

Clinical Presentation of Obstructive Sleep Apnea in Patients with Chronic Kidney Disease

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Background: Obstructive sleep apnea (OSA) is an important and common comorbidity in patients with chronic kidney disease (CKD). However, few studies have addressed how OSA presents in this patient population and whether it is clinically apparent.

Objective: The objectives of this study were to determine if the prevalence and severity of sleep related symptoms distinguished CKD patients with OSA from those without apnea, and whether the clinical presentation of OSA in CKD patients differed from the general OSA population.

Methods: One hundred nineteen patients were recruited from outpatient nephrology clinics. All patients completed a sleep history questionnaire, the Epworth Sleepiness Scale (daytime sleepiness, ESS > 10), the Pittsburgh Sleep Quality Index (poor sleep quality, PSQI > 5), and underwent overnight cardiopulmonary monitoring for determination of sleep apnea (respiratory disturbance index ≥ 15). CKD patients with OSA (n = 46) were compared to (1) CKD patients without OSA (n = 73) and (2) OSA patients without CKD (n = 230) who were

referred to the sleep centre.

Results: The prevalence of OSA symptoms and PSQI scores did not differ between CKD patients with OSA and CKD patients without apnea. Although the prevalence of daytime sleepiness was higher in CKD patients with OSA compared to CKD patients without apnea (39% vs. 19%, p = 0.033), both daytime sleepiness and other symptoms of sleep apnea were considerably less frequent than in OSA patients without a history of kidney disease.

Conclusions: The presence of OSA in patients with CKD is unlikely to be clinically apparent. Consequently, objective cardiopulmonary monitoring during sleep is required to reliably identify this comorbidity.

Keywords: Obstructive sleep apnea, chronic kidney disease, snoring, symptoms, daytime sleepiness

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There is growing evidence that obstructive sleep apnea (OSA) is common in patients with chronic kidney disease who do not require chronic dialysis (CKD).¹⁻⁷ The reported prevalence of OSA in this population has ranged from 27% to 54%,^{2,3,5-7} which is considerably higher than the general population.⁸ The coexistence of OSA in patients with CKD is likely to have clinical relevance. In addition to causing impairment of sleep quality and daytime function,⁹ OSA increases the risk of systemic hypertension¹⁰ and vascular disease,^{11,12} both of which are common complications of CKD.¹³ Furthermore, OSA may accelerate the deterioration of kidney function in patients with CKD either directly, through the effect of hypoxia on the kidney,¹⁴⁻¹⁶ or indirectly, by increasing systemic blood pressure, inflammatory cytokines, and sympathetic nervous system activity.¹⁷⁻²⁰ Since OSA can be effectively treated in many patients with continuous positive airway pressure therapy (CPAP),²¹ it is important that the disorder be recognized and formally diagnosed in this patient population.

In conventional sleep medicine practice, the investigation of OSA is usually prompted by a constellation of sleep related symptoms such as snoring, witnessed apneas during sleep, and daytime sleepiness.²² It is not clear whether this clinical presentation can reliably distinguish patients with and without OSA in

BRIEF SUMMARY

Current Knowledge/Study Rationale: Although obstructive sleep apnea (OSA) is common in patients with chronic kidney disease (CKD), it may not be clinically apparent. Consequently, we compared the prevalence and severity of sleep related symptoms in CKD patients with and without OSA and contrasted that with the clinical presentation of OSA in patients without CKD.

Study Impact: OSA is not likely to be clinically apparent in patients with CKD. Objective cardiopulmonary monitoring is required to reliably identify OSA in this patient population.

the CKD population. Few studies have investigated the clinical presentation of OSA in non-dialysis-dependent CKD.^{2,5} These studies have reported a lower prevalence of daytime sleepiness^{2,5} and snoring² in the CKD population, but have not distinguished CKD patients with OSA from those without apnea. Thus, it is unclear whether OSA is clinically apparent in this patient population.

The objectives of this study were to determine (1) if the prevalence and severity of sleep related symptoms distinguished CKD patients with OSA from those without apnea, and (2) if the clinical presentation of OSA in CKD patients differed from that in the general sleep apnea population. We

addressed these objectives by describing the clinical sleep profile of CKD patients with OSA and comparing this to CKD patients without OSA and to OSA patients without a history of kidney disease.

