

Comorbidities of Chronic Obstructive Pulmonary Disease in Koreans: A Population-Based Study

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Chronic obstructive pulmonary disease (COPD) includes pulmonary components with increased comorbidity rates, as well as being a systemic disease. Comorbidities may frequently occur in COPD patients over 40 yr old. We report the comorbidities of patients with COPD, diagnosed by spirometry, in a population-based epidemiologic survey in Korea. Data were derived from the fourth Korean Health and Nutrition Examination Survey in 2008, a stratified multistage clustered probability design survey of a sample representing the entire population of Korea. Results of spirometry and various health-related questionnaires were analyzed in 2,177 subjects aged ≥ 40 yr. The prevalence of COPD ($FEV_1/FVC < 0.7$) in subjects ≥ 40 yr of age was 14.1%. Multivariate analysis showed that underweight (odds ratio [OR] 3.07, 95% confidence interval [CI] 1.05-8.98), coronary heart disease (OR, 0.43; 95% CI, 0.20-0.93) and dyslipidemia (OR, 0.61; 95% CI, 0.45-0.82) were significantly associated with COPD, whereas allergic rhinitis, anemia, arthritis, chronic renal failure, depression, diabetes mellitus, hypertension, gastrointestinal ulcer, and osteoporosis were not. Underweight might be more prevalent but coronary heart disease and dyslipidemia are less prevalent in Koreans with than without COPD in population setting.

Key Words: Chronic Obstructive Pulmonary Disease; Comorbidity; Population-Based Survey

INTRODUCTION

COPD is characterized by chronic airway inflammation causing obstruction, as well as by lung parenchymal destruction causing emphysema. The prevalence of COPD is increasing at a greater rate than is the case for other chronic diseases, and COPD is predicted to be the third most frequent cause of deaths worldwide by 2020 (1). In addition to generating high healthcare costs, COPD and comorbidities are associated with disability and impaired quality of life.

COPD has been considered part of a "chronic systemic inflammatory syndrome", with several comorbidities of COPD associated with systemic inflammatory responses (2). Among the principal comorbidities of COPD are weight loss, skeletal muscle dysfunction, osteoporosis, progression of atherosclerosis, coronary artery disease, anxiety, depression, and a higher rate of lung cancer (3, 4). These comorbidities, however, may also be associated with gender, age, income, cigarette smoking and other factors. However, there have been few population-based studies of COPD comorbidities especially for Asian.

We therefore evaluated the nationwide incidence of COPD comorbidities, after controlling for sex, age, smoking and other

factors, using data from the fourth Korean Health and Nutrition Examination Survey (4th KNHANES).

MATERIALS AND METHODS

Study design

We retrospectively analyzed the database of the fourth Korean Health and Nutrition Examination Survey, a cross-sectional, nationally representative survey with stratified random sampling, performed by the Statistics Korea during 2008 (5). The survey involved 9,744 subjects selected from the Korean population; of these, 4,703 were aged ≥ 40 yr. The incidence of COPD and the comorbidities were evaluated in these latter subjects.

Data collection and spirometry

Trained interviewers administered standardized questionnaires on comorbidities associated with COPD, including allergic rhinitis, anemia, arthritis, coronary heart disease, chronic renal failure, depression, diabetes mellitus, dyslipidemia, hypertension, gastrointestinal ulcer, lung cancer, osteoporosis, and history of pulmonary tuberculosis; the questionnaires also included information on income and history of cigarette smoking. Spirometry

was performed using dry rolling seal spirometers (Model 2130; SensorMedics, Yorba Linda, CA, USA) by well trained technicians, thus controlling for the quality of pulmonary function tests, as recommended by the American Thoracic Society/European Respiratory Society (ATS/ERS). Only data that met the criteria for acceptability and reproducibility were analyzed.

Definition of COPD and comorbidities

Airflow obstruction was defined as a ratio of forced expiratory volume in 1 second (FEV₁) divided by forced vital capacity (FVC) < 0.7, with COPD defined as airflow obstruction in persons aged 40 yr and older.

