6C did occur, it was characterized by lowdensity infection. Our findings demonstrate that challenge with serotype 6C results in EOM less frequently than 19A. These data support the need for greater knowledge regarding differences among serotypes to produce AOM.

#### Keywords

Streptococcus pneumoniae; complement; virulence

#### 1. Introduction

A newly identified pneumococcal serotype, 6C, has emerged as one of the prevalent serotypes in the nasopharynx among children and adults in the US and Brazil [1] [2] [3] [4, 5]. These isolates were previously serotyped as 6A pneumococci by quellung reaction, but their capsule biosynthetic locus differs from that of serotype 6A pneumococci with a single substitution of a galactose unit with a glucose unit [6]. Factor 6d antisera as well as monoclonal antibodies can now distinguish serotype 6A and 6C isolates [7].

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Recent studies demonstrate an increase in prevalence of serotype 6C in the nasopharynx subsequent to the introduction of PCV7 [8]. In Massachusetts, approximately 3% of children < 7 yrs are colonized with serotype 6C [3]. Serotype 6C has been reported as one of the common serotypes causing pneumococcal otitis media in children with recurrent AOM or treatment failure [9]. Its importance as a cause of invasive pneumococcal disease (IPD) is less well established. In Spain and US, some researchers have observed a small increase in IPD, however it remains a relatively uncommon cause of IPD in children and adults [1, 2, 10–12]. Surveillance studies in Massachusetts identified serotype 6C in 0.9% of 557 IPD cases in children < 5 years between 2001 and 2011 [13]. Most recently Green and colleagues have reported 44 cases of IPD due to 6C between 2000 and 2009 [5]. In Brazil, Serotype 6C was recovered from 16 of 709 cases of pneumococcal meningitis over an 11 year period [2]. Serotype 19A is the most common serotype in the nasopharynx of Massachusetts children, especially sequence type (ST) ST199. ST199 has emerged as prevalent among pneumococcal isolates causing nasopharyngeal colonization, IPD and OM.[9, 14] [15-20]. Serotype 19A has been reported as the most common cause of pneumococcal otitis [9] consistent with our previous observation that colonization followed by barotraumas with

Despite the observations that overall pneumococcal colonization prevalence has not declined in children in the US and other countries [3, 23] [24], a substantial reduction in AOM has been reported [25, 26] (Abstract # 1343, presented at IDSA Oct,2011). We hypothesize that serotypes vary in their ability to evade host defenses and establish AOM following colonization and that the observed reduction in pneumococcal otitis results from a reduction in the potential for some of the 'replacement serotypes' to cause AOM following colonization (compared to PCV7 serotypes). Although this reduced potential will not be seen in each individual serotype, the overall distribution will result in a lower incidence of AOM following colonization. We compared the relative virulence of 6C and 19A in production of middle ear disease in a well established chinchilla experimental otitis media model Our goals were to evaluate difference in capacity to produce disease following colonization and to determine if such differences contribute to the reduction in pneumococcal AOM despite the unchanged prevalence of colonization following the introduction of PCV7.

serotype 19A resulted in frequent experimental otitis media in our model [21] [22].

#### 2. Materials and methods

#### 2.1 Bacterial isolates and growth conditions

Serotype 6C *S. pneumoniae* strains were isolated from patients with either invasive pneumococcal disease or asymptomatic nasopharyngeal (NP) carriage in Massachusetts. Colony morphology and optochin sensitivity were used to initially identify bacteria as *S. pneumoniae*. Six 6C strains were selected for further animal studies. These six isolates included four sequence types as well as carriage and invasive isolates. Sequence types 1292 and 1379 were the most common reported among 57 disease causing strains collected from 8 children's hospitals [5]; 1379 is also the most common among our collection of 6C isolates recovered from NP cultures in Massachusetts children (unpublished). ST 1390 and 1692 were chosen from our collection of carriage isolates. Serotypes 19A isolates, from clonal complex 199, were used for comparison. Characteristics of the eight strains used for animal studies are shown in Table 1. We have previously evaluated the 19A strains in our animal model [21, 22].

#### 2.2 Serotyping

Pneumococcal isolates were initially serotyped at our laboratory, using the quellung reaction with Danish antisera (Statens Seruminstitute, Copenhagen, Denmark). Initial identification of

serotype 6C was performed with factor 6d antiserum and additional confirmation of the serotype was provided by Dr. Moon Nahm's laboratory by inhibition ELISA using monoclonal antibodies Hyp6AG1 and Hyp6AM3 that specifically reacted with 6C capsule [7].

