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## Increased Prevalence of EGFR-Mutant Lung Cancer in Women and in East Asian Populations: Analysis of Estrogen-Related Polymorphisms

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### Abstract

**Purpose**—Somatic mutations in the epidermal growth factor receptor (*EGFR*) gene occur in a subset of non – small-cell lung cancer (NSCLC) and are highly predictive of the clinical response to selective EGFR kinase inhibitors. The prevalence of *EGFR*-mutant NSCLC is appreciably higher in females than in males and in East Asian than in Caucasian populations. We hypothesized that these disparate frequencies may be attributable to underlying genetic modifiers. Given the coincident differences in sex and ethnic origin, we tested allozymatic variants of enzymes involved in estrogen biosynthesis and metabolism, encoded by polymorphic alleles known to differ in frequency between Caucasian and Asian populations, as modifying alleles.

**Experimental Design**—We genotyped nine polymorphisms in the *CYP1A1*, *CYP17A1*, *CYP19*, *HSD17B1*, *COMT*, *GSTM1*, and *GSTT1* genes, in a series of 100 Japanese NSCLCs, selected for equal representation of *EGFR* wild-type (wt) and *EGFR*-mutant cases, as well as male and female cases. Associations between polymorphic variants and the *EGFR* genotype and sex of NSCLC cases were examined using Fisher's exact test of significance.

**Results**—Only *CYP1A1*\*2C showed a difference in allele frequency that approached statistical significance. Heterozygotes were underrepresented among *EGFR*-mutant cases compared with *EGFR*-wt cases (27% versus 47%,  $P = 0.08$ ), with a concurrent trend toward overrepresentation of *CYP1A1*\*2C<sup>le/le</sup> homozygotes among *EGFR*-mutant cases as compared with *EGFR*-wt cases (69% versus 51%,  $P = 0.13$ ).

**Conclusion**—Within the power of this study, our findings suggest that the selected polymorphic variants in the estrogen biosynthesis and metabolism pathways are unlikely to be major genetic modifiers of the prevalence of *EGFR*-mutant NSCLC.

The clinical response of non–small-cell lung cancer (NSCLC) patients to small-molecule inhibitors of the epidermal growth factor receptor (EGFR), such as gefitinib or erlotinib, is determined by the presence of specific somatic mutations in the kinase domain of *EGFR* (1–

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3). More than 90% of mutations described to date consist of in-frame deletions in exon 19 or an amino acid substitution (L858R) in exon 21, leading to selective activation of the receptor and downstream antiapoptotic pathways (4–6). *EGFR* kinase domain mutations occur at a significantly higher frequency in tumors from East Asians than from non-Asians (30% versus 8%,  $P < 0.001$ ), from women than from men (59% versus 26%,  $P < 0.001$ ), from never smokers than from ever smokers (66% versus 22%,  $P < 0.001$ ), and in adenocarcinomas compared with other NSCLC histologies (49% versus 2%,  $P < 0.001$ ; refs. 7, 8). The association of smoking-related NSCLCs with *Kras* mutations and the mutually exclusive nature of *Kras* and *EGFR* mutations provide a plausible explanation for the elevated frequency of *EGFR* mutations in NSCLCs from nonsmokers (3, 7–9). However, although no satisfactory explanation of the observed ethnic and sex-related differences has been uncovered, it has been noted that longer reproductive life span, associated with higher endogenous estrogen exposure, is a risk factor for *EGFR*-mutant NSCLC (10).

One possible explanation for interethnic differences in *EGFR* mutation rates would be differing environmental exposures. Large studies to determine the mutation prevalence among second- or later-generation East Asian NSCLC cases living outside the Pacific Rim are lacking. Nonetheless, based on the relatively small number of cases studied to date, the prevalence of *EGFR* mutations remains higher among Asian cases residing outside the Pacific Rim than among their non-Asian counter-parts. Genetic variation may therefore contribute to interethnic differences in mutation prevalence (8, 11, 12).