Income was classified by ranking according to gender and age group. Household income was divided into quartiles. Subjects who had consumed less than 5 packs of cigarettes during their lifetime were defined as never-smokers, whereas those who had consumed more than 5 packs were defined as smokers. The database of the 4th KNHANES included the results of various health-related questionnaires and laboratory tests. Patients were defined as having a chronic disease (allergic rhinitis, arthritis, coronary heart disease, depression, GI ulcer, history of pulmonary tuberculosis, lung cancer, or osteoporosis) if they answered affirmatively to the question, "Have you had [name of chronic disease] lasting 6 months or more that was diagnosed by a health professional?" Anemia in men, nonpregnant women, and pregnant women was defined as hemoglobin concentrations less than 13 g/dL, 12 g/dL, and 11 g/dL, respectively. Underweight was defined as a body mass index (BMI) < 18.5 kg/m², and overweight as a BMI ≥ 25 kg/m². Subjects taking glucose lowering medication or with a fasting blood glucose concentration ≥ 126

mM/L were defined as having diabetes mellitus; subjects taking lipid-lowering medication or with a low density lipoprotein cholesterol (LDL-C) concentration ≥ 130 mg/dL were defined as having dyslipidemia; and subjects taking anti-hypertensive medication or with a diastolic blood pressure ≥ 90 mmHg were defined as having hypertension.

Data analysis

Categorical variables were analyzed using the chi-square test, and means were compared using Student's t-tests. All values are presented as means ± standard deviations. We constructed a logistic regression model with COPD as the dependent variable and age, sex, economic status, and smoking history as independent variables. Results are reported as odds ratios (ORs) with 95% confidence intervals (CIs). A *P* value less than 0.05 was defined as statistically significant. All statistical analyses were performed with SPSS version 18.0 software (SPSS Inc, Chicago, IL, USA).

Ethics statement

This study was approved by the institutional review board of the Korea Centers for Disease Control and Prevention (approval number, 2008-01EXP-01-C). Informed consent was obtained from each study participant.

RESULTS

Prevalence and severity of COPD subjects

We assessed 4,703 subjects aged ≥ 40 yr (mean age, 54.4 yr); of these 3,442 (73.2%) were assessed by spirometry, and 2,501

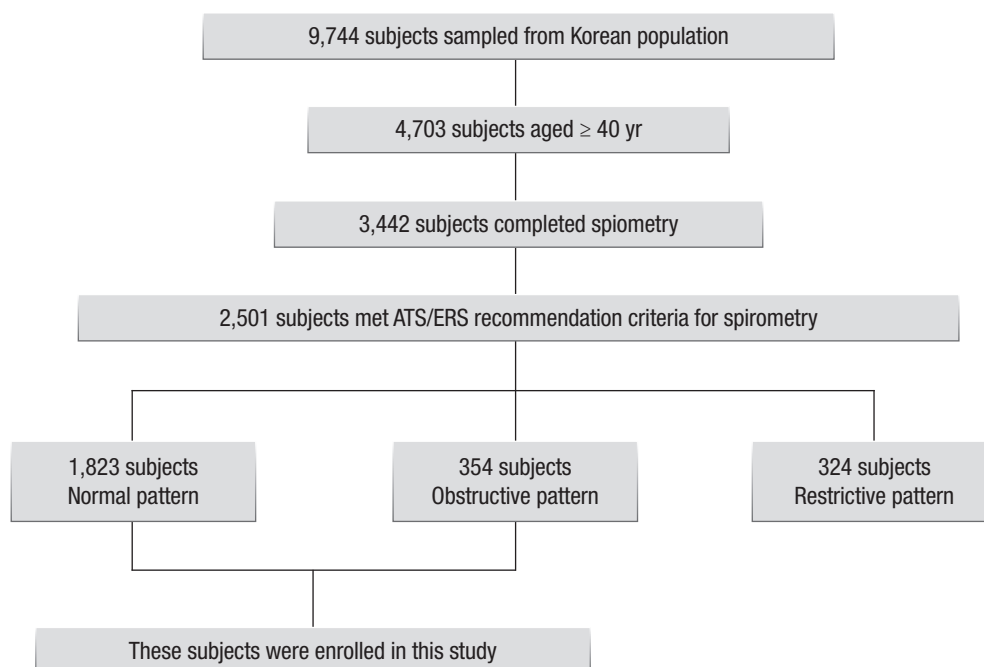


Fig. 1. Flow of inclusion and exclusion.

