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Lower Uterine Segment Involvement is Associated with Poor Outcomes in Early-Stage Endometrioid Endometrial Carcinoma

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Abstract

Background—The clinicopathologic significance of lower uterine segment involvement (LUSI) in endometrial cancer patients remains unclear. Although LUSI has been reported to be a prognostic indicator, literature is limited.

Methods—We studied 481 surgically staged endometrioid endometrial cancers with disease confined to the uterus (FIGO 1988 stage I or II). Primary outcomes were overall survival (OS) and disease-free survival (DFS). The relationships between LUSI and OS and DFS were assessed using the Kaplan–Meier method and Cox proportional hazard models. The *t* test or Fisher exact test was used for evaluating relationships between variables of interest.

Results—LUSI was present in 223 cases (46.4%), and was associated with both decreased disease free survival ($P = 0.02$) and overall survival ($P = 0.01$) in univariate analysis. Multivariate analysis confirmed the association between LUSI and increased risk for recurrence [hazard ratio (HR) 2.27; 95% confidence interval (95% CI) 1.09–4.7; $P = 0.03$] and increased mortality (HR 1.76; 95% CI 1.12–2.78; $P = 0.01$).

Conclusions—LUSI in patients with early-stage endometrioid endometrial cancer is associated with decreased survival.

Endometrial cancer is the most common gynecologic malignancy and the fourth most common cancer among women in developed countries.¹ It is estimated that approximately 43,470 women in the United States will be diagnosed with uterine cancer, and 7950 will die of their disease in 2010.^{2,3} The incidence of endometrial cancer has remained constant with little improvement in mortality rates from 1995 to 2008.³

As the majority of patients present with early-stage disease, endometrial cancer generally carries an overall good prognosis with an estimated 5-year survival of approximately 85%.³ Treatment typically consists of surgery followed by individualized adjuvant therapy.

Patients with advanced stage or recurrent disease have a much graver prognosis and less consistent treatment options.

Although stage is directive in decisions for treatment and remains the major predictor of outcomes, other factors not incorporated into the staging system, such as lymphovascular space involvement, grade, and age independently influence survival.⁴⁻⁸ These well-established prognostic factors in early-stage endometrial cancer are included in many clinical management algorithms. Despite incorporation of these additional prognostic markers into treatment strategies, 10–20% of early-stage endometrial cancers will still recur, emphasizing the need for more predictive prognostic biomarkers.^{6,9}

There have been several reports on the potential value of lower uterine segment involvement (LUSI) in the prognosis and management of early-stage endometrial cancer patients. These published studies are retrospective and were, in general, underpowered to identify small or modest-sized effects.¹⁰⁻¹⁴ One study also included patients with nodal metastatic disease that could mask the prognostic value of LUSI in early-stage patients.¹² However, LUSI does appear to be an important predictor of lymph node involvement for patients with endometrioid histology, and a recently reported large multi-institutional study of Israeli patients with 1988 FIGO stage I endometrioid endometrial cancer suggested that LUSI is a poor prognostic factor, conferring a significantly higher risk of distal recurrence and death.¹⁵⁻¹⁷ Confirming the prognostic value of LUSI could potentially alter the current management of many of these patients who frequently only undergo surveillance postoperatively without adjuvant therapy. The significance of tumor within the lower uterine segment gained further attention with recent work, suggesting that endometrial carcinoma originating solely from within the lower uterine segment may be associated with Lynch syndrome in contrast to tumors that involved the uterine corpus.¹⁸ Such a finding may have important implications for genetic testing and/or counseling of these individuals.

Our aims were: (1) to evaluate the association of LUSI with clinical outcomes of surgically staged endometrioid endometrial cancer patients with disease confined to the uterus and (2) to evaluate the relationship of LUSI and the Lynch syndrome molecular feature, MSI, in this same group of patients.

MATERIALS AND METHODS

Study Participants and Clinical Data

Washington University prospectively gathers clinical and demographic information for endometrial cancer patients treated at our facility. Blood and tumor specimens are collected on participating subjects at the time of study enrollment. Tissue specimen and data collection began in 1991 and continues with active curation of clinical data. We chose to retrospectively study patients enrolled between 1991 and 2007 to ensure 2 years follow-up time for outcome data analysis. Eligibility criteria included women 18 years or older with 1988 FIGO stage IA–IIB endometrioid endometrial cancer who underwent a full surgical staging procedure and for whom follow-up data are available. All participants consented to molecular analyses and follow-up as part of a Washington University Medical Center Human Studies Committee-approved protocol (93-0828). Of the 956 patients included in our database, 149 (15.7%) were excluded because of lack of outcome data, 143 (15%) because of nonendometrioid or mixed histology, and 10 (1%) because of presence of a synchronous primary. Of the remaining 654 subjects with endometrioid tumors, 88 (13.5%) did not undergo a lymph node dissection during their surgery and 85 (13%) did not have pathologic assessment for LUSI.

