

# Impact of microRNA regulation on variation in human gene expression

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MicroRNAs (miRNAs) are endogenously expressed small RNAs that regulate expression of mRNAs at the post-transcriptional level. The consequence of miRNA regulation is hypothesized to reduce the expression variation of target genes. However, it is possible that mutations in miRNAs and target sites cause rewiring of the miRNA regulatory networks resulting in increased variation in gene expression. By examining variation in gene expression patterns in human populations and between human and other primate species, we find that miRNAs have stabilized expression of a small number of target genes during primate evolution. Compared with genes not regulated by miRNAs, however, genes regulated by miRNAs overall have higher expression variation at the population level, and they display greater variation in expression among human ethnic groups or between human and other primate species. By integrating expression data with genotypes determined in the HapMap 3 and the 1000 Genomes Projects, we found that expression variation in miRNAs, genetic variants in miRNA loci, and mutations in miRNA target sites are important sources of elevated expression variation of miRNA target genes. A reasonable case can be made that natural selection is driving this pattern of variation.

[Supplemental material is available for this article.]

“Canalization” is a term that was coined to describe the ability of living organisms to buffer against phenotypic variation, despite ubiquitous environmental or genotypic perturbations (Waddington 1942, 1953, 1956). MicroRNAs (miRNAs) have recently been postulated to be regulators of gene expression canalization (Hornstein and Shomron 2006; Cui et al. 2007; Li et al. 2009; Wu et al. 2009). Several lines of evidence allow tests of this idea, and here we consider the role of miRNAs in canalization of gene expression in humans.

MiRNAs are endogenously expressed, single-stranded RNAs that are ~22 nucleotides (nt) long and regulate mRNA abundance post-transcriptionally (Ambros 2003; Wienholds and Plasterk 2005; Zamore and Haley 2005; Carthew 2006; Kim and Nam 2006; Seignani et al. 2006). In animals, miRNAs bind complementary sequences of target mRNAs to cause degradation (Bagga et al. 2005; Guo et al. 2010) and/or translation repression (Olsen and Ambros 1999; Doench et al. 2003). One miRNA usually targets more than 100 genes (Enright et al. 2003; Stark et al. 2003; John et al. 2004; Rajewsky and Socci 2004; Brennecke et al. 2005; Grun et al. 2005; Lewis et al. 2005). A gene may, in turn, be regulated by multiple miRNAs (Enright et al. 2003; Lewis et al. 2003, 2005; John et al. 2004; Rajewsky 2006). Given the large number of miRNAs annotated in the human genome, 30%–80% of human genes are predicted to be influenced by miRNAs (Enright et al. 2003; Stark et al. 2003; John et al. 2004; Rajewsky and Socci 2004; Brennecke et al. 2005; Grun et al. 2005; Lewis et al. 2005; Friedman et al. 2009). The comprehensive interactions between miRNAs and protein-coding genes are expected to be sufficiently tightly regulated as to comprise “wired” genetic networks (Hornstein and Shomron 2006). Basic modules inside the regulatory networks consist of coherent and incoherent feed-forward loops (Fig. 1A,B) that are hypothesized to

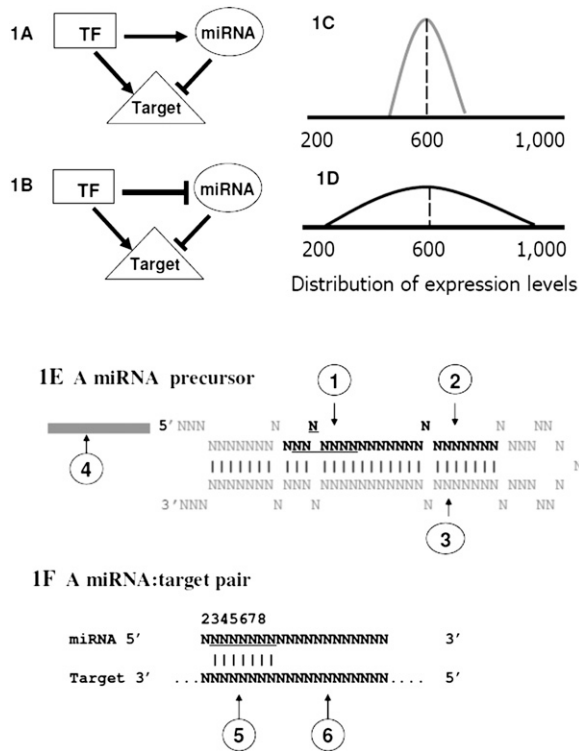
reduce the stochastic noise in expression and translation of the target genes (Hornstein and Shomron 2006; Li et al. 2009; Wu et al. 2009). These proposed canalization effects of miRNA regulation are supported by systems biology simulations (Osella et al. 2011) and by observations that miRNA deletions and mutations are often associated with disease, cancer, or phenotypic abnormalities (Bartel 2004, 2009; He and Hannon 2004; Sokol and Ambros 2005; Calin and Croce 2006; Esquela-Kerscher and Slack 2006; Li et al. 2006; Ghildiyal and Zamore 2009), yet miRNA knockouts often result in only subtle phenotypic effects (Miska et al. 2007).

Nevertheless, little is known about the canalization effects of miRNAs in natural populations. Many studies have documented extensive natural variation of gene expression, and the expression patterns of individual genes can be treated as quantitative phenotypes, i.e., eQTL (Stranger et al. 2005, 2007a,b; Blekhman et al. 2010; Idaghdour et al. 2010; Pickrell et al. 2010). Since one crucial function of miRNAs is to fine-tune (canalize) the expression levels of target genes (Bartel and Chen 2004; Hornstein and Shomron 2006; Li et al. 2009), the miRNA canalization framework predicts that protein-coding genes will have lower expression noise (or reduced variation across individuals in the population) when they are targeted by miRNAs (the model is presented in Fig. 1C,D). Previous studies, based on limited data, have reached mixed conclusions on this question. Cui et al. (2007) found that miRNA regulation reduces gene expression divergence between human and chimpanzee, while Zhang and Su (2008) observed higher variation of miRNA target genes in the brains of a European-American population.

In this study, we propose that at least six classes of genetic variation in miRNA targeting networks would affect gene expression levels. Mutations in a miRNA precursor can be divided into four categories based on their potential impacts on target recognition and miRNA biogenesis (Fig. 1E). Genetic variants in miRNA target sites in the 3' UTRs affect miRNA target recognition, and mutations in other regions of 3' UTRs potentially affect the accessibility of a target site to a miRNA (Fig. 1F). Here we investigate the regulatory effects of miRNAs on human gene expression by

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**Figure 1.** Scheme for a canonical miRNA regulation network. The comprehensive interactions between miRNAs and transcription factors (TF) are expected to comprise “wired” genetic networks to regulate the expression of target genes. (A,B) Examples of incoherent and coherent feed-forward loops, respectively. In the incoherent feed-forward loop, the direct regulatory effect of a TF on the target gene is opposed to the indirect regulatory effect through miRNA regulation (A); and in the coherent feed-forward loops, the direct regulatory effect of a TF on the target gene is synergistic to the indirect regulatory effect through miRNA regulation (B). The consequence of miRNA regulatory effects is to reduce the stochastic noise in expression levels of target genes. (C,D) Distributions of expression levels (the x-axis is the number of molecules per cell) of two hypothetical target genes across cells (or individuals). (C) Expression levels of the target gene are tightly regulated due to miRNA targeting; (D) expression levels of a gene not targeted by miRNAs are highly variable across individuals. (E,F) Six sources of genetic variation in the miRNA regulatory networks. (E) The scheme of a miRNA precursor characterized by a hairpin structure. The sequence in black is the mature miRNA (guide strand) and position 2–8 of mature miRNA is the “seed” region (underlined). Perfect pairing between the seed of the mature miRNA and the target site is crucial for miRNA target recognition (F). Mutations associated with a miRNA precursor can be divided into four categories: (1) mutations in the “seed” alter the target recognition; (2) changes in the mature miRNA beyond the “seed” region potentially affect target recognition; (3) changes outside mature miRNAs can affect miRNA biogenesis and hence affect the abundance of the mature miRNA; and (4) changes in promoter regions of the miRNA precursor will cause the abundance of mature miRNA to be variable (E). Mutations in miRNA target pairing regions also affect miRNA binding: (5) Mutations in the seed pairing region of a miRNA will affect the target recognition and hence the expression level of the host genes; and (6) mutations in regions of 3′ UTR beyond seed pairing might affect the accessibility of a miRNA to the target site. In our model, genetic variation in the former four classes is defined as the “trans-regulatory” effect, and variation in the latter two classes is defined as the “cis-regulatory” effect. Both *trans*- and *cis*-regulatory effects in the miRNA regulatory networks contribute to the expression variation of the target genes.

examining expression patterns of protein-coding genes across six high-throughput data sets. For each gene, we calculated the CV (coefficient of variation in expression) to quantify the extent of expression variation with the formula

$$CV = \frac{\text{Standard deviation of expression levels across individuals}}{\text{Mean of expression levels across individuals}} \times 100.$$

This metric has several desirable properties to quantify variation of gene expression levels and has been well justified in previous studies (Meiklejohn et al. 2003; Kaern et al. 2005; Zhang and Su 2008).

