

## Multilevel oblique corpectomy for cervical spondylotic myelopathy preserves segmental motion

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### Abstract

**Purpose** To document the neurological outcome, spinal alignment and segmental range of movement after oblique cervical corpectomy (OCC) for cervical compressive myelopathy.

**Methods** This retrospective study included 109 patients—93 with cervical spondylotic myelopathy and 16 with ossified posterior longitudinal ligament in whom spinal curvature and range of segmental movements were assessed on neutral and dynamic cervical radiographs. Neurological function was measured by Nurick's grade and modified Japanese Orthopedic Association (JOA) scores. Eighty-eight patients (81%) underwent either a single- or two-level corpectomy; the remaining (19%) undergoing three- or four-level corpectomies. The average duration of follow-up was 30.52 months.

**Results** The Nurick's grade and the JOA scores showed statistically significant improvements after surgery ( $p < 0.001$ ). The mean postoperative segmental angle in the neutral position straightened by  $4.7 \pm 6.5^\circ$ . The residual segmental range of movement for a single-level corpectomy was  $16.7^\circ$  (59.7% of the preoperative value), for two-level corpectomy it was  $20.0^\circ$  (67.2%) and for

three-level corpectomies it was  $22.9^\circ$  (74.3%). 63% of patients with lordotic spines continued to have lordosis postoperatively while only one became kyphotic without clinical worsening. Four patients with preoperative kyphotic spines showed no change in spine curvature. None developed spinal instability.

**Conclusions** The OCC preserves segmental motion in the short-term, however, the tendency towards straightening of the spine, albeit without clinical worsening, warrants serial follow-up imaging to determine whether this motion preservation is long lasting.

**Keywords** Cervical spondylotic myelopathy · Ossified posterior longitudinal ligament · Oblique corpectomy · Motion preservation

### Introduction

Cervical spondylosis and ossified posterior longitudinal ligament (OPLL) are common causes of myelopathy that often require surgical decompression either through multilevel anterior cervical discectomies/corpectomies or laminectomy/laminoplasty when they result in progressive neurological deficits [1–3]. The oblique cervical corpectomy (OCC) has slowly emerged as an established method of decompressing the spine in patients with cervical spondylotic myelopathy (CSM) [4–10]. The reason it is attractive that it allows for a long segment of decompression (up to five levels) without the need for a bone graft or instrumentation as its proponents claim that stability and sagittal alignment are maintained. Central corpectomy, on the other hand, is destabilizing; and grafting with or without instrumentation is mandatory. It offers the best results for one-level corpectomies while the incidence of

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non-union, instrumentation failure and graft extrusion increases from 6 to 9% in two level corpectomies to more than 50% in three-level corpectomies [11, 12]. Thus, in patients undergoing  $\geq 3$  level central corpectomies, additional posterior instrumentation is recommended for a more stable construct to enhance fusion rates and reduce instrumentation failure [8, 13–15].

There is limited literature available on the results of OCC and although good clinical outcomes have been reported [4, 7–9], there is insufficient data available with regard to the maintenance of sagittal alignment and the degree of preservation of movement on flexion and extension of the neck. The purpose of this retrospective study was to document the neurological outcome, spinal alignment and range of movement after OCC.

## Methods

### Patients

Between July 2001 and March 2009, we performed 157 oblique corpectomy procedures. All patients underwent a detailed neurological examination, assessment of functional status using the Nurick's grading system [16] and a modified Japanese Orthopedic Association (JOA) system [17]. Magnetic resonance imaging (MRI) was available in all patients. Patients with CSM and OPLL were selected for an OCC if there was no spinal instability on the dynamic plain radiographs and when the number of levels of cord compression was  $\leq 4$  irrespective of spinal curvature and regardless of whether the discs were collapsed or not. Preoperative clinical and radiological data were entered prospectively into a proforma and subsequently into an access database. For this study, the data on 109 patients with at least 6 months follow-up were retrospectively analyzed. The remaining 48 patients were excluded either because they did not have follow-up imaging or if the imaging was of poor quality.

