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## **Mammary Gland Development**

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## Abstract

The mammary gland develops through several distinct stages. The first transpires in the embryo as the ectoderm forms a mammary line that resolves into placodes. Regulated by epithelial/ mesenchymal interactions, the placodes descend into the underlying mesenchyme and produce the rudimentary ductal structure of the gland present at birth. Subsequent stages of development – pubertal growth, pregnancy, lactation and involution – occur postnatally under the regulation of hormones. Puberty initiates branching morphogenesis, which requires growth hormone and estrogen, as well as IGF1, to create a ductal tree that fills the fat pad. Upon pregnancy the combined actions of progesterone and prolactin generate alveoli, which secrete milk during lactation. Lack of demand for milk at weaning initiates the process of involution whereby the gland is remodeled back to its pre-pregnancy state. These processes require numerous signaling pathways that have distinct regulatory functions at different stages of gland development. Signaling pathways also regulate a specialized subpopulation of mammary stem cells that fuel the dramatic changes in the gland occurring with each pregnancy. Our knowledge of mammary gland development and mammary stem cell biology has significantly contributed to our understanding of breast cancer and has advanced the discovery of therapies to treat this disease.

## INTRODUCTION

The mammary gland (breast) distinguishes mammals from all other animals with its unique anatomical structure that secretes milk for the nourishment of the newborn. Mammary glands are epidermal appendages that evolved over 300 million years ago, most likely from apocrine sweat glands <sup>1</sup>. They are complex secretory organs composed of a number of different cell types: epithelial cells that grow from the nipple into a fat pad, formed by adipocytes and infiltrated by vascular endothelial cells, fibroblasts and immune cells. This article focuses primarily on changes occurring in the epithelial compartment over the lifetime of the animal (Fig. 1). During embryogenesis, these changes are directed by signals from the mesenchyme, but during puberty and in adulthood, circulating hormones released from the pituitary and ovary provide additional instructive input. Two main cell types comprise the mammary epithelium: basal and luminal. The basal epithelium consists of myoepithelial cells, which generate the outer layer of the gland, and a small population of stem cells, which supply the different cell types. The luminal epithelium forms ducts and secretory alveoli and contains populations of cells defined by their hormone receptor status. Together with the myoepithelium, the luminal epithelium generates a bi-layered, tubular structure that allows form to meet function during lactation when the outer myoepithelial cells contract to squeeze milk from the inner alveolar luminal cells.

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There are three major stages of breast development – embryonic, pubertal, and reproductive. Our knowledge of these stages is derived primarily from studies performed in mice, providing insight into the biology of the human breast. Although there are architectural and hormonal differences between mouse and human mammary glands, many researchers use the mouse mammary gland as a model system to explore developmental mechanisms because the gland is amenable to sophisticated *in vivo* and *in vitro* manipulations. These techniques have allowed researchers to investigate mechanisms underlying epithelial/ mesenchymal interactions, hormonal regulatory controls and cell/cell communication. Here, we review how these basic processes shape the structure and function of the gland at each stage of development.

## EMBRYONIC MAMMARY GLAND DEVELOPMENT

In the embryo there are two cellular compartments of the mammary gland, the epithelial compartment and the surrounding stromal compartment. These tissues are derived embryologically from ectoderm and mesoderm, respectively. The development of the murine gland begins at embryonic day (E) 10 with the formation of bilateral stripes (milk lines) of multilayered ectoderm that run anterior-to-posterior from the forelimb bud to hindlimb bud on the ventral surface of the embryo. By E11.5, the mammary line resolves into five pairs of placodes at reproducible locations. These 5 pairs develop asynchronously with 3 emerging first, followed by 4, then 1 and 5 simultaneously, followed finally by 2. The pairs are not identically determined as evidenced by loss or supernumerary formation of different pairs as a consequence of specific genetic mutations. Histologically, placodes appear as a thickened plate of ectoderm consisting of several layers of columnar-shaped cells that arise, not from cell proliferation <sup>2</sup>, but instead from the migration and subsequent aggregation of ectodermal cells into surface clusters at the mammary line <sup>3</sup>. In humans, the mammary lines form during the first trimester and give rise to a single pair of placodes <sup>4</sup>.

#### Mammary line specification

The embryonic mammary mesenchyme underlying the mammary line provides key inductive signals that specify mammary epithelial cell differentiation. This was demonstrated in tissue recombination experiments in which E13 mouse mammary mesenchyme was combined with E13 midventral or dorsal epidermis and then grafted into host animals. These tissue recombinants yielded mammary ducts containing both luminal and myoepithelial cells and, if the hosts were pregnant upon grafting, lobuloalveolar structures that expressed milk proteins <sup>5</sup>. The influence of mesenchyme on mammary epithelial development was also demonstrated in reciprocal tissue recombination experiments in which E12 and E16 mammary epithelium (ME) was combined with salivary mesenchyme (SM) and grown either in culture or under the kidney capsule <sup>67</sup>. These tissue recombinants produced an epithelium that was morphologically reminiscent of salivary gland. However, during pregnancy and lactation of hosts harboring the older (E16 ME/SM) recombinants, the grafts displayed lobular-alveolar development and milk production <sup>7</sup>. These and other studies show that mesenchyme contains critical inductive signals that produce, through a series of reciprocal epithelial-mesenchymal interactions, epithelium that is progressively restricted along the mammary lineage<sup>8</sup>. In turn, the epithelium influences mesenchymal maturation such that by E14 the mammary mesenchyme has condensed to form a few layers of fibroblast-rich cells, closely surrounding the epithelial rudiment and distinct from the fat pad precursor mesenchyme, which develops from more deeply located subcutaneous mesenchymal cells <sup>9</sup>.

The mammary line has been marked molecularly with *Wnt10b* and also the Wnt signaling reporter TOP (TCF optimal promoter)GAL (beta-galactosidase), which reports the transcriptional activity of the *Tcf3/Lef1* target genes (Fig 2). Interestingly, TOPGAL activity

appears one day earlier than Wnt10b expression in both the ectoderm and mesenchyme <sup>10</sup>, indicating that an earlier Wnt signal emanates from the mesenchyme and initiates specification of the mammary lines. The essential nature of this Wnt signal in mammogenesis was demonstrated by the overexpression of a secreted Wnt inhibitor, Dickkopf (DKK1), in the ectoderm. This completely blocked the formation of mammary placodes and antagonized the local expression of Wnt10b, TOPGAL and other early markers such as the T-box transcription factor,  $Tbx3^{10}$ . Despite the importance of this initial signal in mammary gland specification, the identity of the Wnts that generate this initial signal is unknown, although there are several candidates. Wnt3, Wnt6 and Wnt10b are all expressed in the broad band of ectoderm flanking the mammary line <sup>10, 11</sup>, and *Wnt5a* and *Wnt11*, which signal in a non-canonical fashion, are expressed in the underlying flank mesenchyme (Fig. 2)<sup>10</sup>. This pattern of expression suggests that noncanonical Wnt signaling may restrict canonical signaling, limiting the response to Wnts to the ectodermal ridge that becomes the mammary line. Lef1-/- mice display a milder phenotype compared to mice overexpressing Dkk1. Instead of the absence of placode development, as is the case with Dkk1 overexpression, three pairs of small placodes form in Lef1-/- mice <sup>12</sup>. This suggests that DKK1 quenches signaling from multiple TCF/LEF1 transcription factors that mediate the response to Wnts during mammary line specification and placode development, underscoring the complexity of the signaling required to pattern these specialized regions of ectoderm.

#### Placode development

Once the mammary line has been delineated, signals from the mesenchyme regulate the dorsal/ventral patterning of the placodes. The normal developmental outcome of numerous signaling cascades is 5 pairs (1 in humans) of symmetrically localized placodes, which have a button-like appearance on the surface of the ectoderm. TBX3 is a factor expressed very early, at E10.25, in a restricted region of mesenchyme underlying the presumptive mammary line  $^{13}$ , and later accumulating in the placodes. Mice homozygous for a null allele of *Tbx3* exhibit an almost complete failure to develop mammary placodes, whereas the heterozygous deficiency results in poorly developed or missing glands <sup>13</sup>. In humans, a similar defect is caused by haploinsufficiency for TBX3, resulting in ulnar-mammary syndrome characterized by upper limb deficiency and severe mammary hypoplasia <sup>14</sup>. These phenotypes show that *Tbx3* plays a fundamental role during mammary gland development, and other studies have demonstrated that its effects are exerted through the regulation of Wnt signaling. Wnt10b and Lef1 expression are expanded in mice ectopically expressing  $Tbx3^{15}$ , whereas their expression is absent in  $Tbx3^{-/-}$  mice <sup>16</sup>. These data show that TBX3 has the ability to position Lef1 expression and thereby define the mammary line. This raises the question as to the identity of the signal responsible for localizing Tbx3. Bone morphogenetic protein 4, BMP4, which is expressed along the ventral border of the mammary line, is one candidate for regulating the ventral extent of Tbx3 expression (Fig. 2)<sup>15</sup>. BMP4 antagonizes Tbx3 expression and vice versa, leading to a model of reciprocal antagonism, whereby the mammary line is delineated, at least in part, by the actions of these two factors restricting the expression of Wnt10b.