#### 2.3 Antibodies and complement reagents

Human complement used in the flow cytometry experiments was purchased from Sigma Chemical Company (St. Louis, MO). In some experiments, the alternative complement pathway was selectively activated by adding EGTA and  $Mg^{2+}$  to serum, both to a final concentration of 10 mM [27]. Heat inactivation of human complement (56 °C for 30 min) was used as a control in some experiments. Fluorescein isothiocyanate (FITC) - conjugated sheep anti-human C3c and anti-human C4 (Biodesign) were used in flow cytometry assays as described previously [28] [29]. Anti-goat IgG, anti-human IgG, and anti-mouse IgG conjugated to FITC (Sigma) were used as secondary antibodies.

#### 2.4 Multilocus sequence typing

The genotypes of the pneumococcal strains used in this study were analyzed by multilocus sequence typing (MLST) [30]. MLST of the serotype 6C NP strains was performed at the Imperial College London, whereas the invasive strains were first sequenced at the Partners Center for Personalized Genomic Medicine and MLST was determined using web-based software (http://www.mlst.net/)

#### 2.5 Evaluation of complement and antibody deposition on surface of S. pneumoniae

Flow cytometry was utilized to quantitate C3 binding to the surface of pneumococci, as described previously [31]. Briefly,  $2 \times 10^8$  bacteria were washed twice in HBSS (Cambrex, Inc.) containing 2% bovine serum albumin (BSA) (Sigma) and Human complement was added to a final concentration of 5% and allowed to incubate before fixing the cells in paraformaldehyde. The C3 fragments bound to the bacterial surface was detected using anti-human C3c-FITC (Biodesign, Saco, ME) as described previously [32].

#### 2.6 Experimental chinchilla otitis media model

All procedures and manipulations were performed using sedation analgesia with a mixture of ketamine and xylazine given intramuscularly in accordance with approved IACUC protocols at Boston University Medical Center. Isolates of *S. pneumoniae* grown to the midlog phase were introduced into each nare followed by barotrauma, created by withdrawing 250  $\mu$ l of air from the middle ear through the superior bullae of both ears, which promotes ascension of bacteria into the middle ear. Daily tympanometry and otomicroscopy were performed to determine the presence of fluid behind the tympanic membrane and signs of infection including bulging of memebrane and erythema. Once abnormality was identified, the middle ear cavity was accessed 48–72 hrs later as described previously [33]and quantitative cultures were obtained. The lower limit of detection of viable organisms in MEF using this dilution series was 100 colony forming units (CFU)/ml [29]. Direct and indirect ear examination was performed every 3 to 4 days until the middle ear cultures were sterile on two consecutive samples.

#### 2.7 Statistical analysis

Fisher's exact and the Rank sum tests were used to calculate statistical significance for the differences in the proportions of culture-positive middle ears in animals challenged with 6C or 19A strains. Geometric mean fluorescence (GMF) intensities of C3 deposition with 95% confidence intervals were analyzed. In all analyses, P values of <0.05 were considered to indicate a statistically significant difference.

#### 3. Results

#### 3.1 Complement binding to Serotype 6C compared with 19A and 15BC

As prior studies [31] correlated C3 binding with capacity to produce experimental otitis media (EOM) in our model, we evaluated C3 binding to the four carriage and two invasive 6C isolates and two 19A strains using flow cytometry. The mean geometric C3 fluorescence cluster was 54 for the six 6C strains with a range of 47–58 and for the two 19A strains it was 12 No differences in C3 binding between ST or isolate source (IPD or carriage) were observed between isolates. All six 6C strains demonstrated higher C3 binding than either of the 19A strains. Control experiments performed with no complement showed minimal fluorescence (<10) (data not shown). We also measured binding of IgG in the human complement source to exclude the possibility that differences in C3 binding between the invasive and carriage isolates resulted from differences in antibody binding and no differences were observed (data not shown). Thus, the 6C strains bound high amounts of C3, while 19A isolates bound lower amounts of C3. C3 binding histograms to four randomly selected 6C and two 19A isolates following incubation with human complement are shown in Fig 1.

#### 3.2 Nasopharyngeal colonization with serotype 6C compared to 19A

Colonization is a critical step in the disease process. We compared the density of NP colonization among the six 6C isolates and two 19A isolates following intranasal challenge with ~ $10^7$ CFU/100µl per nare and no significant difference in NP colonization was observed among or between 6C and 19A isolates, despite differences in C3 binding. NP lavage at 24 hours following challenge identified a mean concentration of  $10^4$  CFU/ml in all eight groups of animals. By day 4 the density of NP colonization increased to  $10^{5-}10^6$ CFU/ml in all groups; there was no difference in animals challenged with 19A or 6C (data not shown).

