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Plaque characteristics and arterial remodeling in coronary and peripheral arterial systems

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Abstract

Background—Few studies have examined plaque characteristics among multiple arterial beds in vivo. The purpose of this study was to compare the plaque morphology and arterial remodeling between coronary and peripheral arteries using gray-scale and radiofrequency intravascular ultrasound (IVUS) at clinical presentation.

Methods and Results—IVUS imaging was performed in 68 patients with coronary and 93 with peripheral artery lesions (29 carotid, 50 renal, and 14 iliac arteries). Plaques were classified as fibroatheroma (VH-FA) (further subclassified as thin-capped [VH-TCFA] and thick-capped [VH-ThCFA]), fibrocalcific plaque (VH-FC) and pathological intimal thickening (VH-PIT). Plaque rupture (13% of coronary, 7% of carotid, 6% of renal, and 7% of iliac arteries; P=NS) and VHTCFA (37% of coronary, 24% of carotid, 16% of renal, and 7% of iliac arteries; p=0.02) were observed in all arteries. Compared with coronary arteries, VH-FA was less frequently observed in renal ($p<0.001$) and iliac arteries ($p<0.006$). Lesions with positive remodeling demonstrated more characteristics of VH-FA in coronary $(84\% \text{ vs. } 25\%, \text{ p} < 0.001)$, carotid (72% vs. 20%, p=0.001), and renal arteries $(42\% \text{ vs. } 4\%, \text{ p=0.001})$ compared with those with intermediate/negative remodeling. There was positive relationship between RI and percent necrotic area in all four arteries.

Conclusions—Atherosclerotic plaque phenotypes were heterogeneous among four different arteries; renal and iliac arteries had more stable phenotypes compared with coronary artery. In contrast, the associations of remodeling pattern with plaque phenotype and composition were similar among the various arterial beds.

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Keywords

atherosclerosis; intravascular ultrasound; plaque morphology; peripheral artery disease; coronary artery disease

1. Introduction

Atherosclerosis is a systemic process with manifestations in multiple arterial beds. Given the rising prevalence of peripheral artery disease (PAD) [1], understanding differences in plaque characteristics and clinical presentation may have implications for treatment and prevention of cardiovascular disease.

A previous postmortem study showed that foam cell lesions and lipid core plaques were highly prevalent in coronary and carotid arteries, while fibrous plaques dominated severe atherosclerosis in femoral arteries [2]. However, few studies have compared plaque characteristics in multiple arterial beds in vivo. We have recently reported a comparative analysis of atherosclerotic plaques between the coronary and the renal arterial beds [3]. The purpose of this study was to extend those previous observations and to test the hypothesis that there is a heterogeneous manifestation of atherosclerosis in different human arterial beds. In order to address our hypothesis we compared plaque morphology and arterial remodeling between coronary and peripheral arteries using gray-scale and radiofrequency intravascular ultrasound.

2. Methods

2.1 Patients

The Mayo Clinic Institutional Review Board approved this study protocol. Between May 2008 and June 2011, 256 interventional and diagnostic IVUS for left main coronary artery (LMCA) and peripheral arteries (carotid artery, renal artery, and iliac artery) were performed for clinical purposes. The decision to perform IVUS examination was based on the discretion of the operator. Seventy-eight patients with LMCA lesions, 34 with extracranial internal carotid artery (ICA) lesions, 66 with renal lesions and 18 with iliac lesions, met the following criteria: 1) atherosclerotic stenotic lesions in at least any one of LMCA, ICA, main renal arteries or iliac arteries; and 2) adequate image quality. Patients were excluded if they had 1) restenosis lesions (12 renal and 2 iliac lesions); 2) ostial and bifurcation lesions with image distortion due to non-coaxial IVUS transducer position (3 LMCA, 2 ICA and 2 renal lesions); 3) lesions without reliable reference segments (7 LMCA lesions and 2 renal lesions); 4) lesions with extensive calcification that limit the quantitative assessment of vessel area [4] (3 ICA and 2 iliac lesions), and 5) lesions with angiographically determined thrombus (2 LMCA lesions). A total of 161 lesions (68 LMCA, 29 ICA, 50 renal, and 14 [9 common and 5 external] iliac artery lesions) from 161 patients were included. Clinical characteristics including coronary risk factors were investigated. Hypertension was defined as systolic blood pressure 140 mm Hg, diastolic blood pressure ≥90 mm Hg, or use of anti-hypertensive drugs. Dyslipidemia was defined as a present or past history of low-density lipoprotein cholesterol level ≥130 mg/dl, HDL <40 mg/dl, total cholesterol 200 mg/dl, or triglycerides 150 mg/dl. Serum creatinine and fasting lipid levels were measured before the angiographic procedure.