### Operative procedure

Only a brief description of the technique of the OCC will be made here since it has been described in detail before [6]. Through a longitudinal skin incision along the anterior border of the right sternocleidomastoid muscle, the carotid sheath is retracted medially to expose the transverse processes of the cervical vertebrae. The sympathetic chain lying on the longus colli is generally retracted medially but on occasion when it is situated far laterally it is retracted laterally. The vertebral artery (VA) lies unprotected between two consecutive vertebrae but is protected above C6 in the foramen transversarium by the costotransverse bar of the transverse process. The microscope is brought in and the

diamond drill is used to thin down the costotransverse bar to the periosteum over the VA. An 8 mm cutting burr is then used to make a vertical trough down to the OPLL on the lateral part of the vertebral body leaving about 3 mm of cortical bone to protect the VA laterally. Drilling then continues obliquely across to the contralateral side of the canal along the posterior cortical margin minimizing vertebral body removal. Patients are mobilized on the first day and were initially advised to wear a cervical collar for 3 months, but we discontinued advising a collar after 2005.

### Outcome assessment

Patients were advised to send us lateral cervical spine radiographs in neutral, flexion and extension views in the standing position. The patient was asked to flex and extend his neck to the maximum extent possible without experiencing discomfort. He was also asked to send at least one MRI scan postoperatively by post along with a questionnaire for the Nurick's grade and JOA scores. Images done elsewhere were scanned into and stored on a General Electric (GE) Centricity 1.0 Picture Archival and Retrieval Computer System (PACS) server and measurements performed on GE Centricity 1.0 PACS workstations. Whole spine curvature was defined in relation to a line joining the postero-inferior edge of C2 to the postero-inferior edge of C7. When the inferior edge of C7 was not visible, we used the upper edge of C7 in eight patients. The spine was called lordotic when the posterior surfaces of the C3–C6 bodies were anterior to the line, straight when at least one vertebral body touched the line and kyphotic when even one body lay behind the line. The segmental angle was assessed using the Cobb's method on the neutral lateral view at the level operated, that is, the angle between a line on the superior end plate of the vertebral body above and a line on the inferior border of the body below the levels operated. Thus for C5 corpectomies, the first line was on the superior end plate of C4 and the 2nd line was on the inferior end plate of C6. However, for C6 corpectomies the superior end plate of C7 was used in the measurements as the shoulders on the plain radiographs, particularly on flexion, often obscured the inferior end plate of C7. The Cobb's angle over the segments operated was also measured in flexion and extension to give a range of movement. Two observers (AGC and MJ) independently assessed the angles and spine curvatures on their computer consoles after doing a few trial measurements together to arrive at a protocol.

### Statistical analysis

Paired *t* test and McNemar's test were employed to assess the significance of change before and after surgery for continuous and nominal data, respectively. Student *t* test

was used to compare the statistical significant of the association for continuous data. Multiple linear regression was used to adjust for the effects of age, gender and number of levels operated.

## Results

The majority of the 109 patients were male ( $n = 97$ ; 89%) with a mean age  $\pm$ SD of  $50.39 \pm 9.86$  years and an average duration of myelopathic symptoms and problems with gait  $17.21 \pm 22.06$  and  $13.44 \pm 18.33$  months, respectively. The clinical and pre-operative radiological findings are depicted in Tables 1 and 2. An intraoperative dural tear with a CSF leak occurred in 4 patients (3.7%) that was successfully managed with a lumbar subarachnoid catheter and bed rest. Temporary Horner's syndrome was seen in 35 (32.1%) that persisted in 9 (8.2%). Four (3.7%) patients required narcotic analgesia and 10 (9.2%) were catheterized, postoperatively. The average duration of follow-up was  $30.52 \pm 19.71$  months.

### Clinical outcome and range of cervical motion

Table 3 documents the Nurick's grades, JOA scores and radiological measurements in neutral, flexion and extension

**Table 1** Clinical and radiological findings ( $n = 109$ )

Clinical feature	Number (%)
Radicular pain	15 (14)
Paresthesiae	94 (86)
Bladder dysfunction	36 (33)
Romberg's sign positive	40 (37)
Radiology	
T2 hyperintensity	79 (73)
Ossified anterior longitudinal ligament	13 (12)
Ossified posterior longitudinal ligament	16 (15)

**Table 2** Corpectomy levels ( $n = 109$ )

Cervical level	Number (%)
C3	3 (2.8)
C4	52 (48)
C5	78 (72)
C6	63 (58)
C7	2 (1.8)
Number of segments	
One	41 (38)
Two	47 (43)
Three	20 (18)
Four	1 (0.9)

positions. All postoperative clinical scores showed statistically significant changes after surgery with improvement in the Nurick's grade and the JOA score. At follow-up 45 patients improved by 1 Nurick grade, 22 by 2 grades, 12 by 3 grades and 1 patient improved by 4 grades. This corresponded to an overall improvement of 72.5% on the Nurick's grade. The amount of flexion decreased by a mean of  $2.5^\circ$  (SD 6.4) and extension by  $7.1^\circ$  (SD 7.5). The residual range of movement across the operated segments for a single-level corpectomy was  $16.7^\circ$  (59.7% of the preoperative value), for two-level corpectomies it was  $20.0^\circ$  (67.2%) and for three-level corpectomies it was  $22.9^\circ$  (74.3%).

