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## 3D Ultrastructure of the Nuclear Pore Complex

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### Abstract

Nuclear pore complexes (NPCs) perforate the double-layered nuclear envelope and form the main gateway for molecular exchange between nucleus and cytoplasm of the eukaryotic cell. Because NPCs are extraordinarily complex and large, thus challenging to investigate on a molecular level, they are still rather poorly understood, despite their pivotal role in cellular homeostasis. To decipher the NPC structure at high resolution, the prerequisite to fully understand its function, a tailored approach is necessary that feeds from complimentary data, obtained at largely different spatial resolutions. The problem is further complicated by the dynamic nature of the NPC, manifested in flexible regions and dynamic components. Here we summarize the current state of these structural efforts, describe the breakthroughs of recent years, point out the existing disputes in the field, and give an outlook of what we should expect to happen in the near future.

### Introduction

Compartmentalization is the decisive difference between eukaryotic and prokaryotic cells. The generation of diverse membrane-enclosed organelles allowed eukaryotes to develop a much higher degree of regulation and the optimization of biological processes in specific reaction compartments [1]. Gene transcription into mature mRNA occurs in the nucleus, enclosed within the double-layered nuclear envelope (NE), while protein translation exclusively takes place in the cytoplasm. The regulatory advantage of this setup generates the need for enormous transport capacities to ferry cargo between nucleo- and cytoplasm across the NE. Nuclear pore complexes (NPCs) are large protein assemblies that are embedded in circular openings in the NE, where inner and outer nuclear membranes are fused [2] NPCs constitute the principal gateway across the NE. They have an estimated mass of 40-60 MDa, and are composed of about 30 different proteins, collectively called nucleoporins or nups [3,4]. Because of an apparent 8fold rotational symmetry of the NPC, nups are estimated to occur in multiples of 8 copies, perhaps totaling 456 proteins [5]. In the simplest approximation, the NPC can be likened to a torus of about 100 nm diameter, with a central aqueous hole of about 50 nm across that serves as the main transport gate. Ions, metabolites, and cargo smaller than ~40 kDa - ignoring a few notable exceptions - freely diffuse through the NPC. For larger cargo, specific nuclear transport receptors (NTRs) facilitate the transport across the NPC. The central cavity of the NPC is lined with proteins that contain hydrophilic fiber-like extensions interspersed with easily recognizable hydrophobic phenylalanine-glycine dipeptide repeats, hence they are collectively called FG-Nups [2]. These extensions emanate into and crowd the aqueous transport channel thereby

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forming a barrier and a specific milieu that large cargo cannot penetrate on its own. Large import cargo typically contains a nuclear localization signal (NLS), a peptide motif that is recognized by importing NTRs [6],[7]. NTRs have affinity for FG-Nups and thus an NTR-cargo complex can partition into the transport channel. Release on the nuclear side is achieved by the GTP-bound G protein Ran, which competes with cargo for NTR binding. Ran is kept GTP-bound in the nucleus due to the chromatin bound Ran-specific guanine nucleotide exchange factor RCC1, while the Ran GTPase activating protein RanGAP is localized to the cytoplasmic side of the NPC. Nuclear protein export starts with the recognition of nuclear export signals (NESs) on the cargo protein by NTRs that form a ternary complex with RanGTP [8],[9]. The assembled exporting NTR-RanGTP-cargo complex can now partition into the central transport channel, while cargo-release at the cytoplasmic side is achieved by RanGTP hydrolysis.

Although some of the basic principles that govern nucleo-cytoplasmic transport are now quite well understood, many specific questions remain. To name a few: 1) whether or not the FG-Nup network is uniform or whether it offers distinct routes for specific substrates is not clear; 2) the mechanism of membrane-bound cargo crossing the NE is poorly understood; 3) the molecular details of NPC involvement in gene regulation and other emerging functions are still largely obscure. For these and many more reasons it is essential to arrive at an understanding of the NPC architecture at the atomic level. Only when we understand the precise location of all nucleoporins within the NPC proper will it be possible to probe all functions of this formidable machine and really arrive at a detailed understanding.