To obtain an overview of the effect of miRNA targeting on gene expression variation, we first compare the variability in expression levels of miRNA target genes vs. non-target genes. Next, we dissect the *trans*-effects of miRNAs and *cis*-effects of miRNA target sites on gene expression variation. Finally, we explore the impact of miRNA regulation on human evolution.

## Results

### Empirically determined miRNA-correlated genes have elevated expression variation (CV) in human populations

The canonical miRNA regulation model postulates that miRNAs repress expression of target genes (Bartel 2009). Under this simple repression model, one expects a negative correlation between the expression levels of miRNAs and their respective target genes. Nevertheless, the miRNA wiring networks will generate complex expression patterns, causing either positive or negative correlations between miRNAs and target genes (Tang et al. 2010; Huang et al. 2011a). Wang et al. (2009) measured expression levels of miRNAs and mRNAs in lymphoblastoid cell lines of 90 European-American males. At an FDR of 0.01, the authors identified ~7200 mRNA–miRNA significantly correlated pairs, including 981 mRNAs exclusively negatively correlated with at least one miRNA (denoted as *neg*), 1023 mRNAs exclusively positively correlated with one or more miRNAs (*pos*), 414 mRNAs that positively and negatively correlated with multiple miRNAs (*negpos*), and 11,754 genes that were not significantly correlated with any miRNA (*non*) (Wang et al. 2009).

We conducted a CV analysis of the ~14,000 mRNA transcripts that were expressed in the 90 European-American males (denoted as data set I; for details, see Table 1 and Methods). The CV values range from 0.37 to 26.30, with a median of 2.23 and a standard deviation of 1.70 (Supplemental Fig. S1A). Relative to transcripts with expression levels not associated with any miRNA, the CV is significantly higher in transcripts that are associated with miRNA expression—the median CV is 2.13, 2.54, 2.91, and 3.03 for the *non*, *neg*, *pos*, and *negpos* classes, respectively ( $P < 10^{-16}$  for all the three pairwise comparisons, Kolmogorov-Smirnov [KS] tests) (Supplemental Fig. S1B). Therefore, our CV analyses of the empirically correlated miRNA:mRNA pairs suggest that miRNA targeting is coupled with increased gene expression variability, and this observed pattern is contradictory to the hypothesized canalization effects of miRNAs.

### Direct target genes of miRNAs show higher expression variation

A potential pitfall in the above comparisons is that the expression correlation method tends to pick up mRNAs with high CVs while it neglects those with low expression variation. In other words, the higher expression variation of miRNA-correlated mRNAs might be caused by ascertainment bias but not biological factors. To exclude this possibility, we evaluated the expression variability of

**Table 1.** Data sets of human gene expression variation used in this study

Reference	Data set	Cell lines	Population	Samples used in HapMap?	Platform for mRNA	Probes expressed	Platform for miRNA
Wang et al. 2009	I	Lymphoblastoid	90 European-American males	No	human-6 V2 BeadChip	14,172	Illumina microRNA chip
Idaghdour et al. 2010	II	Leukocyte	194 Arab and Amazighs people	No	HumanHT-12 BeadChip	22,300	N/A
Stranger et al. 2007a,b	III	Lymphoblastoid	30 YRI children (23 M, seven F)	Yes	human-6 V1 BeadChip	14,695	N/A
	IV	Lymphoblastoid	30 CEU children (14 M, 16 F)	Yes	human-6 V1 BeadChip	14,695	N/A
	V	Lymphoblastoid	45 CHB (22 M, 23 F)	Yes	human-6 V1 BeadChip	14,695	N/A
	VI	Lymphoblastoid	45 JPT (23 M, 22 F)	Yes	human-6 V1 BeadChip	14,695	N/A

miRNA target genes based on in silico predictions and experimental validations.

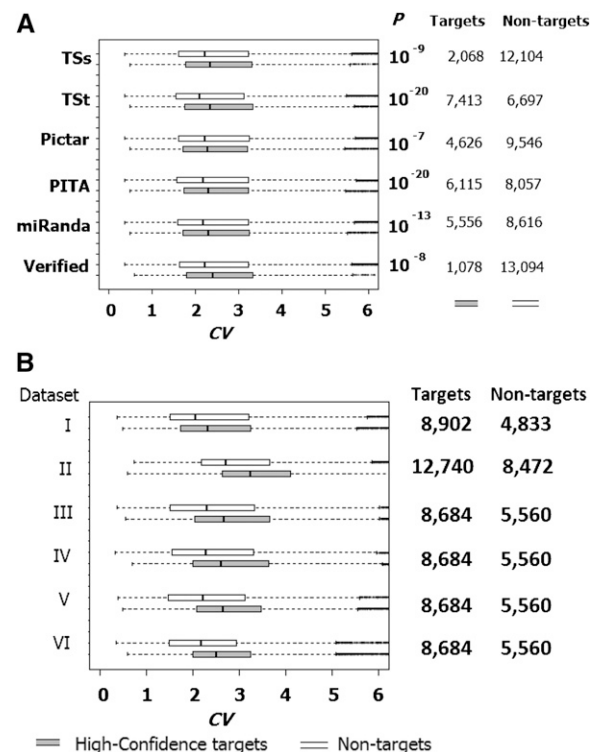
Among the 366 putative miRNAs with expression detected by the miRNA microarrays (Wang et al. 2009), we remapped 287 of them on miRNAs annotated in miRBase V14 and V15 (Methods). We used four current in silico miRNA target prediction algorithms to identify conserved targets, including TargetScan based on conservation (TSs) (Lewis et al. 2005; Grimson et al. 2007; Friedman et al. 2009), Pictar (Krek et al. 2005), miRanda (John et al. 2004), and PITA (Kertesz et al. 2007). We also used the Context Score in the TargetScan package (TSt) to identify non-conserved miRNA targets of conserved miRNAs and targets of non-conserved miRNAs (Grimson et al. 2007; Baek et al. 2008).

With each of the five algorithms, we consistently found evidence that mRNAs targeted by miRNAs have significantly higher CVs than non-target transcripts in the 90 European-American males (Fig. 2A). The same pattern is also observed if we consider the 1078 target genes of coexpressed miRNAs that were experimentally verified by previous studies ( $P = 10^{-8}$ , KS test) (Fig. 2A). The pattern is more pronounced if we combine the miRNA target determination methods—the coefficient of expression in variation (CV) of the 8902 high-confidence miRNA target transcripts is significantly higher than that of the 4833 non-target genes (the median CV is 2.32 vs. 2.05 for high-confidence vs. non-targets,  $P < 10^{-16}$ , KS test; high-confidence targets are defined in Methods) (Fig. 2B). Interestingly, the 283 target genes determined by all six methods (five prediction algorithms plus experimental verification) have the highest expression variation in the 90 European-American males, with a median CV of 2.43, ~18% higher than the median CV of the non-target genes ( $P = 10^{-10}$ , KS test).

