Global analysis of mRNA decay intermediates in Saccharomyces cerevisiae

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The general pathways of eukaryotic mRNA decay occur via deadenylation followed by 3′ to 5′ degradation or decapping, although some endonuclease sites have been identified in metazoan mRNAs. To determine the role of endonucleases in mRNA degradation in Saccharomyces cerevisiae, we mapped 5′ monophosphate ends on mRNAs in wild-type and dcp2∆ xrn1∆ yeast cells, wherein mRNA endonuclease cleavage products are stabilized. This led to three important observations. First, only few mRNAs that undergo low-level endonucleolytic cleavage were observed, suggesting that endonucleases are not a major contributor to yeast mRNA decay. Second, independent of known decapping enzymes, we observed low levels of 5′ monophosphates on some mRNAs, suggesting that an unknown mechanism can generate 5′ exposed ends, although for all substrates tested, Dcp2 was the primary decapping enzyme. Finally, we identified debranched lariat intermediates from intron-containing genes, demonstrating a significant discard pathway for mRNAs during the second step of pre-mRNA splicing, which is a potential step to regulate gene expression.

Degradation of mRNA plays a crucial role in the control and fidelity of gene expression. In eukaryotes, the general mRNA decay pathway initiates with shortening of the 3′ poly(A) tail, followed by 3′ to 5′ exonucleolytic degradation and/or removal of the 5′ 7-methylguanosine cap by the Dcp2 decapping enzyme allowing degradation by the Xrn1 5′ to 3′ exonuclease (1, 2).

In some organisms, the decay of specific mRNAs can be initiated by endonucleolytic cleavage (3, 4). In plants, mRNA decay mediated by small interfering (si)RNAs and micro-RNAs (miRNAs) often occurs via endonucleolytic cleavage (5), leading to 5′ to 3′ decay by the Xrn1 homolog XRN4 (6, 7). Moreover, in mammalian cells miRNA dependent and independent endonuclease cleavage sites in mRNAs have been identified (8, 9). Endonucleolytic cleavage also initiates mRNA decay in quality control mechanisms, such as nonsense-mediated decay (NMD) in metazoans and no-go decay (NGD) in yeast (10–13). In both NGD and NMD pathways, the 3′ endonuclease cleavage product with a 5['] monophosphate end is rapidly degraded by Xrn1. Endonucleases can also function in cytoplasmic RNA processing events. For example, during the unfolded protein response (UPR), the IRE1 endonucleolytically cleaves XBP1 mRNA (a metazoan homolog of *HAC1* in *S. cerevisiae*) to cause its unconventional splicing and production of the UPR-specific transcription factor encoded by the mRNA (14) .

In this work, we set out to determine the contribution of endonucleases to mRNA degradation in Saccharomyces cerevisiae and to determine whether any other processes contribute to 5′ to 3′ degradation of mRNAs. Although our analysis revealed few endonucleolytic cleavage events at appreciable levels, we identified debranched lariat intermediates arising from endogenous intron-containing genes that were subject to degradation by Xrn1. This observation identifies a discard pathway for natural pre-mRNA splicing substrates and raises the possibility that transition from the first to the second step in pre-mRNA splicing may serve as a control point in regulation of gene expression (Fig. 1A).

Results

Global 5' RACE to Identify Uncapped RNA Decay Intermediates. To identify mRNA cleavage products in S. cerevisiae, we adapted a method to capture poly(A) RNAs with 5′ monophosphate (5′ P) ends (15). This procedure identifies RNA species with 5′ Ps, because the 5' cap or other 5' structures will be ligation in-competent during the library preparation [\(Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF1)A and [SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT)). Subsequent high-throughput Illumina sequencing and bioinformatic analysis gave genome-wide profiles of 5′ ends of 5′ P species ([Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF1)B). In this profile, 5′ ends of uncapped or decapped or $3'$ products of endonucleolytically cleaved mRNAs are expected to show high peaks, followed by low-abundance positions, which represent background noise. In addition to our analysis in wild-type (WT) strains, to protect the 5′ ends of cleavage products from exonucleolytic digestion and thereby enhance their detection, we used a strain lacking Xrn1, the major 5′ to 3′ exonuclease that degrades decapped or endonucleolytically cleaved mRNAs. Moreover, to exclude the predominant 5′ P RNA species that result from Dcp2-dependent decapping and accumulate in $xml\Delta$ strains (16, 17), we used a dcp2Δ xrn1Δ strain.