### 3.3 Serotype 6C S. pneumoniae demonstrate reduced capacity to ascend Eustachian tube and produce middle ear disease following barotrauma compared to serotype 19A

The 6C strains were of four different MLST types, the 19A strains were both MLST 199. The source, serotype, and MLST of the different strains used in the animal model and flow studies are shown in Table 1. EOM was observed in 28/82 (34%) ears challenged with 6C compared to 13/18(72.2%) challenged with 19A [p=0.0003] (Table 2). When culture positive middle ear disease due to serotype 6C was observed, it was characterized by low-density infection (~10E3) as compared to culture positive disease due to 19A (~10E6). The average middle ear bacterial density in animals with culture positive disease amongst serotype 6C and 19A were statistically different (p=0.002) and are shown in Fig 2. 3/10 (30%) animals developed bacteremia in the 19 A groups as compared to 5/41(12.1%) in the 6C groups (p= 0.23).

#### 4. Discussion

To our knowledge, these data are the first to evaluate the serotype 6C in an experimental model of AOM. Our findings demonstrate that nasopharyngeal challenge with serotype 6C followed by barotrauma results in EOM less frequently compared to serotype 19A. This difference is not due to differences in ability to colonize, as both serotypes demonstrated similar density of colonization. When disease due to 6C occurred, it was characterized by low-density infection compared to 19A. Bacteremia was less common in chinchillas challenged with 6C compared to 19A. All six 6C strains were of similar virulence in the model, despite difference in source (carriage vs. IPD) and/or ST. C3 binding to selected 6C

strains is significantly higher than to 19A strains suggesting that evading C3 deposition enables pneumococci to overcome host defenses.

Our observation that both 19A and 6C serotypes colonize well supports data from Massachusetts children that suggests that 19A and 6C are amongst the most prevalent in the nasopharynx in children less than 5 years [3]. The colonization of mucosal surfaces is a requirement for the development of either mucosal or invasive pneumococcal disease [34, 35]. We also demonstrated that the differences in observed C3 binding do not impact on early colonization density. As colonization was not followed through to clearance, we are unable to identify if any correlation between C3 binding and eventual clearance of colonization could exist. However, other researchers have reported that the rate of *S. pneumoniae* clearance from the nasopharynx was not affected in C3 knockout mice [36].

Recent reports have identified serotype 6C from children with recurrent AOM or children with persistent AOM despite antibiotic treatment [9]. Our data indicate that 6C strains can cause AOM, albeit less frequently than serotype 19A. These data also suggest a potential mechanism. Previous work in our lab had identified complement deposition on *S. pneumoniae* as a correlate of virulence for pneumococcal experimental otitis media[31]. All of the 6C strains studied were uniformly identified as high complement binders and demonstrated relatively low virulence in our animal model. Serotype 19A has been reported to exhibit a greater than the average virulence capacity for AOM compared to all pneumococcal serotypes (OR 1.6) [37], and has been implicated as most common pathogen in AOM failure after introduction of PCV7 in France[38]. The decreased binding of complement for strains 19A potentially explains its capacity to escape from innate immunity and the observed virulence. In contrast, serotype 6C strains, which all bind more complement relative to 19A strains, fail to effectively escape host defenses. Even when serotype 6C produces middle ear disease, the middle ear density is lower than serotype 19A.

Our observations are consistent with the hypothesis that the redistribution of serotypes in carriage in children results in an overall reduction in the likelihood of pneumococcal otitis media occurring following colonization. As overall pneumococcal colonization prevalence has not declined in US and other countries where vaccine was introduced, [23] [3] [24] the emergence of serotype 6C is likely related to biochemical difference between the polysaccharide in serotype 6A and 6C that results in different higher functional antibody activity against the 6A serotypes strains compared to 6C among children immunized with PCV7. Serotype 6C is not included in the next generation PCV13 and it is unknown whether antibody generated against the added serotype 6A polysaccharide will be sufficiently cross reactive with serotype 6C to provide protection against colonization and/or disease. Park reported that PCV7 induces only low concentrations of opsonic antibody against isolates of 6C compared with serotype 6A in serum specimens from PCV-7 immunized children[12]. Although, recent data suggests greater functional activity against 6C in individuals immunized with PCV 13 [39].

The chinchilla otitis media model requires initial NP colonization followed by ascension through the Eustachian tube following barotrauma for establishing middle ear infection. The successful ascension of bacterial otopathogens into the middle ear, bacterial replication, and subsequent inflammatory response require overcoming host defense mechanisms within the nasopharynx and middle ear. Specific attributes that enable pneumococci to breach host epithelial and tissue barriers during the progression from colonization to invasive infection are not fully characterized but are reported to include capsule as well as pneumococcal surface proteins [40] [41]. Inoculation of relatively low virulent serotypes like 6C directly into the bulla leads to development of EOM (data not shown), which suggests that observed

difference results primarily from prevention of ascension rather than protection after reaching the middle ear.

