Review Article

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Pain Assessment in Human Fetus and Infants

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Abstract. In humans, painful stimuli can arrive to the brain at 20–22 weeks of gestation. Therefore several researchers have devoted their efforts to study fetal analgesia during prenatal surgery, and during painful procedures in premature babies. Aim of this paper is to gather from scientific literature the available data on the signals that the human fetus and newborns produce, and that can be interpreted as signals of pain. Several signs can be interpreted as signals of pain. We will describe them in the text. In infants, these signs can be combined to create specific and sensible pain assessment tools, called pain scales, used to rate the level of pain.

KEY WORDS: analgesic drug; fetus; newborn; pain; pain scale.

INTRODUCTION

Infants can undergo painful events several times a day. In a neonatal intensive care unit, they undergo intubations, tracheal aspirations, blood samplings, vein incannulations, and other painful procedures (1); they can also undergo surgery, lumbar puncture, chest air leak drainage, and much more. Even healthy babies may receive painful interventions, such as circumcision and blood sampling for metabolic screening purposes, in the first few days of life. The recent development of fetal surgery has raised the problem of fetal pain and analgesia (2,3), making it important to recognize pain even in fetuses.

Fetuses and infants generate signals that can be decoded to recognize and grade the level of pain. The aim of this paper is to provide a survey of the most recent literature about the tools available to recognize and assess these signals.

RECOGNIZING PAIN IN THE FETUS AND NEWBORNS

Pain induces reactions in the living organism (Fig. 1). Avoidance (e.g., the sudden withdrawal of an arm from a painful stimulus), aggression (e.g., the increase in muscular tone, blood pressure, and heart rate mediated by stress hormones or changes in posture or facial expressions), and alarm (e.g., crying) are the three main categories into which these reactions can be divided. These reactions consist of

both symptoms (conscious expressions) and signs (unconscious or involuntary reactions to pain) (4). Symptoms are peculiar to those who can express their feeling, namely adults and children. In contrast, signs do not need words and therefore are present, even in fetuses and infants. Pain signs can share features common to those associated with anger or stress. However, the context of the signals and the use of pain scales can help us to discriminate the causes of these signs.

Facial Expression

Facial expression is an important indicator of pain in neonates (5–7). It includes several features, such as brow bulge, eye squeeze, nasolabial furrow, and open mouth (8,9). Nevertheless, the variability in facial expression during non-painful episodes (10) can mimic pain, and this reduces the specificity of these signs as pain indicators. Facial expressions are integrated into several pain scales, such as the Neonatal Facial Coding System (NFCS), which uses ten discrete facial actions (11,12). Even fetal face expressions in reaction to sudden noises and stress can be recorded and monitored (13). The assessment of facial expression may in practice be hampered by a limited view of the fetal or neonatal face.

Body Movements

The activity of arms and legs—or more subtly the clenching of fists or toes—is an indicator of pain, (14). Nevertheless, pain assessment based only on body movements can be misleading. Some sick babies are severely hypotonic or hyporeactive, though still able to feel pain. Sudden body movements are an indicator of pain in the fetus during stressful procedures (15–18).



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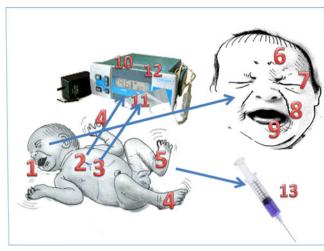


Fig. 1. Signs of pain in infants. Whole body: *1* crying, *2* tachicardia, *3* polypnea, *4* hands and feet movements, *5* plantar/palmar sweating. Face: *6* brow bulge, *7* eyes squeezing, *8* nasolabial furrow, *9* mouth open wide. Lab/monitor signs: *10* heart rate, *11* oxygen saturation, *12* blood pressure, *13* adrenalin, cortisol, endorphines

Crying

While crying is a sign of pain (19,20), it can also be generated by other stimuli, such as hunger or anger. Therefore, it cannot be used as the sole indicator of pain. In the 1960s, it was suggested that newborns could cry in different ways depending on the cause (21–23). However, recent studies (24–26) showed that its features depend not upon the cause of the distress but rather its intensity. Thus, the presence of crying is not a direct sign of pain (27,28). Nevertheless, some crying features are typical of pain, such as the high-pitched cry in response to painful event (29). A pain scale combining several crying features has recently been developed and validated (30–32). Fetal crying has been described using US scans (33,34).