Other large protein assemblies, like the photosystems I and II, the ribosome, the proteasome, the RNA polymerases, as well as the clathrin and the COPII vesicle coats are already described in atomic or near-atomic detail, and they all profited from combined structural approaches integrating data of different size scales. For the NPC, due its size and complexity, this task is even more formidable but the results of recent years obtained in a variety of laboratories using different approaches are encouraging and clearly show that the field is on the right track to solve the problem. Here we summarize and discuss the structural data that is available for the NPC, the integration of it and how it will lead to an unambiguous description of the structure in the future.

## What constitutes the NPC?

With any large assembly structure the question is how one defines its boundaries. For the NPC, it is typically defined biochemically, from fractionating eukaryotic cells and analyzing the composition of the most highly enriched NPC fraction [10,11]. This approach led to the description of about 30 proteins as nucleoporins, since they were abundantly found in this fraction, largely overlapped when different organisms were analyzed, and generally had no other function already assigned. However, the average dwell time of most nups at the NPC has been analyzed by fluorescence microscopy and found to vary by several orders of magnitude [12]. This means, only a subset of nups is stably integrated into the NPC, while others are rather dynamically associated. This behavior contributed to the fact that the nup stoichiometry is not known with high accuracy [11],[10]. Thus, the boundaries of the NPC are somewhat arbitrarily defined. This is further complicated by the fact that NPC has not yet been reconstituted from purified components, a method that likely would reveal the minimal set of proteins essential for building the NPC. The modular nature of the NPC allows to classify nucleoporins into categories: ~15 scaffold nucleoporins form the stable NPC core, ~10 nucleoporins contain phenylalanine-glycine (FG)-extensions, are typically more dynamic and form the main transport barrier, and 3-4 nucleoporins are membrane-embedded and presumably anchor the NPC in the NE [3]. A large body of data points to the conclusion that the set of ~15 scaffold nucleoporins assembles into the basic NPC structure,

to which the FG-nups get recruited subsequently. Thus, in a stepwise approach, the scaffold structure is what needs to be established first before we can arrive at a complete description of the NPC.

## Electronmicroscopic Analysis of the Overall Structure of the NPC

Due to its size, complexity, and dynamic nature the NPC cannot be isolated in intact form from the cell, and reconstitution from its purified components has not been established either. These circumstances so far exclude the synergistic application of the two standard structure determination methods for large assemblies, X-ray crystallography and single-particle cryo-electronmicroscopy (cryo-EM), in a way similar to what was achieved for the clathrin and COPII vesicle coats. The best resolved structures of intact NPC are obtained through scanning and transmission electron microscopy, as well as cryo-electron tomography (cryo-ET) (Figure 1A). These pictures all coherently reveal the 8-fold rotational symmetry of the NPC scaffold around the central transport channel that can be seen in all preparations from highly diverged species. These preparations also reveal the overall dimensions of the NPC. Major discrepancies are visible when comparing tomographic reconstructions of the NPC from *Dictyostelium discoideum*, *Xenopus laevis*, and *Homo sapiens*, which roughly match in overall diameter and the width of the central channel, but differ by almost factor two in height [13-15]. Whether these differences are due to preparation, composition, or a combination thereof still awaits clarification. The cryo-ET reconstructions reach a resolution of around 6 nm, enough to appreciate that the main NPC scaffold ring is not uniformly electron-dense, but rather has a sponge-like appearance of tubular connections interspersed with cavities [16]. Various averaging methods have been employed, suggesting that the NPC scaffold itself may exhibit dynamic behavior in addition to the well established flexibility of the FG-rich extensions, which cannot be resolved by EM techniques.