This study is limited by the selection of strains; we compared 6C serotypes of differing ST against strains of 19A of a single ST. Although we only tested 6C strains from four sequence types, strains originated from both invasive and carriage sources. Selecting a single ST may not fully represent all 19A strains present in the community, however to date clonal differences in virulence have not been established. Our hypothesis was that currently circulating 6C strains have a lower capacity to produce EOM. Therefore we did not pursue capsular switch experiments, which would be needed to determine whether the basis for the observed limited virulence lies in the capsule or depends on the specific genetic background of the strain. In the past we have demonstrated that virulence in our model correlates with C3 binding [31] and that both capsule and pneumococcal surface proteins contribute to the resultant C3 binding. Our data is also limited to the chinchilla model and may not be fully analogous to disease in humans even though the model employs initial nasopharyngeal colonization followed by barotrauma.

These data support the need for additional research regarding differences among serotype specific capacity to ascend the Eustachian tube and produce otitis media in order to predict the long term impact of serotype replacement in the nasopharynx on AOM. Serotypes 19A, 6C, 23A and B, 15B/C, 35B, 11A have become the most prevalent carried serotypes. The capsule has been reported as critical for pathogenesis of invasive pneumococcal disease and resistance to host defenses [42] [43] [44]. Certain capsular serotypes have been associated with an increased potential to produce invasive pneumococcal disease as compared to other serotypes [45]. Yildirim et al. demonstrated that with the exception of 19A, most of the prevalent serotypes have relatively lower invasive capacities[13]. Thereby potentially explaining the reduction in IPD despite no overall change in carriage. Insufficient data are available regarding the capacity of these common serotypes to ascend the Eustachian tube and produce AOM, however we hypothesize that the same concept as for IPD is likely correct.

Our data also suggest that measurement of C3 binding among pneumococcal strains has the potential to be a surrogate for predicting the likelihood that a specific serotype would cause frequent AOM as well as greater understanding of how pneumococci overcome host defenses to ascend the Eustachian tube.

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#### Figure 1. Mean Geometric Complement binding to 6C and 19A strains

C3 binding to serotype 6C (n=4), 19A(n=2) isolates. *A*. Bacteria were incubated with 8% human complement (HC). C3 binding to the four 6C strain is illustrated in *A*. The isotype control (no human complement in the reaction mixture) is illustrated by broken grey line on the left of histogram. *B*. Bacteria were incubated with 8% human complement (HC). C3 binding to the two 19A strains is illustrated in B. The isotype control (no human complement in the reaction mixture) is on the left of histogram. *B*. Bacteria were incubated with 8% human complement (HC). C3 binding to the two 19A strains is illustrated in B. The isotype control (no human complement in the reaction mixture) is illustrated by solid grey line on the left of histogram. Data shown is representative of two separate and comparable experiments. The *x*-axis represents fluorescence on a log10 scale and the *y*-axis the number of events (counts). Geometric mean fluorescence (GMF) for the 4 6C strains and 2 19A is included.

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p=0.002

#### Figure 2. Bacterial density in animals with Cuture positive EOM

The box plot represents average Bacterial density in log 10 cfu/ml of animals with culture positive disease for serotypes 6C and 19A. The *y*-axis represents average bacterial density in log 10 cfu/ml and the *x*-axis the serotypes. \*signifies p value of 0.002. The top and bottom of the box plot represents the  $25^{\text{th}}$  and  $75^{\text{th}}$  percentile range. The line across the box shows the median geometric mean and the top and bottom bars show the maximum and minimum values.

#### Table 1

Serotype, source and MLST of strains used in animal model

Strain ID	Serotype	Source	MLST
PT8114	6C	Carriage	1390
NP7029	6C	Carriage	1390
05AR0443	6C	Invasive	1379
07AR0125	6C	Invasive	1292
BR1064	6C	Carriage	1692
ND6012	6C	Carriage	1692
FG23	19A	Carriage	199
SP4564	19A	Invasive	199

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Serotype	Strains (No.) <sup><i>a</i></sup>	No. ears challenged	Cx <sup>+</sup> MEF <sup>b</sup> /No. challenged (%) Day 7–8	Cx <sup>+</sup> MEF/No. challenged (%) Day 11–12	Cx <sup>+</sup> MEF/No. challenged (%) Day 14–15	No Blood cx <sup>+</sup> /No. of animal (%)
6C	9	82	28/82 (34%)	30/82 (36.5%)	21/82 (25.6%)	5/41 (12.1%)
19A	2	18	13/18 * (72.2%)	14/18 (77.7%)	14/18 (77.7%)	$3/10^{**}(30\%)$
* p=0.0003 (	(19A vs 6C)					
** p=0.23 (b	acteremia 19A vs 6	Ç)				
<sup>a</sup> No- Numbe	er					

 $b_{Cx+}$  - culture positive; MEF- Middle ear fluid