### Segmental angle and whole spine curvature

The mean postoperative segmental angle in the neutral position of the entire group of patients showed a straightening of  $4.7^\circ$  (SD 6.5). The change in segmental angle after surgery was not significantly greater in the three- and four-level corpectomies when compared with the one- and two-level corpectomies (Table 4). In the assessment of whole spine curvature, 67 (71.3%) patients had lordotic spines, 23 (24.5%) were straight while 4 (4.3%) were kyphotic. A substantial majority of patients with lordotic spines continued to have lordosis post-operatively (63%) or developed a straight spine (35.8%) and only one became kyphotic with no worsening of clinical symptoms (Fig. 1; Table 5). The majority of patients (78%) with preoperative straight spines continued to have straight spines with 2 becoming kyphotic. The four patients with preoperative kyphotic spines showed no change in whole spine curvature, their mean segmental neutral angles changing by only  $1^\circ$  from  $-8.2^\circ$  to  $-9.1^\circ$  at follow-up (Fig. 2). The incidence of loss of lordosis did not correlate with the number of levels operated (Table 6) ( $p = 0.72$ ); nor was there a correlation with age, gender, disease process (CSM/OPLL) or preoperative range of movement.

Table 7 documents the univariate and multivariate statistics for the functional outcomes recorded. The univariate analysis was performed using the Students *t* test and Pearson's correlation. The Nurick's grade at last follow-up was tested against the presence of OPLL, preoperative Nurick's score, one or two level versus longer corpectomies, JOA score and patient's assessment of improvement at last follow up. Similarly, the JOA score at last follow up was tested against the presence of OPLL, preoperative JOA score, one or two level versus longer corpectomies and to the Nurick's grade and patients assessment of improvement at last follow-up. The Nurick's grade at last follow-up correlated significantly with the preoperative Nurick's grade, postoperative JOA at last follow-up and the patients' assessment of improvement. Likewise, the JOA score at

**Table 3** Statistical significance of differences between preoperative and postoperative clinical scores and radiological angles

Assessment	Preoperative mean (SD)	Last follow-up mean (SD)	No. of patients	Mean difference (SD)	Statistics using paired <i>t</i> test		
					<i>t</i> value	<i>df</i>	<i>p</i> value
Nurick's grade	3.55 (0.73)	2.46 (0.73)	101	-1.10 (0.90)	12.27	100	<0.001
JOA Score	11.43 (2.10)	14.15 (1.74)	95	2.72 (2.20)	-12.05	94	<0.001
Segmental angle in neutral position	10.76 (9.28)	6.02 (8.32)	92	-4.74 (6.51)	6.99	91	<0.001
Segmental angle in flexion	-12.69 (7.94)	-10.20 (8.03)	52	-2.49 (6.42)	-2.80	51	0.007
Segmental angle in extension	16.27 (8.26)	9.18 (7.69)	39	-7.09 (7.45)	5.95	38	0.007
Range of neck movement	29.52 (11.11)	19.75 (9.51)	38	-9.77 (8.68)	6.94	37	<0.001