BMP4 and TBX3, however, do not work alone because fibroblast growth factors (FGFs) also pattern the dorsal/ventral placement of placodes by regulating the expression of *Wnt10b* and *Lef1* (Fig. 2). *Fgf10* is expressed at E10.5 in the central and ventral regions of thoracic somites underlying the mammary line <sup>17</sup>. Identification of the potential role for this cue and its cognate receptor, FGFR2b, in mammary line formation came from the analysis of their knockout mice, which develop only the #4 placode <sup>18</sup>. Since then, additional mutations have been discovered that similarly affect mammary placode development by inhibiting *Fgf10* expression, either directly by affecting its expression, or indirectly by disrupting thoracic

somite development. For example, Fgf10 expression is abolished in the Pax3 mutant, which fails to undergo ventral extension of thoracic somites, eliminating the somatic source of FGF10 and generating a corresponding dorsal shift in lateral line formation <sup>17</sup>. A similar birth defect, Poland syndrome, occurs in humans and is characterized by the underdevelopment of the somite-derived pectoral muscle on one side of the body, and a corresponding hypoplasia of the overlying breast on that side <sup>19</sup>. These defects arise from disruption in FGF10 signaling, rather than loss of the somite, because somitic development is normal in Fgf10 heterozygote animals, whereas Fgf10+/- glands are characterized by the absence of Wnt10b and Lef1 expression, reduced thickening of the ectoderm along the mammary line and subsequent loss of buds 3 and 5<sup>17, 20</sup>. A similar phenotype occurs in mutant mice with no functional GLI3 (*extratoes, Gli3<sup>Xt/Xt</sup>* mutants). GLI3 is a transcription factor that generally functions as a transcriptional repressor in the hedgehog signaling pathway, although a hedgehog-independent role as a transcriptional activator has also been reported <sup>21</sup>. The similarities between the  $Gli3^{Xt/Xt}$  and Fgf10-/- phenotypes suggest that GLI3 positively regulates Fgf10 expression, similar to its regulation of other FGF family members in different developmental contexts (Fig. 2) <sup>22</sup>. This idea is supported by the rescue of both Wnt10b expression, albeit at low frequency, and ectodermal thickening that occurs when FGF10 beads are implanted into the flanks of Gli3<sup>xt/xt</sup> mutants <sup>17</sup>.

These data have allowed researchers to sketch a rudimentary signaling cascade in which GLI3 regulates FGF10 expression in the somite (Fig. 2). The FGF10 signal is transduced through the mesenchyme to regulate *Wnt10b* expression in the presumptive mammary epithelium. There are likely intervening factors that relay the signals from *Gli3* to *Fgf10*, and, an as yet unidentified mechanism, which may facilitate the interaction between FGF10, expressed by the underlying somite and FGFR2b, expressed in the mammary line. One model proposes that FGF10-expressing cells are brought in direct contact with the mammary line by changes in cell adhesion that allow somitic cells, expressing FGF10, to delaminate, migrate and directly contact placode epithelium expressing FGFR2b<sup>17</sup>. Neuregulin-3 (NRG3), a member of the epidermal growth factor (EGF) family, is a candidate regulator of cell-cell contacts. It is expressed in the mesenchyme underlying the mammary line and regulates the expression of cell adhesion receptors and extracellular matrix components such as tenascin, which is involved in tissue remodeling  $^{23}$ . In hypomorphic Nrg3<sup>Ska</sup> mutants, placode #3 is small or absent, and there is ectopic placodal development near placode #4<sup>24</sup>. There is no loss of *Fgf10* or mesenchymal *Tbx3* expression in *Nrg3<sup>Ska</sup>* animals, indicating that NRG3 acts downstream of these factors. However, Wnt10b and Lef1 are altered, displaying decreased or absent expression at placode #3 and ectopic expression at the supernumerary buds, data that suggest an upstream role for NRG3 in regulating these factors. Concordantly, NRG3 coated beads or overexpression of Nrg3 in basal epithelia under the control of a keratin 14 (Krt14) promoter induces Wnt signaling and expression in the mammary line and generates supernumerary placodes that develop nipples and glandular epithelia<sup>23, 25</sup>. Together, these studies suggest a role for NRG3 in signaling to its epithelial ERBB4 receptor and modulating cell adhesion that promotes transduction of somatic FGF10 signals and development of placodal epithelium.

Additional FGF signaling, mediated through FGFR1b and/or FGFR2c in the ectoderm, is required to induce and maintain *Tbx3* expression, first in the mesenchyme underlying the mammary line and later in the placode <sup>26</sup>. Positioning of the TBX3 signal in the region underlying the nascent placode is accomplished by the positive input of FGF10 <sup>17</sup>, and the negative regulation of BMP4 in the ventral mesenchyme <sup>15</sup>. Once *Tbx3* expression becomes localized, it generates a second wave of Wnt signaling, mediated by WNT10b that ultimately specifies the fate of the mammary epithelium by promoting the pseudo stratification of ectodermal cells to generate placodes. The signaling pathways that lie downstream of *Lef* activation in the mammary placode are poorly understood, but likely

sweat glands) <sup>29</sup>. Together, these studies illustrate the important insights into placode development that have been derived from the study of mouse mutants. However, it also clear from these studies that our understanding is incomplete and that each pair of placodes in mice appear to have both shared and distinct genetic requirements for their formation.

#### Mammary bud and rudimentary duct formation

During the next stage of development, mammary placodes expand to form a round ball of cells that descends into the underlying mesenchyme by E14 (Fig. 3) <sup>30</sup>. This sphere of cells continues to descend as a stalk is formed connecting the mammary bud to the epidermis. Surrounding the epithelium is the condensed mesenchyme comprising a thin layer of fibroblastic cells. At E16, a solid cord of epithelial cells extends from the mammary bud and grows through this condensed mesenchyme into the fat pad precursor mesenchyme, which at this stage is a small collection of preadipocytes. Once the epithelial sprout reaches the fat pad, it begins to branch by equal division of the terminal bud. This dichotomous branching yields a rudimentary ductal system of 10-15 branches that is generated without hormonal input and remains largely quiescent until puberty. In humans, instead of a single epithelial sprout extending from the mammary bud, several sprouts form, creating multiple mammary trees that unite at the nipple.

Before embryonic development concludes, three additional morphological processes occur, formation of the ductal lumen, generation of the nipple structure and, in mice, specification of male versus female mammary glands. Lumen formation is initially observed at E16 when intercellular spaces develop within the cords. These spaces increase in size and number until a distinct lumen is apparent at E18<sup>31</sup>. Studies performed in cultured mammary cells grown in a 3-dimensional matrix have implicated three processes in mammary lumen formation: apoptosis, autophagy and cellular remodeling <sup>32-34</sup>; however, the precise mechanisms giving rise to open luminal space in the embryonic epithelial rudiments have not yet been delineated. Nipple generation occurs by modifications of the skin overlying the primary mammary mesenchyme and involves thickening of the epidermis, suppression of hair follicles and generation of a nipple sheath from keratinocytes at the site where the primary duct connects to the skin surface <sup>35</sup>. Sexual dimorphism of the mammary gland is also achieved during this stage of embryonic development in mice, but later in humans. In male mice, the mesenchyme surrounding the stalk continues to condense until it severs the bud resulting in a greatly diminished ductal system <sup>36</sup>. These latter two processes, nipple generation and sexual dimorphism, require signaling that is mediated by the parathyroid hormone-related protein (PTHLH) (described below). In humans, sexual dimorphism is accomplished via a different mechanism and the bud is not severed during embryogenesis. Instead, male and female glands undergo comparable development until puberty when their regulation by different hormones specifies their size <sup>4</sup>. Thus, human male breasts retain a normal growth potential as evidenced by the incidence of gynecomastia, the benign enlargement of breast tissue in males as a consequence of altered estrogen-androgen balance.

The parathyroid hormone-related protein (PTHLH) plays a central role in regulating the transition from budding to branching. PTHLH is produced by the mammary epithelium. It signals through the G-protein coupled receptor, Type1 PTH/PTHLH receptor (PTH1R)<sup>37</sup>, expressed in the mammary mesenchyme <sup>38</sup>. The absence of either PTHLH or PTH1R in knockout mice, results in chondrodystrophy leading to neonatal death and characterized by

numerous embryonic defects, including a lack of nipples and mammary ducts. A similar phenotype is also observed in humans suffering from loss-of-function mutations in the *PTH1R*gene, resulting in a rare, lethal form of dwarfism known as Blomstrand chondrodysplasia <sup>39</sup>, characterized by severe defects in endochondral bone formation and the absence of nipple or duct development <sup>40</sup>. Careful examination of *Pthlh-/-* and *Pth1r-/-* mutant glands reveal normal development throughout the mammary bud stage, but subsequent failure of the bud to undergo the initial phase of branching at E16 <sup>41</sup>. This results in degeneration of mammary epithelial cells, such that by birth only the scattered remnants of ductal structures remain, although the fat pad and vasculature remain intact. Moreover, mutant glands lack nipple structures, which failed to develop on the ventral epidermal surface.