The resultant reads from high-throughput sequencing were analyzed to build 5′ P tag profiles for 6,603 protein-coding transcripts in S. cerevisiae ([Dataset S1\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd01.xlsx) (18). To identify significant peaks in each transcript, we fitted tag abundance in each transcript to a negative binomial distribution and computed P values for all sites in the transcript. All sites in all transcripts were ordered by the P values (from smallest to largest) [\(Dataset S2\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd02.xlsx).

This method was successful at identifying the known HAC1 mRNA endonuclease sites in the $\text{dcp2}\Delta \text{ } \text{x} \text{ } \text{m} \text{ } \text{m}$. although these peaks were not observed in the WT strain (Fig. 1B and [Dataset S2\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd02.xlsx). These results are consistent with this mRNA being endonucleolytically cleaved at the splice sites and leaving 5′ P at the end of 3′ products, a fraction of which is degraded by Xrn1 without undergoing exon–exon ligation $(19, 20)$. Thus, this method is valid for detecting mRNA endonucleolytic cleavage events and validates our expectation that such cleavage products are more easily detected in the $\text{dcp2}\Delta \text{ }x\text{ }m1\Delta$ library.

Because the HAC1 cleavages were most easily detected in the $\text{dcp2}\Delta \text{ } x \text{ } n \text{ } 1 \Delta$, we considered the $\text{dcp2}\Delta \text{ } x \text{ } n \text{ } 1 \Delta$ data further to see if we could identify other endonuclease events or other aspects of mRNA degradation. The $\frac{dcp}{\Delta} x m I \Delta$ library data contained 102 sites with P values below 2.5 × 10⁻⁷, which is an empirical

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Fig. 1. Global 5′ RACE using a dcp2Δ xrn1Δ strain reveals a discard pathway for endogenous intron-containing genes during the second step of premRNA splicing. (A) Schematic of the lariat intermediate discard pathway. After the first step of splicing, some endogenous substrates are rejected from the spliceosome at some rates and subsequently undergo debranching. The exposed 5′ P ends will be targeted for degradation by Xrn1. (B) HAC1 mRNA profile shows distinct peaks at known endonucleolytic cleavage sites in dcp2Δ xrn1Δ (Lower) but not in WT (Upper). The abundance of tag sequence normalized to the total reads mapping to all 6,603 transcripts ([Dataset S1\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd01.xlsx) is plotted as a function of nucleotide position in the transcript including UTRs annotated by Nagalakshmi et al. (18) (1-based offset). Peaks are indicated by arrows. In the gene structure, exons and intron are indicated in gray boxes and black line, respectively. (C) Statistics of peak locations for the 100 sites with P values below 2.5 \times 10⁻⁷ identified in the dcp2Δ xrn1Δ library.

threshold. Of the 100 sites, excluding 2 sites in YFL032W that were mapped in positions identical to peak sites in HAC1 (an overlapping mRNA), 30 (30%) were in ORFs, 11 (11%) were in 5′ UTRs, 7 (7%) were in 3′ UTRs, 51 (51%) were associated with an intron, and 1 (1%) was from the 5['] ends of intron-derived small nucleolar (sno)RNAs (Fig. 1C). To validate the results described above, we selected a subset of peak sites with a range of P values $(1.6 \times 10^{-9} - 3.2 \times 10^{-6})$ and examined the presence of 5′ P species (possible 3′ products of endonucleolytic cleavage) in the dcp2Δ xrn1Δ strain by RNA ligation-mediated rapid ampli-fication of cDNA ends (5' RLM-RACE) [\(Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)A and [SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT)). We obtained amplicons of the expected sizes for all of 15 positions in introns tested, 5 out of 14 tested in ORFs and 4 out of 7 tested in 5′ UTRs ([Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)B and [Dataset](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd02.xlsx) [S2\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd02.xlsx). We did not validate peaks in 3' UTRs for a technical reason because of a small size of the 3′ fragment.

Our ability to detect most of these 5′ P ends by a second method demonstrates that the deep sequencing does capture 5′ P

species. However, because we are not able to validate all of the $5'$ P ends mapped in this method, we have limited our analysis only to 5′ P ends that we can verify by 5′ RLM-RACE.

