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# **Genetic risk sum score comprised of common polygenic variation is associated with body mass index**

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#### **Abstract**

Genome-wide association studies (GWAS) of body mass index (BMI) using large samples have yielded approximately a dozen robustly associated variants and implicated additional loci. Individually these variants have small effects and in aggregate explain a small proportion of the variance. As a result, replication attempts have limited power to achieve genome-wide significance, even with several thousand subjects. Since there is strong prior evidence for genetic influence on BMI for specific variants, alternative approaches to replication can be applied. Instead of testing individual loci sequentially, a genetic risk sum score (GRSS) summarizing the total number of risk alleles can be tested. In the current study, GRSS comprising 56 top variants catalogued from two large meta-analyses was tested for association with BMI in the Molecular Genetics of Schizophrenia controls (2,653 European-Americans, 973 African-Americans). After accounting for covariates known to influence BMI (ancestry, sex, age), GRSS was highly associated with BMI ( $p$  value = 3.19E–06) although explained a limited amount of the variance (0.66%). However, area under receiver operator criteria curve (AUC) estimates indicated that the GRSS and covariates significantly predicted overweight and obesity classification with maximum discriminative ability for predicting class III obesity ( $AUC = 0.697$ ). The relative contributions of the individual loci to GRSS were examined post hoc and the results were not due to a few highly significant variants, but rather the result of numerous variants of small effect. This study provides evidence of the utility of a GRSS as an alternative approach to replication of common polygenic variation in complex traits.

# **Introduction**

Obesity is a general medical condition, defined clinically by a body mass index (BMI) greater than  $30 \text{ kg/m}^2$  and is associated with increased risk of cardiovascular disease, type II diabetes, cancer and poor quality of life (National Center for Health Statistics 2007; Ogden et al. 2007; Kopelman 2000). The National Center for Health Statistics reports over 34% of American adults are obese with another 34% meeting criteria for being overweight (National Center for Health Statistics 2007; Ogden et al. 2006). Although increase in energy intake with reduced physical activity contributes to the increase in obesity, genetic factors have consistently been demonstrated to influence individual differences in BMI, with twin and family studies estimating heritabilities of ∼0.70 (Maes et al. 1997; Silventoinen and Kaprio 2009).

Genome-wide association studies (GWAS) have successfully identified polymorphisms that contribute to disease risk for numerous complex traits and diseases (Wellcome Trust Case Control Consortium 2007). GWAS for BMI and obesity using sample sizes in the tens of thousands have yielded many putative risk variants of individually small effect. The first common single nucleotide polymorphisms (SNPs) associated with BMI and common obesity were in the fat mass and obesity-associated (FTO) gene and near melanocortin 4 receptor (MC4R) and have been widely replicated (Frayling et al. 2007; Dina et al. 2007; Herbert et al. 2006; Scuteri et al. 2007; Hinney et al. 2007; Loos et al. 2008; Chambers et al. 2008). Additionally, two large-scale BMI metaanalyses, Thorleifsson et al. (2009) and Willer et al. (2009), yielded 13 genetic loci reaching genome-wide significance, including the previously implicated variants in or near FTO and MC4R. These variants were highly significant but had modest effects with  $0.06 - 0.4$  kg/m<sup>2</sup> per allele change in BMI and modest obesity (BMI>30 kg/m<sup>2</sup>) odds ratios ranging 1.03–1.3. Although many loci are expected to

contribute to a complex trait like BMI, the large number implied by the current result was unexpected to many (Yang et al. 2005; Maher 2008). Despite the large sample size  $(n > 000)$ , Willer et al. (2009) estimated 5–10% power to detect genome-wide significant variants with effect sizes of 0.06–0.1 BMI units per allele. Therefore, it is likely that many variants influencing BMI did not reach genome-wide significance in these metaanalyses.

