

# Moving toward a predictive and personalized clinical approach in amyotrophic lateral sclerosis: novel developments and future directions in diagnosis, genetics, pathogenesis and therapies

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**Abstract** Amyotrophic lateral sclerosis (ALS) is a rare neurodegenerative disease that affects upper and lower motor neurons in the brain and spinal cord, with progressive weakness and atrophy of most muscles in the body and is almost always fatal within 3–5 years. A small proportion of cases are familial, and remarkable achievements have been made during the last years in understanding the genetics of the disease. In spite of this, the basic pathogenic mechanisms underlying the sporadic disease are still poorly understood. There is urgent need for better understanding of the pathogenic processes in order to be able to develop effective treatments. The present review will focus on recent knowledge gained in diagnosis, genetics, pathogenesis and therapies in ALS. Future development of diagnostic technologies integrating genetic, environmental and individual information will enable us to predict a population at risk for ALS. New treatments actually in development will help improve the medical management of ALS patients, taking into consideration individual traits, as genetic background, and pave a way for a more effective personalized diagnostic and treatment approach.

**Keywords** Amyotrophic lateral sclerosis · Motor neuron disease · Neurodegeneration · Genetics · Epidemiology · Predictive diagnosis · Personalized treatment

## Background

Amyotrophic Lateral Sclerosis (ALS), also known as Lou Gehrig's disease or *Maladie de Charcot*, is a progressive, fatal neurodegenerative disease, which causes premature selective death of motor neurons in the primary motor cortex, corticospinal tracts, brainstem and spinal cord. It affects lower motor neurons (LMN) that reside in the anterior horns of the spinal cord and in the brain stem; corticospinal upper motor neurons (UMN) that reside in the precentral gyrus of the brain cortex; and, frequently, prefrontal motor neurons that are involved in planning or orchestrating the work of both UMN and LMN [1]. It progresses rapidly to cause denervation and ultimately weakness of most muscles of the body. The disease is almost always fatal and results in death due to paralysis of the respiratory muscles within 3–5 years of onset [2].

## Epidemiology

The incidence of ALS is around 1.8–2.5 per 100,000/year and is evenly distributed across Europe and North America [3]. An unusually high incidence was reported around the Pacific ring, on Guam [4], and the Kii peninsula in Japan [5]. Men are slightly more affected than women, with a male: female ratio about 1.5:1, although more recent data suggest that this ratio is smaller, approaching one [6, 7]. The age of onset of sporadic ALS is very variable, from the third to the ninth decade, with a mean of 63 years [8].

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## Clinical features

The clinical features of ALS are a direct consequence of the progressive loss of upper and lower motor neurons, with secondary denervation and subsequent reinnervation of muscles. As long as reinnervation can compensate for denervation, clinical weakness may not be detectable. However, as the motor units grow larger and their number begins to decrease, the earliest consequence is that affected muscles may fatigue faster than muscles with normal motor units; consequently, one of the first symptoms of ALS may be increased fatigue. As the number of motor units innervating a muscle decreases further, reinnervation is no longer able to compensate for denervation, permanent weakness develops and progresses and the affected muscles gradually undergo atrophy.

The parts of the body affected by early symptoms in ALS depend on which motor neurons are damaged first. In about 75% of patients the disease starts with weakness of lower or upper limb muscles, and patients experience tripping or stumbling, difficulty with tasks such as buttoning a shirt or writing. Affected muscles may develop twitching, cramping, or stiffness (limb form). In the other 25% of cases the site of onset is the motor nuclei in the brainstem and/or their corticobulbar neurons. These patients experience first slurred and nasal speech, followed by difficulty speaking clearly, difficulty swallowing and loss of tongue mobility (bulbar form).

Regardless of the region of the body first affected, muscle weakness and atrophy spread to other regions of the body as the disease progresses. Patients experience increasing difficulty moving, swallowing (dysphagia), and speaking or forming words (dysarthria). The ineffective swallowing of saliva leads to excessive drooling (sialorrhea). Weakness of breathing muscles leads to respiratory insufficiency.

At neurological examination, a combination of UMN and LMN signs can be found: symptoms of UMN involvement include increased muscle tone (spasticity), exaggerated reflexes (hyperreflexia), pathological pyramidal signs such as extensor plantar responses, loss of dexterity in the presence of normal strength. Symptoms of LMN degeneration include muscle atrophy (Fig. 1) and twitching (fasciculations), diminished to absent tendon reflexes. Fasciculations are not specific for ALS, but can be found in other peripheral nervous system diseases and also at times in healthy individuals, although a finding of large and fast-firing fasciculations at many sites is very indicative for the disease.

Around 15–45% of patients experience uncontrollable emotional symptoms, as inappropriate laughter, crying or smiling, a feature that is associated with speech and swallowing disturbances in the complex of pseudobulbar syndrome, characteristic of bilateral corticobulbar tracts involvement.