METHODS

Patient Recruitment

Adult patients (≥ 18 years) with CKD (as defined by an estimated glomerular filtration rate [eGFR] < 60 mL/min/1.73 m² according to the National Kidney Foundation Staging System²³) attending outpatient nephrology clinics were invited to participate in the study. eGFR at the time of the study visit was calculated using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation.²⁴ Exclusion criteria included current treatment with supplemental oxygen, tracheostomy, and inability to give informed consent. Patients currently treated with CPAP therapy were included in the study if their original diagnostic sleep study and sleep questionnaire were available for review and their eGFR at the time of OSA diagnosis was known. A control group of OSA patients without a history of kidney disease, but similar OSA severity (based on the respiratory disturbance index [RDI]), who were referred to the Foothills Sleep Centre for suspected sleep apnea during the same time period were randomly selected from the clinical database. Selection was performed while blinded to other data from nocturnal cardiopulmonary monitoring, patient demographics, and symptoms. The study was approved by the University of Calgary Conjoint Health Research Ethics Board. Informed consent was obtained from all participants in accordance with the Declaration of Helsinki.

One hundred twenty-four CKD patients were recruited. Fifty-one met our criteria for a diagnosis of sleep apnea (RDI ≥ 15). Eight patients currently treated with CPAP were included in the study. Five patients with Cheyne-Stokes respiration (CSR) were excluded from further analyses. The remaining 46 CKD patients with OSA were first compared to the 73 CKD patients without OSA and then compared to 230 OSA patients without a history of kidney disease.

Nocturnal Cardiopulmonary Monitoring

Patients performed an unattended, overnight cardiopulmonary monitoring study at home (Remmers Sleep Recorder Model 4.2, Saga Tech Electronic, Calgary, AB, Canada). The monitor consists of an oximeter to record oxyhemoglobin saturation (SaO₂) and heart rate variability, a pressure transducer to record nasal airflow, a microphone to record snoring, and a body position sensor. The oximeter provides the data for an automated scoring algorithm, which calculates the RDI based on the number of episodes of oxyhemoglobin desaturation $\geq 4\%$ per hour of monitoring. Nocturnal oxygen saturation was sampled at 1 Hz. The Remmers Sleep Recorder has been validated by comparison to attended polysomnography.^{25,26} We defined sleep apnea as an RDI ≥ 15 as this reflects moderate to severe sleep apnea which is likely to be clinically significant.^{25,26} The Remmers Sleep Recorder has a sensitivity of 98% and specificity of 88% for a designation criteria of RDI ≥ 15 .²⁶ The raw data were reviewed by a sleep medicine physician (PJH), blinded to

patients' kidney function and symptoms, who confirmed that the estimated RDI was accurate and determined whether apnea was central (CSR) or obstructive (OSA), based on the morphology of the airflow recordings. Nasal pressure recordings with a characteristic crescendo/decrescendo pattern and no evidence of airflow limitation were classified as CSR, whereas recordings without a crescendo/decrescendo pattern and with airflow limitation were classified as OSA.

Subjective Measurements of Sleep Quality

Sleep History Questionnaire

All patients completed a standardized sleep history questionnaire developed at Foothills Sleep Centre, which included a history of snoring, witnessed apnea during sleep and nocturnal choking, unrefreshing sleep, morning headaches, and memory impairment. Additionally, the questionnaire surveyed demographic information and medical history, including a history of obesity (body mass index [BMI] ≥ 30 kg/m²), hypertension, cardiovascular disease (angina, myocardial infarction, coronary artery bypass surgery, or congestive heart failure), cerebrovascular disease (stroke or transient ischemic attack), diabetes, chronic obstructive pulmonary disease (COPD), and medications.