Replication attempts using studies unselected for BMI have limited power to achieve genome-wide significance, even with thousands of subjects (Sebastiani et al. 2009). Since there is strong a priori evidence for genome-wide significant and suggestive variants from the large metaanalyses, alternative approaches to replication can be applied. Instead of testing individual loci sequentially, a genetic risk sum score (GRSS) summarizing the total number of risk alleles can be constructed and tested. The aggregate risk should be significant if a sufficient proportion of the variants have real effects. GRSS have been used to test the total impact of associated variants on complex traits and disease. For example, Aulchenko et al. (2009) used 54 variants in a GRSS which accounted for ∼4% of the phenotypic variance in height. Risk scores incorporating 18–20 genome-wide significant variants have been shown to be associated and predictive of type II diabetes, though algorithms including family history and additional risk factors perform better (Meigs et al. 2008; Talmud et al. 2010). GRSS have also been applied to BMI and obesity in populations of European and Chinese descent which incorporated 8–15 variants and accounted for 0.5–1.12% of the phenotypic variance (Thorleifsson et al. 2009; Willer et al. 2009; Renstrm et al. 2009; Zhao et al. 2009; Li et al. 2010; Cheung et al. 2010). Presently, BMI GRSS have only incorporated genome-wide significant variants. However, research by Evans et al.(2009) suggests that in some cases, including bipolar disorder, coronary heart disease, hypertension and type II diabetes, using liberal thresholds ( $\alpha = 0.5$ ) for SNP selection in GRSS may improve predictive ability.

The purpose of this study was to test a GRSS comprising replicated genome-wide significant variants as well as additional variants with suggestive evidence catalogued from large-scale meta-analyses for association with BMI in 2,653 European-Americans and 973 African Americans from the Molecular Genetics of Schizophrenia control sample (MGS-C). Based on the expected BMI effect sizes of  $0.05-0.3$  kg/m<sup>2</sup> per allele change in BMI, the MGS-C sample would have limited power to detect genome-wide significant variants for individual loci. However, the aggregate risk should be adequate if a sufficient proportion of the reported variants are real. Therefore, these analyses serve as a replication attempt of top variants catalogued from large-scale meta-analyses via a sum score approach.

# **Materials and methods**

#### **Participants and phenotypes**

The MGS-C sample has been previously described in detail (Sanders et al. 2008; Shi et al. 2009; Sanders et al. 2010). In summary, Knowledge Networks, Inc., a survey research company, recruited self-identified non-Hispanic European-American and African-Americans from a nationwide panel of survey participants, which was assembled by random digit dialing except 772 of the African-Americans were recruited through a subcontract to Survey Sampling International by internet banner advertisement recruitment. The institutional review board approval was obtained at NorthShore University HealthSystem and participants completed an online consent with an identical hard-copy consent signed at venipuncture. Participants completed an online questionnaire, available at <http://nimhgenetics.org>, which included items on height and current weight. BMI was calculated from respondents' self-reported height and current weight. Participants were removed from data analysis if there were missing data on either height or weight or if calculated BMI was less than 15 or greater than 60 as values not in this range were likely

data entry errors. There were 2,653 European-Americans and 973 African Americans included in the present study. Phenotypic details are displayed in Table 1 with full sample characteristics found in Sanders et al. (2010).

#### **Genotyping**

Venipuncture for DNA extraction and establishment of lymphoblastoid cell lines was completed at Rutgers University Cell and DNA Repository. DNA samples were genotyped using the Affymetrix 6.0 array at the Broad Institute. There were 3,827 participants genotyped ( $n = 2,817$  European-American,  $n = 1,010$  African American) of which 3,626 (95%) passed stringent quality control criteria. Principal component (PC) scores reflecting continental and within-Europe ancestries of each subject were computed and outliers were excluded. Genomic control  $\lambda$  values for autosomes after quality control procedures were 1.005 for African-American and 0.998 for the European-Americans.