Behavioral States

In neonates, behavioral states vary from quiet sleep to wakefulness or crying. These states are useful in the assessment of pain. For example, while chronic pain can provoke an excess of sleep or of irritability, a sudden awakening, or crying, are indicators of acute pain. Some pain scales include the evaluation of babies' capability to rest or to sleep (35–37). Even in the fetus, it is possible to evaluate the behavioral states and their changes after stress (38,39).

Physiological Indicators

Variations in heart rate, blood pressure, oxygen saturation, and breathing patterns are the most frequently used physiological indicators of pain (40). Pain causes an increase in heart rate and blood pressure, a decrease in oxygen saturation, and more rapid, shallow, or irregular breathing. For example, heart rate and blood pressure variations can be used to determine the need for analgesia and sedatives during the administration of neuromuscular blocking agents.

Other pain indicators are intracranial pressure (41,42), palmar sweating (43), and heart rate variability (27).

Emotional sweating in the palms and soles as a result of neurophysiological arousal with increased activity in the sympathetic nervous system is a reliable marker of pain (44–46). Other biological markers of pain include changes in thresholds for the dorsal cutaneous flexion reflex or abdominal skin reflex (47), cerebral blood flow (48), and processed EEG (49). A limitation of physiological indicators is that variations might also be caused by the underlying illness, rendering them less specific for pain (19,40).

Biological Markers of Pain

Stress hormone (cortisol, adrenaline, and beta-endorphins) measurement in serum or saliva perioperatively, during heel-stick and during mechanical ventilation, has been described (50,51). Several studies showed that during painful fetal interventions, there is an evident increase of stress hormones in fetal blood (52–54). Nevertheless, although useful for research purposes, its clinical utility is limited as it is not feasible to wait for laboratory results before adjusting analgesia.

RATING PAIN

Scales are needed to rate the level of pain. In older, cooperative subjects, it is possible to use a Visual Analogue Scale where the patient simply points to a place on a scale that describes the level of the perceived pain. However, such tools cannot be applied to neonates or infants. Adding to the complexity of the situation is that crying or body movements alone are not sufficiently specific or reliable markers of pain. Thus, some researchers have developed methods for combining several signs to facilitate the identification and rating of the pain. The most frequently employed scales are described in Table I.

Pain Assessment Tools

Various neonatal pain scales are based on babies' changes in posture or behavior, and sometimes include the data generated by monitoring of the vital parameters. These scales can distinguish pain from other phenomena. Studies have confirmed that these tools are more reliable than pain assessments based upon such one-dimensional indicators as crying or facial movements. Moreover, pain scales can rate pain intensity.

Pain scales for acute pain are numerous but are scarcely used for two reasons. Firstly, they are difficult to use (62) because a caregiver cannot simultaneously perform a clinical procedure and measure the parameters of the pain scale. Secondly, the results of these assessments are complete after the painful event has occurred. Thus, their utility is limited to a research or training environment. We report in Table II the ABC scale, one of the most easy to use.

Pain scales for chronic (prolonged) pain are few. However, these scales have a utility in that they help the practitioner modulate an analgesic therapy after surgery or during prolonged intubations. They include the N-PASS (Neonatal Pain, Agitation and Sedation Scale) (63), the EDIN (Échelle Douleur Inconfort Nouveau-Né [Neonatal Pain and Discomfort Scale], see Table III) (39) and the DEGR (Douleur Enfant Gustave Roussy) (64).

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Table I. Pain Scales

DAN (55)	Term and preterm babies	Face expression	Acute pain
		Arm movements	
NIDC (56)	Tama and anotama babis.	Crying	A
NIPS (56)	Term and preterm babies	Facial expression	Acute pain
		Crying	
		Breathing pattern	
		Arms/legs movements	
	T	State of arousal	
ABC (32)	Term and preterm	Pitch of the first cry emitted after the stimulus	Acute pain
		Crying rythmicity	
		Constance of crying intensity	
PIPP (57)	Term and preterm babies	Gestational age	Acute pain
		Behavioral state	
		Rate of heartbeat increase	
		Rate of oxygen saturation decrease	
		Brow bulge	
		Eye squeeze	
		Nasolabial furrow	
CRIES (58)	Term and preterm babies	Crying	Prolonged pain
		Oxygen requirement	
		Vital signs (heart rate and breathing)	
		Face expression	
		Sleeping pattern	
EDIN (39)	Term and preterm babies	Face activity	Prolonged pain
		Sleep pattern	
		Consolability	
		Interaction with nurses	
		Body movements	
COMFORT (59)	Term and preterm babies;	Alertness	Acute and prolonged pain
	babies in the first 3 years of life	Calmness/agitation	
		Respiratory response	
		Physical movement	
		Blood pressure	
		Heart rate	
		Muscle tone	
		Facial tension	
NFCS (60)	Term and preterm babies;	Brow lowering	Acute and prolonged pain
	Babies in the first 18 months of life	Eyes squeezed shut	
		Deepening of the nasolabial furrow	
		Open lips	
		Vertical mouth stretch	
		Horizontal mouth stretch	
		Taut tongue	
		Chin quiver	
		Lip pursing	
		Tongue protrusion	
FLACC (61)	2 Months-7 years	Facial expression	Prolonged pain
TEACC (01)	- · · · · · · · · · · · · · · · · · · ·	Leg movement	
		Activity	
		Cry	
		Consolability	
		Comonionity	

