Inhaled Nitric Oxide in Preterm Infants: An Individual-Patient Data Meta-analysis of Randomized Trials

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KEY WORDS

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abstract

BACKGROUND: Inhaled nitric oxide (iNO) is an effective therapy for pulmonary hypertension and hypoxic respiratory failure in term infants. Fourteen randomized controlled trials ($n = 3430$ infants) have been conducted on preterm infants at risk for chronic lung disease (CLD). The study results seem contradictory.

DESIGN/METHODS: Individual-patient data meta-analysis included randomized controlled trials of preterm infants $(<$ 37 weeks' gestation). Outcomes were adjusted for trial differences and correlation between siblings.

RESULTS: Data from 3298 infants in 12 trials (96%) were analyzed. There was no statistically significant effect of iNO on death or CLD (59% vs 61%: relative risk [RR]: 0.96 [95% confidence interval (CI): 0.92–1.01]; $P = 0.11$) or severe neurologic events on imaging (25% vs 23%: RR: 1.12 [95% CI: $0.98-1.28$]; $P = .09$). There were no statistically significant differences in iNO effect according to any of the patient-level characteristics tested. In trials that used a starting iNO dose of >5 vs \leq 5 ppm there was evidence of improved outcome (interaction $P = .02$); however, these differences were not observed at other levels of exposure to iNO. This result was driven primarily by 1 trial, which also differed according to overall dose, duration, timing, and indication for treatment; a significant reduction in death or CLD (RR: 0.85 [95% CI: 0.74 – 0.98]) was found.

CONCLUSIONS: Routine use of iNO for treatment of respiratory failure in preterm infants cannot be recommended. The use of a higher starting dose might be associated with improved outcome, but because there were differences in the designs of these trials, it requires further examination. *Pediatrics* 2011;128:729–739

Approximately 8% to 13% of infants in developed countries are born prematurely. Preterm delivery accounts for 75% to 80% of all neonatal morbidity and mortality[.1](#page-9-0)[,2](#page-9-1) Although survival rates have improved markedly in recent decades, preterm infants who require assisted ventilation are still at significant risk of both pulmonary and cerebral injury.

An estimated 63% of infants with a birth weight of <1000 g develop respiratory distress syndrome, and nearly 40% are still oxygen-dependent at a postmenstrual age of 36 weeks.³ The most common definition of chronic lung disease (CLD) is a condition that requires the continued receipt of supplemental oxygen at 36 weeks' postmenstrual age. Infants with severe CLD remain at high risk for pulmonary morbidity and mortality during their first 2 years of life.⁴ In addition, longterm neurodevelopmental impairments associated with cerebral palsy, mental retardation, sensorineural hearing loss, and visual impairment are more frequently observed in infants with CLD than in preterm infants without this complication.^{5[,6](#page-9-5)} The incidence of these neurodevelopmental impairments increases with decreasing birth weight. Neonates with birth weights of 1501 to 2500 g have an 8% incidence, compared with a 25% rate in infants who are born at $<$ 1000 g.⁷

Inhaled nitric oxide (iNO) has been hypothesized as a treatment for preventing lung injury in preterm infants. Although initially investigated for its pulmonary vasodilating effect, it has become clear that the potential pulmonary effects of iNO are multiple and complex. Studies of a variety of animal models have addressed the effects and mechanisms of iNO on lung development and injury related to bronchopulmonary dysplasia (BPD). These effects include a decrease in airway resistance (in piglet and lamb models), which translates into a decreased need for supplemental oxygen and ventilatory support and presumably results in less oxidative stress⁸⁻¹⁰ and more normal development and alveolarization in iNO-treated premature lambs. iNO treatment also attenuates hyperoxic injury in lambs.¹¹ In a preterm baboon model of BPD, iNO therapy from birth improves endogenous surfactant function as well as lung growth, angiogenesis, and alveolarization[.12,](#page-9-10)[13](#page-9-11) Endothelial NO synthase– deficient mice have very deficient lung growth under conditions of hypobaric hypoxia, and inhaled NO treatment can completely restore normal lung structure in this model.¹⁴ In infant rats and premature baboons, hyperoxic exposure impairs microvascular development and reduces vascular endothelial growth factor (VEGF) expression[.15](#page-9-13)[,16](#page-9-14) Lung growth in rats is also impaired by administration of an inhibitor of VEGF receptor, an effect that is attenuated by iNO treatment, which supports the concept that VEGF regulates alveolar growth, in part, via NO[.17](#page-9-15) Prematurity in the baboon,¹⁸ and presumably in the human, is associated with developmentally deficient endogenous NO production; accordingly, iNO in this situation could be viewed as replacement therapy. Thus, animal data from a number of models indicate that NO is required for normal lung development and suggest that replacement iNO therapy, over a period of weeks, is beneficial in the injured lung, particularly for vascular and air-space development.