Table 1. Characteristics of subjects

Parameters	Total subjects			Subgroups according to age						Subgroups according to smoking history					
			P value	40-59 yr			≥ 60 yr			Never smoker			Ever smoker		
	Non-COPD	COPD		Non-COPD	COPD	P value	Non-COPD	COPD	P value	Non-COPD	COPD	P value	Non-COPD	COPD	P value
Gender (male)	673 (36.9)	238 (67.2)	< 0.01	520 (41.0)	68 (66.7)	< 0.01	231 (43.3)	231 (43.3)	< 0.01	95 (8.3)	28 (24.6)	< 0.01	575 (85.9)	209 (87.8)	0.47
Mean age, yr (SD)	54.4 (10.3)	64.6 (10.1)	< 0.01	48.6 (5.6)	51.6 (5.7)	< 0.01	67.5 (5.6)	69.7 (5.8)	< 0.01	55.2 (10.5)	64.7 (10.8)	< 0.01	52.9 (9.9)	64.6 (9.7)	< 0.01
Income*	< 0.01			0.02			0.05			< 0.01			< 0.01		
percentile	346 (19.4)	136 (41.0)		115 (9.2)	16 (16.7)		231 (43.3)	120 (50.8)		258 (23.0)	44 (41.9)		88 (13.4)	91 (40.3)	
percentile	1,435 (80.6)	196 (59.0)		1,132 (90.8)	80 (83.3)		303 (56.7)	116 (49.2)		865 (77.0)	61 (58.1)		568 (86.6)	135 (59.7)	
Smoking	< 0.01			< 0.01			< 0.01			< 0.01			< 0.01		
Never smoker	1,150 (63.2)	114 (32.4)		761 (60.1)	32 (31.7)		389 (70.3)	82 (32.7)							
Ever smoker	669 (36.7)	238 (67.6)		505 (39.9)	69 (68.3)		164 (29.7)	169 (67.3)							
History of pulmonary tuberculosis	95 (5.2)	58 (16.4)	< 0.01	63 (5.0)	21 (20.8)	< 0.01	32 (5.8)	37 (14.7)	< 0.01	45 (3.9)	18 (15.8)	< 0.01	50 (7.5)	40 (16.8)	< 0.01
Anemia	167 (9.4)	25 (7.3)	0.36	110 (8.9)	4 (4.0)	0.09	57 (10.5)	21 (8.6)	0.41	137 (12.2)	10 (9.2)	0.35	30 (4.6)	15 (6.4)	0.26
Allergic rhinitis	222 (12.2)	36 (10.2)	0.26	179 (14.1)	17 (16.8)	0.45	43 (7.8)	19 (7.5)	0.91	103 (90.4)	11 (9.6)	0.52	88 (13.2)	25 (10.5)	0.29
Arthritis	486 (26.7)	95 (26.9)	0.43	224 (17.7)	22 (21.8)	0.30	262 (47.3)	73 (29.0)	< 0.01	384 (33.4)	48 (42.1)	0.06	101 (15.1)	47 (19.7)	0.10
Coronary heart disease	62 (3.4)	10 (2.8)	0.58	25 (2.0)	0		37 (6.7)	10 (4.0)	0.13	28 (2.4)	1 (0.9)	0.29	34 (5.1)	9 (3.8)	0.42
Chronic renal failure	8 (0.4)	2 (0.6)	0.41	5 (0.4)	0		3 (0.5)	2 (0.8)	0.65	5 (0.4)	1 (0.9)	0.51	3 (0.4)	1 (0.4)	0.96
Depression	317 (17.4)	66 (18.7)	0.37	198 (15.6)	13 (12.9)	0.46	119 (21.5)	53 (21.0)	0.89	246 (21.4)	31 (27.2)	0.15	70 (10.5)	35 (14.7)	0.08
Diabetes mellitus	206 (11.5)	57 (16.6)	0.02	110 (8.8)	13 (13.0)	0.17	96 (17.7)	44 (18.1)	0.89	119 (10.6)	19 (17.4)	0.03	86 (13.1)	38 (16.3)	0.22
Dyslipidemia	679 (38.0)	109 (31.7)	0.045	414 (33.3)	23 (23.0)	0.04	265 (48.7)	86 (35.2)	< 0.01	246 (21.4)	31 (27.2)	0.15	239 (36.3)	72 (30.9)	0.14
Hypertension	601 (33.0)	139 (39.4)	0.02	322 (25.4)	27 (26.7)	0.77	279 (50.4)	112 (44.4)	0.12	360 (31.3)	43 (37.7)	0.16	239 (35.8)	96 (40.3)	0.21
Lung cancer	0	1 (0.3)	NA	0	0	NA	0	1 (0.4)	NA	0	0	NA	0	1 (0.4)	NA
GI ulcer	125 (6.9)	41 (11.6)	0.01	73 (5.8)	10 (9.9)	0.09	52 (9.4)	31 (12.3)	0.21	66 (5.7)	11 (9.6)	0.10	59 (8.8)	30 (12.6)	0.09
Body mass index	< 0.01			< 0.01			< 0.01			< 0.01			< 0.01		
< 18.5 kg/m ²	18 (1.0)	20 (5.7)		11 (0.9)	3 (3.0)		7 (1.3)	17 (6.9)		9 (0.8)	6 (5.4)		9 (1.3)	14 (6.0)	
18.5-25 kg/m ²	1,135 (62.4)	247 (70.8)		800 (63.1)	75 (74.3)		335 (60.6)	172 (69.4)		739 (64.4)	70 (62.5)		393 (58.7)	175 (74.5)	
> 25 kg/m ²	667 (36.6)	82 (23.5)		453 (36.0)	23 (22.8)		211 (38.2)	59 (23.8)		399 (34.8)	36 (32.1)		267 (39.9)	46 (19.6)	
Osteoporosis	185 (10.1)	28 (7.9)	0.20	49 (3.9)	3 (3.0)	0.65	136 (24.5)	25 (9.9)	< 0.01	166 (14.4)	19 (16.7)	0.52	19 (2.8)	9 (3.8)	0.47