Although the sequence of emerging symptoms and the rate of disease progression are variable, most patients will



**Fig. 1** Atrophy of thenar eminence and weakness of finger extensors, more prominent on the right, in a 70-years-old woman with symptoms of ALS for 6 months

develop with time major disability in all motor tasks, will not be able to stand or walk, or use their hands and arms. Difficulty swallowing and chewing impair the patient's ability to eat and increase the risk of choking and pulmonary infections. Loss of weight is a consequence of impaired nutrition and muscle wasting. Because the disease usually does not affect cognitive abilities, patients are aware of their progressive loss of function and may become anxious and depressed. On the other hand, the preserved cognition enables patients to take decisions, including those related to their own end-of-life, by themselves, even after they reach a totally immobile state (locked-in).

Up to 50% of patients experience mild difficulties with word generation, attention, or decision making. 5–10% develop overt frontotemporal dementia, characterized by personality changes and impairment of executive functions and language; this is more common among those with a family history of dementia [9].

As the diaphragm and intercostal muscles weaken, forced vital capacity and inspiratory pressure diminish. Most patients with ALS die of respiratory failure or pneumonia [10]. Death usually occurs within two to five years of diagnosis (median 27 months). Less than 5% of patients survive more than 10 years. 6% have an arrested form of disease. In general, survival is better for younger patients and those with limb onset [11].

Interestingly, there are few motor functions that are usually not affected in ALS: control of eye muscles is the most preserved function, although some patients with an extremely long duration of disease (more than 20 years) may lose eye control too. Bladder and bowel control are usually preserved as well, although as a result of immobility and diet changes, intestinal problems such as constipation can require intensive management.

## Diagnosis

Diagnostic criteria for ALS were developed by expert consensus (El Escorial criteria, [12]). By El Escorial criteria

the diagnosis of ALS requires all of the following: evidence of UMN degeneration by clinical examination, evidence of LMN degeneration by clinical, electrophysiological or neuropathological examination, evidence of progressive spread of symptoms or signs within a region or to other regions, in the absence of electrophysiological, neuroimaging and pathological evidence of other disease processes that might explain the clinical picture.

The El Escorial criteria define categories of clinical diagnostic certainty. For this purpose four body regions were defined: bulbar, including tongue and neck muscles, cervical, thoracic and lumbar. The diagnosis of ALS should be regarded as definite when UMN and LMN signs are found in three body regions. Probable ALS is defined as either UMN and LMN signs in two regions with at least some UMN signs rostral to LMN signs, or UMN signs in one or more regions and LMN signs by electromyography (EMG) in at least two regions. Possible ALS is the least certain degree and is defined as UMN and LMN signs in a single body region, or UMN signs in two body regions, or UMN and LMN signs in two regions with no UMN signs rostral to LMN signs.

It has to be taken into consideration that the El Escorial criteria were developed for research and may be too restrictive for clinical use. In one series, 44% of patients did not meet criteria for at least probable ALS at diagnosis, and 10% did not meet criteria even at death [13].

The most important diagnostic tool in the diagnosis of ALS is the EMG examination. EMG is able to detect signs of a LMN lesion in muscles that are apparently unaffected, therefore increases the degree of evidence of the diagnosis, mainly in patients with a predominantly UMN picture or a clinically restricted syndrome. The required EMG findings by the El Escorial criteria cited above are signs of denervation (fibrillations) aside fasciculations and signs of re-innervation. Another set of electrodiagnostic criteria, termed “Awaji criteria”, was proposed recently in order to facilitate earlier diagnostic characterization for clinical purposes. This set of criteria broadens the electrophysiologic characterization of ALS to include signs of chronic denervation as equivalent to clinical signs of LMN involvement. In addition, the same criteria propose that in the context of suspected ALS fasciculation potentials should be considered equivalent to signs of active denervation [14].

Neuroimaging studies and other laboratory tests are used to exclude alternative diagnoses. At times, a brain MRI may show bilateral hyperintensities on fluid-attenuated inversion recovery sequence (FLAIR), corresponding to the degeneration of the corticospinal tracts, but the finding is neither specific, nor frequent enough in order to be of use in the diagnostic confirmatory process [15]. Developments of more advanced neuroimaging techniques for confirmation of subclinical UMN involvement are currently underway, as

functional MRI (fMRI) [16] and diffusion-tensor imaging (DTI) [17].

The differential diagnosis includes other diseases of the UMN, as multiple sclerosis, primary lateral sclerosis, degenerative processes of the cervical spinal cord, syringomyelia, and other diseases that lead to muscle weakness and wasting, as inclusion body myositis [18], post-polio-syndrome, multifocal motor neuropathy with conduction block, paraneoplastic LMN syndromes [19]. Rare motor neuron diseases, as spinobulbar muscular atrophy (Kennedy disease) [20], adult GM2 gangliosidosis (hexosaminidase A deficiency) [21], juvenile muscular atrophy of distal upper extremity (Hirayama disease) [22] have to be ruled out in specific patients.

An incorrect diagnosis of ALS can have devastating emotional and social consequences for patients and their families, and it can delay appropriate treatment of an alternative disease process; therefore, it is important to consider diseases that can mimic ALS or that can contribute to the patient’s symptoms.