SOME CRITICISMS

Recognition of the existence of neonatal pain is relatively recent scientific acquisition. It was not accepted up to the end of the 1980s. Consequently, pharmacologic analgesia was rarely provided to babies during surgery (65). As late as 1999, it was stated that "[p]ain experience is placed at approximately 12 months of age" (66). Today, clinicians estimate that most neonatal intensive care unit procedures are painful, but

many neonates do not receive appropriate analgesic therapy. Despite the accumulating evidence that neonatal procedural pain is harmful, analgesic treatment for painful procedures is limited (1). However, with recent changes in the practice of neonatal medicine, there is growing recognition of the importance of treating the pain that such treatments may induce. These shifts in clinical care have led to a growing recognition of the need for new observational and measurement tools (67).

Table II. ABC Scale

Parameters	Score	
Acuteness of the first cry	Absent	0
·	Present	2
Burst Rhythmicity	Absent	0
	Present	2
Constancy of the crying intensity	Absent	0
, , , , ,	Intermediate	1
	Costant	2

Still being debated is fetal pain. Despite the evidence that prematurely born fetuses can in fact experience pain, some authors argue that the amniotic fluid has sedative capacities (68), and therefore, pain is not felt by the fetus. Nonetheless, there are several reasons (69) to doubt that the amniotic fluid has any sedative effects: for instance the

Table III. EDIN Scale. Scoring Method: Nurses Observe the Infant for Several Hours During and Between Caring and Feeding, and Test the Efficacy of Consoling. They then Score Each EDIN Item and Calculate the Total EDIN Score as the Sum of the Five Items

Indicator	Description	Score
Facial activity	Relaxed facial activity	0
·	Transient grimaces with frowning, lip purse, and chin quiver or tautness	1
	Frequent grimaces, lasting grimaces	2
	Permanent grimaces resembling crying or blank face	3
Body movements	Relaxed body movements	0
	Transient agitation, often quiet	1
	Frequent agitation but can be calmed down	2
	Permanent agitation with contraction of fingers and toes and hypertonia of limbs or infrequent, slow movements, and prostration	3
Quality of sleep	Falls asleep easily	0
	Falls asleep with difficulty	1
	Frequent, spontaneous arousals, independent of nursing, restless sleep	2
	Spontaneous arousals, independent of nursing, restless sleep	3
	Sleepless	4
Quality of	Smiles, attentive to voice	0
contact with nurses	Transient apprehension during interactions with nurses	1
	Difficulty communicating with nurses. Cries in response to minor stimulation	2
	Refuses to communicate with nurses. No interpersonal rapport. Moans without stimulation	3
Consolability	Quiet, total relaxation	0
	Calms down quickly in response to stroking or voice, or with sucking	1
	Calms down with difficulty	2
	Disconsolate. Sucks desperately	3

sudden crying of almost all babies at birth, as well as the reactions to external stimuli when fetuses are still in the womb, testifies that they are far from being sedated. Therefore, most authors have argued that fetal pain can be experienced during the third trimester (70) second trimester (71) or after 20 weeks of gestational age (72). Given the uncertainty about the gestational age when pain can be experienced, the precautionary principle points to a need to use analgesic treatments (73-75) since when the neural connections between peripheral receptors, the thalamus, and the cortical subplate the basis for pain perception appear at about 20-22 weeks from conception (76,77). Unfortunately, no pain scales exist to date for the evaluation of fetal pain. Consequently, there exists no specific tool for rating the pain or to help discriminate between reactions attributable to pain versus due to reactions to causes other than pain.

CONCLUSION

It is possible to interpret pain expressions in the first phases of life. This should be a tenet in medicine schools, in order to provide the opportune analgesic drugs when necessary, and to avoid excesses or deficiencies in analgesic treatment. Ultimately, regardless of age, the patient has the right to analgesia and that right should be recognized and guaranteed, even when the need for analgesia cannot be expressed by the patient.

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