## Crystallographic Analysis of Individual Nups and Their Subcomplexes

Over the past decade many crystal structures of nucleoporins have been determined. The first such structures comprised only domains of individual nups, but over time larger structures including ternary complexes of close to 200 kDa were successfully tackled. At this stage, the collection of available crystal structures comprises about 30% of the main scaffold. Most of the ~15 structural nucleoporins are organized into two large subcomplexes, the Y-complex with seven universally conserved members, and the Nic96 complex with five members. The Y-complex, composed of Seh1, Sec13, Nup84, Nup85, Nup120, Nup133, and Nup145C is the better understood assembly, largely due to its extraordinary *in vitro* stability [17]. The available crystal structures already cover about 90% of the 575 kDa complex (Figure 1B)[18-25]. The branched Y-structure is entirely built from  $\beta$ -propellers and elongated helical domains. Some of the helical domains are clearly evolutionary related and likely diverged from a common ancestor, despite very low sequence homology. The most prominent helical topology is the characteristic fold-back arrangement of the ~25 helices in the (ancestral coatomer element 1) ACE1 module, specifically found in Nup84, Nup85, Nup145C of the Y-complex, as well as Nic96 and, curiously, Sec31 and Sec16 of the COPII vesicle coating system [20,26]. This discovery experimentally established the evolutionarily relationship between the NPC and COPII as two curved-membrane-stabilizing assemblies[20,27-29]. Moreover, Nup133 is clearly related to Nup157/170 of the Nic96 complex[22], while Nup120 with its more intricate helical arrangement seems to have evolved rather independently. Distinctions between the  $\beta$ -propellers incorporated into the NPC scaffold is often limited to modest modulations of surface features. Notably, the multi-functional  $\beta$ -propeller proteins Sec13 and Seh1, both fulfilling additional tasks outside of the NPC and also promiscuously binding to other

proteins, are an exception, in that they build seven-bladed  $\beta$ -propeller structures by acquiring one blade *in trans* from their respective binding partners to close and stabilize their open 6-bladed propeller. This peculiar protein-protein interaction theme might be specific to Sec13 and Seh1, at least it has so far neither been observed nor predicted for other proteins.

The structural characterization of the Nic96 complex is more fragmentary, so far only individual protein domains of three components have been reported [22,30,31]. Cell biological and biochemical data now start to establish the direct protein contacts between the members of the Nic96 complex, i.e. Nup53, Nic96, Nup157, Nup188, and Nup192 [32,33], [34-36]. Structural data, protein predictions, and evolutionary considerations suggest that the Y- and the Nic96 complexes are partially related, but there are also substantial differences.

While the collection of available structures is very informative it still is only a collection of mosaic blocks that need to be fitted together properly in order to determine the whole picture. Ideally, overlapping complexes of all scaffold components will be available eventually, which at that time will allow for the unambiguous description of the NPC. However, we are not there yet and thus the relative spatial arrangement of the Nup subcomplexes is vigorously debated in the field.

## Bridging the Resolution Gap

Crystal structures can be fitted approximately into EM maps of sufficient, subnanometer resolution [37]. At 6-7 Å resolution secondary structure elements start to become visible, at which point docking of crystal structures is quite accurate[38]. So far though, the available EM maps of the NPC are far from this resolution, with the tomographic reconstructions extending to around ~ 6 nm and the best random conical tilt reconstruction of the assembled Y-complex limited to 3.5 nm [39]. Because of this resolution gap, data from the different imaging techniques cannot yet be used synergistically in ways we have seen with other systems in the past, most notably the elegant combined cryo-EM – X-ray crystallography approaches used to arrive at a pseudo-atomic resolution of the assembled clathrin and COPII vesicle coats [40-42]. Regardless, Kampmann and Blobel attempted to fit crystal structures into their 3.5 nm Y-complex structure and the result is at least consistent with previously published data that established the arrangement of the seven conserved nups in the Y-complex [17,39].

A big challenge en route toward a molecular description of the NPC scaffold is to figure out the relative orientation of the subcomplexes with respect to each other, as well as to determine their stoichiometry accurately (Figure 1C, D). Interaction data that confidently places subcomplexes in their proper neighborhood within the NPC is not published, presumably because these inter-subcomplex interactions are not very stable in isolation and thus are difficult to tract experimentally. Short of such data, we suggested a Y-complex arrangement rooted in the common ancestry of ACE1 proteins within the NPC and the outer coat of COPII. This lattice model postulates that the Nup84-Nup145C unit of the Y complex forms an edge element aligned along the positive curvature of the pore membrane, in analogy to its cousin, the Sec31-Sec31 edge element in COPII, for which experimental data exists [18] (Figure 1C, Table 1). Further, the lattice model suggests that Nup133 is positioned facing outward from the NPC, based on *in vivo* fluorescence data [43]. Two Y complexes come close in head-to-head fashion in the equatorial plane, but whether or not they bind directly or are bridged by other nup(s) is a matter of speculation. The Blobel and Hoelz laboratories suggested a diametrically opposed model, in which 8 Y-complexes are arranged equatorially in head-to-tail fashion (Figure 1D). Four such rings are suggested to stack on top of one another [44]. This model is essentially rooted in crystal packing contacts observed in crystallized fragments of the Y-complex, which were interpreted as

physiologically relevant. Steric incompatibility between the initial model and subsequent structural studies, however, is a conflicting issue that is difficult to reconcile [18,20,23,25,44].