## Genetics

### Familial ALS

Approximately 5–10% of ALS cases are familial (FALS) with a Mendelian pattern of inheritance. The disease is transmitted in an autosomal dominant fashion in most cases. Mean age at onset is 10–20 years younger in patients with FALS than in patients with sporadic disease, and variability between families is greater than variability within families. Most cases of FALS are indistinguishable from sporadic disease; others have unique phenotypes [23, 24].

The first described and most frequent genetic abnormality is a mutation in the copper/zinc superoxide dismutase (*SOD1*) gene, on chromosome 21q, found in 10–20% of familial cases and 2% of patients with sporadic ALS (SALS) [25]. More than 140 allelic variations have been described in the *SOD1* mutants, most of them are single base substitutions leading to an amino acid exchange. It is not yet known how mutant *SOD1* causes ALS, but mutations in the gene are thought to cause disease through a toxic gain-of-function rather than causing impairment of the antioxidant function of the *SOD1* enzyme [26]. The disease onset and duration are reported to be closely linked to the type of *SOD1* mutation. Certain *SOD1* mutations are associated with rapidly progressive disease and short survival, like A4V, while others present with a relatively benign course [27].

The discovery that TDP-43 protein, encoded by *TARDBP* gene on chromosome 1p (encoding the TAR-DNA binding protein TDP-43), is a constituent of ubiquitinated inclusions

in the cytoplasm of motor neurons of patients with SALS, as well as in patients with non-SOD1 FALS and patients with frontotemporal lobe dementia (FTLD) [28, 29] constituted an important development in ALS research. TDP-43 is an RNA processing protein that is normally found mainly in the cell nucleus. Over 30 dominant mutations of the *TARDBP* gene have been reported to date, accounting for 3% of patients with both FALS and SALS [30–32]. A mutant mouse model was recently described that develops a progressive and fatal neurodegenerative disease with both ALS and FTLD features [33].

Mutations in the fused in sarcoma/translocated in liposarcoma (*FUS/TLS*) gene were identified in 4% of FALS [34, 35] and in very few SALS cases [36]. Interestingly, like *TDP-43*, this gene has a putative role in RNA regulation and is similarly translocated from the nucleus to the cytoplasm in spinal cord motor neurons.

Mutations in alsin, vesicle associated membrane protein, angiogenin, senataxin and a mutation in the p150 subunit of dynactin have also been reported in rare cases, and linkages to more loci without identification of a gene have been described (reviewed in 24), but still a majority of patients with FALS harbor a not yet detected genetic defect.

Known genes and linkages associated with familial ALS are summarized in Table 1.

#### Sporadic ALS

The causes of SALS are still unknown. It is considered to be a multifactorial disease, in which both environmental

and genetic factors interplay to trigger disease onset and progression. The completion of a haplotype map of the human genome [37] and development of advanced genotyping technology have enabled the performance of genotype-wide association studies (GWAS). The genome-wide SNP association analysis is a powerful, relatively new method to identify, in an unbiased manner, genetic loci and genes underlying complex diseases. SNP arrays allow large-scale linkage analysis, association, and copy number studies. A number of GWAS have been performed in SALS, however to date these methods have not revealed reproducible potential links to ALS [38–41]. This is due probably to a large degree of genetic heterogeneity as well as to the possibility that disease susceptibility is influenced by additional environmental factors.

An exciting finding was reported by one of the most recent and largest GWAS analyses of SALS to date: although the analysis did not reveal any correlation with risk of disease, it demonstrated for the first time an association between a gene (kinesin-associated protein 3-*KIFAP3*) and survival. Patients who were homozygotes for the favorable allele of the gene survived on average 14 months longer than heterozygotes and homozygotes for the unfavorable allele. This is the first evidence that genetic factors can modify disease phenotype [42].

A further remarkable finding, with still unclear significance, is that of a specific variant in the *chromogranin B* (*CHGB*) gene that is present in 10% of ALS patients, both sporadic and familial, and in only 4.5% of controls, conferring a 2.2-fold greater relative risk to develop ALS.

**Table 1** Genes and linkages involved in familial ALS

Disease name	Genetic locus	Protein name	Gene symbol	Inheritance	Incidence in familial ALS
ALS1	21q22.1	Cu–Zn superoxide dismutase 1	<i>SOD1</i>	Dominant	10–20%
ALS2	2q33	Alsin	<i>ALS2</i>	Recessive	Rare
ALS3	18q21	unknown	unknown	Dominant	Rare
ALS4	9q34	Senataxin	<i>SETX</i>	Dominant	Rare
ALS5	15q15.1–21.1	unknown	unknown	Recessive	Rare
ALS6	16q12	Fused in sarcoma	<i>FUS</i>	Dominant Recessive	4%–5%
ALS7	20p13	unknown	unknown	Dominant	Rare
ALS8	20q13.3	Vesicle-associated membrane protein-associated protein B	<i>VAPB</i>	Dominant	Rare
ALS9	14q11.2	Angiogenin	<i>ANG</i>	Dominant	Rare
ALS10	1p36.2	TAR-DNA binding protein 43 (TDP-43)	<i>TARDBP</i>	Dominant	1–4%
ALS?	2p13	Dynactin 1	<i>DCTN1</i>	Dominant	Rare
ALS-FTD1	9q21–22	unknown	unknown	Dominant	unknown
ALS-FTD2	9p13.2–21.3	unknown	unknown	Dominant	unknown
ALS-FTD with Parkinsonism	17q21.1	Microtubule-associated protein tau	<i>MAPT</i>	Dominant	Rare
ALS-X	Xcen	unknown	unknown	Dominant	Rare