Further investigation into PTHLH signaling has revealed that the pathway maintains epithelial cell fate and triggers nipple differentiation, in part by orchestrating events mediated by other signaling systems, notably Wnt and Bmp. As discussed in the section above, Wnt signaling plays an essential role in mammary gland specification during placode development, but by E14, Lef1 expression is downregulated in both the epithelium and the epidermis, whereas it is upregulated in the mammary mesenchyme. In Pthlh-/- and Pth1r-/glands this developmental regulation of Lef1 does not occur. Instead, expression of Lef1 is retained in the epithelium and in the epidermal cells surrounding the presumptive nipple; yet it is absent in the mesenchyme <sup>42</sup>. These studies suggest that PTHLH, expressed in the epithelium, signals to mesenchymal PTH1R to modulate Wnt signaling and, consequently, cell fate. This idea is supported by experiments in which *Pthlh* expression is expanded into basal keratinocytes by driving its expression under the regulation of a Krt14 promoter. In these animals, Lef1 expression is retained in the ventral epidermis, which becomes in essence hairless nipple skin<sup>42</sup>. These effects of PTHLH appear to require BMP4 signaling, which is augmented through the upregulation of the BMP receptor-1A, BMPR1A, by PTHLH in the mesenchyme (Fig. 3) <sup>35</sup>. BMP4 rescues the outgrowth of *Pthlh-/-* mammary buds in culture <sup>35</sup>. It also increases the expression of transcription factor Msx2 in mesenchyme, a regulatory event that is required for PTHLH suppression of hair follicle formation upon the nipple, as demonstrated by the recovery of hair follicle development in *Krt14-Pthlh* transgenic mice that have been crossed with *Msx2-/-* mice <sup>35</sup>.

Altogether, these studies on PTHLH signaling underscore the importance of epithelial/ mesenchymal interactions in regulating processes such as mammary bud formation. PTHLH, produced by the epithelia, acts on its receptor, expressed in the surrounding mesenchyme to modulate Wnt and BMP signaling and induce the production of a specialized condensed mesenchyme that maintains mammary epithelial cell fate. This triggers nipple sheath formation in the overlying epidermis and initiates ductal outgrowth. By the end of embryonic development, these and other regulatory processes generate a rudimentary ductal system that provides the framework for mammary outgrowth during puberty.

## PUBERTAL MAMMARY GLAND DEVELOPMENT

At birth the gland is just a rudimentary ductal system, yet it is competent to produce milk. Fetal exposure to maternal hormones can cause milk expression in human babies, which is sometimes referred to as witch's milk. As these endocrine influences subside, the gland undergoes a period of allometric growth, keeping up with overall body development, until puberty when expansive proliferation occurs, filling the fat pad under the influence of hormones and growth factors (Fig. 1). Terminal end buds (TEBs) are club-shaped structures at the tips of growing ducts that penetrate the fat pad, driven by the proliferation of a single layer of cap cells at the tip of the TEB and by the underlying prelumenal epithelium (Fig. 4).

The primary ductal structure is generated by TEB bifurcation and is regulated by the surrounding stroma. Cap cells of the TEB differentiate into myoepithelial cells, forming the outer layer of the tubular ductal bilayer that encircles inner luminal cells <sup>43</sup>. Secondary branches sprout laterally from the primary ducts until a tree-like pattern of ducts occupies up to 60% of the available fatty stroma, leaving abundant space for infilling that will occur under the influence of pregnancy hormones. Under cyclical ovarian stimulation, short tertiary branches will form, but full blossoming of the alveolar buds into units capable of milk secretion occurs only under the influence of pregnancy hormones (Fig. 1). In human, the pubertal breast contains a similarly extensive mammary tree, but the lateral branches lead to terminal ducts that give rise to terminal ductal-lobular units comprising numerous blind-ended ductules, called acini <sup>4</sup>. These acini are embedded in fibroblastic, intralobular stroma that is far more pronounced in the human breast than the adipocytes-rich stroma surrounding the branches of the rodent mammary tree.

#### Hormones and growth factors control ductal morphogenesis

Studies, performed as early as the 1930s, demonstrated that extracts of the pituitary gland regulate mammary gland function, with researchers observing enhanced mammogenesis and lactogenesis upon its administration <sup>44</sup>. Two hormones were identified that are responsible for these effects: growth hormone (GH) and prolactin (PRL). Later studies showed that mammary pubertal development was disrupted in mice lacking *Gh*, insulin-like growth factor 1 (*Igf1*) or estrogen receptor (alpha) (*Esr1*), genes that mediate pathways regulating ductal outgrowth and morphogenesis. In contrast, development of the adolescent gland occurs normally in mice lacking *Prl* or progesterone receptor (*Pgr*), genes that mediate signaling pathways regulating alveologenesis (described in the next section).

#### Growth hormone and IGF1 are global directors of postnatal development

Growth hormone, secreted from the pituitary gland, is an important global regulator of mammary gland development, but current evidence suggests that its effect on the mammary gland is mediated largely, or even entirely, through IGF1 whose production it stimulates in the stroma (Fig. 4) <sup>45, 46</sup>. Growth hormone receptor (*Ghr*) knockout mice are small for their age, creating a murine model of the human Laron syndrome, a hereditary dwarfism disorder resulting from defects in GHR <sup>47</sup>. *Ghr-/-* mice also display a dramatic (90%) reduction in serum IGF1 levels <sup>47</sup> and delayed mammary gland development with eventual outgrowth of only a sparse tree <sup>45</sup>. To evaluate the compartment of the mammary gland (epithelial or stromal) that is affected by the loss of *Ghr*, tissue recombination experiments were performed in which *Ghr-/-* KO and WT epithelium were grafted into WT stromal fat pads. In these experiments, the endogenous WT epithelium is pre-cleared from the host fat pad to prevent confusion arising from a fat pad containing both host WT and graft KO epithelium. Recombinants containing Ghr-/- epithelium/WT stroma looked identical to WT/WT recombinants, demonstrating that GH signaling is required in the mammary stroma, but not the mammary epithelium <sup>45</sup>. The current model is that GH signals through GHR in stromal fibroblasts, inducing IGF1, which then signals to the mammary epithelium (Fig. 5). This model is supported by studies on Igf1-/- mice, which exhibit greatly diminished ductal development, similar to the phenotype observed in Ghr-/- mice <sup>46</sup>. Furthermore, Igf1-/-mice produce normal levels of GH and their defects in mammary development can be rescued by IGF1 treatment, demonstrating the essential nature of IGF1 on mammary gland development downstream of GH.

In the last decade, researchers have tried to determine the relative importance in mammary gland development of IGF1 produced locally versus that produced systemically by the liver. Initial results suggested that local IGF1, functioning in a paracrine, rather than endocrine, manner, plays a central role. This was inferred from studies on mice that carry an insertional

mutation in the *Igf1* gene, *Igf1<sup>tm2Ts</sup>* also known as the *Igf1* midi allele, resulting in a 70%, rather than 100%, reduction in *Igf1* expression <sup>48</sup>. These mice display reduced mammary ductal branching, confirming the importance of *Igf1*. In contrast, a liver specific deletion, which reduces the levels of circulating IGF1 by 75%, displays normal ductal morphogenesis, suggesting that circulating IGF1 is nonessential <sup>48</sup>. However, neither of these mouse models directly address the effects of circulating IGF1 in the presence or absence of local IGF1. To accomplish this, mice were generated that produce only circulating IGF1 by overexpressing an *Igf1* transgene specifically in the liver of *Igf1-/-*mice <sup>49</sup>. These conditions rescued not only body size, but also mammary gland development, showing that systemic IGF1 can compensate for the loss of local IGF1 production. Nevertheless, there appears to be interplay between systemic and local sources because control mice, expressing both normal levels of mammary IGF1 and transgenic levels of serum IGF1, displayed increased cellular proliferation and enhanced mammary development <sup>49</sup>. These results suggest that an overabundance of IGF1 can inappropriately drive mammary epithelial cell growth, for example in the tumor setting. Indeed, high levels

of IGF1 have been associated with an increased risk of malignancy <sup>50, 51</sup>, but the relative contribution of local versus circulating IGF1 to tumorigenesis has not yet been determined.