**Table 4** Correlation of postoperative change in segmental angle (*n* = 90) with the number of levels operated

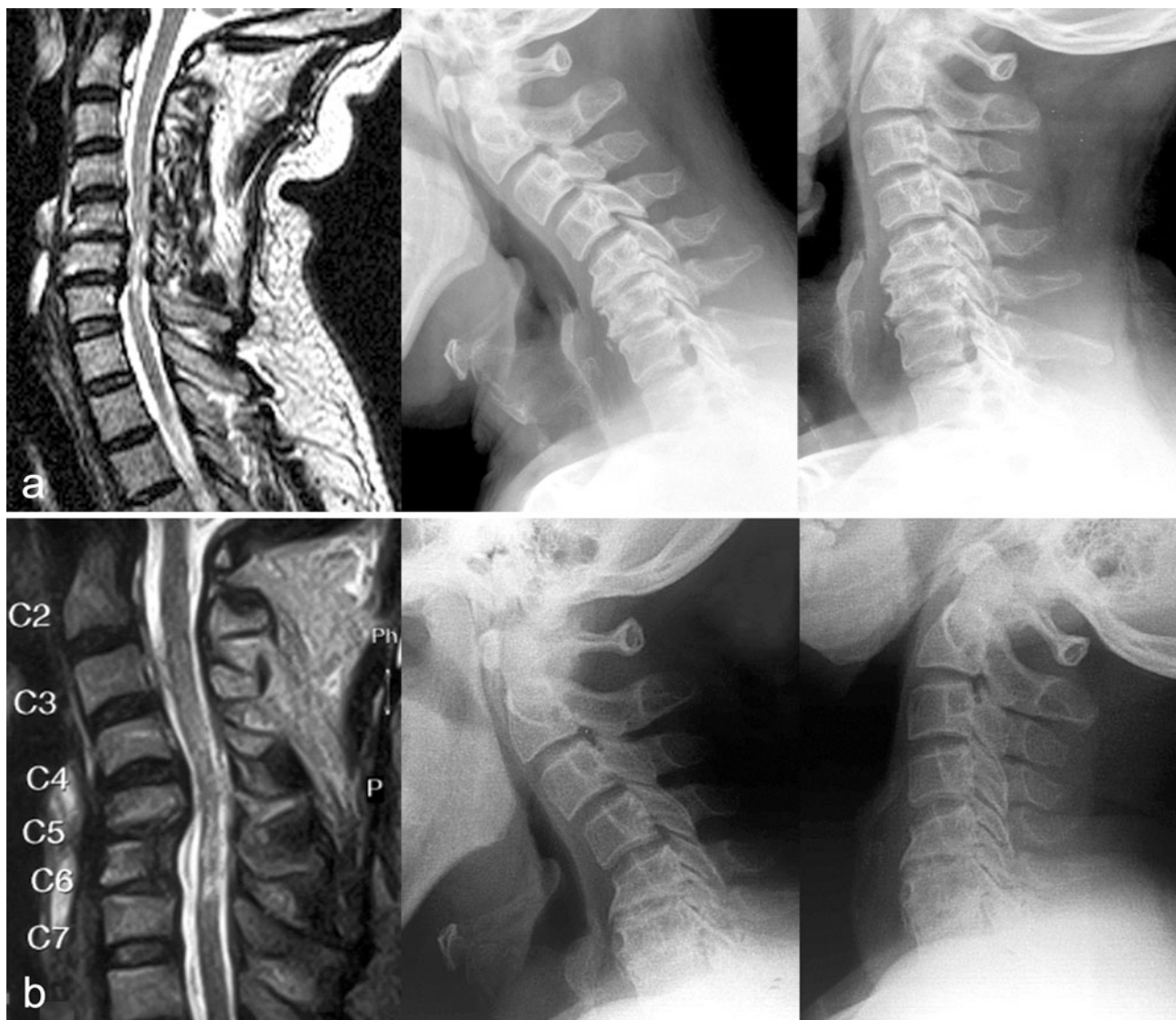
Number of levels operated	No. of patients	Mean segmental angle in neutral position			Difference per operated segment
		Preoperative (SD)	Postoperative (SD)	Difference	
1	31	8.9 (7.3)	4.2 (7.9)	4.7	4.7
2	41	11.0 (8.5)	6.8 (7.7)	4.2	2.1
3	17	15.7 (10.8)	9.2 (9.0)	6.5	2.2
4	1	18.5	7.2	11.3	3.8



**Fig. 1** Preoperative (a) and postoperative (b) MR sagittal images with plain lateral radiographs in neutral, flexion and extension showing good decompression of the cord after a C5/C6 oblique corpectomy, preservation of lordosis and cervical movement on dynamic views

**Table 5** Pre and postoperative whole spine curvature ( $n = 94$ )

	Post operative lordosis ( $n = 45$ )	Post operative straight spines ( $n = 42$ )	Postoperative kyphosis ( $n = 7$ )
Preoperative lordosis ( $n = 67$ ; 71.3%)	42	24	1
Preoperative straight spine ( $n = 23$ ; 24.5%)	3	18	2
Preoperative kyphosis	0	0	4

**Fig. 2** Preoperative (a) and postoperative (b) MR sagittal images in a patient with preoperative kyphosis showing similar spine alignment on the plain radiographs after a C6 oblique corpectomy

last followup was significantly correlated with the preoperative JOA score, the Nurick's grade at last follow-up and the patients' assessment of improvement. These associations remained statistically significant even after adjustment for age, gender and respective preoperative clinical score. The relationship between the presence of an OPLL and length of corpectomy was not significant on the multivariate statistical procedure. The following variables were

not significantly associated with outcomes: the presence of radicular pain, paresthesiae, bladder symptoms, posterior column involvement, preoperative spine curvature, T1 hypointensity and T2 hyperintensity on MRI scan.