Individuals aged ≥ 40 yr and a forced expiratory volume in 1 second (FEV₁)/forced vital capacity (FVC) ratio < 0.7 (airflow obstruction) were retrospectively selected from the database of the 4th Korean National Health and Nutrition Examination Survey. *House income was divided into quartiles, with income compared with the lowest quartile. Patients who had consumed fewer than 5 packs of cigarettes during their lifetime were defined as never-smokers; those who had consumed more than 5 packs were defined as smokers. Patients with allergic rhinitis, arthritis, coronary heart disease, GI ulcer, depression, osteoporosis, and a history of pulmonary tuberculosis were defined as having the respective disease for ≥ 6 months after diagnosis by a health professional. Anemia was defined as subjects on medication or meeting the criteria of hemoglobin < 13 g/dL (men), < 12 g/dL (nonpregnant women), < 11 g/dL (pregnant women). Underweight and overweight were defined as body mass indexes (BMI) < 18.5 kg/m² and ≥ 25 kg/m², respectively; diabetes as fasting blood glucose ≥ 126 mg/dL, dyslipidemia as low density lipoprotein cholesterol ≥ 130 mg/dL, and hypertension as diastolic blood pressure ≥ 90 mmHg. Data are presented as number of subjects, with percentages in parentheses, except for age, which is presented as mean and standard deviation in parentheses. P value comparing individuals with normal and obstructive spirometry results, using the chi-square test. NA, not available; GI, gastrointestinal.

(53.2%) met the ATS/ERS criteria for acceptability and reproducibility. Of these 2,501 subjects 1,823 (71.9%; 36.9% male; 63.1% female) were normal and 354 (10.4%; 67.2% male; 32.8% female) had COPD, defined as $FEV_1/FVC < 0.7$, most of mild to moderate severity (Fig. 1).