#### Estrogen manages local cell growth during puberty

The ovarian hormone, estrogen, is another critical regulator of pubertal mammary development and is responsible for the tremendous surge in growth occurring during this period that generates a functional mammary gland (Fig. 4). Estrogen, a membrane-soluble ligand, is released from the ovary and activates gene expression through intracellular receptors. For a long time, it was unclear whether hormones such as estrogen had direct effects on mammary gland development or whether, instead, they functioned indirectly to stimulate the release of hormones such as prolactin from the pituitary <sup>52</sup>. The first studies to show the direct influence of estrogen on mammary gland development involved the delivery of estrogen or anti-estrogen directly to the gland using Elvax pellets, a biologically compatible polymer that can be implanted into tissue <sup>53</sup>. These studies unequivocally demonstrated that estrogen stimulates mammary ductal growth in both ovariectomized and intact animals and that this stimulation is blocked by the estrogen receptor antagonist, tamoxifen <sup>54, 55</sup>. These studies were the first to identify local actions of estrogen on estrogen receptors, which broadly expressed in both epithelial and stromal compartments of the gland.

Estrogen acts together with IGF1 to regulate ductal morphogenesis, as evidenced by the svnergistic enhancement of ductal outgrowth in Igf1-/- mice treated with estrogen together with IGF1<sup>46</sup>. There are two forms of intracellular estrogen receptors, alpha and beta, encoded by different genes, Esr1 and Esr2. ESR1 is the major receptor operating during ductal morphogenesis as determined by the analysis of knockout phenotypes <sup>56, 57</sup>. Mice lacking Esr1, similar to mice lacking Igf1, only form a rudimentary ductal system that fails to undergo the estrogen-mediated growth spurt that accompanies puberty  $^{56}$ . Yet only a subpopulation of luminal epithelial cells express ESR1, with fewer than 40% of these cells incorporating the cell proliferation marker, BrdU <sup>58</sup>. One explanation for this observation is that ESR1-positive cells drive proliferation by signaling in a paracrine fashion and, in this case, only a few cells would be required to initiate signaling. Alternatively, ESR1 expression may be rapidly downregulated in response to estrogen and, as a result, ESR1-positive cells would have been missed in an experiment looking for co-expressed ESR1 and BrdU 58. To investigate these possibilities, researchers again turned to the technique of transplantation, but this time they generated mosaic epithelial outgrowths by mixing Esr1-/- cells with WT (Esr1-positive) cells and grafting them into precleared fat pads <sup>59</sup>. These studies demonstrated that a subpopulation of WT cells rescues the knockout phenotype when mixed

together with *Esr1-/-* cells, supporting the notion that ESR1-positive cells signal in a paracrine fashion to stimulate the proliferation of neighboring ESR1-negative. Amphiregulin (AREG), a member of the EGF family, is currently the leading candidate for the factor that is released by ESR1-positive cells and signals cell proliferation (see section on growth factors). In addition to this role in stimulating cell growth during ductal morphogenesis, estrogen also functions during pregnancy in the growth and maintenance of alveolar cells as demonstrated over fifty years ago in hormone replacement studies on ovariectomized mice  $^{60, 61}$ . These observations were confirmed by conditional ablation of *Esr1* in alveoli following ductal elongation, resulting in defective lobuloalveolar development and inadequate milk production  $^{62}$ .

Altogether, these studies on GH, IGF1 and estrogen have led to a model in which pituitary GH induces the production of IGF1 by the liver (Fig. 4). These factors, together with locally produced IGF1 in the mammary stroma and epithelium, act via their receptors in the mammary gland to stimulate TEB formation and ductal branching. Estrogen acts in concert with IGF1 to generate the burst of proliferation required for ductal morphogenesis. ESR1 operates in a subset of epithelial cells and induces the release of AREG that signals through the stroma, generating additional growth factors (e.g. FGFs) that contribute to the rapid period of mammary gland growth occurring at puberty (see below).

#### Growth factors sculpt ductal tree architecture

Members of the EGF and FGF family of growth factors signal through their respective tyrosine kinase receptors to positively influence mammary gland ductal morphogenesis. In terms of the EGF family, AREG is the only obligatory ligand, although several EGF family members can affect ductal outgrowth. For example, transforming growth factor alpha (TGFA), NRG1 and EGF are all capable of rescuing ductal development in ovariectomized and Esr1-/- mice <sup>63, 64</sup>, but only mice lacking Areg have a mammary gland phenotype that closely matches that of the Esr1 KO 65, 66. The expression of Areg is strongly induced in mammary epithelial cells by estrogen at puberty, but this transmembrane protein binds to the epidermal growth factor receptor (EGFR) that is located at a distance on stromal cells across the basement membrane. Studies have shown that the transmembrane metalloproteinase Adam 17 (formerly known as TACE, TNFa converting enzyme) releases AREG from mammary epithelial cells, allowing for the paracrine activation of stromal EGFR <sup>67</sup>. Evidence supporting this sequence of events include the observation that defects in ductal outgrowth observed in Adam17-/- glands resemble those in Areg-/- and Esr1-/- glands and the fact that local administration of soluble AREG rescues the development of Adam17-/glands <sup>67</sup>. Nevertheless, despite the delineation of these events, the growth factor that actually drives cell proliferation at puberty has not been definitively identified. AREG signals to its receptor on stromal cells and can, therefore, only indirectly affect mammary epithelial cells. Indeed, tissue recombination experiments have confirmed that epithelial deletion of EGFR does not disrupt ductal development, while deletion of stromal EGFR results in dramatically reduced ductal outgrowth <sup>68</sup>, further supporting the notion that paracrine circuitry of the estrogen response occurs via cross-talk with the stroma. FGFs are attractive candidates to mediate the mitogenic signal since they are upregulated in the stroma during puberty <sup>69</sup>, and their cognate receptor, FGFR2, is required on epithelial cells for ductal elongation <sup>70</sup>.

While positive factors that elicit growth are clearly important for creating the ductal architecture, negative regulators are equally important and particularly crucial in the mammary gland, which has an open ductal architecture, characterized by ample space between branches for pregnancy-induced alveolar infilling. Transforming growth factor beta1 (TGFB1) has been identified as a major negative regulator of mammary branching and ductal elongation and it restricts end bud bifurcation and branch formation by limiting

epithelial proliferation <sup>71-73</sup>. Although some of the anti-proliferative effects of TGFB1 are mediated through stromal receptors <sup>74, 75</sup>, numerous studies have demonstrated significant inhibitory signaling occurring within the epithelium. For example, transplantation of either *Tgfb1-/*-epithelium <sup>73</sup> or *Tgfb1+/-* epithelium, which has dramatically reduced TGFB1 levels due to the absence of positive feedback <sup>72</sup>, into WT mammary fat pads results in increased epithelial proliferation and ductal extension, while overexpression of dominant negative TGF beta receptor II (TGFBR2) in the epithelium leads to alveolar hyperplasia <sup>76</sup>. Studies using 3-dimensional micropatterned collagen gel assays that control the shape of mammary epithelial tubules in culture demonstrate that TGFB1 can be released in gradients capable of inhibiting the sites of branching <sup>77</sup>. WNT5A is one downstream mediator of these growth inhibitory effects and it acts by regulating proliferation through the LEF/TCF pathway <sup>78</sup>. These and other studies demonstrate that mammary branching morphogenesis requires a balance of positive and negative factors that generate, by still largely unknown mechanisms, the stochastic pattern of widely-spaced branch outgrowth observed in mammary trees.

In addition to members of the major growth factor families, a host of additional secreted proteins have been identified that regulate mammary morphogenesis. Examples include members of neurogenic families. These factors were originally discovered in the nervous system, but also regulate developmental events in other organs. Netrin1 (NTN1), Slit2 (SLIT2) and Reelin (RELN) are all proteins that regulate axon guidance and cell migration during neural development. These factors also regulate mammary ductal morphogenesis. *Ntn1* and *Slit2* are expressed in both luminal and myoepithelial cells, but their receptors are restricted to the outer myoepithelial layer. A loss-of-function mutation in these genes, individually, results in TEB abnormalities and, together, in ductal defects characterized by delamination of the epithelial cell layers <sup>79, 80</sup>. In contrast, RELN is expressed by the periductal stromal and its receptor is expressed in both cell types of the epithelium. Reln knockouts contain only rudimentary ductal structures that exhibit decreased terminal branching and a disorganized luminal layer <sup>81</sup>. Other proteins, such as milk fat globule-EGF factor 8 (MFGE8) and matrix metalloproteinases (MMPs), function during branching morphogenesis, but also have roles in other stages of mammary gland development such as involution. MFGE8 is expressed in the epithelium, regulating cell proliferation during ductal outgrowth <sup>82</sup> and the elimination of apoptotic cells during involution <sup>83</sup>. In contrast, stromal MMPs function similarly at both developmental time points and are involved in remodeling the basement membrane to assist with architectural changes required for morphogenesis.