5 $'$ P Ends Located in Introns. Of the 100 peaks with P values below 2.5×10^{-7} , 51 were located in introns. With exceptions of the peaks in snoRNA-containing intron of IMD4 and in 5′ UTR intron of RPS22B, these sites were positioned at, 1 or 3 nt downstream of 5′ splice sites (5′ SSs) of mRNAs (Fig. 2A). Specific examples of this phenomenon are shown in Fig. 2B, as the distributions of 5′ P reads for the IWR1, OST5, and RPS16A transcripts. We performed 5′ RLM-RACE for 15 peaks from 12 transcripts using poly(A) RNA fractions and primers in introns for PCR amplification, so that only pre-mRNA would be captured, and obtained amplicons of the expected sizes for all of them (Fig. $S2B$). We did not analyze (i) transcripts with more than two introns, (ii) transcripts with exon 1 shorter than 40 nt, or *(iii)* transcripts that contain snoRNA in introns. All clones from IWR1, OST5, and RPS16A PCR products had ends at or near the 5['] P peak positions upon sequencing [\(Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)C and Table $S1$). These results confirmed that $poly(A)$ RNA species with 5['] P ends at or near the 5['] SSs of many intron-containing genes were accumulating in the dcp2Δ xrn1Δ strain.

Analysis of the OST5, RPS16A, and IWR1 transcripts in WT, $xrn1\Delta$, and $\text{dcp2}\Delta$ strains by 5' RLM-RACE led to the following observations. First, amplicons of essentially the same sizes as observed in $dcp2\Delta xrn1\Delta$ were obtained in an $xrn1\Delta$ strain (Fig. 2C, lane 2), indicating that the 5′ P species are not specific to cells lacking Dcp2. Second, the 5′ P species were increased by deletion of XRN1 (Fig. 2C) both in $DCP2$ and $dcp2\Delta$ background, suggesting that they are normally subject to rapid degradation by Xrn1, which is consistent with the absence or the decreased levels of corresponding 5′ P peaks in the WT library (Fig. 2B). For *IWR1* and *RPS16A*, additional larger bands were obtained in xrn1Δ that are likely to represent 5′ ends of decapped unspliced pre-mRNA (Fig. 2C, lane 2). This presence of these full-length decapped mRNAs in an xrn1Δ strain indicates that splicing is not fully efficient for these mRNAs and that some of the unspliced pre-mRNA is degraded by Xrn1.

The 5' P peaks at or near the 5' SSs could represent intron– exon2 molecules. Alternatively, they could be excised and debranched introns that either contaminate the poly(A)-selected RNA pool or that undergo a previously undiscovered polyadenylation process. To address these possibilities, we performed circularization (c)RT-PCR for OST5, RPS16A, and IWR1 transcripts as depicted in Fig. 2D. If the 5′ P ends are from intron– exon2 molecules, we should detect a species of 194, 152, and 192 nt plus poly(A) tail length for IWR1, OST5, and RPS16A, respectively. Consistent with the existence of intron–exon2 molecules, we observed PCR products of \sim 200 nt in the dcp2∆ xrn1∆ strain (Fig. 2E, lane 4). Amplicons of essentially the same sizes were obtained in an $xml\Delta$ strain, demonstrating that the existence of the intron–exon2 molecule is not specific to cells lacking Dcp2 (Fig. 2E, lane 2). Additional larger bands of the appropriate size to represent decapped unspliced pre-mRNA were also obtained for $IWR1$ (Fig. $2E$, lane 2). Cloning and sequencing of the cRT-PCR products obtained in $\text{dcp2}\Delta \text{ } x \text{ } m \text{ } 1\Delta$ revealed that these species indeed arose from ligation of the 3′ poly(A) to a site near the 5′ SS ([Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)C and [Table S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=ST1)). These results demonstrate that some of the 5′ P ends mapped to near the 5′ SSs come from intron–exon2 molecules and that these species are rapidly degraded depending on Xrn1.