ALS amyotrophic lateral sclerosis; FTD Frontotemporal dementia

The same variant was associated with an earlier age at onset by almost ten years in both SALS and FALS patients, therefore the CHGB gene is apparently both a susceptibility and a phenotype modifier gene [43]. The results of both last studies await further confirmation from other populations.

### Individual risk factors

It should be remembered that association is not cause, but rather the first step in identifying a possible risk factor. When applying an evidence-based approach to the analysis of the epidemiological data, smoking exposure, including patients who stopped smoking, was the only established exogenous risk factor for occurrence of ALS [44, 45].

No other risk factor has attained this level of certainty regarding its association with ALS. Head trauma, physical activity, residence in rural areas, and alcohol consumption were not proven as risk factors for ALS, although implicated in certain studies [46]. Specific occupational exposures are also mentioned as a risk factor for ALS, although analyses of occupation as potential determinants of ALS did not reach a definitive conclusion [47].

Two putative risk factors that have gained recent attention include military service during the Gulf War [48, 49], and being a soccer player [50, 51]. However, the level of evidence supporting their role in triggering ALS is low [52, 53].

The use of statins has been mentioned as possibly associated with an increased incidence of ALS [54], but an analysis by the US Food and Drug Administration (FDA), undertaken after the agency received a higher than expected number of reports of the occurrence of ALS among individuals on statins, failed to reveal an increased incidence of ALS in subjects treated with statins compared with placebo [55].

Individual metabolic traits encountered frequently in the general population were implicated recently as possible modulatory factors, able to influence prognosis in affected individuals. It was shown that an abnormally elevated low-density lipoprotein (LDL) / high-density lipoprotein (HDL) ratio increased mean patient survival by more than 12 months [56]. Another study demonstrated that ALS patients had lower mean serum uric acid levels than healthy individuals. The decreased uric acid levels were correlated to the rate of disease progression [57]. Pre-existent diabetes mellitus was shown to delay the onset of ALS-related symptoms by 4 years in another study [58]. Interestingly, all these metabolic factors are considered detrimental in the daily clinical practice, but for ALS patients they carried a favorable prognosis. Probably they are also interrelated, but were not analyzed as a group yet.

### Pathophysiology and pathogenesis

#### Involvement of non-neuronal cells

Most insights into the pathogenic mechanisms of ALS in the past decade came from studies in transgenic mice carrying the human SOD1 mutation. SOD1 is a ubiquitously expressed cytoplasmic enzyme that converts superoxide anions to hydrogen peroxide. However, mutant SOD1 confers its toxic properties without altering enzymatic activity [59–62].

A major contribution to understanding disease mechanisms was the discovery that ALS is not just cell-autonomous, but is influenced by the surrounding environment, namely by the interaction between motor neurons and non-neuronal cells, mainly glial cells. Initial experiments that selectively expressed mutant SOD1 in motor neurons or glial cells did not induce motor neuron degeneration or disease [63–65]. An elegant experiment in chimeric ALS mice demonstrated that wild-type non-neuronal cells extended survival of SOD1 mutant motor neurons in ALS mice, implicating that neighboring non-neuronal cells are important in determining survival [66]. The same study reported pathological abnormalities and cell death in wild-type motor neurons adjacent to mutant SOD1-expressing non-neuronal cells. Thus, the vulnerability of motor neurons to SOD1-mediated toxicity appears to be influenced by their cellular environment, created by their adjacent non-neuronal cells. Astrocytes that express mutant SOD1 secrete probably factors that are toxic to motor neurons, although such factors have not yet been identified [67].

It has also been demonstrated that selective reduction in mutant SOD1 expression within microglia [68, 69] and astrocytes [70] significantly slows disease progression, but does not affect the timing of disease onset. Evidence that disease onset might have different genetic and cellular mechanisms than disease progression comes from the recent finding that selective down-regulation of mutant SOD1 solely within the postnatal motor neurons significantly slowed disease onset without affecting disease progression [71].

#### Glutamate excitotoxicity

Glutamate-mediated excitotoxicity was the first proposed mechanism of motor neuron degeneration in ALS, following the finding of increased levels of glutamate in the cerebrospinal fluid of ALS patients [72]. ALS has been associated with reductions in the level of the glial cell excitatory amino acid transporter EAAT2 [73]. This defect might lead to an abnormal accumulation of extracellular glutamate and subsequently repetitive firing of motor neurons.