Altogether, these lines of investigation have led to a model in which estrogen binding to ESR1 in sensor cells induces the expression of transmembrane AREG, which is released from the cell surface by Adam17 (Fig. 4). Cleaved AREG makes its way, by unknown mechanisms, across the basement membrane, to stromal fibroblasts expressing its receptor, EGFR. Activation of EGFR induces the expression of FGFs that, in turn, stimulate cell proliferation through FGFR2 expressed on the mammary epithelium. TGFB1 functions as a negative regulator of ductal morphogenesis by inhibiting cell proliferation and restricting branch formation. Other factors also play important roles and additional information needs to be gathered on the many, diverse extracellular signals that contribute to the morphogenesis of the adolescent gland. An important question raised by these studies is how do quiescent ESR1-positive cells become proliferative in hormone-dependent, ESR1positive human breast tumors. The answer may be through the robust crosstalk that occurs between epithelial ERa and stromal EGFR signaling. Studies on hyperplastic enlarged lobular units (HELUs), considered the earliest lesion with premalignant potential, have shown that both *Esr1*, and *Areg* are upregulated and, together, they may generate a selfpropagating growth-regulatory loop that fuels tumorigenesis <sup>84</sup>. Despite the clinical success of estrogen receptor antagonist, tamoxifen, there is great interest in adding to the arsenal of

drugs that will slow the growth of ER-positive tumors. Recent studies suggest that AREG may be an attractive therapeutic target for breast cancer intervention <sup>85</sup>.

### ADULT MAMMARY GLAND DEVELOPMENT

# Pregnancy and lactation are accompanied by major changes as the mammary tree prepares to bear fruit

The mammary gland must undergo numerous changes to prepare for lactation. These changes require both gland maturation and alveologenesis and are primarily under the control of progesterone and prolactin. The first transformation of pregnancy is a tremendous increase in secondary and tertiary ductal branching, providing ductal arbors for the second transformation, alveolar development. Proliferating epithelial cells generate alveolar buds that progressively cleave and differentiate into distinct alveoli, which become milk-secreting lobules during lactation. Interstitial adipose tissue disappears as the proliferating epithelial cells occupy the interductal spaces. Increased vascularization occurs and by mid-pregnancy, each alveolus is surrounded by a basket-like network of capillaries <sup>86</sup>. By late pregnancy the alveoli encompass the majority of the fat pad and show some secretory activity as pregnancy approaches term. Some of these changes also occur during estrus cycles when the gland exhibits mild proliferation and differentiation that includes the limited expression of milk proteins, followed by involution.

Progesterone makes crucial contributions to alveologenesis—Progesterone, like estrogen, is a membrane soluble, ovarian hormone that signals through intracellular receptors to generate epithelial growth in the mammary gland (Fig. 5). Progesterone is responsible for the extensive side-branching and alveologenesis required to create a lactation-competent gland. In combination with prolactin, it also promotes the differentiation of specialized structures, alveoli, which synthesize and secrete milk during lactation. The importance of progesterone in both these processes was revealed by the analysis of progesterone receptor knockout mice. Pgr-/- glands produce only a simple epithelial tree, even following the surge of growth at puberty, and upon pregnancy they do not undergo the associated ductal proliferation or lobuloalveolar differentiation <sup>87, 88</sup>. Transplantation studies were performed to address whether PGR is required in the epithelial, stromal or both compartments and revealed that only epithelial Pgr expression is required for side branching and alveologenesis<sup>88</sup>. In the epithelium, the pattern of *Pgr* expression changes from a uniform pattern of expression in the juvenile to a scattered expression pattern in a subset of epithelial cells in the adult <sup>89</sup>. This suggests that, similar to estrogen signaling, progesterone signaling occurs in a paracrine fashion. This was evaluated by using mosaic analysis in which Pgr-/- cells were mixed with WT (Pgr-positive) cells and grafted into pre-cleared fat pads, similar to the experiments performed with Esr1-/- cells <sup>88</sup>. The Prg-/-/WT mammary gland outgrowths appeared normal, demonstrating that WT cells rescue the KO phenotype and supporting the idea that progesterone signals via a paracrine mechanism.

Studies on progesterone receptors have been complicated by the fact that there are two receptor isoforms (PGR<sub>iA</sub> and PGR<sub>iB</sub>), produced by alternate promoter usage from a single gene. They are identical except that PGR<sub>iB</sub> contains 164 additional N-terminal residues. Both isoforms of PGR are expressed in the mammary gland of the virgin mouse and during pregnancy <sup>90</sup>, with PGR<sub>iA</sub> levels exceeding those of PGR<sub>iB</sub>. Each of these isoforms has been knocked out individually and they have distinct physiological functions in some reproductive tissues. However, in the mammary gland loss of *Pgr<sub>ia</sub>* does not produce a phenotype, whereas loss of *Pgr<sub>ib</sub>* results in significantly reduced side branching and alveologenesis during pregnancy <sup>91, 92</sup>. These data show that PGR<sub>iB</sub> is uniquely required in the mammary gland, but they do not rule out a distinct function for PGR<sub>iA</sub>, which may be compensated by the presence of PGR<sub>iB</sub>. Indeed expression profiling studies on human breast

cancer cell lines engineered to express one or the other isoform show that  $PGR_{iA}$  and  $PGR_{iB}$  regulate distinct and overlapping sets of genes <sup>93</sup>. This pattern of regulation may have clinical significance since human breast cancers expressing altered ratios of the two PGR isoforms have different, ratio-specific clinical outcomes <sup>94</sup>.

There is still much to be learned about signaling downstream of progesterone receptors, but recent data identify tumor necrosis factor ligand superfamily, member 11 (TNFSF11), also known as RANKL (receptor activator of NFKB1 ligand), as a key paracrine-mediator of progesterone-induced proliferation (Fig. 5). RANKL signals through Tumor necrosis factor receptor superfamily, member 11a (TNFRSF11A), also known as RANK, to regulate a broad range of physiological processes, including osteoclastogenesis and bone-remodeling, by stimulating NFKB1 in neighboring cells. Given this role in regulating skeletal calcium release, perhaps it is not surprising that RANKL should play such an essential role in regulating alveologenesis, the first step in transmitting maternal calcium to infant mammals. Mice lacking *Rankl* or *Rank* fail to undergo alveologenesis during pregnancy <sup>95</sup>, a phenotype that is very similar to that described in Pgrib-/- glands. Moreover, the phenotype of such glands can be rescued by the ectopic expression of *Rankl*, an experiment that was performed by both viral infection of bulk mammary epithelial cells <sup>96</sup>, and in a more refined manner by using an inducible bigenic system that drives *Rankl* expression specifically in the cells that normally express it <sup>97</sup>. Numerous studies have shown that *RANKL* is induced by progesterone <sup>98</sup>, specifically in PGR-positive luminal epithelial cells <sup>91</sup> and recent data suggest that it is the paracrine signal that tells neighboring PGR-negative cells to proliferate <sup>96</sup> (Fig. 5). This central role of RANKL in generating the pro-growth response to progesterone is illustrated by recent studies demonstrating its responsibility in driving cell proliferation in progestin-dependent breast cancers. This has led to the idea that RANKL inhibitors, a therapy currently being pursued for arthritis, periodontal disease and osteoporosis, should also be considered for breast cancer treatment <sup>99, 100</sup>.

#### Prolactin collaborates with progesterone to generate a lactation-competent

gland—PRL is the major generator of lactational competence during pregnancy, and it functions both indirectly, through its regulation of ovarian progesterone production, and directly via its affect on mammary epithelial cells. PRL is a small polypeptide hormone produced by the pituitary, as well as other sites, such as mammary epithelium. It binds to a member of the class I cytokine receptor superfamily, resulting in the activation of a number of signaling pathways, including Jak/Stat, Map Kinase and phosphoinositide (PI) 3 Kinase (Fig. 5). The role of PRL during mammary gland morphogenesis has been investigated using *Prl* and Prolactin Receptor (*Prlr*) knockout mice <sup>101, 102</sup>. Embryonic and postnatal development appears to be normal in these mice and there is typical outgrowth of the mammary tree until puberty, whereupon a lack of side-branching and alveolar budding results in a sparse tree, similar to that observed in Pgr-/- mice. To determine whether this defect is intrinsic to the mammary epithelium or due to systemic effects, transplantation experiments were performed in which Prl-/- epithelium was grafted into pre-cleared WT fat pads <sup>103, 104</sup>. These experiments revealed normal development in the transplanted tissue, suggesting that defects in knockout glands are due to the lack of PRL/PRLR signaling in other tissues. This requirement for systemic PRL was confirmed by experiments in which the side-branching and alveolar defects of Prl-/- mice were rescued by engrafting Prl+/pituitaries under the kidney capsules <sup>105</sup>. One of the systemic consequences of losing PRL signaling is low serum levels of hormones due to impaired secretion from the ovary. Researchers found that simply restoring progesterone levels in ovariectomized Prl-/- mice restored ductal side branching <sup>105</sup>, providing further affirmation that PRL and progesterone work together to generate lobuloalveolar growth. Progesterone treatment also rescued the infertility observed in Prl-/- mice, allowing researchers to examine the effect of Prl loss, alone, on mammary lobuloalveolar development. Surprising, alveologenesis was normal in

these animals, but this was explained by the presence of a prolactin family 3, subfamily d, member 1 (PRL3D1), also known as placental lactogen, which can also bind and activate PRLR. Consequently, definitive demonstration of a requirement for PRL signaling in alveologenesis was achieved by transplantation experiments in which *Prlr-/-* epithelium was engrafted into WT mice. Ductal morphogenesis appeared normal in these mice, since progesterone levels were normal, but alveologenesis failed, revealing an essential, epithelial intrinsic role for PRL/PRLR signaling in lobular alveolar development and milk production.