Lariat Intermediate Discard Pathway for Natural Genes. Previous work with reporter mRNAs with mutations blocking the second step in splicing has shown that splice-defective lariat–exon intermediates can be debranched by the Dbr1 enzyme before being degraded by Xrn1 and the cytoplasmic exosome (21, 22), although whether endogenous mRNAs were also subject to this

Fig. 2. Accumulation of intron–exon2 species. (A) Histogram of 5′ P peak positions in introns (0-based offset). The asterisk represents a peak near the 5′ end of snoRNA in IMD4 intron. The double asterisk represents peaks in 5′ UTR intron in RPS22B. (B) Profiles of IWR1, OST5, and RPS16A transcripts in WT (Upper) and dcp2Δ xrn1Δ (Lower). The y axis represents normalized tag abundance. Transcript coordinates are indicated as 1-based offsets from the 5' ends of mRNAs including UTRs. Peaks are indicated by arrows. In the gene structure, exons and introns are indicated as in Fig. 1B. (C) 5' RLM-RACE for IWR1, OST5, and RPS16A in WT, xrn1Δ, dcp2Δ, and dcp2Δ xrn1Δ strains (yRP2856, yRP2857, yRP2859, and yRP2860). Closed circles indicate amplicons of the expected sizes for 5′ P at or near 5′ SS. Open circles indicate amplicons corresponding to 5′ P at 5′ end of mRNA. (D) Schematic of the cRT-PCR procedure. The 5′ P population in poly(A) RNA fraction was circularized via intramolecular ligation and reverse-transcribed using primer RT. The resultant cDNA was PCR amplified using primers F1 and R1. (E) cRT-PCR for IWR1, OST5, and RPS16A using primers F1 and R1. Closed circles indicate amplicons from intron–exon2 molecules. The open circle indicates an amplicon corresponding to 5′ P at 5′ end of mRNA.

discard pathway was not determined. To determine whether the intron–exon2 mRNA fragments observed here resulted from debranching of lariat–exon intermediates, we examined the levels of the 5′ P species from IWR1, RPS16A, and OST5 in a strain deleted for DBR1. We observed that cRT-PCR products for the IWR1, RPS16A, and OST5 mRNAs are absent in $dbr1\Delta xrn1\Delta$ and $dbr1\Delta$ dcp2 Δ xrn1 Δ strains (Fig. 3A, lanes 4 and 8), whereas these products are easily detectable in $xml\Delta$ and $\text{dcp2}\Delta \text{ }xml\Delta$ strains (Fig. 3A, lanes 3 and 7). In contrast, the additional band derived from decapped pre-mRNA in $xml\Delta$ was not substantially affected or increased by deletion of DBR1 (Fig. 3A, lane 4). These results demonstrate that the 5' P ends at the 5' SSs are produced by debranching of the lariat intermediate.

This discard pathway could be similar on all mRNAs or could operate to different extents on different pre-mRNAs. To address this issue, we compared the ratio of tag abundance at and near 5′ SS to that across the entire gene normalized by transcript length (as a measure of mRNA abundance in the sample; [SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT)). We observed that the ratio of 5' SS ends to the overall tag abundance for the 240 intron–containing genes, which we used in this analysis, varied by over an order of magnitude (Fig. 3B). This raises the possibility that individual mRNAs are subject to this discard mechanism to different extents and that this pathway could be used for the regulation of splicing of specific mRNAs under some condition.

5['] P Ends Mapping to ORFs and 5' UTRs. We were able to verify 5' P peaks in the ORFs of five intronless genes (FMP45, BDF2, VPH2, ERG13, and GLR1) and peaks in the 5' UTR of the

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 $CWP2$ and $RPS31$ mRNAs (Fig. 4A, [Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF1)E, [Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)B, and [Dataset S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd02.xlsx)). Our analysis of these peaks revealed the following discoveries.

5['] P Ends Reveal Introns in the FMP45 and BDF2 mRNAs. We discovered that the 5′ P peaks in the FMP45 and BDF2 mRNAs are, to our knowledge, from previously overlooked introns. This was suggested because the 5['] P ends in the *FMP45* and *BDF2* mRNAs were at sequences that resembled 5' SSs [\(Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)D) (23). If the identified FMP45 and BDF2 5′ P ends are generated by a splicing event, they should be dependent on Dbr1. Thus, we tested whether the 5′ RLM-RACE signal from FMP45 and BDF2 was dependent on Dbr1 in the $\text{dcp2}\Delta \text{ } \text{ } x \text{ } m \text{ } 1 \Delta$ background. We observed that the 5' RLM-RACE amplicons from $FMP45$ and BDF2 were abolished by deletion of DBR1, indicating that the generation of the 5′ P species requires debranching (Fig. 4B). In contrast, 5′ RLM-RACE amplicons from ERG13, GLR1, CWP2, and RPS31 were still present in cells deleted for DBR1 (Fig. 4B), indicating that the 5′ P species are generated independently of debranching. Moreover, we found possible branch points located 3′ of the putative 5′ SS in FMP45 and BDF2 ([Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)E) (23). These observations argue that these 5' P peaks in FMP45 and BDF2 represent a second step discard pathway for previously undiscovered introns.