Next, we expanded our analysis to another five large-scale gene expression data sets as summarized in Table 1. We assume that the same set of miRNAs in data set I (Wang et al. 2009) is also expressed in these lymphoblastoid/leukocyte cell samples. There is evidence that expression levels of miRNAs are highly variable in lymphoblastoid cells from different human individuals (Wang et al. 2009; Huang et al. 2011b); however, the expression patterns of miRNAs in terms of “present” and “absent” are highly conserved between lymphoblastoid cells from various sources (detailed comparisons are presented in the Supplemental Materials).

The second data set measures expression levels of ~16,700 mRNA transcripts (22,300 microarray probes) in 194 healthy Arab and Amazigh individuals (Idaghdour et al. 2010). With each of the five miRNA target prediction methods, we also observe significantly higher CVs in transcripts that are targeted by the coexpressed miRNAs than in non-target transcripts ( $P < 10^{-16}$  in all the

five comparisons) (Supplemental Fig. S2A). In each comparison, the expression variability (CV) of miRNA target transcripts is ~10% higher than that of non-miRNA targets. For the 1504 microarray probes mapping on transcripts that are targeted by the coexpressed miRNAs with experimental evidence (for details, see



**Figure 2.** Expression variability (CV) in the lymphoblastoid cells is significantly higher for genes that are targeted by the coexpressed 287 miRNAs, regardless of target determination methods. (A) The results for data set I (Wang et al. 2009); (TSs) TargetScan with conservation (PCT > 0.8); (TSt) context score ( $\leq 0.4$  and percentile >85); (Verified) experimentally verified targets taken from TarBase, miR2Disease, and Hafner et al. (2010). (B) The results after combining miRNA target determination methods together. (High-confidence miRNA targets) Only targets determined by TSs (PCT > 0.8); (TSt) ( $\leq 0.4$  and percentile >85), Pictar, or experimentally verified targets of the 287 coexpressed miRNAs; (Non-targets) transcripts that are not targeted by the 287 coexpressed miRNAs with any of the miRNA target determination methods (including the four aforementioned methods, PITA, and miRanda algorithms). Boxplots of CVs for targets (gray) and non-target transcripts (white) are presented.

Methods), we also observed ~10% increase in variability of gene expression ( $P < 10^{-16}$ , KS test) (Supplemental Fig. S2A). For the 8472 transcripts that are targeted by none of the 287 miRNAs, the median variability is 2.72, significantly lower than the corresponding value (3.24) of the high-confidence miRNA targets ( $P < 10^{-16}$ , KS test) (data set II in Fig. 2B). Consistent with data set I, the 388 miRNA targets predicted with all five methods and confirmed by experimental verification have an even higher median expression variability of 3.36, the highest of all of the categories.

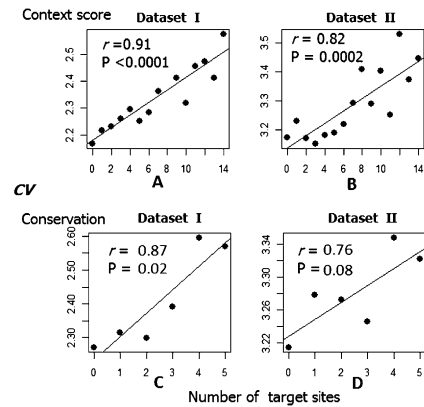
The third through sixth data sets are expression profiles of mRNAs from lymphoblastoid cell lines of four populations in the HapMap Project: YRI (Yoruba in Ibadan), CEU (CEPH Utah), CHB (Han Chinese in Beijing), and JPT (Japanese in Tokyo). Extensive expression studies have identified ~15,000 mRNA transcripts that are expressed in these samples in previous studies (Stranger et al. 2005, 2007a,b). For each population, we observed the same pattern as in the data set I and II, i.e., the miRNA target genes determined by either *in silico* prediction or experimental verification, on average have higher variability than the non-targets (see Supplemental Fig. S2B–E for data sets III, IV, V, and VI). We also found that the CVs of the high-confidence target genes are significantly higher than those of the non-target genes in each of the four data sets (Fig. 2B).

Therefore, our gene expression variation comparisons based on various miRNA target determination methods also indicate that miRNA targeting is significantly associated with elevated expression variation.

### Synergistic effects of miRNA regulation

Since the 3' UTR of an mRNA often harbors multiple sites that are targeted by miRNAs, there is often a synergistic effect of miRNA targeting (Bartel 2009). miRNAs exert synergistic regulatory effects through two mechanisms: (1) biological synergism: a 3' UTR having target sites to multiple miRNAs; and (2) mechanistic synergism: a 3' UTR with multiple target sites (to either the same or different miRNAs) that are spaced less than ~50 nt and the cooperative repression effects on these sites are greater than pairs of sites spaced far apart. In the miRNA target-prediction algorithms applied in the above section, we dichotomized mRNA transcripts by binning "miRNA target" vs. "non-target" and did not account for the cooperative effects of miRNAs or target sites. In the following, we show that the CV of target genes is also affected by synergistic effects of miRNA regulation.

To make our model simple, we neglected the possible non-linear synergistic effects of target sites and assumed that the target sites have linear and additive repressive effects. We also combined miRNAs with the same "seed" (position 2–8 of a miRNA) (see Fig. 1E,F) into one distinct family. For each mRNA, we first identified the putative miRNA target sites predicted by TargetScan (Context Score  $\leq 0.3$ ) and grouped overlapping miRNA:mRNA pairings based on "seed" information. The number of distinct miRNA target sites within mRNA 3' UTRs varies from 0 to 14 (the small number of mRNAs with >14 sites were binned into the class with 14). In each of the six data sets, we grouped mRNAs based on the number of target sites for the miRNAs that are expressed in the lymphoblastoid cells. We found a strong positive correlation between the number of miRNA-interacting sites and the median CV value of that transcript group, with a Pearson correlation coefficient ( $r$ ) varying from 0.73 to 0.91 (Fig. 3A,B; Supplemental Fig. S3). Because Context Score (TSt) identifies both the conserved and non-conserved miRNA target sites, we next separately consid-



**Figure 3.** The magnitude of the miRNA regulation effect is positively associated with gene expression variability. In each plot, the x-axis is the number of target sites. (A,B) The number of the putative miRNA target sites predicted by TSt (context score  $\leq 0.3$ ) and the median CV for the transcripts in data sets I and II. The number of distinct miRNA target sites that are harbored in an mRNA 3' UTR varies from 0 to 14 (a small number of mRNAs have >14 sites and are binned into 14). (C,D) The number of conserved miRNA-interacting sites (based on TSs PCT > 0.8) and the median CV of the genes. The number of conserved target sites located in one transcript is generally smaller than the number of sites predicted by context score, and varies from 0 to 5 (a small number of mRNAs have more than five sites and are binned into five). Only microarray probes that are mapped on the RefSeq genes are used in the two data sets.

ered these patterns for conserved miRNA target sites. Not surprisingly, the number of conserved target sites in each transcript is generally smaller than the number of sites predicted by the context score, which varies from 0 to 5 (based on a TargetScan PCT score cutoff of 0.8; a small number of mRNAs have more than five sites, and they were binned into five). Nevertheless, we still observed a strong positive correlation between the number of conserved miRNA target sites and gene expression variability (Pearson  $r$  between 0.61 and 0.90 across the six data sets; four are statistically significant, and two are marginally significant, probably due to the small number of classes) (Fig. 3C,D; Supplemental Fig. S3). In short, the synergistic miRNA targeting effects further support the trend that stronger miRNA regulation is associated with greater inter-individual variation in gene expression.