#### **Selection of 56 SNPs**

Preliminary SNP selection identified 78 variants meeting criteria for genome-wide or suggestive significance in either of two large meta-analyses of BMI, 43 from Thorleifsson et al. (2009) and 35 from Willer et al. (Thorleifsson et al. 2009; Willer et al. 2009). Thorleifsson and colleagues report genome-wide significant ( $p < 1.6E-07$ ) associations with 29 SNPs in 11 chromosomal regions, using a discovery sample of  $n = 34,416$  and replication sample of  $n = 5,586$ . The Willer et al. meta-analysis detected 8 genome-wide significant ( $p <$ 5.0E-08) SNPs in first- and second-stage samples of  $n = 32,387$  and  $n = 54,316$ , respectively. Only variants in or near FTO and MC4R were found to be genome-wide significant in both meta-analyses. The remaining genetic loci were suggestive in the opposing metaanalyses ( $p < 0.05$ ) except rs7138803 on 12q13 ( $p = 0.14$ ). Significance level for one SNP, rs10938397 on 4p12, could not be compared between meta-analyses because there was no corresponding proxy SNP. Of the 78 variants catalogued, 29 had matching SNPs on the Affymetrix 6.0 array. For the 49 SNPs not present, proxies (45  $r^2 > 0.8$ ; 4  $r^2 >$ 0.7) were identified using SNP Annotation and Proxy Search V2.1 (Johnson et al. 2008). Following removal of seven duplicate proxies and six variants from Willer et al. for which no proxies were available ( $r^2 > 0.7$ ), 65 SNPs remained. Haploview version 4.10 was used to determine phase and corresponding proxy alleles (Barrett et al. 2005; Barrett 2009). In order to avoid bias due to correlated effects, SNP pruning ( $r^2 > 0.8$ ) was performed using PLINK v. 1.07p (Purcell et al. 2007). Of the 56 remaining SNPs, 19 met genome-wide significance criteria in the two meta-analyses. The additional 37 were included as they were the next top SNPs reported ( $p < 0.05$ ). Although our SNP selection threshold was more liberal than the traditional genome-wide significance threshold, it was more conservative than other models of complex disease risk prediction (Evans et al. 2009; Purcell et al. 2009). Supplemental Table 1 details information on the 78 catalogued SNPs.

#### **Genetic risk sum score**

Under an additive model, 56 variants were used to construct the GRSS. The use of an additive model was chosen as specific non-additive effects have yet to be associated and confirmed in the literature. The GRSS was calculated by summation of the number of risk alleles across the 56 variants divided by the number of SNPs in the score to obtain an average number of risk alleles per locus. GRSS were calculated using the profile option in PLINK. If SNP information was missing in an individual then the scoring routine imputed expected values based on sample allele frequency. R version 2.20.0 was used to fit linear regression models using standard covariates and GRSS as predictors with BMI as the outcome variable. To facilitate interpretation ofeffects in linear models independent variables were centered.

#### **Prediction of obesity**

One method to assess diagnostic efficiency is to graph a receiver operator criteria (ROC) curve, which is a plot of the true positive rate (sensitivity) against the false positive rate  $(1$ specificity) and calculate the corresponding area under the curve (AUC). An AUC may range from 0.5, non-informative, to a maximum of 1.0, perfect discrimination between cases and controls. An AUC is the probability that the predictor is greater for cases than controls (Armitage and Colton 2005; Hanley and McNeil 1982). Generally, an AUC of 0.80 is suitable for screening while 0.99 is acceptable for diagnosis (Janssens et al. 2006). To test various BMI thresholds, current BMI was dichotomized to create categories of overweight and obesity class I, II and III which had corresponding ranges of BMI > 25, 30, 35 and 40  $\text{kg/m}^2$ , respectively. Binary logistic regression was used to calculate predicted probabilities of the models and was used as the predictor to generate ROC curves. Discriminative accuracy of the GRSS and covariates (molecularly derived ancestry, sex, age, ancestry by sex interactions) to predict BMI category was estimated by calculating the AUC from ROC curves using PASW Statistics version 17.0.