To test whether the putative introns in the FMP45 and BDF2 mRNAs were actually spliced, we used primers in the upstream and downstream exons (Fig. $S2E$) to determine whether a spliced product could be detected. Strikingly, spliced forms for both genes were detectable in $\text{dcp2}\Delta \text{ } \text{ } x \text{ } m \text{ } 1 \Delta$ by RT-PCR,

Fig. 3. Intron–exon2 species are generated by debranching. (A) cRT-PCR for IWR1, OST5, and RPS16A in WT, dbr1Δ, xrn1Δ, dbr1Δ xrn1Δ, dcp2Δ, dbr1Δ dcp2Δ, dcp2Δ xrn1Δ, and dbr1Δ dcp2Δ xrn1Δ strains (yRP2856, yRP2862, yRP2857, yRP2863, yRP2859, yRP2864, yRP2860, and yRP2865). Closed circles indicate amplicons from intron–exon2 molecules. Open circles indicate amplicons corresponding to 5['] P at 5['] end of mRNA. (B) Lariat-intermediate discard rates are unlikely to be proportional to transcript levels. For the dcp2Δ xrn1Δ library, 5′ P tag abundance per nucleotide at and near (<6 nt) the 5′ SS in 240 intron–containing genes (y axis) are sorted by transcript level (x axis). The abundance level of each transcript is approximated as the total number of tags mapped to the transcript divided by the transcript length.

and sequencing of the amplicons indicated splicing events at the predicted consensus sequences (Fig. $S2 E$ and F). To our knowledge, these observations demonstrate that FMP45 and BDF2 contain previously unidentified introns.

GLR1 5' P Peak Is Translation-Dependent. Our analysis of the GLR1 5′ P peak, which is unique in that it is not located near the annotated 5′ end of mRNA (18), suggests it is dependent on translation of the GLR1 mRNA. The key observation is that insertion of a stem-loop in the 5′ UTR of the GLR1 mRNA to block translation initiation (24) prevented the detection of the 5′ P signal when the GLR1 mRNA was reintroduced into a $glr1\Delta$ xrn1Δ strain, although reintroduction of the WT GLR1 gene did restore the appearance of the 5′ P end (Fig. 4C). Moreover, addition of the translation inhibitor cycloheximide impaired the GLR1 5[']P signal without significantly affecting the GLR1 mRNA level or the RPS31 5′P signal used as a control [\(Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)G). We interpret this result to indicate that the 5′ P species is dependent on the mRNA entering translation, and this would be consistent with a translation dependent endonuclease cleavage site in GLR1 mRNA, possibly attributable to NGD.

Evidence for a Dcp2-Independent Mechanism to Generate 5′ Monophosphorylated mRNA. We observed 5' P peaks from the ERG13, CWP2, and RPS31 mRNAs at or near the annotated transcription start sites (18) in both WT and $\text{dcp2}\Delta \text{ } \text{ } x \text{ } n \text{ } \Delta$ libraries (Fig. 4A and [Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF1)E), suggesting these species were produced by a Dcp2independent decapping or resulted from a failure to cap the mRNAs followed by a conversion of the 5′ end from triphosphate to monophosphate. Alternatively, they might arise from endonuclease cleavage of longer mRNAs. To determine whether these 5′ P ends are located at the transcription start sites, we mapped 5′ ends of capped species by 5′ RLM-RACE for samples treated with alkaline phosphatase to convert 5′ P to 5′ hydroxyl and subsequently with pyrophosphatase to remove the cap and generate 5′ P end. We observed that the sizes of amplicons from capped and uncapped species, the latter of which was obtained by 5′ RLM-RACE for untreated samples, closely matched for ERG13, CWP2, and RPS31 (Fig. 5A). Cloning and sequencing of the amplicons revealed that at least some 5′ ends of monophosphorylated species from ERG13 and RPS31 are precisely at one of the transcription start sites ([Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)H), although for the ERG13 gene the identified transcription start site is downstream of the computationally pre-dicted translation start codon [\(Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF2)H). This argues that these 5['] P species are unlikely to be generated via endonucleolytic cleavage and, instead, are generated by a Dcp2-independent decapping mechanism or by hydrolysis of 5′ triphosphate.