### Free radical damage

The concept that oxidative damage is involved in ALS was based on the discovery that mutations in the *SOD1* gene cause FALS. Increased levels of 3-nitrotyrosine, a marker for peroxynitrite-mediated oxidative damage, have been reported in ALS patients [74]. Peroxynitrite causes cell damage by nitration of tyrosine residues in target proteins. We have just recently reported that ALS patients have a significantly lower level of uric acid, a peroxynitrite specific antioxidant, compared to control subjects and that the concentration of uric acid was reversely correlated with the rate of disease progression [57]. Nevertheless, although oxidative damage has been linked to neurodegeneration, many antioxidants tested in clinical trials have failed to show benefit.

### Intracellular aggregates

Ubiquitinated inclusions containing pathologic forms of TAR DNA-binding protein-43 (TDP-43) were identified in the cytoplasm of motor neurons of patients with SALS, non-SOD1 FALS and patients with frontotemporal dementia [28, 29, 75, 76]. Cases with *SOD1* mutations had ubiquitin-positive neuronal inclusions; however, they were not immunoreactive for TDP-43. These findings implicate a common pathogenesis in SALS and non-SOD1 FALS. In contrast, the absence of pathological TDP-43 in cases with *SOD1* mutations implies that motor neuron degeneration in these cases may result from a different mechanism.

### Alteration in RNA processing

Both TDP-43 and FUS/TLS are genes that encode RNA processing proteins [35]. Patients with the FUS/TLS mutations have cytoplasmic inclusions containing FUS/TLS but not TDP-43. TDP-43 and FUS/TLS have striking structural and functional similarities, implicating alterations in RNA processing as a key event in ALS pathogenesis and as a main target for future therapeutic agents.

### Mitochondrial dysfunction

Morphological and functional defects in mitochondria were found in both human patients and ALS mice. Mutant *SOD1* was found to be associated with mitochondria and subsequently impairs mitochondrial function. Recent studies suggest that axonal transport of mitochondria along microtubules and mitochondrial dynamics may be disrupted in ALS (reviewed in [77]). Creatine, an agent that improves mitochondrial function, has been shown to

be neuroprotective in animal models of ALS [78]; however, in humans creatine showed only a trend toward beneficial effect in survival and did not influence motor, respiratory or functional measures in ALS patients [79].

Lack of neurotrophic factors, caspase-mediated cell death (apoptosis) and inflammation are also possible mechanisms involved in ALS (reviewed in [80, 81]).

In spite of major scientific achievements in the field during the last years, the exact mechanisms responsible for the onset and progression of motor neuron degeneration remain largely unknown.

## Treatment

The management of ALS nowadays is based on the recognition of the importance of multidisciplinary care. Although there is no cure for the disease, many of the symptoms that develop during the course of the disease are treatable and it is crucial to consider adjunctive measures to maintain function and independence and improve quality of life in patients with ALS. The improvement in symptomatic care of the patients during the last decade led to an improved rate of survival [82].

### Non-drug therapy

#### *Ventilatory management*

Respiratory insufficiency is a common feature in patients with ALS, usually in advanced stages, although it may be the presenting clinical symptom in certain cases, and is the most frequent cause of death.

The respiratory status in ALS patients should be monitored serially by measurement of forced vital capacity (FVC) or sniff nasal pressure and questioning the patient about symptoms of respiratory insufficiency such as insomnia, daytime fatigue, morning headaches. Overnight pulse oximetry or polysomnography should be obtained as clinically indicated.

Respiratory rehabilitation therapy such as insufflation-exsufflation and chest physiotherapy can help maintain lung function and avoid secondary atelectasis and infections [83].

Non-invasive ventilatory support (NIV) should be considered in patients with symptoms of respiratory insufficiency when FVC (sitting or supine) is at or below 50% of predicted normal; or if there is evidence of nocturnal hypoventilation ( $O_2$  saturation <90%), even if sitting and supine FVC are not significantly reduced.

NIV is usually provided by bi-level positive pressure devices (BiPAP). The rationale of using NIV is that

non-invasive ventilation can improve survival and quality of life, and postpone the need for tracheostomy [84, 85]. In patients with severe bulbar impairment, NIV improves sleep-related symptoms, but it does not confer a large survival advantage [84].

During the last years it has been recognized that initiation of NIV might be indicated earlier than when FVC drops below 50% predicted. Patients treated early with NIV had an increased survival at one year and their median decline in FVC was slower than in patients who did not use NIV [86]. A Cochrane review analyzed recently the efficacy of NIV for ALS and concluded that NIV is able to prolong survival and to improve and maintain quality of life [87]. Similarly, a revision of the Practice Parameters of the American Academy of Neurology published in 2009 concluded that NIV is probably effective in prolonging survival and in slowing the rate of FVC decline, and possibly effective in improving quality of life. Therefore NIV should be considered to treat respiratory insufficiency in ALS [88].

A new and different approach that is currently under evaluation is the early (before significant diaphragmatic muscle weakness and atrophy occurred) implantation of electrodes into the diaphragm with subsequent electrical pacing. The consequence of this technique is both an immediate improvement of the diaphragm contraction and inspiratory volume, and a long-term delay in the development of muscle atrophy of the diaphragm with slowing of respiratory deterioration. The technique is apparently safe and reportedly able to postpone invasive mechanical ventilation for up to two years [89].