# **Results**

#### **Phenotypic detail**

Descriptive statistics for age and BMI are presented by race and sex in Table 1. The mean age of participants was 48.8 and ranged from 18 to 90 and as depicted in Supplemental Fig. 1 produced a relatively normal distribution. BMI was not significantly associated with age  $(p = 0.135;$  Supplemental Fig. 2). Males were significantly older than females and European-American females and males were significantly older than African-American females and males ( $p < 0.0001$ ). When partitioning the sample by clinically established BMI  $(kg/m<sup>2</sup>)$  categories, 29.0% was either under or normal weight (BMI<25), 33.4% was overweight  $(25 \text{ BMI} < 30)$ , 20.4% was obese class 1 (30 B BMI < 35), 9.5% was obese class II (35  $\mu$  BMI < 40) and 7.7% was obese class III (40  $\mu$  BMI). There was a significant ancestry by sex interaction with BMI. As expected, females had significantly greater BMI than males with African-American females having greater BMI than European-American females and African-American males having greater BMI than European-American males (<sup>p</sup> <0.0001). Phenotypic findings in the MGS-C sample are consistent with cross-sectional data from the National Center for Health Statistics and National Health and Nutrition Examination Study (Wang et al. 2008), finding obesity more prevalent in women and African-Americans. Additional sample characteristics have been previously reported (Sanders et al. 2010).

#### **Genetic risk sum score**

Fifty-six variants catalogued from two large-scale BMI meta-analyses were used to construct the GRSS (Thorleifsson et al. 2009; Willer et al. 2009). These variants were summarized in the GRSS which was calculated by summation of the number of risk alleles across the SNPs for each individual divided by the number of SNPs in the score to achieve an average allele count. The frequencies of GRSSs are shown in Fig. 1 and produced a relatively normal distribution. The mean GRSS, or average number of risk alleles present per locus, was  $0.494$  (SD = 0.052) with a range from 0.318 to 0.691 which corresponds to an average of 55 risk alleles per person with a range from 35 to 77.

Results from linear regression analyses are presented in Table 2. Standard covariates known to influence BMI (ancestry, sex and age) were included in the models. Described previously (Sanders et al. 2008; Shi et al. 2009), 224 ancestry informative markers were used to construct 10 PC scores designed to discriminate between European, African, Ameri-Indian and Asian ancestry. PC1 (distinguishes European from African ancestry) and PC4

(distinguishes Eastern and Western European ancestry) were significantly associated with BMI and therefore included as covariates. Interactions between the covariates were tested and significant interactions were found between PC1 and sex and PC4 and sex. No other interactions between the covariates were significant. Model 1, the base model, included the standard covariates and the significant interactions between ancestry PCs and sex and accounted for 3.5% of the variance in BMI. Model 2, which added the GRSS to the base model, fits significantly better  $[F(1,3027) = 21.8, p = 3.2E-06]$  and accounted for an additional 0.66% of phenotypic variance in BMI for a total of 4.1%. We note that the GRSS accounted for more of the variance in BMI than either sex or age. Interactions between the covariates and the GRSS were tested but no significant interactions were found (presented in Supplemental Table 2). Therefore, our results suggest that GRSS was equally associated with BMI in men and women, in European- and African-Americans and across all ages.

The relative contributions of the individual loci to the GRSS were examined post hoc by dropping the most significantly associated SNP from the score iteratively until the score was no longer statistically associated with BMI. As depicted in Fig. 2, the GRSS reached nonsignificance after dropping the top 23 variants.