To date, the search for germ-line variants that may act as genetic modifiers of susceptibility to *EGFR*-mutant NSCLC has been limited to naturally occurring variants in the receptor itself. Three polymorphic variants in *EGFR*, a (CA)<sub>n</sub> repeat in intron 1 (CA-SSR1) and two SNPs (–216G/T and –191C/A) in the promoter region, reportedly influence *EGFR* expression (13, 14), and interethnic differences in allele frequencies at all three positions have been noted between East Asian and other populations (14, 15). These polymorphisms may affect the expression levels of mutant *EGFR* alleles (16, 17). However, no association has been noted between specific *EGFR* haplotypes and intragenic mutations in the *EGFR* kinase domain (12).

Given that *EGFR*-mutated lung tumors occur more frequently in both East Asians and in women and are associated with a longer period of fertility, we considered the possibility that estrogen levels might contribute to the differences in *EGFR* mutation frequency among these two demographic subgroups. Estrogen levels are maintained by a balance in estrogen biosynthesis and metabolism. These complex biochemical processes are regulated by a number of genes, some of which encode allozymatic variants with variable functional activities leading to interindividual variation in estrogen levels. Furthermore, several allozymatic variants are present at significantly higher frequency in East Asian populations compared with Caucasian populations. We hypothesized that polymorphisms that alter enzymatic activity and occur at significantly different frequencies in East Asian and Caucasian populations might contribute to the greater prevalence of *EGFR*-mutant NSCLC in women and in East Asians. Such an effect could arise through a number of mechanisms: Altered estrogen levels could enhance the development of a form of lung cancer that is marked by the subsequent somatic acquisition of *EGFR* mutations. Alternatively, estrogen effects could directly modulate the functional properties of mutant EGFRs to enhance their tumorigenic properties. Here, we compare the frequency of functional allelic variants of genes, in the estrogen biosynthesis and metabolism pathways, in *EGFR*-mutant and *EGFR* wild-type (wt) NSCLCs from Japan.

## Materials and Methods

### Clinical material

Tumors were resected from patients undergoing treatment for NSCLC, with an adenocarcinoma histology, at Aichi Cancer Center, Japan. Surgical tissue was snap-frozen in liquid nitrogen at the time of resection and subsequently stored at  $-80^{\circ}\text{C}$ , after obtaining written informed consent and Institutional Review Board approval. Tissues were anonymized after recording demographic and clinicopathologic features. Exons 18 to 24 of the *EGFR* gene were genotyped for recurrent *EGFR* mutations previously associated with clinical sensitivity to EGFR tyrosine kinase inhibitors. Of the 435 consecutive NSCLC cases, 100 cases of adenocarcinoma were retro-spectively selected for study based on the *EGFR* genotype of tumors. The study cohort was composed of equal numbers of wt ( $n = 50$ ) and mutant ( $n = 50$ ) *EGFR* cases, and in each genotypic subgroup, cases were further selected for an equal representation of males and females, individually matched for smoking history (ever smokers and never smokers) and age.

### Genotyping

DNA was isolated from tumor specimens by standard phenol-chloroform extraction. Exons 18 to 21 of *EGFR* were PCR amplified and subjected to automated nucleotide sequencing as described previously (7, 10). Tumor DNAs were genotyped for the CYP1A1\*2A<sup>6235T/C</sup> (rs4646903), CYP1A1\*2C<sup>Ile462Val</sup> (rs1048942), CYP17A1<sup>-34T/C</sup> (rs743572), CYP19A1<sup>Arg264Cys</sup> (rs700519), HSD17B1<sup>Gly312Ser</sup> (rs605059), COMT<sup>Val158Met</sup> (rs4680), GSTM1, and GSTT1 polymorphic variants. PCR amplification was done using primer pairs (5'-3') for CYP1A1\*2A<sup>6235T/C</sup> (GCAGTGAAGAGGTG-TAGCCGCTG and GATTAGGAGTCTTGCTCATGCCTG), CYP1A1\*2C<sup>Ile462Val</sup> (CCAGTGGCAGATCAACCATGACC and CTAAGAGCG-CAGCTGCATTTGGAAG), CYP19A1<sup>Arg264Cys</sup> (CCTTAACATGAAGTG-TAGGGTCTATG and CTACACAGTCATAACATATGTGGC), HSD17B1<sup>Gly312Ser</sup> (GGATGCGCCTGGACGACCCAGC and GCGCTGGTAAACTGGCTAACGC), COMT<sup>Val158Met</sup> (GCAAGATCGTG-GACGCCGTGATTC and CTTTAGGGTTCTGGGATGACAAGG), GSTM1 (GAACTCCCTGAAAAGCTAAAGC and GTTGGGCTCAAATA-TACGGTGG), and GSTT1 (TTCCTTACTGGTCCTCACATCTC and TCACCGGATCATGGCCAGCA). Amplification consisted of 40 cycles with annealing temperatures of  $52^{\circ}\text{C}$  (CYP19A1<sup>Arg264Cys</sup>),  $54^{\circ}\text{C}$  (CYP17A1<sup>-34T/C</sup>),  $58^{\circ}\text{C}$  (CYP1A1\*2A<sup>6235T/C</sup>, CYP1A1\*2C<sup>Ile462Val</sup>), and  $61^{\circ}\text{C}$  (HSD17B1<sup>Gly312Ser</sup>). Null alleles of GSTM1 and GSTT1 were genotyped by multiplex PCR, with the  $\beta$ -globin gene as a positive control for amplification, according to published conditions (18). Amplicons surrounding the CYP19A1<sup>(TTTA)<sup>7-13</sup></sup> tetranucleotide repeat were genotyped by size separation on a Wavemaker system (Transgenomic, Inc.).