#### *Nutritional management*

In spite of decreasing movement abilities, patients with ALS have increased needs for energy in form of caloric intake [90, 91]. On the other hand the caloric intake is frequently low as a consequence of impaired swallowing. Dysphagia is common in ALS and leads to increased risk of aspiration, malnutrition, weight loss and dehydration. Enteral tube feeding is commonly used in ALS to maintain adequate nutrition and hydration. This is most commonly performed by percutaneous endoscopic gastrostomy (PEG) and in fewer cases by radiologically inserted gastrostomy (RIG). Enteral nutrition should be initiated early after symptom onset in order to minimize complications and weight loss. Enteral nutrition via PEG is recommended by the revised Practice Parameters of the American Academy of Neurology, as it stabilizes body weight and improves survival in both bulbar and limb onset patients [88].

#### *Communication*

Verbal communication is for most humans one of the most important functions, as it determines the ability to initiate and maintain social interactions, and dominates the evaluation of perceived quality of life. Patients with bulbar ALS lose their ability to speak relatively early in the course of the disease. If the ability to write or use a keyboard is preserved, patients switch to written communication. But with progressing disease the hands become weak too, and more sophisticated communication aids are needed. Computer interfaces are being developed that can be activated by the patient through fixation with his eyes at a keyboard on a screen (Fig. 2), or even by patient's thought and registration of the pattern of his brain EEG activity [92].

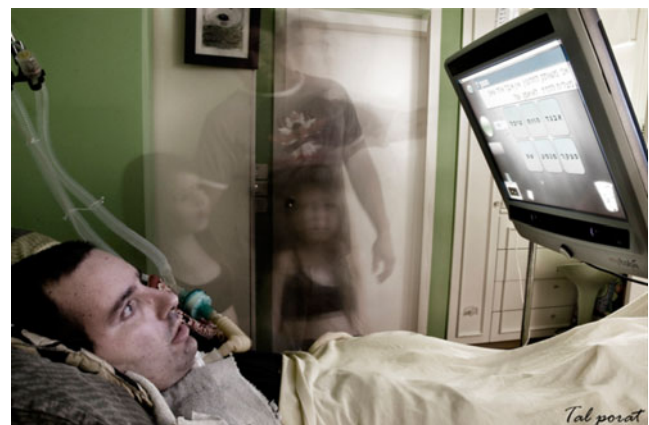
#### *Exercise and physical therapy*

Performance of physical activity was considered in the past as possibly harmful for patients with ALS, but during the last decade there is rather robust evidence that regular moderate exercise is able to decrease the rate of deterioration in disability in ALS patients [93, 94].

Physical therapy is indicated to preserve range of motion of joints and avoid secondary pain, contracture, muscle spasms.

#### *Multidisciplinary care*

Specialized ALS centers were established at many sites throughout US and Europe; they have the experience and resources to manage ALS patients and offer high level standard of care. Multidisciplinary care may have a survival benefit in ALS [95].



**Fig. 2** This 40-years-old patient is completely paralyzed and mechanically ventilated. He chooses letters on a computer screen with light beams refracted by his eye balls. He wrote in this way (in hebrew on the top of the screen): “I am completely paralyzed, there is no single part of my body that I can move”

## Drug therapy

### Contemporary therapies

Inferences from animal models, including transgenic models of familial disease, to sporadic human disease are not straightforward. However, recognition of the role of glutamate excitotoxicity in sporadic disease and in animal models led to the development of riluzole, the only treatment that has been shown to ameliorate the course of sporadic ALS [96, 97]. The mechanism of action of riluzole includes interference with N-methyl-D-aspartate (NMDA) receptor mediated responses, stabilization of voltage-gated sodium channels, inhibition of glutamate release from pre-synaptic terminals, and increasing extracellular glutamate uptake [98].

Two randomized, controlled clinical trials have shown that riluzole prolongs survival in patients with ALS [96, 97]. The results of a recent meta-analysis indicate that riluzole at a dose of 100 mg daily is safe and probably prolongs survival by approximately 2–3 months in patients with ALS [99].

Additional drug therapy exists to manage symptoms of ALS. Adequate symptomatic treatment of ALS can alleviate patient discomfort. Sialorrhea and emotional lability are bothersome and often socially embarrassing to ALS patients. Sialorrhea can be treated with amitriptyline, glycopyrrolate, or transdermal scopolamine patches; if sialorrhea is refractory, radiation therapy of the salivary glands or botulinum toxin injections of the salivary glands can be offered [100]. Thick mucus is amenable with propranolol or metoprolol. Pseudobulbar affect or emotional lability is treated with dextromethorphan/quinidine, amitriptyline or fluvoxamine.