Excitingly, super-resolution microscopy might remedy the situation in the foreseeable future. It allows for a spatial resolution that should enable the distinction of individual subcomplex within an intact NPC after fluorescently labeling them. In a pilot study the relative position of several spatially separated nucleoporins were already positioned with an accuracy that should allow for the distinction of individual subcomplexes [45]. Another novel approach is to figure out the relative orientation of specific nucleoporins within the NPC by recording fluorescence-anisotropy. In this method a nucleoporin is tagged with a rigidly-tethered GFP molecule, whose fluorescence is measured in an angle-dependent way relative to the orientation of the NE-embedded NPC [46]. Anisotropy reports that the nucleoporin adopts a specific orientation relative to the NPC, but due to the indirect measurement one can envision severe artifacts. This method was used to support the idea, that 8 Y-complexes form a ring in head-to-tail orientation with the long axis oriented parallel to the plane of the NE (Figure 1D). In order to be fully convincing, matching data using other nucleoporins needs to be measured as well.

## The Computational Approach

To integrate positional and interaction data from a plethora of low resolution techniques a computational approach was developed with the goal to arrive at the architecture of the NPC entirely without the use of crystallographic data [5,47]. The results of these studies are indeed impressive and led to a first description of the NPC with an attempt to position all nucleoporin molecules within an energy-minimized assembly. The resolution of this arrangement is somewhat arbitrarily defined but does not exceed ~5 nm. Thus, the protein positions can at best be described as tentative. Whether this computational approach can be further improved and be used to also integrate crystallographic data yet awaits proof. To properly integrate data of vastly different resolution and quality appears to be a formidable challenge.

## Outlook

The problem of solving the structure of the NPC has come a long way from being just a figment of the imagination to the situation that we face today. Electron-microscopic techniques have established the overall dimensions of the NPC from various eukaryotes, crystallographic approaches have resulted in an impressive array of partial structures that have steadily increased in size and complexity over the past few years. For the published structural analyses of large (1 MDa or more) protein assemblies in general, specifically tailored approaches had to be taken in each. 'One-size fits all' approaches do not work for problems of this complexity. It is obvious that a complete crystallographic analysis of the NPC seems out of reach for many reasons. However, every additional piece of structural data at near-atomic resolution that becomes available will limit further the contrasting models that are currently entertained for the NPC structure. Reproducible and accurate interaction data might be the key to soon come close to an undisputable assembly structure of the NPC as a first step. Single-particle EM reconstruction, not yet successfully employed, could be crucial to bridge the resolution gap between X-ray crystallography and cryo-tomography. Once a convincing NPC structure at high resolution is established it will be interesting to see how it compares to the ancestrally-related COPII vesicle coat, whether the modular character of the NPC is indeed used to build NPCs of different composition, and whether new conclusions about the FG-repeat extensions and their involvement in facilitated transport will and can be drawn.