Fig. 4. 5′ P peaks in ORFs and 5′ UTRs. (A) Gene structure and profiles of FMP45, BDF2, GLR1, and RPS31 transcripts. The x and y axes represent the same as in Fig. 2B. In the schematics, UTRs and coding regions are shown in black and light gray, respectively. Peaks are indicated by arrows. Horizontal arrows below the gene structures indicate positions of primers used in 5′ RLM-RACE. (B) 5′ RLM-RACE for FMP45, BDF2, VPH2, ERG13, GLR1, and RPS31 in a dcp2Δ xrn1Δ strain with or without DBR1 (yRP2860 and yRP2865). Closed circles represent product from 5′ P species. (C) Translation is required for generation of 5′ P species from GLR1. Insertion of a stem-loop in 5′ UTR of GLR1 (Left) impaired the 5′ RLM-RACE signal from GLR1 (Center) without substantially affecting the transcript level as shown by Northern blot (Right). A glr1Δ xrn1Δ strain (yRP2875) expressing the GLR1 mRNA from either pRP2407 or pRP2408 was grown as described in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT).

Fig. 5. Analysis of 5′ P ends located at 5′ termini of mRNAs. (A) Comparison of transcription start sites and 5′ ends of monophosphorylated species. Capped and uncapped species from VPH2, ERG13, GLR1, CWP2, and RPS31 in dcp2Δ xrn1Δ (yRP2860) captured by 5' RLM-RACE. See also [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT). (B) 5′ RLM-RACE for ERG13, CWP2 and RPS31 mRNA in a dcp2Δ xrn1Δ rai1Δ mutant expressing either WT RAI1 or rai1EADA (yRP2867 and yRP2868). (C) 5' RLM-RACE in dcp2Δ xrn1Δ and dcs1Δ dcp2Δ xrn1Δ strains (yRP2860 and yRP2877). (D) Half-lives of ERG13, CWP12, RPS31, and RPS28B (used as a control) are longer in dcp2Δ (yRP2859) than in WT (yRP2856). Two biological replicates were performed for each strain. Error bars indicate SDs. $*P = 0.027$; ** $P = 0.027$; *** $P = 0.016$; **** $P = 0.005$ (one-tailed Student t test).

Rai1 and Dcs1 Are Dispensable for Generation of 5′ Monophosphorylated RNA. Previous work has identified the Rai1 protein as an enzyme that can function to remove the cap structure from mRNA in S. cerevisiae (25, 26). In contrast to the Dcp1/Dcp2 complex that preferentially functions on a cap with an N7 methyl moiety and release m⁷Gpp, Rai1 preferentially targets mRNAs with unmethylated caps and releases the entire cap structure GpppN, although it can function on a methylated cap to release m⁷GpppN to a lesser extent (26). Moreover, Rai1 also possesses the activity to hydrolyze the 5′ triphosphate of an uncapped RNA to release diphosphate and a monophosphorylated 5′-end RNA (25). In either case, Rai1 activity results in generation of 5′ P RNA, which, in principle, can be targeted by Xrn1, although Rai1 has been well characterized as a binding partner of the Rat1 exonuclease (25).

To determine whether Rai1 is required for the generation of the 5′ P end from ERG13, CWP2, and RPS31, we examined the presence of 5′ RNA-ligation–competent mRNA in $\text{dcp2}\Delta \text{ } x \text{m1}\Delta$ strains also lacking Rai1 activity (Fig. 5B). Because our analysis indicated a synthetic lethal interaction between $rail\Delta$ and $dcp2\Delta$ [\(Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF3)B), we used a $\text{dcp2}\Delta \text{ }x \text{ }m1\Delta \text{ } \text{ }rai1\Delta$ triple mutant expressing a Rai1 mutant with amino acid substitution E221A D223A, which

corresponds to the E199A D201A mutation in Schizosaccharomyces pombe Rai1 that was shown previously to inactivate the catalytic activity ([Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF3)A) (26). We observed that the 5′ RLM-RACE signals from ERG13, CWP2, and RPS31 were still detectable in a $\text{dcp2}\Delta \text{ } \text{ } x \text{ } n \text{ } 1 \Delta \text{ } \text{ } n \text{ } \text{ } a \text{ } I \text{ } E \text{ } A \text{ } D \text{ } A$ mutant (Fig. 5B). Although we cannot formally exclude the possibility that the mutant Rai1 we used retains some activity, the result suggests that catalytic activity of Rai1 is dispensable for the 5′ P generation on these transcripts.