Daytime Sleepiness

All patients completed the Epworth Sleepiness Scale (ESS).²⁷ The ESS is a self-administered questionnaire designed to measure the general level of daytime sleepiness. Patients rate on a scale of 0-3 how likely they are to fall asleep in 8 different situations that are commonly encountered. Total ESS scores range from 0-24, with higher scores indicating more subjective daytime sleepiness. Specifically, an ESS score > 10 is considered indicative of subjective daytime sleepiness.²⁷

Sleep Quality

All CKD patients completed the Pittsburgh Sleep Quality Index (PSQI).²⁸ The PSQI is a self-rated questionnaire that assesses sleep quality and disturbances over a 1-month time interval. Nineteen individual items generate 7 "component" scores: subjective sleep quality, sleep latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleep medications, and daytime dysfunction. The sum of the seven component scores yields one global score, which ranges from 0-21. Higher scores indicate worse sleep quality, and PSQI global scores > 5 are considered indicative of poor sleep quality.²⁸ PSQI data were not available for OSA patients without a history of kidney disease. All questionnaires were completed on the evening of overnight cardiopulmonary monitoring.

Analysis

Data are presented as mean \pm standard deviation or number (percentage). CKD patients with OSA were initially compared to CKD patients without OSA, and secondly to OSA patients without a history of kidney disease. The unpaired *t*-test or the Mann-Whitney U-test was used for comparisons between continuous variables while the χ^2 test with Fischer exact test was used for dichotomous variables. Univariate and multivariate logistic regression models were used to identify

Table 1—Characteristics of chronic kidney disease patients, stratified by OSA status

	All Patients	OSA status		
		OSA	No Apnea	p-value*
N	119	46	73	—
Nocturnal monitoring				
RDI, /h	21.1 ± 25.1	43.3 ± 28.4	7.2 ± 3.8	< 0.001
Mean SaO ₂ , %	91.5 ± 3.2	89.6 ± 3.3	92.7 ± 2.4	< 0.001
SaO ₂ < 90%, % monitoring time	22.6 ± 28.3	39.5 ± 30.3	11.9 ± 20.9	< 0.001
Total monitoring time, h	7.2 ± 1.5	7.1 ± 1.7	7.3 ± 1.4	0.641
Reported sleep time, % monitoring time	81 ± 15	80 ± 16	81 ± 14	0.686
Demographics				
Age, years	65 ± 12	67 ± 10	64 ± 13	0.277
Male	75 (63)	34 (74)	41 (56)	0.055
BMI, kg/m ²	31.2 ± 8.3	34.0 ± 9.6	29.5 ± 6.8	0.004
Comorbidities				
Obesity	56 (47)	27 (59)	29 (40)	0.057
Hypertension	108 (91)	42 (91)	66 (90)	1.000
Cardiovascular disease	24 (20)	14 (30)	10 (14)	0.035
Cerebrovascular disease	8 (7)	3 (7)	5 (7)	1.000
Diabetes	47 (39)	21 (46)	26 (36)	0.337
COPD	6 (5)	3 (7)	3 (4)	0.675
Medications				
Sedatives	10 (8)	3 (7)	7 (10)	0.739
Antidepressants	5 (4)	3 (7)	2 (3)	0.373

Data are mean ± SD or number (percentage) of patients within group. OSA, obstructive sleep apnea; RDI, respiratory disturbance index; SaO₂, oxyhemoglobin saturation; BMI, body mass index; COPD, chronic obstructive pulmonary disease. *OSA versus No Apnea.

factors associated with OSA in CKD patients. Age, male gender, comorbidities (obesity, hypertension, cardiovascular disease, cerebrovascular disease, and diabetes), medications, and sleep related symptoms were included in the model. All model assumptions were tested and met. All statistical analyses were 2-sided and performed with SPSS V.17.0 (SPSS, Chicago, IL, USA). P-values < 0.05 were considered statistically significant.

RESULTS

Chronic Kidney Disease: OSA versus No Apnea

Patient Characteristics

The nocturnal cardiopulmonary monitoring findings and clinical profile of CKD patients with and without OSA are shown in **Table 1**. By definition, the RDI was higher in CKD patients with OSA than in patients without sleep apnea. As expected, the severity of associated nocturnal hypoxemia was greater in CKD patients with OSA. Although CKD patients with OSA had a higher prevalence of cardiovascular disease than those without sleep apnea, they did not differ from non-apneic patients in terms of gender, age, other comorbidities, or medication use.

Sleep Related Symptoms

Sleep related symptoms for all CKD patients are displayed in **Table 2**. There were no differences in the prevalence of re-

ported snoring, witnessed apnea, nocturnal choking, unrefreshing sleep, morning headaches, or memory impairment between CKD patients with and without OSA. Although the mean ESS was not different between groups, the proportion of patients with an abnormal ESS score (ESS > 10) was greater in CKD patients with OSA (39% versus 19%, $p = 0.033$). No differences were observed between mean PSQI global scores or the proportion of patients with an abnormal score (PSQI > 5).