Pathologic evaluation of all tissue specimens was performed by gynecologic pathologists at our institution. A subject was categorized as LUSI positive if tumor involvement of the lower uterine segment was documented by either histologic or gross pathologic description within the pathology report. Demographic and follow-up data were extracted from the research database and hospital records. Accurate surgical stage for each subject was confirmed during data collection for our study according to the FIGO 1988 criteria.¹⁹

Adjuvant therapy was administered on an individual basis at the discretion of the treating physician, and consisted of chemotherapy, radiation therapy, hormonal therapy, or some combination of these treatment modalities. At completion of treatment subjects were typically followed at 3-month intervals for the first two years, at six-month intervals for 3 additional years, and yearly thereafter. Standard surveillance at our institution included physical examination and Pap test for at least 3 years after initial treatment with performance of additional imaging studies and directed biopsies as indicated. All recurrent and progressive disease was histologically and/or radiographically confirmed.

MSI Typing

Tissue specimens and blood were obtained at the time of surgery, snap frozen, and stored at -70°C . DNA was isolated from high neoplastic tumor tissues as previously described.^{20–23}

Microsatellite analysis was performed as previously described by our group.^{20,21} Standard definitions for MSI were used.²⁴ Low-level MSI and microsatellite stable tumors were designated MSI negative for the purposes of this study.

Statistical Analyses

Power was estimated assuming that the 5-year overall survival for early-stage endometrial cancer is greater than 90%.³ An 80% power to detect a 10% or greater difference in overall survival between the 2 groups required a minimum of 318 patients using a 2-tailed chi-square test with significance defined as $P < 0.05$. Primary outcomes were overall survival (OS) and disease-free survival (DFS). OS was defined as the time from surgery to date of death from any cause. Survivors were censored at the date of last contact. DFS was defined as the time from surgery to disease recurrence or progression. OS and DFS were estimated by Kaplan-Meier product limit method and compared using univariate Cox proportional hazards models. Multivariate Cox models were fitted to adjust for the possible effects of other covariates on OS and DFS using backward elimination techniques. In the analysis of DFS, Gray's competing risk methods were also used as a sensitivity analysis to account for the potential competing effect of death.²⁵ Secondary outcome was presence of tumor MSI. Although not diagnostic, MSI status is a highly sensitive test for Lynch syndrome. A high MSI result by immunohistochemistry will detect approximately 95% of all cases.²⁶ If no association is found between MSI status and LUSI, then LUSI is also unlikely to be associated with the Lynch syndrome. Relationships between LUSI and other variables of interest were assessed using t test or Fisher exact test as appropriate. All analyses were 2-sided, and significance was set at a P value of 0.05. Statistical analyses were performed using SAS (SAS Institutes, Cary, NC), as well as the `cmprsk` R (<http://biowww.dfci.harvard.edu/~gray>) statistical packages for competing risk analysis.

RESULTS

The demographic and clinicopathologic characteristics of the 481 subjects included in this study are presented in Table 1. The median age at diagnosis was 62 years (range, 22–92 years). Most patients were stage IB ($n = 222$, 46.2%). The mean number of pelvic and para-aortic lymph nodes removed were 18.3 (range, 0–42) and 5.3 (range, 0–23), respectively.

Median follow-up time was 53.6 months (range, 0.2–165.3). A total of 80 deaths (16.6%) and 36 recurrences (7.5%) occurred during the study period. The majority of patients (79%) did not receive adjuvant therapy. The most common adjuvant modality used was radiation therapy alone (83.2%).

A total of 223 subjects had evidence of LUSI (46.4%). Of these, 208 tumors met histologic criteria for documentation of LUSI (93.3%), whereas 15 were identified by gross description alone (6.7%). Microsatellite instability analysis was successfully performed on 357 subjects (74.2%).

In univariate analyses, the presence of LUSI was significantly associated with decreased DFS (HR 2.46; 95% CI 1.19–5.08; $P=0.02$) and OS (HR 1.75; 95% CI 1.12–2.76; $P=0.01$) (Table 2, Fig. 1). Grade and LVSI were associated with decreased OS and DFS. Age was only associated with decreased OS. MSI was not associated with either primary outcome and was not included in subsequent analyses.