The JAK2/STAT5 signaling pathway is activated downstream of PRL/PRLR and it plays an essential role in establishing alveologenesis (Fig. 5). This was demonstrated by the analysis of Jak2-/- and Stat5-/- mice whose mammary gland defects phenocopy those observed in Prlr-/- glands. Moreover, conditional loss of Stat5 following PRL-induced differentiation of alveolar cells showed that this pathway is required for maintenance of the pregnancy program <sup>106-108</sup>. Signaling via the PRLR/JAK2/STAT5 pathway culminates in the expression of milk protein genes, including casein beta (Csn2) and whey acidic protein (Wap), which contain STAT5 responsive elements in their promoters. The pathway is subject to precise control by a number of positive and negative regulators, including integrins, which are the major class of extracellular matrix (ECM) receptors and required for full STAT5 activation <sup>109</sup>. Cross-talk between integrin and PRLR is mediated by signal regulatory protein alpha (SIRPA), a transmembrane glycoprotein. SIRPA integrates the gland's response to the ECM during lactation, generating a complex that includes JAK2 and regulates the response of PRLR as a function of integrin interaction with various ECM components <sup>110</sup>. Downstream of PRLR signaling is RANKL and, as in the case of PGR signaling, it is a paracrine-acting target of PRL<sup>111</sup>. The regulation of *Rankl* by both progesterone and PRL explains how the homozygous deletion of each gene results in a similar phenotype: decreased side-branching and disrupted alveologenesis. Moreover, transgenic expression of Rankl alone, in the virgin gland is sufficient to elicit the characteristics of pregnancy, producing both ductal side-branching and alveolar budding, functions ascribed to PRL and progesterone <sup>112</sup>. Negative regulation of PRLR signaling is achieved by members of the suppressors of cytokine signaling (SOCS) family via a classical negative feedback loop. PRL induces the expression of SOCS1, which in turn binds to JAK2 and inhibits its association with STAT5. Socs1-/- mice display enhanced alveolar development and milk production during pregnancy. Thus, SIRPA, RANKL and SOCS are three examples of many that illustrate the complexity of the signaling pathways that regulates the response of cells to PRL<sup>113</sup>. This web of pathways results in a finely tuned transcriptional program that, in turn, regulates many signaling networks and ultimately controls the cellular differentiation of alveolar cells and their production of milk.

In sum, by helping mothers cope with unreliable food stores, lactation has made a critical contribution to mammalian evolution. Progesterone and PRL orchestrate this essential reproductive event by engaging in a complicated and intertwined relationship (Fig. 5). Each regulates the other's expression, and each controls overlapping and unique transcriptional regulatory networks that govern both the proliferation and differentiation of mammary epithelial cells. RANKL serves as one point of cross-talk between these to two signaling pathways, but there are likely additional pathways that function in cooperative and antagonist ways to transform progesterone and PRL signals into the structural and functional changes required to generate and deliver milk.

**Involution remodels the tree back to its original adult state**—The lack of demand at weaning causes milk to stagnate in the mammary epithelium, initiating the process of involution that removes milk-producing epithelial cells and remodels the epithelial tree back to a simple ductal architecture (Figs. 1, 6). Research on involution has been facilitated by forced weaning protocols that involve the removal of suckling mouse pups at the height of

lactation. This induces synchronous involution, allowing the elucidation of morphological and molecular events underlying the process. Two phases of involution have been defined (Fig. 6). The first is reversible, such that resumption of suckling reestablishes the milk supply. This step is accompanied by apoptosis, alveolar cell detachment and, within 12 hours, the accumulation of shed cells into the lumen. Remarkably, no major morphological changes occur with this phase of involution, aside from changes in alveolar shape due to milk engorgement. However, at 48 hours, a transition into phase two of involution begins and alveoli start to collapse. This phase is irreversible and the milk supply is lost, regardless of whether or not suckling is reinitiated. During this phase, breakdown of the ECM and activation or proteases induces a second wave of apoptosis. This results in a massive period of tissue remodeling, which by six days leads to the removal of most of the secretory epithelium and its subsequent replacement by adipocytes. Although a few remaining alveoli may persist, the remodeled gland is morphologically very similar to the virgin gland, even though it is molecularly distinct with respect to gene expression <sup>114, 115</sup>.

Local cues regulate the first phase of involution—Various approaches in mice (e.g. teat sealing and inducible expression of T-antigen) have been used to demonstrate the role of local versus systemic factors in the first phase of involution. In each case, lactation is disrupted in a different way: teat sealing physically prevents milk release, whereas T-antigen expression triggers apoptosis <sup>116, 117</sup>. The teat sealing model demonstrated that apoptosis is induced by local factors because it occurred despite the presence of suckling from unsealed control teats, which maintains the systemic level of lactation hormones. In the T-antigen overexpression model, glands exhibit early involution, with alveolar apoptosis resulting in lactation failure. Yet, constant suckling in this model, generated by serial litter replacement, protects the alveoli, leaving open lumens and intact lobules, demonstrating that systemic hormones can preserve lobuloalveolar structure, even in the midst of significant programmed cell death. Other studies have identified both intrinsic (mitochondrial) and extrinsic (death receptor) apoptotic pathways as those responsible for generating the massive cell death occurring during this initial phase of involution <sup>118-120</sup>. Recent studies, however, show that the earliest steps are independent of these apoptotic processes and rely instead on lysosomal membrane permeabilization <sup>121</sup>. Despite these many studies, the actual trigger of apoptosis is still unknown. Alveolar stretch, the build-up of apoptotic factors in static milk and/or disruption of cell-ECM contacts are all likely to play a role. Altogether these studies demonstrate that local cues regulate the first phase of involution, whereas the second phase depends on the fall of systemic hormones and can be blocked by the administration of glucocorticoids<sup>116</sup>.

In the past decade, numerous signaling pathways have been identified that regulate the developmental switch from lactation to involution, but the STAT family of proteins were found to play particularly important roles in this transition, by regulating the flow of survival signals through the PI3-kinase/AKT pathway. STAT5A and STAT5B positively regulate AKT signaling, whereas STAT3 inhibits it. Within 3–6 h of milk stasis, a change in the phosphorylation status of STAT5 proteins alters their activity state, inactivating STAT5A and STAT5B, but activating STAT3<sup>116</sup>. This toggle-like nature of STAT proteins in the lactation/involution switch was demonstrated in a series of gain and loss-of-function experiments. For example, overexpressing either Stat5a or a constitutively activated form of Akt1 delays mammary gland involution <sup>122, 123</sup>, while knocking out these genes has the opposite effect and accelerates involution 107, 124. STAT5 binds to consensus sequences within the Akt1 locus in a PRL-dependent manner and initiates transcription of Akt1 from a mammary specific promoter, generating a strong cell survival signal <sup>122</sup>. In contrast, STAT3 antagonizes pro-survival STAT5 signaling and promotes pro-apoptotic pathways <sup>125</sup>, as evidenced by conditional deletion of Stat3 in the mammary gland, resulting in decreased apoptosis and a dramatic delay in involution <sup>126</sup>. The primary activator of STAT3 in the

gland is the cytokine leukemia inhibitory factor (LIF), and in its absence Stat3 is dephosphorylated, resulting in delayed involution, similar to the delay observed in the Stat3-/- glands <sup>127</sup>. Once activated, STAT3 directly regulates the expression of two inhibitory subunits of the class IA PI3 kinase, p50alpha and p55alpha, which are generated by alternative splicing of the *Pik3r3* gene. This alters the subunit composition of PI3 kinase, restricting its activity and, consequently, the activity of AKT <sup>128</sup>. Another mechanism by which STAT3 antagonizes pro-survival signaling is upregulation of the insulin-like growth factor binding protein-5 (IGFBP5). IGFBP5 is thought to sequester IGF1 in casein micelles and, as a result, interfere with pro-proliferative IGF1 signaling <sup>129</sup>. Overexpression of IGFBP5 in the mammary gland results in accelerated involution that can be reversed by exogenous IGF1 treatment, whereas, deletion of IGFBP5 leads to delayed mammary gland involution <sup>130</sup>. Similarly, STAT3 promotes apoptotic pathways through the transcriptional control of numerous targets. For example, it upregulates the expression of lysosomal proteases cathepsin B and cathepsin L, while downregulating their endogenous inhibitor Serpin2A<sup>121</sup>. Upregulation of these proteases results in lysosomal membrane permeabilization, which induces programmed cell death at the earliest stages of involution without the involvement of the classical apoptotic pathways. Taken together, these data illustrate that specific activation of STAT3 or STAT5 alone is sufficient to promote or suppress apoptosis, respectively, and that these transcription factors elicit some of their many actions by regulating the AKT pathway.