The Dcs1 protein also includes an enzyme that can cleave the cap structure (27, 28). We tested whether Dcs1 was required for generation of these 5′ Ps by examining their presence in dcs1Δ $dcp2\Delta xm1\Delta$ strains compared with $dcp2\Delta xm1\Delta$. We observed that Dcs1 was also not required for the production of 5′ Ps at the 5′ ends of the ERG13, CWP2, and RPS31 mRNAs (Fig. 5C). Thus, these 5′ Ps are produced independently of known mRNA decapping enzymes in yeast, suggesting an alternative mechanism that functions to regulate these mRNAs.

Dcp2 Is the Primary Decapping Enzyme for ERG13, CWP2, and RPS31.

In principle, the 5′ P generation we have observed in the absence of Dcp2 could represent the primary mechanism by which these mRNAs are "decapped" and subjected to 5′ to 3′ degradation, could function redundantly with Dcp2, or could only occur at a low level relative to canonical Dcp2-dependent decapping. To determine whether Dcp2 is required for normal decay kinetics of ERG13, CWP2, and RPS31, we measured their mRNA decay rates in WT and $\frac{dcp2\Delta}{dt}$ strains. We observed that the decay rates of ERG13, CWP2, and RPS31, as well as a known Dcp2 target RPS28B (29), were significantly decreased by deletion of DCP2 (Fig. 5D), indicating that Dcp2 plays an essential role in degrading these transcripts at a normal rate. Interestingly, RPS31 mRNA was degraded significantly faster in $\text{dcp2}\Delta \text{ } x\text{ } m\bar{\text{ } 1}\Delta$ than in $xrn1\Delta$ ([Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF3)C; $P = 0.015$; one-tailed Student t test), suggesting that an Xrn1-independent decay pathway, such as 3′ to 5′ exonucleolytic digestion, may be activated for this transcript in $dcp2\Delta$ cells. Such a mechanism would explain why the effect of DCP2 deletion on the decay rate of RPS31 was not as striking as that on $RPS28B$ (Fig. 5D and [Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF3)C). We interpret these results to indicate that Dcp2 is the primary decapping enzyme for the ERG13, RPS31, and CWP2 mRNAs, although these transcripts can be subjected to an alternative, yet to be described, decapping process.

Analysis of 5′ P RNAs Detected in Wild-Type Strains. Analysis of the WT library revealed 5′ P ends in the 5′ UTR, ORF, or 3′ UTR that were not detected in the $\text{dcp2}\Delta \text{ }x \text{ }m1\Delta \text{ }$ strain [\(Dataset S2\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/sd02.xlsx), as exemplified by 5′ P peaks identified in the UTP14, ITR1, SPB1, and ECM30 mRNAs [\(Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF1)E). In principle, such sites could be absent from the $\text{dcp2}\Delta \text{ }xm1\Delta$ library because they either represent stall sites of Xrn1 following decapping, or they arise from endonuclease cleavage events that are inhibited in the $\text{dcp2}\Delta$ $xml\Delta$ strain, perhaps because of global changes in gene expression by deletion of Xrn1 and Dcp2. If the 5′ P ends seen in the WT strain are attributable to an endonuclease cleavage, they should be unaltered or increased in an $xml\Delta$ strain, whereas if they are attributable to decapping and 5′ to 3′ decay, such peaks should be absent from a xrn1Δ strain. By 5′ RLM-RACE experiment, we observed that 5′ P ends from the ITR1, SPB1, and ECM30 mRNAs could only be detected in the WT strain, arguing that these represent stall sites in Xrn1 action [\(Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF3)D). For the UTP14 mRNA, we observed a significant decrease in the 5′ P signal by deletion of XRN1, indicating that the 5′ P species accumulated in a WT strain is mainly derived from a stall to Xrn1 digestion at the site [\(Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=SF3)D). The low-level 5′ P signal in $xrn1\Delta$, which was also detected in $\frac{dcp2\Delta xrn1\Delta}{m}$, may represent: (i) endonucleolytic cleavage at the site; or (ii) decapping by Dcp2 or other enzymes and subsequent exonucleolytic digestion by enzymes other than Xrn1, which is stalled at the site. Taken together, we interpret these observations to suggest that in WT

cells, the 5′ P peaks mainly arise by stalls to Xrn1 digestion, although a subset may arise, in part, by endonucleolytic cleavage.