Covariates with a P of ≤ 0.2 were included in the multivariate analysis. The presence of LUSI was confirmed as an independent prognostic factor for both worse DFS (HR 2.27; 95% CI 1.09–4.71; $P=0.03$) and OS (HR 1.76; 95% CI 1.12–2.78; $P=0.01$) (Table 3). Grade remained significantly associated with DFS and OS. Age was associated with decreased OS, and nonwhite race was associated with decreased DFS. A subanalysis of only stage I patients demonstrated LUSI to remain significantly associated with decreased OS (HR 1.67, 95% CI 1.02–2.72; $P=0.04$).

DISCUSSION

To the best of our knowledge, this represents the largest single-institution study evaluating the role of LUSI in endometrioid endometrial cancer, and our cohort included the largest number of LUSI positive patients of any published study. We demonstrated that the presence of LUSI is associated with decreased disease-free and overall survival in patients with surgically staged endometrioid endometrial cancer confined to the uterus (1988 FIGO stages I and II).

Understanding the prognostic value of LUSI could be beneficial both in adjuvant therapy planning, and for patient counseling. New data suggest there is no survival benefit derived from full surgical staging in early-stage endometrial cancer.^{27,28} It seems likely that in the near future patients will undergo simple hysterectomy with bilateral oophorectomy as primary treatment rather than full surgical staging. Molecular markers for risk stratification in the management of endometrial cancer patients could become a valuable prognostic tool. In the meantime, new algorithms that take full advantage of information obtained within the hysterectomy specimen should be considered and, as molecular marker data emerge, considered in conjunction with validated clinicopathologic markers. Whether adjuvant radiotherapy or other therapeutic interventions would be indicated for patients with LUSI remains unclear and would require further investigation.

We did not find evidence of an association between LUSI and the molecular feature of the Lynch syndrome, MSI. Although not specifically powered to find such difference, our study sample was considerably larger than any previously published data regarding the topic.¹⁸ Westin et al. evaluated 35 patients with endometrial carcinoma of the lower uterine segment and found that 10 (29%) were confirmed to have Lynch syndrome by the presence of MSI and either immunohistochemical evidence of loss of MLH1 with lack of MLH1 methylation or loss of either MSH2 and/or MSH6 protein expression. However, the results of the studies were derived from 2 distinct study designs that focused on different patient populations. We studied a cohort of endometrial cancer patients and classified each case by presence or

absence of lower uterine segment involvement. The study by Westin and colleagues was focused on evaluating differences in DNA mismatch repair status in patients with tumors that appeared to originate solely from the lower uterine segment, without involvement of the uterine corpus proper.

Few studies addressing the prognostic importance of LUSI have been reported. A large multicenter Israeli study of 769 patients, among which 138 had LUSI, suggested LUSI is a poor prognostic factor conferring a significant decreased overall survival (HR = 2.3; 95% CI 1.3–3.9; $P = 0.003$).¹⁷ However, only half (50%) of the subjects had undergone systematic surgical staging, and many cases could have had unrecognized advanced stage disease. Several studies did not find LUSI to be prognostic, but all were retrospective and limited by small sample sizes.^{10–12,14} A Turkish study ($N = 106$) suggests that patients with LUSI should be considered high risk, as LUSI was associated with increased myometrial invasion, presence of lymph vascular space involvement, and positive cytology.¹³

As our study population was derived from a single large tertiary care center, results may not be generalizable to other populations. Differences between pathology departments may also vary in regard to the definition of LUSI, emphasizing the need for multi-institutional studies on this topic. We did not account for variation of treatment strategies and modalities over the 16-year study period. Only 15% of the original cohort identified was excluded in the final analysis because of incomplete outcome data.

Our findings support LUSI as a negative prognostic factor influencing survival. This is important in the counseling of these patients who may do worse than women with LUSI negative tumors of similar stage. We did not address whether LUSI warrants adjuvant therapy. Such an important question, as well as determining what type of treatment should be recommended, is beyond the scope of our study. New algorithms utilizing information, such as presence or absence of LUSI, from hysterectomy specimens in unstaged patients may become the standard method of triaging their medical management. Prospective multi-institutional studies are needed to confirm our research findings.