Anxiety, depression, pain, and muscle discomfort impair quality of life of patients and often of their caregivers. Depression can be treated with tricyclic antidepressants such as amitriptyline or newer agents such as sertraline. Anxiety can be treated with anxiolytics such as benzodiazepines. Pain should be treated with anti-inflammatory and non-narcotic analgesic drugs or combinations; opioid analgesics should be considered if pain is refractory or in later disease stages. Muscle stiffness and spasticity can be treated with muscle relaxants such as baclofen or tizanidine. A recent study showed a positive effect of the anticonvulsant drug levetiracetam on muscle cramps and spasticity [101].

Fatigue may be a disabling symptom unrelated to muscle weakness or quality of sleep; in these patients modafinil may be indicated [102]. Insomnia causes nighttime distress and interferes with daytime functioning and well-being. Insomnia should be treated with non-invasive ventilation, pain management, antidepressants, or hypnotics, depending on the suspected cause.

### Future therapies and directions

Despite the advances in understanding disease mechanisms and the performance of many clinical trials, no new therapy for ALS has been discovered during the last 15 years, although few clinical trials gave a slight cause for cautious optimism. Here are some of the more promising novel treatment approaches for ALS:

#### *Drugs intended to lower glutamate excitotoxicity*

**Talampanel** (Teva)—it is a non-competitive antagonist of  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA). A double-blind, placebo-controlled, randomized clinical trial of nine months duration was conducted in 59 patients with ALS, with 40 subjects receiving talampanel and 19 subjects receiving placebo. Talampanel was well tolerated and although no efficacy measure reached statistical significance, there was a trend towards slower decline of functional measurements and muscle strength [103]. A large phase II trial with more than 500 participants is ongoing. Results are expected mid-2010.

**Ceftriaxone** (Hoffman LaRoche)—a further drug aimed at glutamate toxicity, used clinically as a wide spectrum antibiotic. In a novel attempt to widen the search for potential therapeutic agents, an in vitro screening of 1040 FDA approved drugs in over 28 assays relevant to various neurodegenerative disorders was performed. Several cephalosporins showed hits in ALS relevant assays. Ceftriaxone is a third generation cephalosporin with good penetration into the central nervous system and a long half-life. It increases expression of the glutamate transporter excitatory amino acid transporter 2 (EAAT2) and glutamate uptake in cultured astrocytes [104]. Ceftriaxone has been shown to triple EAAT2 activity in mouse brains and increased lifespan, strength, and neuron survival in ALS transgenic mice [105]. A Phase I trial and compassionate use study have been completed; a multicenter phase III study, aiming for 600 subjects, started enrolling in May 2009 in order to determine safety and effectiveness of long-term use of the drug in patients with ALS.

The pharmaceutical company Avanir has announced positive results for its phase 3 trial to treat emotional outbursts, with uncontrollable episodes of laughing and crying in patients with ALS and multiple sclerosis using its experimental drug **Zenvia**. Zenvia is a combination of two drugs, dextromethorphan, which suppresses glutamate release, and quinidine, which inhibits the breaking down of dextromethorphan [106]. The drug significantly reduced the number of pseudobulbar episodes compared to placebo. The drug was generally safe and well tolerated.



### *Protection of motor neurons*

The experimental compound **SB509** (Sangamo BioSciences) appears safe and well-tolerated and may have a positive effect on function in patients with ALS. The compound contains a gene for an activator of vascular endothelial growth factor A (VEGF-A). VEGF-A increases production of blood vessels and may protect or nourish nerve cells. Reduced VEGF-A expression in the spinal cord leads to ALS-like symptoms [107], excess VEGF-A delays neurodegeneration and extends survival in a transgenic mouse model of ALS [108, 109]. In addition, a mutation in the VEGF-A promoter is associated with risk for ALS in males [110]. An open-label Phase II trial with intramuscular injections in the neck, arms and legs or only in the legs in 45 ALS patients is ongoing. Estimated completion date is June 2010.

**Arimoclolomol** activates chaperones—misfolded proteins (reviewed in [111]). According to CytRx, the developing company, arimoclolomol can detect proteins that are misfolded and potentially toxic and refold them into their correct, nontoxic shapes. A 3-months phase IIa trial in patients with ALS demonstrated safety of the drug [112] and another study, with participants with FALS caused by mutations in the SOD1 gene, is currently being conducted. A multicenter dose-ranging clinical trial of arimoclolomol is planned.

### *Modulate the mitochondria*

The experimental drug **KNS760704** (Knopp Neurosciences) was reported to be safe and well tolerated, and there were indications of possible benefit, in 92 patients with ALS within a nine months trial. KNS760704 is a synthetic aminobenzothiazole with demonstrated activity in maintaining mitochondrial function. It may protect nerve cells under stress. The drug is a molecular mirror image of pramipexole, a prescription medication approved for the treatment of Parkinson's disease and restless legs syndrome [113]. There was a dose-related trend toward a slowed rate of disease progression, and toward a survival benefit in the large dose group compared to the low dose group [114]. The safety results and the trends toward improved functional outcomes and survival provide preliminary evidence supporting the ongoing evaluation of KNS760704 in phase 3 clinical trials.