Comorbidities in COPD subjects

Table 1 compares the characteristics of normal and COPD subjects. The rates of diabetes, hypertension, gastrointestinal ulcers

and underweight were higher, and the rate of dyslipidemia was lower, in COPD than in normal subjects. A multivariate analysis, after adjustment for gender, age, income, smoking and other comorbidities, to identify comorbidities independently associated with COPD showed that underweight (OR, 3.07; 95% CI, 1.05-8.98) was significantly more prevalent, and coronary heart disease (OR, 0.43; 95% CI, 0.20-0.93) and dyslipidemia (OR, 0.61; 95% CI, 0.45-0.82) were significantly less prevalent in COPD than in non-COPD subjects. Male sex, older age, lower income and smoking were also independently associated with COPD (Fig. 2).

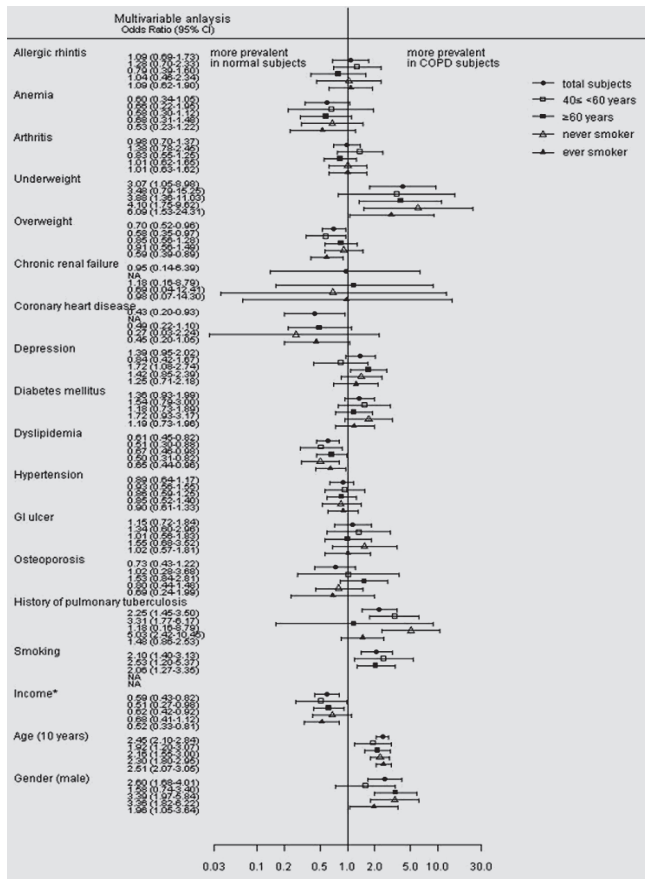


Fig. 2. Odds ratios of comorbidities for COPD. Odds ratios for COPD were calculated for males (vs females), age in 10 yr intervals, and income relative to the lowest quartile. Results are reported as odds ratios and 95% confidence intervals from a logistic regression model fully adjusted for gender, age, income, cigarette smoking and comorbidities as dependent variables. Patients who had consumed fewer than 5 packs of cigarettes during their lifetime were defined as never-smokers; those who had consumed more than 5 packs were defined as smokers. Patients with allergic rhinitis, arthritis, coronary heart disease, GI ulcer, depression, osteoporosis, and a history of pulmonary tuberculosis were defined as having the respective disease for ≥ 6 months after diagnosis by a health professional. Anemia was defined as subjects on medication or meeting the criteria of hemoglobin < 13 g/dL (men), < 12 g/dL (nonpregnant women), < 11 g/dL (pregnant women). Underweight and overweight were defined as body mass indexes (BMI) < 18.5 kg/m² and ≥ 25 kg/m², respectively; diabetes as fasting blood glucose ≥ 126 mg/dL, dyslipidemia as low density lipoprotein cholesterol ≥ 130 mg/dL, and hypertension as diastolic blood pressure ≥ 90 mmHg. ● Odds ratios of total COPD subjects compared with normal subjects, □ Odds ratios of middle-aged COPD subjects (40 \leq and < 60 yr) compared with normal subjects, ■ Odds ratios of older COPD subjects (≥ 60 yr) compared with normal subjects, △ Odds ratios of COPD of never smoker compared with normal subjects, ▲ Odds ratios of COPD with ever smoker compared with normal subjects. NA, not available; GI, gastrointestinal.