On univariate analysis (**Table 3**), only obesity, cardiovascular disease, and daytime sleepiness (ESS > 10) were associated with OSA in CKD patients. Male gender and witnessed apneas during sleep were of borderline significance. On multivariate analysis, only male gender was significantly associated with OSA in CKD patients.

Obstructive Sleep Apnea: CKD versus No History of Kidney Disease

Patient Characteristics

The nocturnal cardiopulmonary monitoring findings and clinical profile of OSA patients with CKD compared to those without a history of kidney disease are shown in **Table 4**. By study design, the RDI was similar between the two groups. However, the severity of associated nocturnal hypoxemia was greater in OSA patients with CKD than in OSA patients without a history of kidney disease. OSA patients with CKD were older and a greater proportion had hypertension and diabetes. However, there were no intergroup differences in

Table 2—Sleep-related symptoms of chronic kidney disease patients, stratified by OSA status

	All Patients	OSA status		p-value*
		OSA	No Apnea	
N	119	46	73	—
Snoring, %	93 (78)	39 (85)	54 (74)	0.665
Witnessed apnea, %	30 (25)	16 (35)	14 (19)	0.082
Nocturnal choking, %	34 (29)	17 (37)	17 (23)	0.145
Epworth Sleepiness Scale	7.9 ± 4.7	8.8 ± 5.2	7.3 ± 4.3	0.087
Daytime sleepiness (ESS > 10), %	32 (27)	18 (39)	14 (19)	0.033
Pittsburgh Sleep Quality Index, Global Score	6.0 ± 3.9	6.2 ± 3.9	5.9 ± 4.0	0.779
Poor sleep quality (PSQI > 5), %	52 (44)	21 (46)	31 (42)	0.707
Unrefreshing sleep, %	50 (42)	19 (41)	31 (42)	1.000
Morning headaches, %	9 (8)	4 (9)	5 (7)	0.733
Memory impairment, %	52 (43)	23 (50)	29 (40)	0.343

Data are mean ± SD or number (percentage) of patients within group. OSA, obstructive sleep apnea; ESS, Epworth Sleepiness Scale; PSQI, Pittsburgh Sleep Quality Index. *OSA versus No Apnea.

Table 3—Predictors of obstructive sleep apnea in chronic kidney disease patients by univariate and multivariate analysis

	Univariate			Multivariate		
	OR	95% CI	P-value	OR	95% CI	p-value
Demographics						
Age, years	1.02	0.99, 1.05	0.275	1.01	0.97, 1.05	0.686
Male	2.21	0.99, 4.94	0.053	3.80	1.36, 10.7	0.011
Obesity	2.17	1.02, 4.65	0.046	2.06	0.74, 5.70	0.165
Hypertension	1.11	0.31, 4.04	0.870	0.84	0.18, 4.00	0.827
Cardiovascular disease	2.76	1.10, 6.89	0.030	1.52	0.43, 6.37	0.512
Cerebrovascular disease	0.95	0.22, 4.17	0.945	0.75	0.11, 5.05	0.766
Diabetes	1.52	0.72, 3.22	0.277	1.11	0.41, 3.03	0.834
Sedatives	0.66	0.16, 2.68	0.559	0.44	0.08, 2.46	0.350
Antidepressants	2.48	0.40, 15.4	0.331	2.60	0.28, 24.2	0.401
Sleep Related Symptoms						
Snoring	1.27	0.53, 3.04	0.596	1.84	0.64, 5.29	0.255
Witnessed apnea	2.25	0.97, 5.21	0.059	1.80	0.59, 5.48	0.298
Nocturnal choking	1.93	0.86, 4.33	0.110	1.17	0.38, 3.56	0.787
Daytime sleepiness (ESS > 10)	2.62	1.14, 6.02	0.023	1.85	0.66, 5.15	0.242
Poor sleep quality (PSQI > 5)	1.16	0.55, 2.45	0.702	1.17	0.44, 3.13	0.751
Unrefreshing sleep	0.95	0.45, 2.02	0.901	0.77	0.29, 2.06	0.605
Morning headaches	1.30	0.33, 5.10	0.711	1.41	0.22, 9.24	0.719
Memory impairment	1.52	0.72, 3.19	0.272	1.74	0.64, 4.70	0.276

OR, odds ratio; CI, confidence interval; ESS, Epworth Sleepiness Scale; PSQI, Pittsburgh Sleep Quality Index.

gender, BMI, medication use, or the prevalence of obesity or coexisting cardiovascular disease, cerebrovascular disease, and COPD.