### The *trans*-acting effects of miRNAs on the expression variation of targets

Changes in miRNA loci affect expression patterns of target genes through diverse *trans*-acting mechanisms (Fig. 1A). To comprehensively characterize mutations in human miRNA loci, we surveyed genetic variants determined in the HapMap 3 and 1000 Genomes Projects (The 1000 Genomes Project Consortium 2010; The International HapMap 3 Consortium 2010). Among the 1.6 million non-redundant SNPs (single nucleotide polymorphisms) genotyped in the HapMap 3 Project, we identified 21 SNPs located inside miRNA precursors (Supplemental Table S1). Of the 36.8 million SNPs and 3.8 million indels (insertion and deletions) identified in the 1000 Genomes Project, we found 594 genetic variants (540 SNPs and 54 indels) located inside miRNA loci, including 54 variants in "seed" regions (position 2–8 of mature miRNAs), 115 variants in mature miRNAs outside of "seed" regions, and 425 variants in miRNA precursors outside of mature miRNAs or spanning entire miRNA loci (Supplemental Table S2). In the global human population sample (1092 human individuals

in the 1000 Genomes Project), >50% of the genetic variants (SNPs and indels) have a minor allele frequency <0.5% (Supplemental Fig. S4), consistent with previous observations (Xie et al. 2005; Chen and Rajewsky 2006; Saunders et al. 2007) and with the suggestion that they are somewhat deleterious.

To evaluate the regulatory impact of the miRNA-related genetic variants on variation of transcriptomes in human populations, we used a compressed mixed linear model (Zhang et al. 2010) and performed tests of genome-wide association between these mutations and expression levels of mRNAs (detailed analysis procedures are fully described in Methods). Among samples of 1092 individuals that were sequenced in the 1000 Genomes Project Phase 1, 149 samples have mRNA expression data quantified by Stranger et al. (2007b), including samples from 32 unrelated CEU, 41 unrelated CHB, 39 unrelated JPT, and 37 YRI individuals. There are 322 genetic variants in miRNA loci that are segregating in the 149 individuals, and 94 of them are located in miRNA loci expressed in lymphoblastoid cells (Supplemental Fig. S4E,F). We identified six SNPs and indels in miRNA loci that are significantly associated with at least one of the putative target genes (FDR cutoff 10%) (Table 2). We also identified 14 SNPs/indels in miRNA loci that are significantly associated with the expression levels of at least one gene, either through direct or indirect targeting mechanisms (FDR cutoff 5%) (Table 3). Interestingly, nearly all of the miRNA-oriented variants that are associated with mRNA expression levels are located outside of the “seed” regions (Tables 2, 3), suggesting that they might not directly affect target recognition. Since these mutations potentially affect miRNA biogenesis, it is likely that these mutations affect target genes by changing the abundance of mature miRNAs.

The associations noted above motivate us to ask whether variation in expression (abundance) of miRNAs affects expression patterns of target genes. It is notable that expression levels of miRNAs vary greatly in natural human populations (Wang et al. 2009; Borel et al. 2011). In Supplemental Figure S5, we show that in lymphoblastoid cells of 90 European-American males (Wang et al. 2009), the expressed miRNAs have a very similar distribution of

**Table 3.** SNPs in miRNA loci that are associated with expression levels of target or non-target genes

ID	Chr	SNP position	miRNA locus	Variant location	Number of associated genes
rs147011290	1	172,113,756	MIR199A2	Precursor	2573
rs183843457	20	26,188,906	MIR663A	Precursor	334
rs41276930	15	89,155,073	MIR7-2	Precursor	118
rs147579757	15	89,155,121	MIR7-2	Precursor	73
rs189877545	1	220,291,206	MIR215	Precursor	12
rs72631820	7	1,062,599	MIR339	Mature	7
rs139405984	1	205,417,483	MIR135B	Precursor	5
rs72563729	1	1,102,563	MIR200B	Precursor	4
rs72631826	13	50,623,143	MIR16-1	Precursor	3
rs115831106	14	101,531,806	MIR412	Precursor	3
rs137963341	19	54,265,617	MIR519A2	Precursor	2
rs140379047	5	168,690,664	MIR585	Precursor	2
rs112439044	1	41,220,077	MIR30E	precursor	1
rs78547906	3	188,406,636	MIR28	Mature	1

The genome-wide association studies were performed between genetic variants in expressed miRNA loci and expression levels of ~11,000 RefSeq genes in 149 unrelated human individuals. The genotype data are from the 1000 Genomes Project. For each genetic variant, the FDR cutoff for the association study is 5%. For the location of the variants in a miRNA locus, “precursor” means that this variant is located in the miRNA precursor but outside of the mature miRNA; “mature” means that this variant is located in mature miRNA product but outside of the seed (position 2–8) region.

CV as the ~14,000 coexpressed mRNAs—the median CV of miRNAs is 2.75, which is slightly higher than that of mRNAs. Thus we calculated pairwise Spearman correlation coefficients between expression levels of miRNAs and mRNAs in the 90 European-American males that are quantified in Wang et al. (2009). After correcting for multiple tests at an FDR of 0.05, we identified 365,920 miRNA:mRNA pairs that are negatively correlated (287 miRNAs and 10,765 Ensembl genes were used in the correlation analysis). For each miRNA, we used a hypergeometric test to determine whether the predicted target genes of the miRNA (context score ≤ 0.3 and percentile > 80%) are significantly enriched in the negatively correlated miRNA:mRNA pairs. After multiple test corrections, we identified 24 miRNAs that have targets significantly enriched in the negatively correlated miRNA:mRNA pairs (Table 4). We also found that 15 of the 24 miRNAs are significantly negatively correlated with at least one experimentally verified target gene (Supplemental Table S3).

In an alternative approach, we aimed to identify miRNAs with target genes that have significantly different CV values from the genome-wide backgrounds. After multiple test corrections, we found five miRNAs (miR-25, 32, 363, 92a, and 92b) that have high-confidence target genes with a CV significantly different from the CV of the remaining RefSeq genes in both data sets I and II (at an FDR cutoff of 0.05, there are eight and 22 significant miRNAs in data sets I and II, respectively, and five miRNAs are significant in both data sets) (see Table 5; Supplemental Table S4). It deserves noting that miR-363, miR-92a, and miR-92b were detected by both approaches, suggesting that the two methods are complementary (Tables 4, 5). Thus, our results collectively indicate that both expression variation of miRNAs and SNPs within miRNA loci regulates expression patterns of target genes through *trans*-regulating effects at the population level.

**Table 2.** SNPs in miRNA loci that are associated with expression levels of target genes

ID	Chr	SNP position	miRNA locus	SNP location	Number of associated target genes
rs183843457	20	26,188,906	MIR663A	Precursor	201
rs139405984	1	205,417,483	MIR135B	Precursor	4
rs115831106	14	101,531,806	MIR142	Precursor	3
rs190748158	19	46,522,298	MIR769	Precursor	1
rs189877545	1	220,291,206	MIR215	Precursor	1
rs2292181	3	44,903,434	MIR564	Precursor	1

The genome-wide association studies were performed between genetic variants in expressed miRNA loci and expression levels of coexpressed target genes in 149 unrelated human individuals. The genotype data are from the 1000 Genomes Project. For each variant, the FDR cutoff for the association study is 10%. The target genes were predicted based on perfect matching of the “seed” of a miRNA and target sites in the 3’ UTR of target genes. For the location of the variants in a miRNA locus, “precursor” means that this variant is located in the miRNA precursor but outside of the mature miRNA.