Comorbidities in COPD subjects aged 40-59 and ≥ 60 yr

Underweight was more prevalent and dyslipidemia was less prevalent in COPD subjects aged 40 to 59 yr than in non-COPD subjects after adjustment for gender, age, income, smoking and other comorbidities. Underweight and depression were more prevalent and dyslipidemia was less prevalent in COPD than non-COPD subjects aged ≥ 60 yr (Fig. 2).

COPD comorbidities in subgroups of never smokers and smokers

Underweight was more prevalent and dyslipidemia was less prevalent in both smokers and never smokers with than without COPD, after adjustment for gender, age, income and other comorbidities (Fig. 2).

Comorbidities in COPD according to the lower limits of normal criteria and non-asthmatic subjects

We analyzed the comorbidities of COPD using the lower limit of normal (LLN) criteria in the Korean population. COPD (LLN) was diagnosed after adjustment for gender, age, height, weight and other risk factors and comorbidities. Using these criteria, we found that underweight was significantly more prevalent and dyslipidemia was less prevalent in COPD than non-COPD subjects.

We also analyzed the comorbidities of COPD in subjects with airflow obstruction but without asthma as diagnosed by a physician. Underweight was more prevalent and dyslipidemia was less prevalent in COPD than non-COPD subjects after adjustment for gender, age, income and other comorbidities.

DISCUSSION

Our findings showed that underweight was significantly more prevalent, whereas coronary heart disease and dyslipidemia were less prevalent, in Korean subjects with than without COPD. To our knowledge, this study is the first population based-survey to identify the associations between COPD and its comorbidities, after adjustment for gender, age, income and other comorbidities, in an Asian population.

We found that the incidence of underweight might be higher in Asian than in Western COPD subjects (6). The mean BMI of COPD subjects in China and the Philippines was 23.3 kg/m² and 24.9 kg/m², respectively, whereas the mean BMI of COPD subjects in Western countries, including Austria, Germany, Canada and the USA, was over 25 kg/m². These differences are likely due to differences in race and environmental and nutritional factors, suggesting the need for additional epidemiologic studies in Asian populations (7).

Cardiovascular heart disease is an important cause of death and hospitalization in COPD patients. Sin et al. have shown that moderate and severe COPD is associated with increased occurrence of ischemic changes on electrocardiogram (8). In the Towards a Revolution in COPD Health (TORCH) clinical trial, 27% of all deaths in subjects with moderate to severe COPD (FEV₁ < 60%) were directly attributable to cardiovascular events (9). Risk factors for COPD-associated atherosclerotic cardiovascular effects include older age, male sex, smoking, dyslipidemia and hypertension, with low grade systemic inflammation being the most prominent link between COPD and cardiovascular disease.

However, in our study coronary heart disease was less prevalent in COPD than non-COPD subjects and this was true even after adjustment for age, sex, smoking and comorbidities. This result might be due to the different characteristics of study subjects who were randomly selected from a Korean population and among whom most showed milder airflow obstruction, with only 22 (6.6%) having an FEV₁ below 50%.

Being similar to our result a study reported that COPD with mild and moderate airflow obstruction was not associated with ischemic heart disease (10). In China, cardiovascular disease was less prevalent in asymptomatic COPD subjects with less severe obstruction than in symptomatic COPD subjects (11).

Previous studies have reported that the prevalence of dyslipidemia was higher in COPD than non-COPD subjects (12). Although the mechanism has not been determined, it may be similar to that of cardiovascular disease. In the Kaiser Permanente Medical Care Program of Northern California, coronary artery disease and dyslipidemia diagnosed with International Classification of Disease, ninth revision is prevalent in COPD subjects having hospitalization than control subjects (13).