Sleep related Symptoms

Snoring, witnessed apnea, unrefreshing sleep, and morning headaches were reported less often by OSA patients with CKD than OSA patients without a history of kidney disease (**Table 5**). In addition, the prevalence of daytime sleepiness was lower in OSA patients with CKD than in OSA patients without a history of kidney disease (39% versus 63%, $p = 0.005$), though this result may be partly explained by selection bias since OSA

patients with CKD were not referred for evaluation of sleep complaints.

DISCUSSION

The presence of OSA in patients with CKD was associated with only one of the traditional risk factors for sleep apnea, namely male gender. The prevalence of sleep related symptoms was lower in OSA patients with CKD than OSA patients without a history of kidney disease. More importantly, sleep related symptoms did not distinguish CKD patients with OSA from CKD patients without OSA.

Table 4—Characteristics of obstructive sleep apnea patients, stratified by chronic kidney disease status

	CKD	No CKD	p-value*
N	46	230	—
Nocturnal monitoring			
RDI, /h	43.3 ± 28.4	42.7 ± 12.5	0.888
Mean SaO ₂ , %	89.6 ± 3.3	90.6 ± 2.7	0.052
SaO ₂ < 90, % monitoring time	39.5 ± 30.3	30.1 ± 24.2	0.048
Total monitoring time, h	7.1 ± 1.7	7.0 ± 12.3	0.711
Reported sleep time, % monitoring time	80 ± 16	83 ± 13	0.268
Demographics			
Age, years	67 ± 10	51 ± 10	< 0.001
Male	34 (74)	161 (70)	0.479
BMI, kg/m ²	34.0 ± 9.6	35.0 ± 8.2	0.544
Comorbidities			
Obesity	27 (59)	156 (68)	0.297
Hypertension	42 (91)	129 (56)	< 0.001
Cardiovascular disease	14 (30)	45 (20)	0.116
Cerebrovascular disease	3 (7)	5 (2)	0.132
Diabetes	21 (46)	26 (11)	< 0.001
COPD	3 (7)	41 (18)	0.075
Medications			
Sedatives	3 (7)	9 (4)	0.428
Antidepressants	3 (7)	26 (11)	0.436

Data are mean ± SD or number (percentage) of patients within group. CKD, chronic kidney disease; RDI, respiratory disturbance index; SaO₂, oxyhemoglobin saturation BMI, body mass index; COPD, chronic obstructive pulmonary disease. *CKD versus No CKD.

Table 5—Sleep-related symptoms of obstructive sleep apnea patients, stratified by chronic kidney disease status

	CKD	No CKD	p-value*
N	46	230	—
Snoring, %	39 (85)	225 (98)	< 0.001
Witnessed apnea, %	16 (35)	179 (78)	< 0.001
Nocturnal choking, %	17 (37)	120 (52)	0.075
Epworth Sleepiness Scale	8.8 ± 5.2	12.2 ± 5.7	< 0.001
Daytime Sleepiness (ESS > 10), %	18 (39)	144 (63)	0.005
Unrefreshing sleep, %	19 (41)	192 (83)	< 0.001
Morning headaches, %	4 (9)	86 (37)	< 0.001
Memory impairment, %	23 (50)	143 (62)	0.248

Data are mean ± SD or number (percentage) of patients within group. CKD, chronic kidney disease; ESS, Epworth Sleepiness Scale. *CKD versus No CKD.