**Table 4.** 24 miRNAs have target genes enriched in the negatively correlated miRNA:mRNA pairs

miRNA	Target genes	Negatively correlated pairs, observed	Negatively correlated pairs, expected	Fold enrichment	P-value	Q-value
miR-107	258	22	7.7	2.84	$2.10 \times 10^{-10}$	$8.00 \times 10^{-4}$
miR-497	298	49	27.6	1.77	$5.37 \times 10^{-9}$	$3.34 \times 10^{-3}$
miR-30b	545	146	86.1	1.70	$2.21 \times 10^{-8}$	$6.01 \times 10^{-8}$
miR-16	350	65	40.5	1.61	$2.98 \times 10^{-7}$	$4.49 \times 10^{-3}$
miR-17-3p	264	63	39.9	1.58	$2.15 \times 10^{-6}$	$5.53 \times 10^{-3}$
let-7f	191	58	37.5	1.55	$6.32 \times 10^{-6}$	$8.83 \times 10^{-3}$
let-7c	190	63	41.7	1.51	$1.96 \times 10^{-5}$	$8.07 \times 10^{-3}$
miR-92a	250	69	46.1	1.50	$8.52 \times 10^{-5}$	$9.29 \times 10^{-3}$
miR-195	352	145	99.3	1.46	$8.75 \times 10^{-5}$	$2.13 \times 10^{-5}$
miR-30d	545	202	138.6	1.46	$1.17 \times 10^{-4}$	$7.67 \times 10^{-7}$
miR-30a	541	191	131.1	1.46	$1.47 \times 10^{-4}$	$2.11 \times 10^{-6}$
miR-92b	243	85	58.4	1.45	$1.88 \times 10^{-4}$	$3.83 \times 10^{-3}$
let-7e	190	64	44.1	1.45	$2.51 \times 10^{-4}$	$1.86 \times 10^{-2}$
miR-181c	484	150	104.1	1.44	$3.95 \times 10^{-4}$	$1.23 \times 10^{-4}$
miR-544	480	144	100.8	1.43	$4.63 \times 10^{-4}$	$3.01 \times 10^{-4}$
miR-363	279	82	59.0	1.39	$5.20 \times 10^{-4}$	$1.92 \times 10^{-2}$
miR-651	230	68	49.1	1.39	$5.59 \times 10^{-4}$	$3.95 \times 10^{-2}$
let-7a	190	67	48.5	1.38	$7.42 \times 10^{-4}$	$3.91 \times 10^{-2}$
miR-20b	369	110	81.0	1.36	$9.30 \times 10^{-4}$	$9.41 \times 10^{-3}$
miR-342-3p	193	79	58.5	1.35	$1.30 \times 10^{-3}$	$2.51 \times 10^{-2}$
miR-181a	504	157	117.2	1.34	$1.41 \times 10^{-3}$	$2.78 \times 10^{-3}$
miR-181b	505	136	103.5	1.31	$1.88 \times 10^{-3}$	$1.18 \times 10^{-2}$
miR-30c	544	181	138.8	1.30	$2.02 \times 10^{-3}$	$2.78 \times 10^{-3}$
miR-27b	419	139	109.8	1.27	$3.28 \times 10^{-3}$	$2.45 \times 10^{-2}$

The pairwise Spearman's correlation coefficients between the expression levels of miRNAs and mRNAs were calculated based on data from Wang et al. (2009). For each miRNA, a hypergeometric test was used to examine whether the predicted target genes (context score  $\leq 0.3$  and percentile  $>80\%$ ) of the miRNA are significantly enriched in the negatively correlated miRNA:mRNA pairs. The Q-values were obtained after correcting for multiple tests with the Benjamini-Hochberg method.

### Expression variation associated with mutations in miRNA target sites

Mutations in miRNA target sites will cause turnover of miRNA targeting and rewire the regulatory networks, thereby influencing expression of host genes (Fig. 1F). An intriguing case is a G  $\rightarrow$  A mutation in the 3' UTR of MSTN, which created a new target site for miR-1 in Texel sheep, dramatically increasing muscular development (Clop 2006). A large number of SNPs have been found to be associated with gene expression variation (Cheung and Spielman 2002; Stranger et al. 2005, 2007a; Spielman et al. 2007). Here we ask how many genetic variants affect miRNA targeting specificity and hence the expression levels of the host genes.

In our genome-wide association studies between *cis*-acting elements and expression levels of mRNAs, we only focus on autosomal protein-coding genes. We also only consider genetic variants that are located within the genic regions (or 100-kb upstream and downstream regions) to ensure that the association is strictly caused by the *cis*-acting effects (for details, see Methods). Among the  $\sim 1.6$  million SNPs genotyped in the HapMap 3 Project, there are 8413 SNPs located in 3' UTRs and 187,222 SNPs located in introns of the expressed genes in lymphoblastoid cells (only SNPs with minor allele frequency  $>0.05$  in the 196 tested samples are considered; we also removed SNPs located in the probes of microarrays; see

Methods). At an FDR of 0.001 (the Benjamini-Hochberg method is used to correct for multiple tests), 467 SNPs in 3' UTRs and 3874 SNPs in introns are significantly associated with the host gene expression levels (Table 6). It is striking that the proportion of associated SNPs in 3' UTRs is significantly higher than the proportion in introns (5.55% vs. 2.07%,  $P = 8.8 \times 10^{-73}$ , Fisher's exact test) (Table 6). We observed the same pattern with an FDR cutoff of 0.05 (Table 6).

The 1000 Genomes Project has discovered a more comprehensive collection of genetic variants than the HapMap 3 Project and provides more power in identifying the genetic elements that affect gene expression (Montgomery et al. 2011). For the 149 samples that have expression data quantified by Stranger et al. (2007b) and sequenced by the 1000 Human Genomes Project Phase 1, we identified 13.4 million SNPs (Supplemental Fig. S6A), 3.6 million small indels (2–51 nt in size) (Supplemental Fig. S6B), and 3247 large indels (size  $> 100$  nt, referred to as structural variants) (Supplemental Fig. S6C). In our association studies, we separately considered the impacts of the three categories of genetic variants on the expression levels of the host genes. For each type of variant, we incorporated

variants that are located in 3' UTRs, introns, and 100 kb upstream and downstream of the genes with expression evidence in the association studies. We only considered autosomal variants (minor allele frequency cutoff is 5% for SNPs and small indels; the cutoff is 1% for large indels). (For each gene that is expressed, the number of *cis*-regulating variants in the association studies is plotted in Supplemental Fig. S6; and the frequency spectra of genetic variants in the tested samples are presented in Supplemental Fig. S7.)

Consistent with the analysis of the HapMap 3 genotypes, we found that the SNPs that are associated with host gene expression levels are significantly enriched in 3' UTRs relative to introns (at an FDR of 0.001, the proportion of the significantly associated SNPs in 3' UTR vs. introns is 3.80% vs. 1.35%,  $P = 2.6 \times 10^{-132}$ , Fisher's

**Table 5.** miRNAs that have high-confidence target genes with CV values significantly higher than the genomic backgrounds

miRNA	Data set I					Data set II				
	Background		Target		Q	Background		Target		Q
	Number	CV	Number	CV		Number	CV	Number	CV	
miR-25	11,198	2.27	388	2.50	0.0038	16,457	3.22	556	3.43	0.0025
miR-32	11,117	2.27	469	2.48	0.0053	16,339	3.22	674	3.43	0.0001
<u>miR-363</u>	11,333	2.27	253	2.59	0.0007	16,656	3.22	357	3.45	0.0012
<u>miR-92a</u>	11,333	2.27	253	2.59	0.0007	16,654	3.22	359	3.46	0.0012
<u>miR-92b</u>	11,334	2.27	252	2.58	0.0007	16,657	3.22	356	3.45	0.0012

For each miRNA, the remaining curated RefSeq coding transcripts that are not targets of this miRNA were used as genomic background. The three underlined miRNAs are also significant in Table 4.

**Table 6.** SNPs that are associated with host gene expression in the HapMap 3 samples (196 unrelated individuals used in GWAS studies)

Location of SNPs	Number of SNPs	FDR 0.001		FDR 0.05	
		Associated SNPs	Proportion (%)	Associated SNPs	Proportion (%)
Intron	187,222	3874	2.07	11,108	5.93
3' UTR	8413	467	5.55 <sup>a</sup>	1070	12.72 <sup>a</sup>
TSs (seed)	14	0	0	0	0
TSs (flank)	70	4	5.71	9	12.86 <sup>b</sup>
TSt (seed)	115	5	4.35	8	6.96
TSt (flank)	222	20	9.01 <sup>a</sup>	37	16.67 <sup>a</sup>
Seed pairing (287 miRNAs)	2262	130	5.74 <sup>a</sup>	282	12.44 <sup>a</sup>

(TSs) TargetScan with conservation score PCT > 0.5; (TSt) TargetScan with context score ≤ 0.3; (seed) the target region that is perfectly paired with the seed (position 2–8) of a miRNA; (flank) the 3'-UTR region that is 1–13 nt upstream of the seed pairing regions. The flank region usually pairs with mature miRNAs outside of the seed regions. The target sites predicted with the two algorithms are based on the reference genome. We also predict targets of the 287 miRNAs solely based on seed pairing. SNPs in the 3' UTR are incorporated in the target prediction algorithms. Only the 287 miRNAs that are expressed in the lymphoid cell lines were used. X- and Y-linked genes were excluded. The FDR rates of 0.001 and 0.05 in the association studies were used. Fisher's exact tests were conducted between introns and various classes in 3' UTRs.