Discussion

We have analyzed a genome-wide profile of exposed 5′ P termini in S. cerevisiae to determine the role of endonucleolytic cleavage in mRNA metabolism. In a $dcp2\Delta$ xrn1 Δ strain, we easily detected the endonuclease cleavage of HAC1 and exposed 5′ splice sites, suggesting this strain is valid for detecting a subset of endonuclease cleavage events. Despite this advantage, we did not find substantial evidence for prevalent mRNA endonuclease cleavage events, arguing that most yeast mRNA degradation is exonucleolytic at least under midlog growth. We cannot formally rule out that: (i) some endonuclease sites exist but the products are very unstable even in $xml\Delta$ strains or mainly accumulated in a form lacking poly(A) tail, which would not be captured in our analysis; (ii) that endonuclease cleavage events predominate under different growth conditions; (iii) that the $\text{dcp2}\Delta \text{ } x \text{m1}\Delta$ strain limits endonuclease cleavage for some unknown reason and/or the cleavage products are highly unstable in WT strains; or (iv) that endonuclease cleavage in lowly expressed transcripts escaped our analysis.

We also observed 5' P ends mapped near the 5' end of several mRNAs in our high-throughput sequencing in both WT and $\frac{dcp2\Delta x}{m1\Delta}$ strains, which were recapitulated in gene-specific 5' RLM-RACE. This demonstrated that a Dcp2-independent event could expose a 5′ P end on these mRNAs. In principle, such 5′ ends could be generated by an alternative decapping enzyme or resulted from a failure to cap the mRNAs in the nucleus followed by pyrophosphate cleavage of the triphosphate end. Because the 5′ exposed ends of the ERG13, CWP2, and RPS31 mRNAs were still present in cells lacking Rai1 or Dcs1 (Fig. $5 B$ and C), the 5′ P end is likely to be generated by a yet to be described enzyme. Future work could determine the precise mechanisms and biological significance of such pathways that appear to operate in the absence of the major pathway for mRNA decay.

We provide evidence that pre-mRNAs from several endogenous genes undergo a discard pathway at the second step in splicing. The key observation is that we detect 5′ P ends at or near the 5′ SS of many genes. For the genes tested thus far, these 5′ ends are present at least in part on intron–exon2 molecules

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and require Dbr1 for their production. Thus, we suggest that for many genes at low but significant levels of mRNA molecules exit splicing and are discarded for debranching and degradation by Xrn1. This is consistent with earlier work done with reporter mRNAs that were blocked at the second step in pre-mRNA splicing (21, 22). Strikingly, some intron-containing genes gave a high number of 5′ P tags near their 5′ SS, whereas others had very few (Fig. 3B). This raises the possibility that the discard rate could be different for individual pre-mRNAs, perhaps because of features in the intron that either slow the second step of splicing, or decrease the fidelity of 5′ SS choice, thereby creating intermediates that are unable to complete the second step in splicing. Consistent with this latter possibility, we observe many of the mapped 5['] ends are near but not at the proper 5['] SS (Fig. 2*A*).

Another interesting possibility is that the discard rates during the second step may be dynamically changed to control the levels of mature mRNA in response to environmental or developmental cues. This work also implies that mammalian cells, with their complex patterns of alternative splicing, will show significant rates of discard of some splicing events, and such discard pathways will modulate the specificity of alternative splicing.

Materials and Methods

Yeast Strains, Plasmids, Oligonucleotides, and RNA Analysis. Yeast strains, plasmids, and oligonucleotides used in this study are listed in [Tables S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=ST2) and [S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=ST3). Procedures of yeast strain and plasmid construction are described in [SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT). RNA analysis was performed according to standard methods, which are described in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT).

Genome-Wide 5′ RLM-RACE Analysis. Genome-wide 5′ RLM-RACE analysis was performed essentially as described previously (15). Detailed description of the procedure is available in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1119741109/-/DCSupplemental/pnas.201119741SI.pdf?targetid=nameddest=STXT).

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