Only two previous studies have evaluated the clinical presentation of OSA in non-dialysis-dependent CKD. Markou et al.² reported a low prevalence of excessive daytime sleepiness (ESS > 10) of 11.4%, while the prevalence of snoring was found to be 40% in a cross-sectional study of 35 patients with CKD (eGFR = 26.8 mL/min/1.73 m², 11-40). Their study was limited by a small sample size and they excluded patients with cardiovascular disease thereby limiting the generalizability of their findings as cardiovascular disease is a common comorbidity in this patient population.¹³ Roumelioti et al.⁵ reported a higher prevalence of excessive daytime sleepiness (ESS ≥ 10) of 29.3% in 89 CKD patients but used historical controls whose kidney function was undefined for comparison. Further, neither of these studies compared sleep

related symptoms between CKD patients with OSA and non-apneic patients.

Our study addressed several of the limitations of these previous studies. First, we compared CKD patients with and without OSA. Second, our sample size was quite large, and all subjects were recruited from nephrology clinics, increasing the relevance of our findings to that patient population. Third, no inclusion or exclusion criteria were set with respect to age, gender, comorbidities, or medications, which improved the generalizability of our findings to the CKD population. In fact, our CKD population had a similar clinical profile to the Chronic Renal Insufficiency Cohort study.²⁹

The lack of excessive daytime sleepiness in a significant proportion of OSA patients with CKD has been reported in other

specific OSA populations, including those with stroke,³⁰ heart failure,³¹ hypertension,^{32,33} and end-stage renal disease.³⁴ There are a number of potential explanations for this observation that we can speculate on. First, it may reflect selection bias if the presence of sleep symptoms is not equally important in the recruitment of patients to the groups that are compared. Second, the complaint of subjective sleepiness may be overshadowed by other symptoms associated with chronic disease, such as anxiety or chronic fatigue, or side effects of their treatment such as medications. Third, the comorbid disease itself may hinder the development of excessive sleepiness through competing biologic mechanisms, such as augmented sympathetic activity in patients with chronic heart failure. Regardless of the explanation, the cumulative evidence indicates that daytime sleepiness is not a reliable diagnostic criterion for OSA in patients with many chronic medical disorders including CKD. Further, the absence of daytime sleepiness should not dissuade the clinician from considering a diagnosis of OSA in this patient population.

What are the clinical implications of our findings? OSA increases the risk of hypertension,¹⁰ cardiovascular,¹¹ and cerebrovascular¹² disease, all of which are important and highly prevalent complications of CKD.¹³ Further, OSA may also accelerate the deterioration of kidney function.¹⁴⁻²⁰ As OSA can be effectively treated with CPAP therapy,²¹ it is important that this disorder be considered in this patient population and formally diagnosed. Male gender was the only significant predictor of OSA in our population of CKD patients. However, in conventional sleep medicine practice, the investigation of OSA is usually prompted by a constellation of sleep related symptoms including snoring, witnessed apneas during sleep, and daytime sleepiness, along with traditional risk factors for the disorder such as obesity and male gender.²² In our study, we found that we were unable to distinguish between CKD patients with OSA and CKD patients without OSA based solely on sleep related symptoms. Although the presentation of an obese male patient with CKD should prompt physicians to consider OSA, further clinical assessment for sleep apnea is unlikely to be helpful and objective cardio-pulmonary monitoring should be used to reliably diagnose the disorder.

Our study has limitations. First, the potential for selection bias exists as patients attending the nephrology clinics may have been more likely to participate if they suspected they had sleep apnea. We tried to limit the potential impact of this on our findings by emphasizing that sleep related complaints were not required for recruitment. If such a bias did exist, it should have been reflected in a higher prevalence of sleep related symptoms in CKD patients with OSA which was not the case. Second, OSA patients with CKD were recruited differently than OSA patients with normal kidney function. Notwithstanding this difference, the primary purpose of describing the OSA group without kidney disease was to highlight the typical clinical stereotype of OSA, detected by the same methodology, and how infrequently CKD patients with OSA present in that way. Third, we did not objectively assess kidney function in OSA patients without a history of kidney disease. However, we were vigilant to ask all patients about kidney disease and excluded any whose history was suggestive of this.

Although male gender was the strongest predictor of OSA in patients with CKD, OSA is unlikely to be clinically apparent in

this population. This is disconcerting, given the high prevalence of OSA in CKD and its potential impact on important clinical outcomes.¹⁻⁷ Further studies are required to determine the validity and efficacy of OSA clinical prediction rules in patients with CKD. In the meantime, objective cardiopulmonary monitoring during sleep is required to reliably identify sleep apnea in this patient population.

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