Fourteen randomized controlled trials (total $N = 3430$ infants) have been conducted on preterm infants to determine if iNO reduces the rates of death and/or CLD[.19–](#page-9-17)[32](#page-10-0) The most recent Cochrane review, published in 2010, included the same studies. 33 These 14 studies differed not only in their design, intervention, and indications but also in the eligible patient populations. The latest version of the Cochrane review revealed no effect on death or CLD at 36 weeks (relative risk [RR]: 0.93 [95% confidence interval (CI): 0.86 – 1.01]) in the subset of studies with routine use of iNO in intubated preterm infants with some heterogeneity. The trials of early treatment of infants that were based on oxygenation criteria or of later enrollment based on the risk of CLD did not reveal significant benefit of iNO for the primary end point of death or CLD at 36 weeks when analyzed according to standard aggregate data meta-analytic techniques. However, there is significant heterogeneity in the results; some trials have reported benefit, and others have revealed no effect.

One way in which to confirm or refute these results and determine if certain patient or treatment characteristics might predict benefit from iNO in premature infants is by undertaking an individual-patient data (IPD) metaanalysis. Such analysis involves the central collection and reanalysis of line-by-line raw data from each randomly assigned participant in each of the included trials. The advantages of an IPD meta-analysis over metaanalysis based on aggregate data include ensuring uniformity in defining patient characteristics and outcome measures including subgroup definitions; the ability to adjust the analyses for the nonindependence of siblings within the data set; and the opportunity to collect information on longerterm outcomes. To date, this methodology has been underused for addressing neonatal questions[.34](#page-10-2)

Thus, the objectives of this IPD metaanalysis were to determine if iNO in preterm infants who receive assisted ventilation improves survival rates without morbidity, specifically without CLD or major neurologic injury, and if the effects of iNO differ according to

patient- or intervention-related factors including gestational age at birth, birth weight, multiplicity, race, antenatal steroid use, postnatal age at the time of randomization, severity of illness (in terms of oxygenation index [OI]), patent ductus arteriosus, pulmonary hypertension, postnatal steroids, ventilation mode at randomization, administration of exogenous surfactant, iNO dosage, and duration of administration.

METHODS

Studies were considered eligible for this IPD meta-analysis if they randomly assigned preterm infants $(<$ 37 weeks' gestation) who were receiving respiratory support (either mechanical ventilation or continuous positive airway pressure) to either an iNO or control group. Bibliographic databases (including Medline, Embase, Cochrane Controlled Trials Register, and PAS abstracts) were searched to identify potentially eligible trials up to December 2009.

The investigators of each identified eligible trial were contacted and invited to join the collaborative group. The collaborative group agreed on a prespecified protocol that outlined the data items to be collected, the outcomes to be assessed, and the data-analysis plans, including those of primary, secondary, additional, subgroup, and sensitivity analyses.³⁵ Trialists who agreed to participate supplied line-by-line raw data for each individual randomly assigned patient, and these data were checked for missing information, errors, and inconsistencies with published reports. Main outcomes were calculated for each patient to align with the prespecified and agreed-on definitions as indicated in the protocol[.35](#page-10-3) To date, only short-term outcome data have been sought from the collaborators.

Binary outcomes were analyzed by using log-binomial regression with trial as a fixed effect in the model to account for the variation across trials. The log-binomial model has an advantage in that the inverse log of the parameter estimate for treatment effect is an RR; thus, all results are presented as RRs with 95% CIs.