<sup>a</sup> $P < 10^{-6}$ .

<sup>b</sup> $P < 0.05$ .

exact test; the patterns are consistently observed even if we use other FDR levels) (see Table 7). (The SNPs that are significantly associated with the host gene expression levels are listed in Supplemental Table S5.) Interestingly, we also found small indels (2–52 nt) that are associated with host gene expression levels are threefold enriched in 3' UTRs relative to introns (at an FDR of 0.001, the proportion is 2.60% vs. 0.84%,  $P = 2.4 \times 10^{-25}$ , Fisher's exact test) (Table 7). More striking patterns are observed for large indels (>100 nt). We observed that the large indels that are associated with host gene expression levels are 10-fold enriched in 3' UTRs relative to introns (at an FDR of 0.05, the proportion is 35.3% vs. 3.3%,  $P < 0.0001$ , Fisher's exact test) (Table 7). Thus GWA studies on the *cis*-elements using data from the 1000 Genomes Project also indicate that genetic variants that are significantly associated with expression patterns of host genes are significantly enriched in 3' UTRs relative to introns. Therefore, the elevated proportion of associated SNPs in 3' UTRs compared with introns suggests that the SNPs might be causative to gene expression variation rather than hitchhiking with other functional SNPs, since we expect the same proportion of associated SNPs in introns and 3' UTRs under the hitchhiking scenario.

miRNA target sites are preferentially located in 3' UTRs; therefore, it is possible that the associated SNPs in 3' UTRs directly (category 5 in Fig. 1F) or indirectly affect miRNA target recognition (category 6 in Fig. 1F). For the miRNA target genes based solely on "seed pairing," we identified 7692 SNPs, 1824 small indels, and 17 large indels located in the miRNA pairing regions in the 1000 Genomes Project; and for all the three categories of genetic variants, we found a significantly higher proportion of associated variants in the miRNA pairing regions than in introns (Table 7). Similar results are obtained even if we incorporate SNP information in the target prediction algorithms. We identified 2262 SNPs located in 3' UTRs that are complementary to the seeds of the 287 miRNAs expressed in the HapMap 3 data, and 130 of the SNPs (5.74%) are significantly associated with expression levels of the host genes (FDR cutoff 0.001) (Table 6). The proportion of seed-pairing-associated SNPs is significantly higher than the corresponding value in introns ( $8.0 \times 10^{-24}$  and  $2.0 \times 10^{-30}$  at an FDR

of 0.001 and 0.05, respectively, Fisher's exact tests) (Table 6). The Context Score (TSt) is well-suited to identify miRNA target genes based on local sequence features rather than conservation (Grimson et al. 2007; Bartel 2009). With the target genes predicted by the Context Score algorithm, we also found genetic variants associated with host gene expression patterns to be significantly enriched in seed-pairing regions (or adjacent target sites) compared with introns based on inferred genotypes from the HapMap 3 and 1000 Genomes Projects (Tables 6, 7). By comparing genetic variants from 100 kb upstream and downstream of the genic regions, we also observed that genetic variants in 3' UTRs (or miRNA target sites) have a significantly greater chance to be associated with host gene expression (data not shown).

In summary, our results indicate that a large number of *cis*-elements rewire the miRNA regulatory networks and hence

affect expression levels of the host genes through miRNA-targeting mechanisms.

#### Implication of miRNA targeting on human population differentiation

Our results so far indicate that miRNA targeting is associated with elevated expression variation in target genes among individuals within human ethnicity groups. Next, we would like to explore the implications of variation in miRNA targeting on gene expression differentiation among human populations. Previous studies have found that 17%–30% of genes are differentially expressed among different ethnic populations (Cheung and Spielman 2002; Cheung et al. 2003a,b; Spielman et al. 2007; Storey et al. 2007) and miRNAs are also expressed in a population-specific manner (Huang et al. 2011b). Among the ~15,000 transcripts that are expressed in the lymphoblastoid samples in data sets III–VI (Stranger et al. 2005, 2007a,b), we found ~4700 transcripts that are differentially expressed between the CEU and Asian (CHB + JPT) populations (FDR cutoff 0.001) (for details, see Methods). There are 36.7% (3187 out of 8684) of the high-confidence miRNA target genes differentially expressed between CEU and Asians, which is significantly higher than differentiation of non-miRNA target genes (1593 out of 5560, or 28.7%,  $P < 10^{-16}$ ,  $\chi^2$  test) (Fig. 4). The difference in the population differentiation ratio is attenuated if we restrict our analysis to the RefSeq transcripts; however, a significant difference was still observed (36.8% for high-confidence targets vs. 33.8% for non-targets,  $P = 0.007$ ,  $\chi^2$  test) (Fig. 4). To exclude possible biases caused by sex-linked effects, we narrowed our focus to only males (CEU vs. CHB + JPT), and we still observed the same trends (Fig. 4). The same patterns are observed between the YRI and Asian populations (28.7% for high-confidence targets vs. 23.4% for non-targets,  $P < 0.001$ ,  $\chi^2$  test). Some portion of this difference could be caused by changes that occurred in cell culture (Imig et al. 2011), but for our purposes, even cell culture-induced differences in miRNA expression will be of interest. Resolution of true host differences vs. cell culture artifacts will require contrasts of fresh primary cells.

**Table 7. Genetic variants that are associated with gene expression in the 1000 Human Genomes Project (149 human individuals used in GWAS studies)**

Types and locations of variants	Number of variants	FDR 0.001		FDR 0.05	
		Associated variants	Proportion (%)	Associated variants	Proportion (%)
<b>SNPs</b>					
Intron	900,178	12,130	1.35	35,793	3.98
3' UTR	20,447	776	3.80 <sup>a</sup>	1740	8.51 <sup>a</sup>
miRNA pairing regions	7692	317	4.14 <sup>a</sup>	671	8.72 <sup>a</sup>
TSt (seed + flank)	826	39	4.72 <sup>a</sup>	79	9.56 <sup>a</sup>
TSt (seed)	259	11	4.25 <sup>b</sup>	19	7.34 <sup>b</sup>
<b>Small indels (2–50 nt)</b>					
Intron	168,861	1414	0.84	4280	2.53
3' UTR	4699	122	2.60 <sup>a</sup>	292	6.21 <sup>a</sup>
miRNA pairing regions	1824	46	2.52 <sup>c</sup>	114	6.25 <sup>a</sup>
TSt (seed + flank)	268	8	2.99 <sup>b</sup>	16	5.97 <sup>b</sup>
TSt (seed)	122	3	2.46	6	4.92
<b>Large indels (&gt;100 nt)</b>					
Intron	428	4	0.93	14	3.27
3' UTR	17	2	11.76 <sup>b</sup>	6	35.29 <sup>b</sup>
miRNA pairing regions	10	2	20.0 <sup>b</sup>	6	60.0 <sup>c</sup>
TSt (seed + flank)	7	1	14.29	4	57.14 <sup>b</sup>

For the three types of variants, we first surveyed the variants in the miRNA target sites that are predicted solely based on “seed pairing” (referred to as “miRNA pairing regions”). We also predict the target genes based on TSt (context score  $\leq 0.3$ ). For the targets predicted with context score, we separately consider the SNPs located in the “seed” pairing regions and the “flank” region that is 1–13 nt upstream of the “seed” pairing regions in 3' UTRs. Only the 287 miRNAs that are expressed in the lymphoid cell lines were used. X- and Y-linked genes were excluded. The FDR rates of 0.001 and 0.05 in the association studies were used. Fisher's exact tests were conducted between introns and various classes in 3' UTRs. For the large indels, the MAF cutoff is 1% since the majority of the large indels are segregating at very low frequency in the populations.

<sup>a</sup> $p < 10^{-10}$ .

<sup>b</sup> $p < 0.05$ .

<sup>c</sup> $p < 10^{-5}$ .