The second phase of involution is controlled by circulating factors—The second phase of involution is accompanied by dramatic changes in mammary gland architecture, including the remodeling of the basement membrane, collapse of alveoli and differentiation of adipocytes (Fig. 6). Important regulators of these processes are members of the serine protease and matrix metalloproteinase (MMP) families that are responsible for activating plasminogen and breaking down the ECM, respectively. The expression of these factors in the mammary gland stroma begins during the first phase of involution when serine proteases are activated and mediate epithelial apoptosis. At the same time, MMPs are kept in check by the coordinate expression of their negative regulators, tissue inhibitors of metalloproteases (TIMPS).

The serine protease, plasminogen, is synthesized in the liver and circulates as a zymogen through blood. Its activation to plasmin is controlled locally in the mammary gland by the serine protease Kallikrein 1 (KLK1). Mammary glands lacking plasminogen fail to involute after weaning and are marked by the accumulation of fibrotic stroma and the abnormal differentiation of adipocytes <sup>131</sup>. A similar phenotype is observed by inhibiting KLK1 through intraperitoneal injection of an inhibitor, ecotin, engineered to be highly specific for this enzyme <sup>132</sup>. During the first phase of involution, plasmin contributes to apoptotic process by disrupting the interactions between cells and the ECM. In the second phase of involution, plasmin is joined by the upregulation and activation of MMPs, such as MMP3, whose overexpression disrupts the basal lamina and causes premature involution <sup>133</sup>. Similarly, premature activation of MMP2, which occurs in TIMP3-deficient glands, results in accelerated involution that is irreversible, even during the first phase <sup>134</sup>. These studies demonstrate that balanced protease activity, controlled by both regulated activation and direct inhibition, is required for the gland to properly transition through the phases of involution. Moreover, these enzymes influence the differentiation of adipocytes that is required to replace tissue loss due to apoptosis of epithelial cells. One of the ways they contribute to adipogenesis is by releasing growth and differentiation factors from the cell surface and ECM. Thus, by giving the gland the ability to remodel in response to fluctuating local and hormonal environments, MMPs and serine proteases play critical roles in mammary gland biology. While their importance in tissue restructuring during involution is highlighted here, they also participate in other processes such as milk production during

pregnancy <sup>135</sup> and branching morphogenesis during puberty <sup>136</sup>, underscoring their central position in regulating mammary gland development.

**Involution and its connection to cancer**—There is a great deal of interest in understanding the signaling processes involved in postlactational and age-related lobular involution, and the connection these processes share with cancer. Postlactational involution is a process subject to much regulation, yet transcriptional profiling studies have shown that this rapid and extensive period of tissue remodeling mimics wound healing and pathological conditions such as tumorigenesis <sup>137</sup>. This raises the possibility that involution creates a tumor microenvironment that promotes further transformation of preneoplastic mammary cells, providing an explanation for the transient increase in breast cancer risk observed following pregnancy <sup>138</sup>. This possibility has been validated by experiments in which ECM was isolated from postlactational mammary glands and shown to contain tumorigenic ECM fragments that facilitate the invasiveness of breast cancer cells in culture and increase the metastasis of breast cancer in animal models <sup>139</sup>, <sup>140</sup>.

In contrast, age-related lobular involution is a distinct process that involves the replacement of glandular epithelium and interlobular connective tissues with fat. Eventually in the aged breast, all that remains are a few acini and ducts embedded in thin strands of collagen. This reduction in epithelial tissue has beneficial consequences for the diagnosis and development of breast cancer. First, the increased ratio of fat to epithelium increases the effectiveness of mammography <sup>141</sup>. Second, this reduction in epithelial tissue may physiologically protect the breast from cancer, as evidenced by a recent clinical study that correlated lobular involution with reduced breast cancer risk <sup>142</sup>. Premenopausal women whose breasts had undergone partial or complete lobular involution were found to have significantly decreased risk of breast cancer, while postmenopausal women, whose breast exhibited delayed lobular involution, had an elevated breast cancer risk. These results suggest that a fundamental protective event occurs with lobular involution. Recent studies suggest this protection may be due, at least in part, to the depletion of mammary stem cells in the aging breast.

## MAMMARY STEM CELLS

Stem cells are specialized cells with the capacity to both self-renew and generate daughter cells that can differentiate down several lineages to produce all cell types found in mature tissue. Over 50 years ago, researchers postulated the existence of multipotent mammary stem cells (MaSCs) due to the observation that mammary epithelium is able to undergo multiple rounds of proliferation, differentiation and apoptosis with pregnancy. In vivo serial transplantation assays were designed to test this hypothesis. In this assay, a small tissue fragment is transplanted into pre-cleared fat pads and allowed to grow into a mature mammary tree, before another small fragment is harvested and transplanted. This can be performed serially for up to 7 generations until senescence, which is thought to occur due to depletion of the original stem cells contained in the initial fragment <sup>143</sup>. Later experiments revealed that neither the developmental state nor reproductive history of the gland had a significant impact on the longevity of the mammary transplants <sup>144, 145</sup>. It was not until 2006, however, that self-renewing multipotent stem cells were isolated from mouse mammary gland by fluorescent activated cell sorting (FACS) to detect cell surface markers CD24 (heat stable integrin) and either CD29 ( $\beta$ 1-integrin) or CD49f ( $\alpha$ 6-integrin) <sup>146, 147</sup>. Similarly, a mammary stem cell has also been identified in human breast, although different markers were used for its identification <sup>148, 149</sup>. These studies show that most, if not all, stem cells express  $\alpha$ 6 and  $\beta$ 1 integrins, positioning them in a basal position in the gland, possibly corresponding with a basal-positioned small light cell (SLC) that was identified by electron microscopy and occurs at a frequency of 1–3% within the epithelium <sup>150, 151</sup>. Other estimates of stem cell frequency are derived from calculating the number of mammary

repopulating units (MRUs) in freshly dissociated mammary cells using a limiting dilution transplantation assay. These studies have generated a wide range of estimates from 1 MRU in 100 total cells to <1 in 4,900 total cells, presumably due to the differences in techniques used to dissociate and transplant cells <sup>146, 147, 152</sup>.

This range of estimated stem cell frequencies illustrates how difficult it has been for researchers to achieve an understanding of mammary stem cell biology. There are many impediments, including the technical complexity of dissociating solid tissue into single cell suspensions for FACS and the lack of markers to specifically label these cells. Nevertheless, there is currently a tremendous effort to delineate the stem cell hierarchy of the gland. The current model is that a MaSC gives rise to committed progenitors, which proceed to differentiate along the myoepithelial and luminal epithelial lineages. It seems likely that there is at least one intermediate cell, a bipotent progenitor, which lies between the MaSC and the committed progenitor, but its identity has remained elusive. The identification of the myoepithelial progenitor has also remained elusive, hindered by the fact that the MaSCs themselves express basal markers. A luminal progenitor population, however, has been identified <sup>153</sup>, and there is evidence that it gives rise to cells committed to either ductal or alveolar cell fates <sup>154</sup>.

How a MaSC gives rise to progenitor cells, while regenerating itself, is also a subject of much investigation. In nonvertebrate organisms and some mammalian organs, stem cells undergo asymmetric cell division in which the cell reproduces itself and generates a daughter cell with a different cell fate <sup>155</sup>. However, asymmetric cell divisions have not been definitively demonstrated in the mammary gland. An alternate mechanism of stem cell renewal and division is symmetric cell division, whereby a MaSC simply divides to give rise to two progenitors or divides to replenish itself. How these symmetric and asymmetric cell divisions are controlled raises additional questions; where do stem cells reside and what is the nature of the stem cell niche? Studies have shown that MRUs are distributed throughout the mammary epithelial tree, since transplantation of tissue fragments from any part of the tree can result in mammary outgrowth <sup>156</sup>. Yet, tissue fragments harvested from the periphery of ductal outgrowths result in earlier senescence compared to tissue transplanted from the center of the outgrowth <sup>157</sup>. These studies suggested that peripheral transplants contain MaSCs that have been aged by having undergone more cell divisions than their counterparts in the center. Additionally, there may also be a difference in MaSC concentration in different locations of the gland as a recent multi-scale *in situ* analysis has shown that putative MaSCs are distributed in a graded manner with more toward the nipple and fewer at the opposite end of the mammary gland, with large ducts containing a reservoir of these cells <sup>158</sup>. Signaling pathways, such as the Notch <sup>159</sup>, Hedgehog <sup>160</sup> and Wnt pathways <sup>161</sup>, regulate these proliferative behaviors and niche characteristics and appear to be similar to those pathways directing stem cells in other systems, although many details concerning the control of these individual signaling pathways and their cross-talk remain unknown.