All data available for each end point were included when possible and analyzed according to the intention-totreat principle. Data from entire trials were excluded from analyses when zero cell counts resulted in model instability/nonconvergence. For subgroup analyses, the breakdown of data within small trials into further subgroups resulted in greater instability when events were few. In these situations, the modified Poisson regression framework with robust error variances was used 36

The possibility of correlation in outcomes between siblings from multiple births was accounted for by using the multiple-outputations method on 1000 repetitions[.37](#page-10-5) As a sensitivity analysis, generalized estimating equations were used to adjust for sibling correlation on the main outcomes and provided almost identical results to those of the multiple-outputations method. Differences in treatment effect across predefined subgroups of patients were tested by examining the treatment-bysubgroup interaction effect. Additional details of the planned analyses have been published elsewhere[.35](#page-10-3)

There were 2 primary end points: death or CLD and severe adverse neurologic events after randomization. Death or CLD was defined as death during the trial or CLD (receipt of supplemental oxygen at 36 weeks' postmenstrual age). If CLD at 36 weeks was unable to be calculated, the trialists' own definition of CLD was used. Severe adverse neurologic events (assessed by imaging) comprised intraventricular hemorrhage grade III or IV, cystic periventricular leukomalacia, or other

pathologies such as periventricular echodensity, periventricular cysts, ventriculomegaly, or hydrocephalus, if such events first occurred after randomization.

Two sided P values of < 05 were considered statistically significant. No adjustments were made for multiple comparisons. Results were considered for groups of related outcomes and individually such that no single result was considered in isolation. Any result that showed a significant effect when related outcomes did not was interpreted cautiously. All analyses were completed by using SAS 9.2 (SAS Institute, Inc, Cary, NC).

RESULTS

Ninety-six percent of published worldwide data were made available to the collaboration by the trialists, which resulted in 3298 infants from 11 trials being available for the analysis. Details of the included trials are listed in Tables 1 and 2. Not all trials were able to supply data for all end points analyzed. However, the first primary end point of death or CLD was calculable for all patients in all trials.

Overall, death or CLD occurred in 59% of iNO-treated infants versus 61% of control infants (RR: 0.96 [95% CI: 0.92– 1.01]; $P = .11$). Severe neurologic events revealed by imaging occurred after random assignment in 25% of infants in the iNO group compared with 23% of infants in the control group (RR: 1.12 [95% CI: $0.98 - 1.28$]; $P = .09$) [\(Fig](#page-5-0) [1\)](#page-5-0). There were no statistically significant differences between iNO and control for any of the secondary outcomes [\(Fig 2\)](#page-5-1).

There were no statistically significant differences in iNO effect for either of the primary end points according to any of the patient-level characteristics tested in subgroup analyses, as can be seen in [Figs 3 and 4;](#page-7-0) all *P* values for the subgroup-by-treatment interaction

a-Ao₂ indicates alveolar-arterial oxygen gradient; CPAP, continuous positive airway pressure

^a No dose change or change based on prespecified weaning protocol with fixed time points.

b Dose changes based on measured biological response in patient.

tests were > 0.05 . Posthoc analyses were undertaken to assess any treatment effect modification based on grouping infants according to both birth weight $(\leq 750$ or > 750 g and \leq 1000 or $>$ 1000 g) and 0I (\leq 5 or $>$ 5 and \leq 10 or $>$ 10) categories for the primary end points. The interaction term for the treatment-by-birth weight–OI categories was not significant in any of these analyses (*P* values ranged from .08 to .85, data not shown). Hence, we did not find evidence that the effect of iNO differed significantly between infants in different birth weight/ illness-severity categories.

Study protocols varied between trials for increasing or decreasing concentration of the study drug, and the trialists were interested in investigating

the effect of increasing dose. For some trial[s22,](#page-9-18)[23,](#page-9-19)[25,](#page-9-20)[27–](#page-9-21)[29](#page-10-6) [\(Table 1\)](#page-3-0), the dose of iNO received depended on some measured response variable in the infant. For these trials the dose effect could not be compared on an individualpatient level, because dose was the result of individual response and not the cause. Trials also differed according to the planned length of iNO duration and whether the gas was stopped or continued after extubation [\(Table 1\)](#page-3-0).

Analysis of the dose effect was based on trial classifications as well as classifying individual patients using a limited number of trials [\(Fig 5\)](#page-8-0). Trials were classified on the basis of starting dose, categorized as >5 ppm or not. The RR of treatment effect on death or CLD in the lower-starting-dose group

was significantly different than the RR in the higher-starting-dose group (RR: 1.00 vs 0.83, respectively; $P = .02$ for interaction).