We also observed synergistic miRNA regulatory effects on differential expression of target genes between the CEU and Asian populations. The number of distinct target sites (Context Score  $\leq 0.3$ ) is positively correlated with the proportion of genes differentially expressed between the CEU and CHB + JPT populations (FDR cutoff of 0.001; Pearson correlation coefficient  $r = 0.63$ ,  $P = 0.01$ ) (Supplemental Fig. S8A). However, a significant negative correlation was observed between the number of conserved miRNA target sites and the proportion of differentially expressed transcripts ( $r = -0.94$ ,  $P = 0.02$ ), suggesting that the impact of miRNA targeting on gene expression differentiation is mainly associated with the non-conserved miRNA:mRNA pairing (Supplemental Fig. S8B). In summary, we observe that miRNA target genes are significantly enriched in the set of genes that are differentially expressed between European-American and East Asian groups, and that non-conserved sites primarily account for this enrichment.

### Dual roles of miRNA regulation on target genes during primate evolution

In this section, we show that miRNA regulation has likely shaped complex gene expression evolution patterns during primate evolution. With an extensive RNA-seq analysis, Blekhman et al. (2010) identified 3335 genes differentially expressed in the liver between human and chimpanzee, 6030 genes between human and macaque, and 5549 genes between chimpanzee and macaque (Blekhman et al. 2010). They were able to infer that expression levels of 1391 genes were under stabilizing selection (i.e., the genes

have little variation in gene expression among individuals and species and are thus possible targets of canalization) during primate evolution, and 887 genes were under directional selection in the human lineage (i.e., genes having little variation in expression levels within and between other primate species and individuals but a different expression pattern in humans) (Blekhman et al. 2010).

Based on tissue-specific miRNA sequencing, previous studies identified 72 miRNAs expressed in human liver (Landgraf et al. 2007; Betel et al. 2008). About 20% of genes putatively targeted by the 72 coexpressed miRNAs are differentially expressed between human and chimp, whereas only 15% of the non-target genes are differentially expressed between the two species ( $P$ -value  $< 10^{-5}$ ) (Fig. 5A). When we only consider the 880 experimentally verified target genes of the 72 coexpressed miRNAs, we still find that 21.3% of the targets are differentially expressed between human and chimpanzee, significantly higher than the remaining genes (Fig. 5A). The same patterns are observed for genes differentially expressed between human and macaque (Fig. 5B).

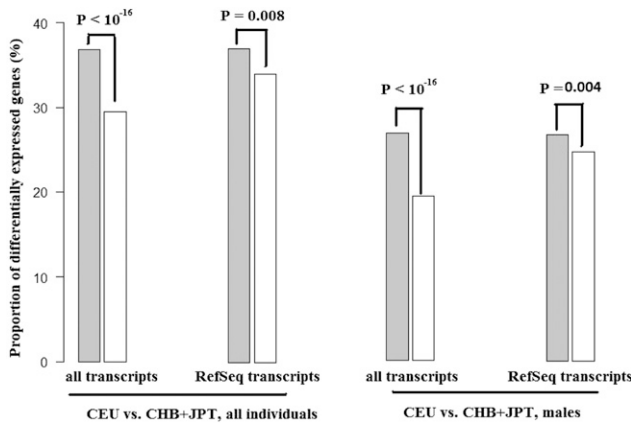
Intriguingly, we found that miRNA target genes are significantly over-represented in the category of genes under stabilizing selection during primate evolution ( $P$ -value  $< 10^{-5}$  in all the compar-

isons) (Fig. 5C). Based on TSs, 6.4% of the non-miRNA target genes with expression levels are under stabilizing selection, while 9.7% of the miRNA target genes are under stabilizing selection (Fig. 5C). The contrast becomes enhanced when we restrict the analysis to experimentally verified miRNA targets: 12.3% are under stabilizing selection, compared with only 6.4% of the remaining genes (Fig. 5C).

Among the 20,689 Ensembl genes analyzed by Blekhman et al. (2010), 16,272 are protein coding and 4417 are non-coding genes. Interestingly, we found that protein-coding genes show a greater degree of differential expression across species. For example, 19.3% (3141 out of 16,272) of coding genes are differentially expressed between human and chimpanzee, while only 4.4% (194 out of 4417) of non-coding genes are differentially expressed between the two species. Since canonical targets of miRNAs are protein-coding genes, we also only focused on the protein-coding genes. For the high-confidence target genes of the 72 miRNAs, we still observed that they are significantly enriched for genes that are differentially expressed between human and chimpanzee (or macaque), and for genes under stabilizing selection (Fig. 5D). We identified seven miRNAs with high-confidence targets significantly enriched in the set of genes showing directional selection in the human lineage as identified in Blekhman et al. (2010), and three miRNAs (miR-23a,b, and 194) having targets that are significantly enriched in the set of genes under stabilizing selection (Supplemental Table S6).

These comparisons are compatible with the hypothesis that miRNA targeting has dual roles in regulating gene expression: (1)





**Figure 4.** High-confidence miRNA target genes tend to display greater differential expression between CEU vs. Asian populations (FDR is cut off at 0.001). This pattern holds true either when we incorporate all of the probes or restrict our analysis to the RefSeq transcripts. Similar patterns were observed when we considered both genders, or males alone. High-confidence miRNA targets were considered as miRNA targets, while genes not targeted by coexpressed miRNAs in all of the six methods were considered non-targets (see legend to Fig. 2). (Gray) miRNA targets; (white) non-targets. When we only consider RefSeq transcripts, 3187 of 8660 (36.8%) miRNA target transcripts are differentially expressed, and 818 of 2421 (33.8%) non-target transcripts are differentially expressed ( $P = 0.007$ ). For all of the expressed transcripts in males, 26.9% (2332 out of 8684) of targets are differentially expressed, and 19.4% (1080 out of 5560) of non-targets are differentially expressed ( $P < 10^{-16}$ ). For the RefSeq data in males, 25.6% (2217 out of 8660) of targets are differentially expressed, and 22.7% (550 out of 2421) of non-targets are differentially expressed ( $P < 10^{-16}$ ).

to canalize (or to reduce expression variation in) a subset of target genes, and (2) to promote variability in gene expression by rewiring the miRNA regulatory networks as summarized in Figure 1.

**Discussion**

**Consequences of miRNA regulation on target genes at the population level: canalization vs. increased expression variation?**

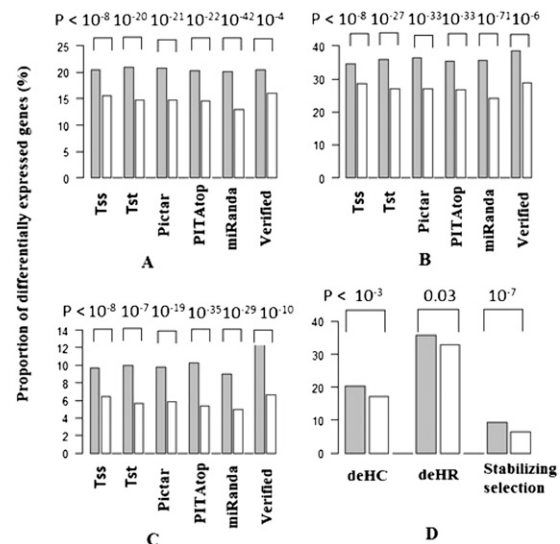
Genes encoding small RNAs undergo rapid evolutionary processes at both the macro- and micro-evolutionary levels (Allen et al. 2004; Fahlgren et al. 2007; Grimson et al. 2008; Lu et al. 2008a,b; Lu and Clark 2010). In this study, we identified variants related to miRNA targeting. We proposed dual roles of miRNAs on the evolution of expression regulation of the target genes. We found that miRNA targeting is associated with reduced expression divergence of a small number of target genes during primate evolution, and the targeting is also coupled with decreased CVs of highly expressed genes. However, the overall pattern is that genes targeted by co-expressed miRNAs generally have higher expression variation within species or higher divergence between human and other primate species.