#### **Prediction of obesity**

To test the discriminative accuracy of the GRSS and covariates (molecularly derived ancestry, sex, age, ancestry by sex interactions) to predict obesity, ROC curves were plotted and the corresponding AUC were calculated. To test various BMI thresholds, current BMI was dichotomized to create categories of overweight and obesity class I, II and III. Table 3 displays statistics from ROC curve analysis by BMI category. AUC estimates indicated that the model significantly predicted overweight and obesity classification with maximum discriminating ability when predicting class III obesity (AUC =  $0.697$ , 95% CI =  $[0.663,$ 0.731]). We note that the clinical setting may prefer to use self-identified ancestry as opposed to molecularly derived ancestry in risk prediction because of genotyping cost. In the MGS-C data, the use of self-identified ancestry did not greatly change AUC estimates. For example, when predicting BMI > 30 kg/m<sup>2</sup>, an AUC = 0.588 was reported when using molecularly derived ancestry versus an  $AUC = 0.586$  when using self-identified ancestry in the model (full data not shown).

# **Discussion**

In this paper, we have constructed a GRSS comprising 56 common polygenic variants and shown its association with BMI in 2,653 European-Americans and 973 African-Americans from the MGS-C sample. The GRSS was highly associated with BMI ( $p$  value = 3.19E–06) and accounted for 0.66% of phenotypic variance in BMI. The association of the GRSS with BMI was comparable to sex, a known factor to influence body composition. The average effect of carrying 10 risk variants was an increase in BMI of 1.1 kg/m<sup>2</sup>. This corresponds to a weight increase in an average male (5 feet 9 inches, 180 lb) of 8 lb and an average female (5 feet 4 inches, 155 lb) of 7 lb. Further, we have shown the association of the GRSS with BMI was not the result of the few most significant SNPs but rather the aggregate of many SNPs of small effect. These results are consistent with the common disease common variants hypothesis indicating genetic variants common in the population with small effects contribute to the heritability of common traits and diseases.

ROC curves and the corresponding AUC estimates indicated statistical discriminative ability to predict obesity (BMI >  $30 \text{ kg/m}^2$ , AUC = 0.588, 95% CI = [0.567, 0.610]). AUC estimates were similar to those found in previous studies. For example, Renstrom et al. (2009) used a genetic score of 9 SNPs and reported an AUC estimate of 0.575 in a sample of 353 obese and 1,370 normal weight diabetic and non-diabetic northern Swedes.

Additionally, a study by Cheung et al. (2010) estimated an AUC of 0.582 with a genetic score including 13 SNPs in a Chinese sample of 470 obese cases and 700 normal weight controls. Although these AUC estimates were statistically significant, they were below 0.8, the threshold used in clinical practice for screening. In the MGS-C sample, however, the ability to predict morbid obesity (class III) was notably better and approached clinical criteria for a screening test (AUC =  $0.697$ ,  $95\%$  CI =  $[0.663, 0.731]$ ).

In the MGS-C sample, 4.1% of the phenotypic variance in BMI was accounted for using a model including sex, ancestry based on molecular derived principal components, age, and a GRSS comprising 56 SNPs. Despite high heritability of BMI, much variance in BMI remains unaccounted for. Based on the progress in identifying loci influencing height, it is likely that a considerable portion of the 'missing heritability' resides in unidentified variants yet to be discovered by larger sample sizes (Yang et al. 2010). Large-scale international collaborative groups will be required to identify these additional variants with similar and smaller effect sizes.

Additionally, predictive models have yet to include other sources of variation known or hypothesized to influence BMI such as rare variants, gene–gene (G×G) or gene– environment (G×E) interactions, copy number variation, and epigenetic effects. For instance, rare variants which were not included in the current genetic risk profiles are likely to contribute to BMI heritability. For example, in a study by Blakemore et al. (2009), a rare variant in the visfatin gene was associated ( $p$  value = 8.0E–5, minor allele frequency 1.6%) in control and 0.4% in obese subjects) with reduced risk for obesity. There is also evidence to support the influence of copy number variation (CNV) on BMI. In the Willer et al. (2009) meta-analysis, when examining CNV by SNP-CNV linkage disequilibrium, they found 10 kb and 45-kb deletion polymorphisms upstream of NEGR1 with the 45-kb deletion flanked by their two most associated BMI SNPs. The recent advent of SNP arrays designed for CNV detection may reveal additional genetic associations with BMI. Epigenetic variation, although more widely researched in syndromic obesity such as Prader–Willi, may also be linked to common obesity. Finally, G×G interactions have yet to be included in risk prediction of body composition. Twin studies support the role of non-additive genetic effects although most study designs have limited ability to detect them (Maes et al. 1997; Flint and Mackay 2009).