The patients with OPLL were compared to those with CSM. Those with OPLL had significantly more postoperative problems in the form of CSF leak ( $p = 0.04$ ), radicular deficit ( $p = 0.003$ ), and lower range of movement



**Table 6** Correlation of change in postoperative spine curvature with the number of levels operated in patients with preoperative lordotic spines ( $n = 67$ )

Number of levels operated	Postoperative spine curvature		
	Lordotic	Straight	Kyphotic
1	18 (67%)	8 (30%)	1 (3%)
2	16 (57%)	12 (43%)	0
3 or 4	8 (67%)	4 (33%)	0

( $p = 0.006$  and lower Nurick’s grade at last follow-up (0.04). The other variables did not differ significantly between the two groups.

**Interobserver variability**

There was substantial agreement (Interclass correlation coefficient = 0.86) in the measurement of segmental angles by the two observers.

**Discussion**

Although the OCC was described more than a decade ago, [6] it has not gained popularity probably due to the demanding technique related to mobilization of the sympathetic chain and concomitant Horner’s syndrome as well as the risk of vertebral artery injury. We found that in most cases the Horner’s syndrome is temporary and our incidence of permanent Horner’s syndrome was 8.2%, although patients were asymptomatic. Koc et al. [7] excise the longus colli muscle medial to the sympathetic chain

thus avoiding mobilization of the latter structure and report a lower incidence of Horner’s syndrome. George et al. [6] reiterated that only those patients with CSM in whom imaging showed hard, collapsed discs and probably fused spines were offered the OCC. Other reports of the OCC do not mention whether they excluded patients with soft discs and non-fused spines [8, 9]. Our series included all patients with CSM regardless of spinal alignment or status of the discs whether hard or soft. Reports on the OCC have established that the technique yields excellent results determined by clinical outcome measures for myelopathy and radiological assessment of spinal alignment [4, 7–9]. However, data pertaining to the preservation of segmental motion is limited [4] and no detailed studies are available.

**Clinical and radiological predictive factors**

Men constituted the vast majority of our patients with only 3% being women. Apart from studies recruiting patients from Veterans Affairs clinics [18, 19] most reports from western literature indicates an equal gender distribution or a slight male preponderance [4, 13]. The mean age of 50 years in our cohort of patients is significantly lower than that seen in other series [4, 6, 20] and may have a bearing on the spondylotic changes in the discs—hard, collapsed discs in CSM, as described by George et al. [6] tend to occur in the older age group. Age did not correlate with outcome in our series, a finding that is supported by most studies [9, 20] except for a few suggesting that older patients have a worse outcome [21, 22] and one that suggests a better outcome in elderly patients [23]. In addition, number of levels operated on, duration of symptoms and MR intramedullary changes

**Table 7** Outcome score and their relationship other variables

Outcome variable	Independent variable	Univariate statistics		Multivariate statistics using multiple linear regression <sup>a</sup>		
		Student’s <i>t</i> test/Pearson’s correlation	<i>p</i> value	$\beta$	<i>t</i>	<i>p</i> value
Nurick’s score at last follow-up	OPLL	$t = -2.17; df = 22.08$	0.037	-0.160	-1.632	0.106
	Nurick’s score preoperative	0.236	0.018	0.242	2.438	0.017
	Corpectomy involving only one or two levels	$t = 2.218; df = 41.31$	0.033	0.159	1.624	0.108
	JOA score at last follow-up	-0.697	<0.001	-0.703	-9.698	<0.001
	Improvement reported by patient <sup>b</sup>	-0.546	<0.001	-0.533	-5.901	<0.001
	Duration of symptoms >12 months	0.125	0.214	0.185	1.783	0.08
JOA score at last follow-up	OPLL	$t = 1.096; df = 98$	0.276	0.162	1.672	0.098
	JOA preoperative score	0.358	<0.001	0.344	3.480	0.001
	Corpectomy involving only one or two levels	$t = -1.91; df = 98$	0.059	-0.179	-1.857	0.067
	Nurick’s score at last follow up	-0.697	<0.001	-0.667	-9.714	<0.001
	Improvement reported by patient <sup>b</sup>	0.484	<0.001	0.457	4.757	<0.001
	Duration of symptoms	-0.077	0.451	-0.084	-0.816	0.417