### Statistical analysis

A two-sided Fisher's exact test of significance was used to evaluate the associations between polymorphic variants of CYP1A1\*2A<sup>6235T/C</sup>, CYP1A1\*2C<sup>Ile462Val</sup>, CYP17A1<sup>-34T/C</sup>, CYP19A1<sup>Arg264Cys</sup>, CYP19A1<sup>(TTTA)<sup>7-13</sup></sup>, HSD17B1<sup>Gly312Ser</sup>, COMT<sup>Val158Met</sup>, GSTM1, and GSTT1 and the *EGFR* genotype or sex of NSCLC cases.

## Results and Discussion

The enzymatic conversion of cholesterol to the estrogens  $17\beta$ -estradiol and estrone is a multistep process catalyzed by cytochrome *P*450 (CYP),  $3\beta$ -hydroxysteroid dehydrogenase (HSD3B), and  $17\beta$ -hydroxysteroid dehydrogenase (HSD17B) family members (Fig. 1),

primarily occurring in the granulosa cells of the ovarian follicles in premenopausal women (19). In contrast, the primary source of estrogen in postmenopausal women is provided by the conversion of androgens into estrogen by aromatase (CYP19) in adipose tissue (Fig. 1).

Estrogen metabolism is a multistep process beginning with CYP1A1-mediated hydroxylation at the 2- or 4-position to produce 2- or 4-hydroxy catechol estrogens that undergo further oxidation to quinones and semiquinones, respectively (20). Quinones are reportedly mutagenic, being capable of forming stable DNA adducts or depurinating adducts. The inactivation of estrogens, catechol estrogens, quinones, and semiquinones is achieved by methylation and subsequent sulfation and conjugation, catalyzed by catechol-*O*-methyl-transferase (COMT), the glutathione *S*-transferase (GST) superfamily, and sulfotransferases, respectively (Fig. 1; ref. 19). Among the enzymes participating in estrogen biosynthesis and metabolism, several have naturally occurring allozymatic variants that, in some instances, exhibit differential catalytic activity (21–35). Thus, the genotype of an individual may influence overall tissue estrogen levels. Furthermore, significant interethnic differences in allele frequencies between Caucasian and East Asian populations have been documented for a number of variants (20, 36–41).

To test for an association between polymorphic variants in genes influencing estrogen biosynthesis and metabolism and the prevalence of *EGFR*-mutant NSCLCs, we genotyped the *CYP1A1*\*2A<sup>-6235T/C</sup>, *CYP1A1*\*2C<sup>Ile462Val</sup>, *CYP17A1*<sup>-34T/C</sup>, *CYP19A1*<sup>Arg264Cys</sup>, *CYP19A1* (TTTA)<sup>n</sup>, *HSD17B1*<sup>Gly312Ser</sup>, *COMT*<sup>Val158Met</sup>, *GSTM1*, and *GSTT1* allelic variants within a series of 100 NSCLCs from Japan (Table 1). These specific variants were chosen for analysis because they encode allozymes with reported differential functional activity and/or ethnic distributions among Caucasian and East Asian populations (Table 1). We focused our analysis on NSCLCs from Japan because the higher frequency of *EGFR*-mutant lung cancer in East Asia would enhance detection of any bias in the prevalence of these polymorphisms between cases with or without *EGFR* mutations. NSCLC cases were preselected for equal representation of *EGFR*-wt and *EGFR*-mutant genotypes and cases arising in males and females.