However, in our study dyslipidemia was less prevalent in COPD than non-COPD subjects and this was true even after adjustment for gender, age, income and other comorbidities, a finding similar to that in a Canadian study of COPD (14). The negative association between dyslipidemia and COPD in our population might be also due to the mild severity of airway obstruction in our subjects or/and due to racial/ethnic differences (10).

We compared severe to very severe COPD subjects with FEV₁ of predicted below 50% with normal subjects (data not shown in the result section). The mean LDL-C level of severe to very

severe COPD subjects was higher than that of normal subjects. A univariate analysis, dyslipidemia was more prevalent in severe to very severe COPD subjects than normal subjects, while dyslipidemia was less prevalent in mild to moderate COPD subjects with FEV₁ predicted above 50% than normal subjects. We did a similar comparison for the coronary heart disease (data not shown). We could not find the relation between severity of COPD and coronary heart disease probably because there were very few subjects who had both severe to very severe COPD and coronary heart disease in this study. Because this survey represented the general population in Korean, the proportion of COPD subjects with severe airflow obstruction is small. It was known that dyslipidemia is strongly associated with coronary heart disease. It can explain the negative of association between coronary heart disease and COPD in this population-based study. The large-scaled population based survey was needed including the medical record of subjects to analyze relation the severity of COPD and coronary heart disease.

We also performed subgroup analyses of older (≥ 60 yr) COPD subjects and never-smokers. We found that depression was significantly more prevalent in COPD than non-COPD subjects (≥ 60 yr) after adjustment for gender, age, income and other comorbidities. Among never-smokers, a history of pulmonary tuberculosis was significantly more prevalent in COPD than non-COPD subjects after these adjustments. Studies of patients with chronic diseases, such as coronary heart disease, diabetes mellitus and hypertension, have found that depression has adverse effects on poor functional performance and mortality (15). COPD has also been associated with depression in older subjects (16). Inflammatory cytokines such as IL-6 can affect the central nervous system, and TNF-α was found to be a biomarker for the association between systemic inflammation and depression in subjects with COPD (17).

Systemic inflammation may underlie the mechanism of comorbidities of COPD. Cigarette smoke can cause lung and systemic inflammation, systemic oxidative stress, marked changes in vasomotor and endothelial function and enhanced circulating concentrations of several pro-coagulant factors. Animal and in vitro models have shown that pulmonary inflammation can lead to systemic inflammation (18). Moreover, serum concentrations of markers of systemic inflammation, such as high-sensitivity C-reactive protein, were found to be higher in patients with COPD than in normal subjects (19).

Pulmonary tuberculosis remains one of the most important pulmonary diseases in Asia. This disease can destroy the lung parenchyma, causing airflow obstruction (20). In addition, bronchial stenosis may result from endobronchial involvement of tuberculosis. Damage to the parenchyma and airways due to pulmonary tuberculosis can result in airflow limitation. We therefore analyzed comorbidities of COPD after adjustment for a history of pulmonary tuberculosis.

Our study had several strengths, including its use of data from a nationwide survey, which was designed systematically using a stratified random sampling of the Korean population and was performed by well-trained interviewers and medical professionals. In addition, our use of criteria for acceptability and reproducibility resulted in high quality control in the performance of spirometry. We also analyzed the comorbidities of COPD by multivariate regression analysis after adjustment for gender, age, income and other comorbidities. This likely minimized the effects of confounders and interactions with other chronic diseases.

Nevertheless, this study had some limitations. First, its cross-sectional design limited our ability to establish causal relationships between variables. Second, we did not obtain results of chest radiography. Because airflow obstruction may be caused by other diseases, such as bronchiectasis and pulmonary tuberculosis, we may have overestimated the number of subjects with COPD. Third, we utilized data from pre-bronchodilator tests to diagnose COPD and its severity. The fifth KHANES is being conducted using of bronchodilator and airflow obstruction defined by the relative lower limits of normal. So the comorbidities could be defined more clearly with the future analysis of the fifth KHANES data.

In conclusion, underweight might be more prevalent but coronary heart disease and dyslipidemia are less prevalent in Koreans with than without COPD in population setting.

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