The actual duration of treatment received was calculated for individual patients, and 2 cut points for classification of shorter or longer duration were used (\leq 3 vs $>$ 3 days and \leq 14 vs $>$ 14 days). There was no significant difference in the effect of treatment according to duration of treatment received [\(Fig 5\)](#page-8-0).

For the end point of severe neurologic events as assessed in the short-term, fewer data were available because the major high-dose trial (Ballard [2006[\]19\)](#page-9-17) did not supply this end point. This was because one of the entry cri-

Primary outcomes. All $P > .05$ (χ^2 test for heterogeneity). RRs, Cls, and P values were derived from 1000 iterations of a log-binomial model using the multiple-outputation method.

FIGURE 2

Secondary outcomes. RRs, CIs, and P values were derived from 1000 iterations of a log-binomial model using the multiple-outputation method. ^a χ^2 test for heterogeneity: $P = .04$; all other $P > .05$. IVH indicates intraventricular hemorrhage; ROP, retinopathy of prematurity.

terion for infants in this trial was an age older than 7 days when the effect of iNO on intraventricular hemorrhage would be expected to be less relevant.

Trials differed in many respects. Although all trials were randomized, not all of them concealed the treatment allocation after randomization. Inclusion criteria for entry into the trials also differed greatly, which resulted in some trials with a population at higher risk than others. For specific information on trial differences, including inclusion/exclusion criteria, see [Tables 1](#page-4-0) [and 2](#page-4-0) (see also [Table 2](#page-4-0) in the published protocol³⁵).

DISCUSSION

The results of this IPD meta-analysis revealed no benefit for the routine early use of iNO in preterm infants receiving respiratory support (either mechanical ventilation or continuous positive airway pressure). Although within some individual subgroups there were suggestions of significant benefits, this result was likely mainly due to selection of particular trials with the relevant information. On the basis of treatment-by-subgroup interaction tests for differences between subgroups, there was no clear evidence that iNO was more or less effective for any particular subgroup of preterm patients. For example, infants born at lower gestational ages or with a higher OI were no more or less likely to benefit from iNO than other infants.

We used IPD meta-analysis to determine if the age at which iNO was commenced and the dose and duration given made a difference for the effect of the treatment. Subgroup analyses based on age at random assignment (as a surrogate for age when iNO was commenced) did not show a statistically significant difference in infants who started the study gas earlier versus later (using either a 3- or 7-day cut

Death or CLD according to subgroup. All $P > 0.05$ for subgroup-by-treatment interaction effects. Estimates were derived from 1000 iterations of a Poisson regression model with robust error variance using the multiple-outputation method. PDA indicates patent ductus arteriosus.

point). However, both results were in the same direction and of similar magnitude, which suggests a possible trend to greater benefit when iNO was used later in the neonatal course [\(Fig 3\)](#page-6-0).

The effect of iNO dosage was more difficult to explore. Because this study was not a prospective IPD metaanalysis[,38](#page-10-10) the included studies varied widely in their intended iNO dose, including starting dose, response criteria, and weaning protocols. For trials in which the dose of iNO received depended on some measured response variable in the infant^{22[,23,](#page-9-19)[25,](#page-9-20)27-[29](#page-10-6)} [\(Table](#page-3-0) [1\)](#page-3-0), the dose effect could not be compared on an individual-patient level.

Results of the analysis of the planned trial start dose did suggest more benefit in the higher-dose subgroup when $a > 5$ -ppm cut point was used (interaction $P = .02$) for both death and CLD [\(Fig 5\)](#page-8-0) and severe adverse neurologic events (data not shown). However, it is

difficult to draw firm conclusions from these results, because trials that started with a low dose tended to use protocols that increased dose on the basis of response, whereas trials that started on a higher dose used protocols that reduced the dose over time. The 1 trial that specifically enrolled infants who remained at high risk of BPD after 7 days of age and used a high iNO starting dose of 20 ppm (Ballard et a[l19\)](#page-9-17) did find a statistically significant reduction in the instance of death or CLD (RR: 0.85 [95% CI: 0.74 – 0.98]) [\(Fig](#page-8-1) [6\)](#page-8-1). This trial also differed in ways other than dose, so it is possible that the difference in effect seen was a result of a combination of dose, timing, and patient selection. Further study is required to properly assess the effect of iNO exposure (dose and duration) in this population, and such a study should include appropriately powered trials that specifically test different dosing regimes[.39](#page-10-11)[,40](#page-10-12) Three such trials[,41–](#page-10-13)[43](#page-10-14) conducted by members of the Metaanalysis of Preterm Patients on Inhaled Nitric Oxide (MAPPiNO) collaboration, are known to be underway, and there is the possibility of updating our current results with further information from these trials when available.