**Olexosime** (Trophos) is a small cholesterol-like molecule that was discovered in a screening program aimed at finding small molecules that promote motor neuron survival in culture [115]. Olexosime interacts with the mitochondrial permeability transition pore, possibly preventing it from opening; this might help prevent apoptosis. In SOD1 transgenic mice the compound delayed disease onset and extended survival. Olexosime has completed Phase Ib

studies in ALS patients. These clinical trials demonstrated that the drug is well tolerated and has a good safety profile. Olexosime is currently tested in an ongoing pivotal efficacy study in ALS. Results of this study are expected in mid-2011.

### *Silence SOD1 gene*

**ISIS 333611** from Isis Pharmaceuticals is an antisense oligomer directed at SOD1, aimed to down regulate the gene's expression, as it does in a rat disease model, and slow disease in patients with SOD1 mutations. It is the first approach that is really directed toward a known target for the disease. A multicenter Phase I safety trial is enrolling patients with SOD1-related FALS. Estimated completion date is December 2011.

### *Stem cells*

Stem cell transplantation represents a new therapeutic approach with the opportunity of either cellular replacement, or trophic support for the existing cell population, or both. Potentially pluripotent and multipotent stem cells could be stimulated to develop into specialized cells that represent a renewable reservoir of cells for transplantation. A different approach is trophic and growth factor support, such as delivering brain-derived neurotrophic factor or glial-derived neurotrophic factor.

There are a number of different sources for stem cells, ranging from embryonic, fetal, placental and cord blood to adult tissues. The adult tissue stem cells include bone marrow-derived cell populations such as the marrow mesenchymal stem cells and hematopoietic stem cells, blood hematopoietic stem cells and neuronal stem cells. Adult mesenchymal stem cells may be the best choice for cell therapy assuming that they can be harvested from the patient and grown in sufficient amounts. They offer the advantages of not raising any ethical problems, not inducing immune rejection, are less prone to promote tumors and are easily available.

All cell types can be administered by allogeneic or autologous delivery. Cells can be transplanted intracerebrally or directly into the spinal cord, or infused by an intravenous, intrathecal or intramuscular route. A further approach is mobilization of endogenous progenitor stem cells from the bone marrow into the peripheral blood by cytokines such as granulocyte colony-stimulating factor.

The first FDA approved clinical trial to test stem cell treatment for ALS is ongoing. This phase I trial intends to study the effects of injecting human neural stem cells (Neuralstem) into the spinal cords of patients with ALS. These cells have already shown promise in transgenic SOD1 rats, in which they differentiated into neurons that

formed synapses with host nerve cells, expressed and released neurotrophic factors and delayed disease onset and progression [116]. The study focuses on the safety of the transplantation procedure.

Stem cells collected from blood [117] and bone marrow [118] have also been tested in ALS. The autologous transplantation of hematopoietic CD133(+) stem cells into the frontal motor cortex was safe and well-tolerated in ALS patients and delayed disease progression [117]. The autologous transplantation of mesenchymal stem cells into the spinal cord of ALS patients seems safe and might be useful for future ALS clinical trials [118].

A different approach is being used by Brainstorm, an Israel-based company that develops mesenchymal stem cell lines that are able to secrete neurotrophic factors. A small clinical trial in ALS patients is planned, with injection of those cells into muscles in an attempt to deliver neurotrophic factors to the anterior horn cells by their uptake into nerve terminals and retrograde axonal transport.

The recent development of induced pluripotent stem cells (iPSC) by re-programming human skin fibroblasts is promising. Dimos et al. [119] demonstrated the feasibility of re-programming adult skin fibroblasts into embryonic stem cells that can subsequently yield patient-specific neural cells (neurons and astroglial cells). They not only demonstrated that human disease-related neural cells can be generated from adult fibroblasts via the generation of iPSC, but also that the method can be successfully applied to fibroblasts derived from elderly patients, a crucial issue for age-dependent diseases. The generation of pluripotent stem cells from an individual patient's somatic tissues would enable large scale production of the cell types affected by the patient's disease. These cells could in turn be used for better understanding of disease mechanisms, drug development and autologous cell replacement therapies.

### Conclusions and prospects for the future

The last decade of basic and clinical research was very fruitful for the ALS community, with plenty of exciting news that improve our understanding on the different aspects of this dreadful disease. We are at the edge of a new era, as we just begin to understand the basic processes that lead to individual differences in clinical presentation, course of disease and ultimately survival between ALS patients, either due to differences in genetic background, in the familial as well as in the much more common sporadic form, or due to other individual traits, as concomitant diseases and medications, life style and exogenous exposures.

Understanding these individual differences between patients will lead us to a more personalized approach in the treatment. The first hints for this approach are

treatments being developed for patients carrying SOD1 mutations. As more genes are being discovered, treatments directed toward silencing these other genes will follow. Recommendations for changes in life style and environmental exposures for specific groups of patients and asymptomatic gene carriers are close to come. As opposed to the present clumping together of patients with various clinical forms and genetic and environmental backgrounds and offering them a common form of treatment, we can foresee for the next decade a splitting of the syndrome into subtypes, for which different diagnostic and treatment approaches will be used.

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