There are two hypotheses to account for this observation. The first explanation is that expression levels of genes targeted by miRNAs are intrinsically highly variable, and the miRNA regulation has evolved to reduce the interindividual stochastic variability of target gene expression. Under this hypothesis, miRNAs have comprehensive canalization effects on the expression of target genes. At this moment, it is unclear what level of intrinsic stochastic variation in gene expression would be seen in the absence

of miRNA targeting, and thus we are unable to quantify the magnitude of canalization effects of miRNAs in natural populations. The second explanation is that variation in miRNA regulatory networks promotes the expression variation of target genes (summarized in Fig. 1). We find several lines of evidence supporting this hypothesis. First, expression levels of miRNAs themselves are highly variable across individuals. We found that variation in expression of ~10 miRNAs accounts for the elevated expression variation of their target genes. Second, miRNAs have pleiotropic effects by regulating a large number of target genes. SNPs that occur in 3' UTRs can cause birth and death of target sites and hence may rewire the miRNA regulatory networks. We found that SNPs that are significantly associated with host gene expression are significantly enriched in 3' UTRs (or putative miRNA target sites). Some of the associated SNPs in the 3' UTRs show signatures of variation that are consistent with positive natural selection. Third, we find synergistic regulatory effects between miRNA targeting and elevated expression variation in the target genes (Fig. 3). Therefore, it seems most parsimonious to infer that variation in miRNA regulatory networks promotes expression variation of target genes across individuals or expression differentiation among ethnic groups. This conclusion does not imply that miRNAs never play a role in canalization effects (Hornstein and Shomron 2006; Cui et al. 2007; Li et al. 2009; Wu et al. 2009). Instead, our analysis indicates that miRNAs have dual roles in influencing expression variation of the target genes: miRNA targeting canalizes expression of a subset of the target genes and promotes expression variation of other target genes.

**Evolutionary forces impacting genetic variation related to miRNA targeting and the implications for human disease**

We proposed six modes whereby genetic variation in the miRNA regulatory networks potentially affect expression patterns of target



**Figure 5.** Genes that are differentially expressed or under stabilizing selection during primate evolution are significantly enriched in miRNA target genes. (A) The genes that are differentially expressed between human and chimpanzee (deHC). (B) The genes that are differentially expressed between human and macaque (deHR). (C) The genes that are under stabilizing selection. All of the 20,689 Ensembl genes sequenced by Blekhman et al. (2010) are used in A, B, and C. (D) The combinational miRNA target gene determination methods. Only the 16,772 protein-coding genes examined in Blekhman et al. (2010) are used.

genes. By extensively surveying genotypic data from the 1000 Genomes Project, we found that most of the genetic variants in miRNA loci are segregating at very low frequencies in the global human populations, suggesting that they are somewhat deleterious.

Our results suggest that SNPs located in 3' UTRs (or putative miRNA target sites) affect expression levels of host genes by directly or indirectly affecting miRNA targeting. It was reported that miRNA target sites are generally under selective pressure (Xie et al. 2005; Chen and Rajewsky 2006; Saunders et al. 2007). We performed a literature search and identified 21 SNPs located in the 3' UTRs that had been associated with disease. Six of those SNPs are located in the seed-pairing regions of miRNAs, although none of these SNPs are associated with host gene expression levels (Supplemental Table S7).

Intriguingly, signals of positive selection can also be detected in SNPs located within putative miRNA target sites. Recently, Sabeti and colleagues identified more than 8000 SNPs that might be favored by natural selection (Grossman et al. 2010). We found that 46 of these positively selected SNPs are located in 3' UTRs of the genes expressed in lymphoblastoid cells, including 16 SNPs in the pairing regions of coexpressed miRNAs. Six of these positively selected SNPs are significantly associated with host gene expression at a FDR of 10% (Supplemental Table S8). Since 3' UTRs might harbor miRNA target sites that are not covered by the 287 coexpressed miRNAs under the current miRNA target prediction methods or by other undiscovered miRNAs, these comparisons suggest that some genetic variation mediating miRNA target recognition might be favored by natural selection.

We also identified several other factors that are related to the inflated expression variation of miRNA target genes, including gene annotations, abundance of miRNA target genes, and expression patterns of miRNAs. Comprehensive analyses of these factors justify the analytical procedures and conclusion of this study (the details are fully described in the Supplemental Material).

Accumulating evidence has indicated that post-transcriptional factors such as RNA binding proteins broadly interact with miRNAs to regulate gene expression patterns (Galgano et al. 2008; Hafner et al. 2010). We believe that the kind of analysis begun in this study will provide deeper insight regarding the genetic mechanisms underlying human genetic variation and local adaptation.

## Methods

### Expression data of mRNAs and miRNAs

We used six high-throughput mRNA expression data sets in human populations, including five from human lymphoblastoid cell lines and one from primary leukocytes (Table 1). The mRNA expression in human, chimpanzee, and macaque were extracted from Blekhman et al. (2010). The miRNA expression data in the 90 European-American males were taken from Wang et al. (2009). There are 72 miRNAs expressed in the human liver by deep sequencing from Landgraf et al. (2007).

### Genotype data from the HapMap 3 and 1000 Genomes Projects

The Phase 3 release of HapMap data was downloaded from [ftp://ftp.ncbi.nlm.nih.gov/hapmap/genotypes/latest\\_phaseIII\\_ncbi\\_b36/hapmap\\_format/polymorphic/](ftp://ftp.ncbi.nlm.nih.gov/hapmap/genotypes/latest_phaseIII_ncbi_b36/hapmap_format/polymorphic/).

The February 2012 release of the 1000 Human Genome Project was downloaded from <ftp://ftp-trace.ncbi.nih.gov/1000genomes/ftp/release/20110521>. The genetic variants were mapped on miRNAs, RefSeq, and Ensembl transcripts based on the genomic location coordinates.

### miRNA targets

We used state-of-the-art *in silico* miRNA target prediction algorithms, including canonical TargetScan based on conservation criteria (TSS) (Lewis et al. 2005; Grimson et al. 2007; Friedman et al. 2009), Pictar (Krek et al. 2005), miRanda (John et al. 2004), PITAtop (Kertesz et al. 2007), and TargetScan based on Context Score (TSt) (Grimson et al. 2007). The seed and mature sequences of miRNAs were downloaded from miRBase V15. The experimentally verified target genes of the coexpressed miRNAs were parsed from TarBase (Papadopoulos et al. 2009), miR2Disease (Jiang et al. 2009), and the PAR-CLIP method determined by the Tuschl laboratory (Hafner et al. 2010).

### High-confidence miRNA targets

We considered genes predicted to be targets of the coexpressed miRNAs by TargetScan with Conservation (aggregate PCT > 0.8) or Context Score ( $\leq 0.4$  and percentile > 85), or by Pictar, or experimentally verified targets as high-confidence miRNA targets because the former three methods have low false discovery rates (Baek et al. 2008). When we combine the miRNA target determination methods together, genes not targeted by coexpressed miRNAs as determined in the four methods and also not predicted by miRanda and PITAtop were considered as non-targets.

### Association tests

We used a compressed mixed linear model implemented in the GAPIT (<http://www.maizegenetics.net/gapit>) package (Zhang et al. 2010) to conduct the genome-wide association studies between genetic variants and expression levels of mRNAs. Since genotypic and expression data in the CEU population are from trio families, to exclude the dependence between the data points, we only include the unrelated CEU parents and YRI parents data from each trio family into our analysis. Among the samples with expression levels quantified by Stranger et al. (2007b), there are 196 unrelated samples genotyped in the HapMap 3 Project, including 43 CHB, 42 JPT, 56 CEU parents, and 55 YRI parents. Among the 1092 samples that are sequenced in the 1000 Genomes Project Phase 1, 149 unrelated samples have mRNA expression data measured by Stranger et al. (2007b), including samples from 32 CEU parents, 41 CHB, 39 JPT, and 37 YRI parents. To increase the statistical powers of association tests, we pooled samples from different populations into the analysis. For SNPs from the HapMap 3 Project and the 1000 Genomes Project, the association tests were conducted separately. For small indels and large indels sequenced in the 1000 Genomes Project, the association tests were performed based on the genome-wide population parameters estimated from SNPs.

### Population differentiation analysis

Genes that are differentially expressed between populations were detected with the limma package (Diboun et al. 2006).

Detailed information on data retrieval and analysis are fully described in the Supplemental Material.

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