Although the planned analyses reported here were prespecified in an agreed-on protocol, the availability of the IPD meant that many comparisons were possible and, with that, the increased possibility of a type 1 error. Hence, these results should be interpreted in their totality rather than focusing on isolated findings within the data set.

We accounted for the possible correlation of outcomes between siblings from multiple births and the significant proportion of siblings within the data set (13%) by using the multipleoutputations method and conducted sensitivity analyses by using generalized estimating equations as well as no adjustment. All 3 methods obtained

Severe neurologic events according to subgroup. All $P > 0.05$ for subgroup-by-treatment interaction effects. Estimates were derived from 1000 iterations of a Poisson regression model with robust error variance using the multiple-outputation method. PDA indicates patent ductus arteriosus.

almost identical results. The best method for both randomly assigning siblings and accounting for the possible correlation in their outcomes remains unresolved. We hope to use the MAPPiNO data set to investigate this issue further in the future.

There were some differences between this IPD meta-analysis (12 trials, *N* 3298) and the results reported in the corresponding latest Cochrane review³³ (14 trials, $N = 3430$) and an-

other recent meta-analysis on this topic[.44](#page-10-15) The investigators of a small trial ($N = 65$) by Su and Chen (2008)³² could not be contacted by the MAPPiNO group; thus, their trial was not included in the IPD meta-analysis, but aggregate data were included in the 2010 Cochrane review. The 1999 Franco-Belgium Collaborative NO Trial Group $(N = 85)^{20}$ were unable to supply IPD for inclusion in our meta-analysis, but aggregate data from this trial were included in the Cochrane review. In the published trials available to the Cochrane review authors, subgroups were defined in variable ways depending on the study, often either according to birth weight or gestational age, and with varying cut points for these subgroups. The only way to accurately classify infants within particular subgroups was by sourcing the actual data for each individual participant, as was done in our IPD meta-analysis. Be-

Death or CLD according to dosage. Estimates were derived from 1000 iterations of a Poisson regression model with robust error variance using the multiple-outputation method.

FIGURE 6

Death or CLD according to trial. All $P > 0.05$ (χ^2 test for heterogeneity). Estimates were derived from 1000 iterations of a log-binomial model using the multiple-outputation method.

cause analyses undertaken within the Cochrane review software cannot allow for regression modeling or adjustment for sibling correlation, results for some trials were different between the 2 analyses. For these reasons we believe that our IPD meta-analysis should be considered the more robust analysis.

The formation of a collaborative group, which was required to undertake an IPD meta-analysis, resulted in benefits in itself. The opportunity for all trialists interested in iNO treatment to meet and discuss the differences between trials led to a greater understanding by all the collaborators of the potential mechanisms of effect and reasons for variation in trial designs and results.

CONCLUSIONS

The results of this IPD meta-analysis of all available worldwide data indicate that routine use of iNO for treatment of respiratory failure in preterm infants cannot be recommended. The use of a higher starting dose might be associated with improved outcome, but because there were differences in the designs of the trials included in the analyses, it requires further examination. Further planned research by the MAPPiNO Collaborative group includes collection and analysis of longer-term follow-up outcome data, predictive modeling, and methodologic work regarding the best methods for accounting for multiples within neonatal trials and meta-analyses.

IPD meta-analysis offers considerable ben-

efits when addressing neonatal treatments and should be used more widely.

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ABBREVIATIONS

- NO—nitric oxide
- CLD—chronic lung disease iNO—inhaled nitric oxide
- BPD—bronchopulmonary dysplasia
- RR—relative risk
- CI—confidence interval
- IPD—individual-patient data
- OI—oxygenation index

MAPPiNO—Meta-analysis of Preterm Patients on Inhaled Nitric Oxide

This meta-analysis has been registered at<www.anzctr.org.au> (registration No. ACTRN12609000859280).

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