Since obesity has increased dramatically while the genome has arguably remained stable, future research needs to address moderation effects of the environment. Known obesogenic factors such as physical activity and food intake have been shown to account for a significant portion of the variance in BMI with estimates ranging 5–10% (Chambers and Swanson 2010; Newby et al. 2006; French et al. 1994; Jebb and Moore 1999). Additionally, research is beginning to elucidate G×E affecting BMI (Rampersaud et al. 2008; Lappalainen et al. 2009; Qi et al. 2008; Brandsttter et al. 2009; Razquin et al. 2010). At least two genes included in the current GRSS show evidence for G×E effects. For example, Rampersaud et al. (2008), in a study of 704 Old Order Amish, found the effects of FTO variants associated with elevated body weight were attenuated in subjects with higher physical activity levels. Additionally, interactions between MCR4 and dietary intake and selection have been shown in model organisms (Fan et al. 1997; Huszar et al. 1997; Marsh et al. 1999; Chen et al. 2000). For example, mice when given a 3-choice diet and administered a melanocortin agonist preferentially decreased fat consumption (Samama et al. 2003). Further, variation in human MCR4 has been associated with binge eating (Branson et al. 2003; Potoczna et al. 2004; Valladares et al. 2010) and with higher total energy intake and selection of foods high in dietary fat (Qi et al. 2008). BMI prediction models will benefit from incorporating known obesogenic environmental variables such as physical activity and food selection and intake.

The purpose of this study was to test a GRSS as an alternative approach to replication of association of common polygenic variation with BMI. As hypothesized the MGS-C sample had limited power to replicate individual loci when employing genome-wide significant thresholds even though there was strong a priori evidence of these variants to influence BMI. However, by constructing a GRSS summarizing the total number of risk alleles, the aggregate risk was found to be highly significantly associated with BMI. This study provides evidence of the utility of GRSS as an alternative approach to replication of common polygenic variation in complex traits. Furthermore, the results from the AUC analysis demonstrate meaningful progress towards a screening test that perhaps if used in conjunction with known obesogenic predictors such as physical activity and food selection and intake could identify persons for early environmental or medical intervention to prevent morbid obesity and the associated negative health consequences.

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# **Abbreviations**







**Fig. 1. Frequencies of genetic risk sum score**



**Fig. 2. Number of SNPs in genetic risk sum score by −log significance of score. GRSS genetic risk sum score, −log negative logarithm base 10,** *SNPs* **single nucleotide polymorphisms**

#### **Table 1**

# **Descriptive statistics by race and sex**



AA African-American, EA European-American, Age age in years, BMI body mass index (kg/m<sup>2</sup>)



**Linear models predicting BMI**

BMI body mass index (kg/m<sup>2</sup>), GRSS genetic risk sum score, PC1 principal components score distinguishes European from African ancestry, PC2 principal components score distinguishes Eastern from Western European ancestry, *adj.*  $R^2$  adjusted  $R^2$ .

**Table 2**





Predictors included in models: molecularly derived ancestry (principal components PC1 and PC4), sex, age, PC1 by sex and PC4 by sex interactions and genetic risk sum score

BMI body mass index (kg/m<sup>2</sup>), AUC area under the receiver operator criteria curve, Asy. Sig. asymptotic significance, Overweight BMI > 25 kg/ m<sup>2</sup>, Obese IBMI > 30 kg/m<sup>2</sup>, Obese IIBMI > 35 kg/m<sup>2</sup>, Obese IIIBMI > 40 kg/m<sup>2</sup>