<sup>a</sup> JOA; adjusted for age, gender and respective preoperative score

<sup>b</sup> Improvement reported by patient

did not predict outcome. Duration of preoperative symptoms greater than 12 months has been associated with poorer outcomes [24, 25]. Although many authors are not impressed with intramedullary MR changes as a predictor of outcome, some data exists to suggest that T1 hypointensity along with T2 hyperintensity within the cord, particularly if multisegmental, are associated with poor outcomes and probably an irreversible pathology [26, 27]. Our findings indicate that patients with OPLL did not do as well as those with CSM with higher complication rates and lower range of movement after surgery. Anterior surgery for OPLL has been reported by others to provide better clinical outcomes as compared with posterior decompression particularly in those patients with preoperative kyphosis albeit with higher complication rates [28, 29].

### Spinal alignment and straightening of cervical spine

We found that there is a mean loss of lordosis of  $4.7^\circ$  at the operated segments that probably contributes to the straightening of the whole spine in a third of our patients. However, there was no correlation between kyphotic change and age, number of levels operated, OPLL/CSM or the amount of spine motion present preoperatively. This loss of lordosis was not associated with any clinical deterioration. Of note is that fact that patients with kyphotic spines did not have a worsening of the kyphosis postoperatively. None of the studies on OCC measure segmental angles at the operated levels. Instead they measure whole spine curvature as the Cobb's angle between C2 and C7 and define lordotic spines as angles  $>5^\circ$ ; straight as  $+5^\circ$  to  $-5^\circ$  and kyphotic as  $<-5^\circ$  [6–8]. Koc et al. [7] in their series of 26 patients documented a reduction of  $1^\circ$  in the whole spine curvature from  $13^\circ$  preoperatively to  $12^\circ$  postoperatively but make no mention of the segmental angles. One of their patients (4%) developed a kyphosis from a straight spine. George et al. [6] in their first publication of 101 cases report three patients who developed instability after the OCC one of whom had a soft disc preoperatively. In all other patients, including 16 with preoperative kyphosis, the change in the whole spine curvature was less than  $5^\circ$ . Kiris et al. [8] report similar findings in 40 patients undergoing OCC for CSM, 23 with preoperative straight or kyphotic spines. No patient had more than a  $5^\circ$  change in whole spine curvature.

### Segmental motion

Cagli et al. [10] studied the biomechanical effects of a two-level oblique corpectomy (C5 and C6) on cadaveric specimens. They compared OCC with the normal cervical spine and then with standard median corpectomy with a fibular graft alone and with a graft and plating. The range of

motion after OCC increased uniformly in all directions by a mean of approximately  $15\%$  greater than normal. These *in vitro* studies cannot be simply transposed to *in vivo* practice as a result of limitations induced by the lack of muscle, healing, and adaptation. We lacked immediate postoperative radiographs to comment on whether our patients had increased spine mobility immediately after surgery, however our follow-up radiological findings clearly show that more than  $50\%$  of segmental motion is preserved. Thus, the residual range of movement across the operated segments for a single-level corpectomy was  $16.7^\circ$  ( $59.7\%$  of the preoperative value), for two-level corpectomies it was  $20.0^\circ$  ( $67.2\%$ ) and for three-level corpectomies it was  $22.9^\circ$  ( $74.3\%$ ). Interestingly, the relative segmental motion preservation is more for longer segments of decompression that may be a result of the extensive removal of the PLL and the ipsilateral uncovertebral joints. Chibbaro et al. [4] mention that the mean angular motion in their series of OCC was  $7.25^\circ$  preoperatively, and  $7.42$  and  $7.50^\circ$  at 12 and 24 months, however, it is not clear how these angles were measured. No other studies have addressed segmental motion preservation after the OCC.

### Conclusions

We found that OCC results in significant clinical improvement in patients with CSM and OPLL. Although there is a tendency for a kyphotic change in the whole spine curvature at follow-up there is no concomitant clinical worsening. In addition, we have shown that following an OCC there is preservation of motion at the operated segment at short term follow-up that is greater for longer segments of decompression. Whether this motion preservation persists in the long-term or whether progressive spinal fusion occurs to limit motion remains to be determined.

**Conflict of interest** There are no sources of financial support or conflict of interest.

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