This ongoing research on mammary gland development and stem cells is significantly contributing to our understanding of breast cancer. Breast cancers have been divided into six distinct subtypes on the basis of gene expression profiling: luminal A, luminal B, basal-like, claudin-low, Her2/ErbB2-overexpressing, and normal-breast-like subtypes <sup>162</sup>. In 2003, breast cancer stem cells were identified in patient samples <sup>163</sup>, echoing the identification over a decade ago of stem cells in the hematopoietic system that are the source of acute myeloid leukemia (AML) <sup>164</sup>. These and other findings have led to the cancer stem cell (CSC) hypothesis, positing that at least some tumors are initiated by one or more self-renewing CSCs that differentiate into large populations of non self-renewing, yet rapidly dividing, cells responsible for generating the tumor mass <sup>165</sup>. In the hematopoietic system,

there is strong evidence that resident stem cells are the likely target of oncogenic mutation in some diseases <sup>164</sup>, but in solid tumors the origin of the CSC remains unresolved. They could arise from mammary stem/progenitor cells or be generated *de novo* as part of the multi-step mutational process that gives rise to a tumor. At least for some breast tumors, evidence is growing that tissue-resident stem/progenitor cells are the likely targets of transformation because some of the breast tumor subtypes share molecular profiles with stem/progenitor cells <sup>166</sup>. This finding supports the notion that mutations in stem/progenitor cells generate a self-renewing CSC capable of producing cells that constitute the tumor mass. This selfrenewal could occur at the same time that a subpopulation of CSCs maintain a quiescent program, allowing them to escape traditional therapies such as radiation and chemotherapy that target rapidly dividing cells <sup>167</sup>. Current challenges are in developing strategies to identify all the cell types in the mammary hierarchy with specific markers and in defining the mutations that transform stem/progenitor cells into CSCs. With knowledge of the parent cells and the transformation processes, novel therapies, such as the use of small interfering (si)RNAs, can be further developed to eradicate cancers by targeting the cells from which they arise.

## CONCLUSION

Tremendous progress has been made in delineating the signaling pathways controlling the three distinct stages constituting mammary gland development: embryonic, pubertal and reproductive. These stages have many unique characteristics, as well as many common attributes. Occurring over the lifespan of an individual, each stage is separated temporally, as well as by hormonal requirement. Embryonic development proceeds in the absence of hormonal regulation, whereas the pubertal and reproductive stages are governed by customized hormonal inputs that prepare for and then result in milk production. During embryogenesis, local epithelial/mesenchymal interactions direct many developmental processes and are responsible for determining the location of the gland and controlling cellfate, such that by birth the tissue compartments are precisely positioned and the nascent structure is correctly established. Epithelial/mesenchymal interactions also govern development during postnatal stages of mammary gland morphogenesis, but after puberty, regulation by hormones and growth factors profoundly changes the nature of these interactions. Hormones generate complex signaling networks that influence epithelial/ mesenchymal interactions by regulating the production of secondary signaling pathways, which drive cross-talk between and within compartments. In all these complex processes, however, one message is clear: Mother Nature is parsimonious and the same pathways are deployed over and over again. This is evident as STAT proteins are involved in branching, lactation and involution, FGFs in embryogenesis and branching, MMPs in branching and involution; the list goes on. These same pathways are also hijacked in cancer cells and our growing understanding of them has fueled tremendous progress in breast cancer research. These gains in our understanding have ushered in exciting times, and as this knowledge is translated into molecule-based diagnostics and therapies, we are currently witnessing the tangible benefits basic research has brought to society.

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#### Figure 1. Illustration depicting the stages of postnatal mammary gland development

At birth, the mammary epithelium is rudimentary, consisting of only a few small ducts that grow allometrically until puberty (4-weeks in mice). With the onset of puberty, comes expansive growth in a process called ductal morphogenesis that fills the fat pad with the epithelial mammary tree. This growth is influence by growth hormone (GH) and estrogen, and a growth factor, insulin-like growth factor-1 (IGF1). In the mature virgin, short tertiary branches form under the influence of progesterone, but alveologenesis only occurs upon pregnancy with the induction of prolactin (PRL), which together with progesterone, fuels the growth of alveolar cells. PRL stimulation continues into the stage of lactogenesis, culminating in milk production that continues until a lack of demand at weaning signals involution and the mammary gland is remodeled back to its original adult state.



#### Figure 2. Illustration depicting the generation of the mammary milk line

The position and specification of the multilayered epithelial ridge, the milk line, in an embryo at E10.5. The milk line is apparent between the forelimbs and hindlimbs that demarcate the rostral and caudal extension of the line. Specification of the line requires early Wnt signaling in the epithelium and in the mesenchyme that flanks the milk line. *Tbx3* expression is required at early time points vertically under the line and it controls subsequent Wnt signaling within the line that is required for the development of mammary placodes. *Tbx3* expression is regulated by FGF10 that emanates from somites underlying the line, as well as Wnt signaling in the flank and BMP4 signaling localized at the ventral border. For details, see text. (Adapted from Cowin and Wysolmerski 2010, with permission)



**Figure 3. A schematic representation portraying embryonic mammary gland development** Mammary placodes expand into a ball of cells that descends into the underlying mesenchyme. Parathyroid hormone-related protein (PTHLH) signals from the epithelium to the mesenchyme to increase the expression of bone morphogenetic protein receptor-1A (BMPR1A). Bone morphogenetic protein 4 (BMP4) expressed in the mesenchyme signals through BMPR1A to MSX2 and inhibits hair follicle formation at the developing nipple sheath. The mammary epithelium grows into a small, simple tree-like structure containing an open lumen and remains in this form until birth. For details, see text. (Adapted from Cowin and Wysolmerski 2010, with permission)



#### Figure 4. Schematized view of the events occurring during pubertal development

Terminal end buds (TEBs) grow through the mammary fat pad, fueled by cell proliferation (diagram at the bottom). Growth hormone (GH) regulates cell proliferation by inducing the expression of insulin-like growth factor-1 (IGF1) in both the liver and mammary stroma. IGF1 acts, together with estrogen secreted from the ovary, to induce epithelial cell proliferation (diagram at the top). Estrogen signaling through its receptor (ESR1) acts via a paracrine fashion to stimulate the release of epidermal growth factor (EGF) family member, AREG, which proceeds to bind its receptor on stromal cells and induce expression of FGFs. FGFs, in turn, to stimulate luminal cell proliferation. Other factors, such as TGFB1, Reelin (RELN), Slit2 and Netrin1 (NTN1) contribute to mammary architecture by either positively or negatively regulating cell proliferation or maintaining cell-cell interactions. For details, see text.



**Figure 5.** Schematized view of the events that generate lactation competence during pregnancy Alveoli develop into milk-secreting lobules regulated by prolactin (PRL) that works together with progesterone. Both hormones regulate transcriptional programs that include the control of *Rankl*TNFSF11), which signals in a paracrine fashion to stimulate proliferation by upregulating expression of target genes such as Cyclin delta 1 (*Ccnd1*) through the RANK receptor on neighboring cells. Progesterone stimulates secondary and tertiary branching, while PRL integrates many signals, including those from the extracellular matrix (ECM) by interacting with integrin through transmembrane, signal regulatory protein alpha (SIRPA). PRL transduces this information through pathways, such as those mediated by JAK2/ STAT5, whose downstream targets include milk genes casein beta (*Csnb*) and whey acidic protein (*Wap*). For details, see text.



#### Figure 6. Illustration depicting the two stages of mammary gland involution

Upon weaning, the gland is remodeled back to its pre-pregnancy state. Stage one is reversible and is regulated largely by STAT3, which is induced by leukemia inhibitory factor (LIF) and opposes pro-survival STAT5 signaling by upregulating the expression of numerous proteins including: lysosomal proteases, cathepsins; insulin-like growth factor binding protein5 (IGFBP5); and two regulatory isoforms of phosphatidylinositol 3 kinase, p50alpha (P50A) and p55alpha (P55A). Cell death and limited proteolysis of the extracellular matrix (ECM) occurs during this stage as plasminogen (PLG) is converted to plasmin through the actions of plasma kallikrein (KLK1), yet the alveoli largely retain their shape. This changes during stage two, which is irreversible and characterized by alveolar collapse and adipocyte differentiation. MMPs are released from their inhibitors, tissue inhibitors of metalloproteinases (TIMPs), and collaborate with plasmin to return the mammary gland to its pre-lactation state by releasing growth factors (GFs) and remodeling the ECM. For details, see text.



Figure 7. A schematic representation of the stem cell hierarchy within the mammary gland

A stem cell divides symmetrically or asymmetrically to generate a bipotent progenitor cell that gives rise to both a luminal and myoepithelial cell progenitor. Studies suggest that luminal progenitors differentiate into cells that are restricted to either ductal or alveolar lineages and as yet unidentified intermediate cells may be required for terminal differentiation. In contrast, myoepithelial progenitors are thought to differentiate directly into myoepithelial cells. This illustration represents one interpretation of available data and the true hierarchy is likely to be much more complex. For details, see text.