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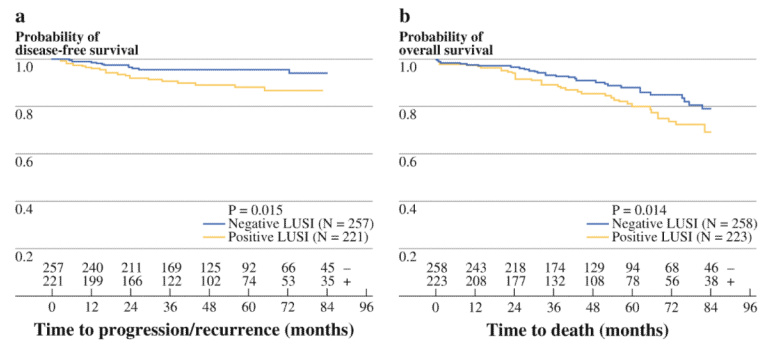


FIG. 1. Reduced disease-free (**A**) and overall survival (**B**) for patients with LUSI. Numbers at bottom indicate number of LUSI positive (+) and LUSI negative (-) survivors at each time point. There was no data regarding recurrence in 3 subjects

TABLE 1

Demographic, clinicopathologic, and molecular characteristics

	<u>LUSI+(n=223)</u>	<u>LUSI-(n=258)</u>	<i>p</i>
	<i>N</i>	<i>N</i>	<i>%</i>
Age (years)			0.43
Mean ± standard deviation	62.5 ± 11.7	63.4 ± 11.3	
BMI (kg/m ²)			0.18
Mean ± standard deviation	36.0 ± 11.0	34.4 ± 9.6	
Race			0.62
White	199	227	87.9
Black	24	30	11.7
Other/not specified	0	1	0.4
Stage			<0.001
IA	24	88	34.1
IB	101	121	46.9
IC	49	46	17.8
II	49	3	1.2
Grade			0.51
1	124	156	53
2	67	74	33.7
3	31	28	13.3
Not evaluable	1	0	0
Adjuvant treatment			0.32
Yes	48	47	18.2
No	172	210	81.4
Not specified	3	1	0.4
L VSI			<0.01
Present	67	42	16.3
Absent	156	215	83.3
Not specified	0	1	0.4
MSI status ^a			0.36

	<u>LUSI + (n = 223)</u>		<u>LUSI - (n = 258)</u>		<i>p</i>
	<i>N</i>	%	<i>N</i>	%	
Neg/low	127	70.2	116	64.1	
High	53	29.3	61	33.7	
No data	1	0.5	4	2.2	

BM/body mass index, *LUSI*/lower uterine segment involvement, *MSI*/microsatellite instability, *L* *VSI*/lymphovascular space involvement

^aMSI data available for 357 tumors

TABLE 2

Univariate analysis of LUSI and survival

	Disease-free survival			Overall survival		
	Hazard ratio	95% CI	P	Hazard ratio	95% CI	P
Patient characteristics						
Age	1.01	0.98–1.04	0.44	1.03	1.01–1.05	0.01
BMI	0.99	0.96–1.03	0.77	1.01	0.99–1.04	0.37
Race ^a	2.18	0.94–5.01	0.07	1.36	0.73–2.51	0.33
Adjuvant therapy ^b	1.35	0.61–2.99	0.46	1.17	0.69–1.99	0.55
Tumor characteristics						
LUSI	2.46	1.19–5.08	0.02	1.75	1.12–2.76	0.01
Stage						
IA vs. II	– c	– c	0.99	0.39	0.19–0.83	0.01
IB vs. II	0.54	0.22–1.30	0.17	0.55	0.30–0.99	0.05
IC vs. II	0.84	0.32–2.22	0.73	0.69	0.35–1.36	0.28
Grade						
2 vs. 1	3.26	1.35–7.87	<0.01	1.65	1.00–2.72	0.05
3 vs. 1	7.71	3.15–18.87	<0.001	2.61	1.47–4.64	<0.01
LVI	3.43	1.73–6.79	<0.001	1.67	1.04–2.68	0.03
MSI high	1.38	0.65–2.9	0.41	0.95	0.57–1.59	0.85

BMI/body mass index, LUSI/lower uterine segment involvement, L VSI/lymphovascular space involvement, MSI/microsatellite instability

^aReference category is “white”

^bReference category is “none”

^cUnable to estimate due to no events in category “IA”

TABLE 3

Multivariate analysis of LUSI and survival

	Disease-free survival			Overall survival		
	Hazard ratio	95% CI	p	Hazard ratio	95% CI	p
LUSI	2.27	1.09–4.71	0.03	1.76	1.12–2.78	0.01
Grade						
2 vs. 1	3.69	1.47–9.26	<0.01	1.67	0.99–2.79	0.05
3 vs. 1	7.86	3.08–20.05	<0.0001	2.56	1.42–4.60	<0.01
Race	2.05	0.86–4.78	0.09	– ^a	– ^a	– ^a
Age	– ^a	– ^a	– ^a	1.02	1.00–1.04	0.03

LUSI=lower uterine segment involvement

^aNot included in final model