Overall, our study cohort seemed to be representative of the Japanese population based on a comparison of the allele frequencies of the variants analyzed here with the reported allele frequencies (Table 1).

A comparison of polymorphic genotype distributions between *EGFR*-wt and *EGFR*-mutant NSCLC cases revealed a difference for only one estrogen-related variant, *CYP1A1*\*2C (rs1048943; Table 2). We observed an underrepresentation of *CYP1A1*\*2C heterozygotes (Ile/Val) among *EGFR*-mutant cases as compared with *EGFR*-wt cases, although this did not reach statistical significance (27% versus 47%;  $P = 0.08$ , two-tailed Fisher's exact test). We also detected a concurrent overrepresentation of *CYP1A1*\*2C Ile/Ile homozygotes among *EGFR*-mutant cases compared with *EGFR*-wt cases, although this, too, did not reach statistical significance (69% versus 51%,  $P = 0.13$ ). The *CYP1A1*\*2C Val genotype (minor G allele) is more prevalent in the East Asian population compared with the Caucasian population (Table 1) and has been linked to increased production of the 2-hydroxycatecholesterol metabolite. Thus, whereas interethnic prevalence might favor an association between the *CYP1A1*\*2C Val genotype and *EGFR*-mutant NSCLC, our analysis of Japanese tumors, in fact, suggests that the *CYP1A1*\*2C Ile genotype was more frequently observed in *EGFR*-mutant cases. Breakdown of the analysis among male versus female cases did not further support a bias in favor of either *CYP1A1*\*2C variant by sex, with both males and females displaying the trends observed in the combined group (Table 2). We also observed a trend toward an increase (35–57%) in the frequency of *CYP19A1*<sup>(TTTA)<sup>7</sup></sup> homozygotes in *EGFR*-mutant female cases compared with wt female cases, although this

did not reach statistical significance (Table 2). A similar trend for *CYP19A1*<sup>(TTTA)<sup>7</sup></sup> was not observed in males. The *CYP19A1*<sup>(TTTA)<sup>7</sup></sup> allele occurs at higher frequency in Asian than non-Asian populations (0.69 versus 0.49; Table 1); however, to our knowledge, there is currently no known effect of repeat length of this intronic polymorphism on aromatase activity. No other polymorphism tested, by itself suggested a significant difference in prevalence between Japanese *EGFR*-mutant and *EGFR*-wt NSCLC. We note, however, that estrogen levels are modulated by the concerted action of a number of enzymes, and that the limited number of samples prevented analysis of combinatorial associations of all genotypes with respect to *EGFR* status and sex. In theory, an analysis of 50 wt cases and 50 mutant cases has 80% power to detect differences of 28%. Accordingly, larger studies will be required to confirm our observations.

In conclusion, our findings suggest that the selected functionally and/or ethnically distinct variants in the estrogen biosynthesis and metabolism pathways are unlikely to be major genetic determinants of the coincident sex and ethnic bias of *EGFR* mutations in NSCLC. Our analysis sets the stage for larger population-based studies aimed at defining genome-wide associations that may underlie the genetic contributions toward the strikingly coincident ethnic and sex bias in the prevalence of *EGFR*-mutant lung cancer (10).