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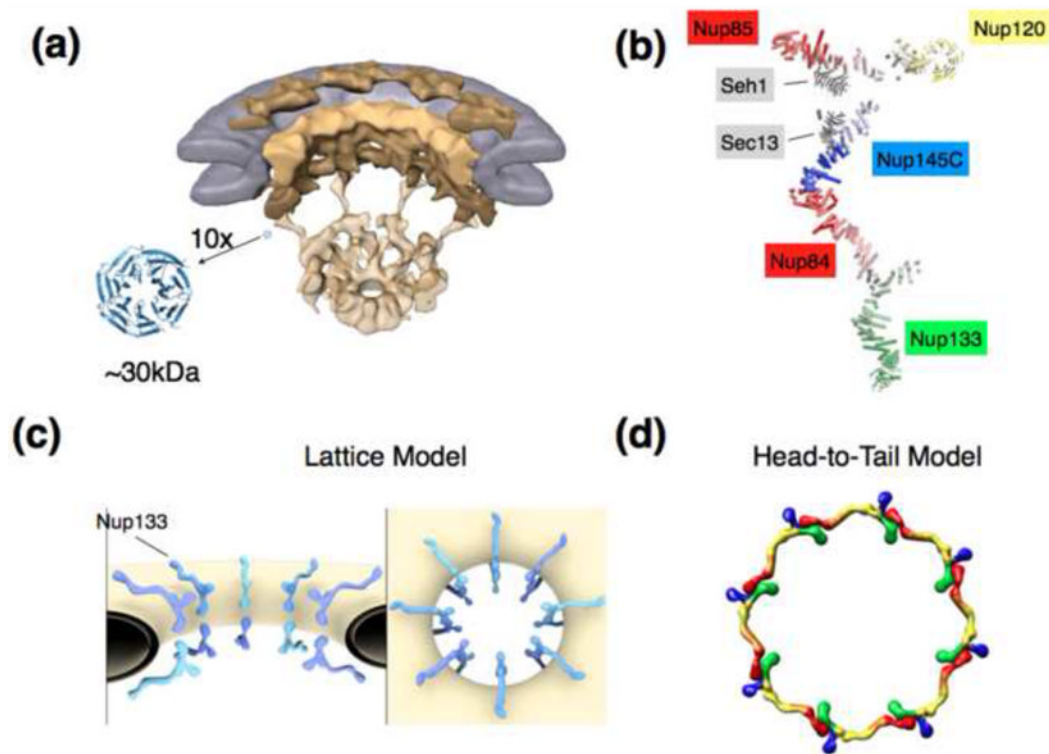
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**Figure 1. Structural aspects of the NPC and scaffold models**

A) Overall architecture of the NPC determined by cryo-electron tomography and shown in a cut-away view. The nuclear envelope is colored in grey, scaffolding components of the NPC depicted in shades of yellow [16]. For scale, a canonical  $\beta$ -propeller in 10fold magnification is shown.  $\beta$ -Propellers, together with various helical units, make up a large portion of the stable NPC scaffold. Fitting of crystal structures into the cryo-ET structures is unreliable due to the resolution gap.

B) Composite structure of the heptameric 575 kDa Y-complex, a major scaffolding component of the NPC. The composite is generated based on individual crystal structures [18-22], modeling [31], interaction data, and EM pictures [17,39].

C) Arrangement of the Y-complexes within the NPC as suggested by the lattice model [18,20]. Two Y-complexes are related by a 2-fold symmetry axis and span the width of the NPC, with Nup133 pointing outward. Likely 8 such pairs, consistent with the 8fold rotational symmetry of the NPC, are positioned around the central transport axis of the NPC. Whether or not the two Y complexes directly bind or not is still unresolved. Lateral connection between Y-complexes most likely occurs via other components of the NPC, but this is also not determined yet.

D) Alternative model for the Y-complex arrangement within the pore. 8 Y-complexes are directly connected in head-to-tail fashion and form a ring [5,24,44,46]. Some authors argue for an arrangement with 2 stacked rings [5], others for a configuration with 4 stacked rings per NPC [24,44]. Color coding: Nup133 (red), Nup84/Nup145C/Sec13 (yellow), Nup85/Seh1 (blue), Nup120 (green).

Table 1

## Characteristic features of current NPC models

Model	Y-cplx orientation (long axis rel. to central 8fold)	Number of Y-rings	Relative position of Nic96-cplx	Y-cplx per ring	Position of neighboring Y-cplx	Y-Y contact	Refs
<b>Lattice Model</b>	parallel	2	unclear, possibly intercalating laterally	Likely 8	Head-to-Head	Direct or indirect	[18,20,29]
<b>Fence-pole Model</b>	perpendicular	4	forms acentric inner shell	8	Head-to-Tail	Direct, via Nup120-Nup133	[24,44]
<b>Computational Model</b>	perpendicular	2	2 rings sandwiched between Y complex rings	8	Head-to-Tail	Direct	[5]