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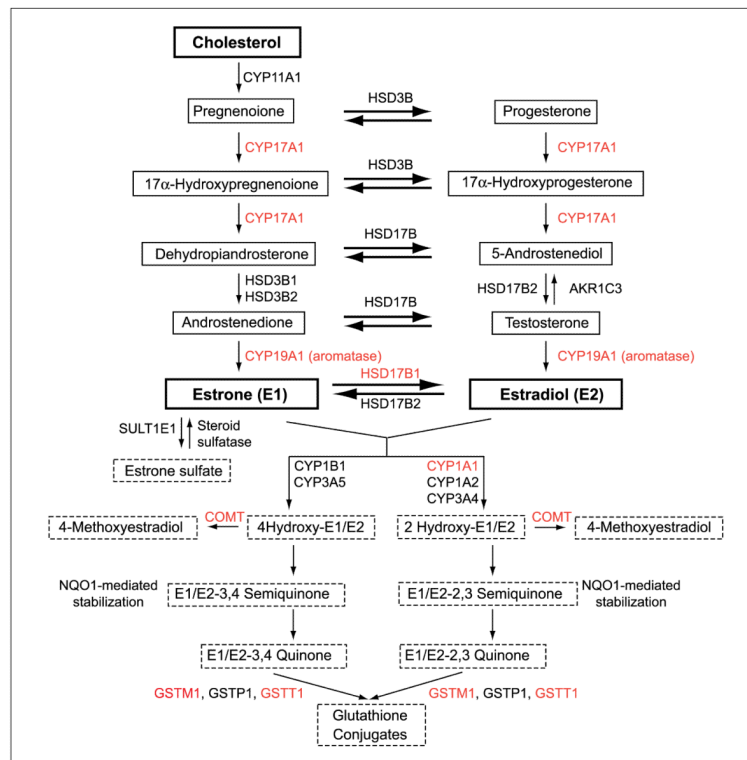
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**Fig. 1.** Schematic representation of estrogen biosynthesis and metabolism. The biosynthesis (*solid boxes*) of estrone and estradiol from cholesterol and their subsequent metabolism (*dashed boxes*) are sequential processes catalyzed by the action of a number of enzymes including allozymes of the cytochrome *P*450 (CYP) superfamily, 3 $\beta$ -hydroxysteroid dehydrogenase (HSD3B), HSD17B, COMT, and GSTs. Red, enzymatic variants analyzed in this study.



**Table 1**  
Frequency and differential activity of allele variants controlling estrogen biosynthesis and metabolism

Gene	Variant	Observed frequency in NSCLCs (Asian)	Reported population frequency		P (Asian vs Caucasian)	Differential allozymic activity	References
			Asian	Caucasian			
<i>CYP1A1</i> *2A <sup>-6235T/C</sup> (rs4646903)	T	0.68	0.58	0.91	<0.0001	Minor allele: increased enzymatic activity	(20, 21)
	C*	0.32	0.42	0.09			
	n	200	417	554			
<i>CYP1A1</i> *2C <sup>16462Val</sup> (rs1048943)	A	0.78	0.74	0.95	<0.0001	Minor allele: increased inducibility to produce 2-hydroxycatecholestrogens	(20, 22)
	G*	0.22	0.26	0.05			
	n	180	417	1,920			
<i>CYP17A1</i> *34T/C (rs743572)	T	0.56	0.49	0.50		Minor allele: elevated expression	(23, 24, 39)
	C*	0.44	0.51	0.50			
	n	200	3,023	1,950			
<i>CYP19A1</i> *Arg264Cys (rs700519)	C	0.68	0.87	0.96		None reported	(40, 41)
	T	0.32	0.13	0.04			
	n	200	2,052	1,614			
<i>CYP19A1</i> (TTTA) <sub>n</sub>	(TTTA) <sub>7</sub> or <sub>7-3</sub>	0.46	0.69	0.49	<0.0001	None reported	(20, 25, 27-31)
	(TTTA) <sub>8</sub> +	0.54	0.31	0.51			
	n	186	376	1,890			
<i>HSD17B3</i> (Gly312Ser (rs605059)	G	0.54	0.46	0.47		None reported	(32, 33, 36)
	A*	0.46	0.54	0.53			
	n	200	918	6,829			
<i>COMT</i> <sup>Val58Met</sup> (rs4680)	G	0.70	0.72	0.47	<0.0001	Minor allele: decreased enzymatic activity	(20, 34)
	A*	0.30	0.28	0.53			
	n	200	453	1,379			
<i>GSTM1</i> <sup>wt/null</sup>	Present	–	–	–		Minor allele: no activity (null allele)	(35, 37)
	Null (–/–)*	0.46	0.53	0.50			
	n	200	2,787	6,000			
<i>GSTT1</i> <sup>wt/null</sup>	Present	–	–	–		Minor allele: no activity	(36, 39)
	Null (–/–)*	0.46	0.53	0.50			
	n	200	2,787	6,000			

Gene	Variant	Observed frequency in NSCLCs (Asian)	Reported population frequency		P (Asian vs Caucasian)	Differential allozymatic activity	References
			Asian	Caucasian			
	Null (-/-) *	0.38	0.54	0.18		(null allele)	
	<i>n</i>	200	847	1,363			

\* Minor allele.

**Table 2**

Genotype of NSCLCs by *EGFR* mutation status and sex

Gene	Variant	% of NSCLC cases (n)					
		<i>EGFR</i> wt			<i>EGFR</i> mutant		
		Male	Female	Total	Male	Female	Total
<i>CYP1A1</i> *2A <sup>-635TTC</sup> (rs4646903)	T-T	33 (9)	43 (10)	38 (19)	55 (15)	35 (8)	46 (23)
	T-C	63 (17)	48 (11)	56 (28)	41 (11)	52 (12)	46 (23)
	C-C	4 (1)	9 (2)	6 (3)	4 (1)	13 (3)	8 (4)
	Total	27	23	50	27	23	50
<i>CYP1A1</i> *2C <sup>1164GVal</sup> (rs1048943)	A-A	48 (11)	55 (12)	51 (23)	74 (17)	64 (14)	69 (31)
	A-G	48 (11)	45 (10)	47 (21)	26 (6)	27 (6)	27 (12)
	G-G	4 (1)	0 (0)	2 (1)	0 (0)	9 (2)	5 (2)
	Total	23	22	45	23	22	45
<i>CYP17A1</i> *34TTC (rs743572)	T-T	33 (9)	35 (8)	34 (17)	30 (8)	30 (7)	30 (15)
	C-T	52 (12)	48 (11)	46 (23)	40 (11)	61 (14)	50 (25)
	C-C	22 (6)	17 (4)	20 (10)	30 (8)	9 (2)	20 (10)
	Total	27	23	50	27	23	50
<i>CYP19A1</i> <sup>Arg264Cys</sup> (rs700519)	C-C	44 (12)	57 (13)	50 (25)	40 (11)	48 (11)	44 (22)
	C-T	44 (12)	39 (9)	42 (21)	48 (13)	39 (9)	42 (22)
	T-T	12 (3)	4 (1)	8 (4)	12 (3)	13 (3)	12 (6)
	Total	27	23	50	27	23	50
<i>CYP19A1</i> (TTTA) <sub>n</sub>	(TTTA) <sub>7</sub>	42 (10)	35 (8)	38 (18)	43 (10)	57 (13)	50 (23)
	(TTTA) <sub>7/8+</sub>	0 (0)	9 (2)	4 (2)	0 (0)	4 (1)	2 (1)
	(TTTA) <sub>8+/8+</sub>	58 (14)	56 (13)	57 (27)	57 (13)	39 (9)	48 (22)
	Total	24	23	47	23	23	46
<i>HSD17B</i> (Gly312Ser) (rs605059)	G-G	22 (6)	30 (7)	26 (13)	41 (11)	35 (8)	38 (19)
	G-A	56 (15)	40 (9)	48 (24)	37 (10)	48 (11)	42 (21)
	A-A	22 (6)	30 (7)	26 (13)	22 (6)	17 (4)	20 (10)
	Total	27	23	50	27	23	50
<i>COMT</i> <sup>Val158Met</sup> (rs4680)	G-G	37 (10)	61 (14)	48 (24)	55 (15)	52 (12)	54 (27)
	G-A	44 (12)	30 (7)	38 (19)	45 (12)	35 (8)	40 (20)

Gene	Variant	% of NSCLC cases (n)					
		EGFR wt			EGFR mutant		
		Male	Female	Total	Male	Female	Total
	A-A	19 (5)	9 (2)	14 (7)	0 (0)	13 (3)	6 (3)
	Total	27	23	50	27	23	50
	+/+ or +/-	59 (16)	34 (8)	48 (24)	67 (18)	52 (12)	60 (30)
	Null allele	41 (11)	66 (15)	52 (26)	33 (9)	48 (11)	40 (20)
<i>GSTM1</i> wt/null	Total	27	23	50	27	23	50
	+/+ or +/-	63 (17)	61 (14)	62 (31)	63 (17)	61 (14)	62 (31)
	Null allele	37 (10)	39 (9)	38 (19)	37 (10)	39 (9)	38 (19)
	Total	27	23	50	27	23	50
<i>GSTT1</i> wt/null	Total	27	23	50	27	23	50