2.2 Images Acquisition

Angiography was performed followed by both gray-scale and virtual histology intravascular ultrasonography (VH-IVUS). All patients received intravenous heparin (100 U/kg) before

the procedure. After intraarterial administration of 100–200 µg of nitroglycerin, IVUS was performed with a phased-array 20 MHz IVUS catheters and the S5 Imaging System (Eagle-Eye Gold, Volcano Corporation, Rancho Cordova, California). After the IVUS catheter was advanced distally beyond the lesion over a guidewire under fluoroscopic control, manual

pullback was used for the IVUS catheter. The gray-scale IVUS and captured radiofrequency data were recorded onto a DVD-R and analyses were performed offline using dedicated software [5].

2.3 IVUS Image Analyses

Images were interpreted offline by two experienced observers blinded to baseline patient characteristics in an IVUS Imaging center at our institution as previously described [3,6]. Analysis of the medial-adventitial and luminal borders in each analyzable cross-sectional frame for the entire pullback length was performed by IVUS core laboratory personnel. Two commercial software (IVUSLab software and pcVH 2.2, Volcano Corporation, Rancho Cordova, California) were used to reconstruct IVUS B-mode images from the radiofrequency data and to calculate geometric and composition data for each IVUS frame. The target lesion site image slice was the slice with the smallest lumen cross sectional area (CSA). If there were several slices with equal lumen CSA, the one with the largest external elastic membrane (EEM) area and plaque CSA was analyzed [4]. Automatically detected lumen and vessel border contours were manually corrected. A reference image slice was selected as the most normal-looking cross section distal or proximal to the target lesion without any intervening large side branch. At each image slice, EEM, lumen CSA, and plaque plus media (P+M) CSA were measured. The plaque burden was calculated as P+M CSA divided by EEM CSA. Remodeling of target lesion was determined using the remodeling index (RI), calculated as the EEM area of the target lesion site divided by that of the reference site. Positive remodeling (PR) was defined as a RI $\,$ 1.05 and intermediate/ negative remodeling (IR/NR) as an RI<1.05. Plaque rupture was defined as the presence of a cavity that communicated with the lumen with an overlying residual fibrous cap fragment [7]. Composition data were color-coded as follows: fibrous tissue (dark green areas), fibrofatty tissue (light-green areas), necrotic core (red areas), and dense calcium (white areas). Interobserver and intraobserver variability of IVUS data were shown elsewhere [3].

2.4 Plaque Classification

We utilized the following plaque classifications according to previously published VH-IVUS classifications [8]: 1) Fibroatheroma (VH-FA): plaque burden>40%, confluent necrotic core>10% plaque cross-sectional area, all for 3 consecutive frames. 2) Thin-capped fibroatheroma (VH-TCFA): fibroatheroma with the confluent necrotic core (>10% of plaque cross-sectional area) in contact with vessel lumen for 3 consecutive frames. 3) Thick-capped fibroatheroma (VHThCFA): fibroatheroma (>10% of confluent necrotic core for 3 consecutive frames) not fulfilling VH-TCFA conditions. 4) Fibrocalcific plaque (VH-FC): plaque with dense calcium >10% plaque cross-sectional area in 3 consecutive frames, not meeting VH-FA definition. 5) Pathological intimal thickening (VH-PIT): plaque not meeting VH-FA or VH-FC definitions and predominantly fibrous tissue.

2.5 Statistical Analysis

Continuous variables were presented as mean \pm SD and categorical variables were expressed as frequencies. For continuous variables, one-way analysis of variance (ANOVA) or Kuruskal-Wallis test (in case of skewed data) were used for multiple comparisons among groups. For categorical variables, comparisons were performed using chi-square statistics. If a significant difference was found with ANOVA, Kruskal-Wallis or chi-square test, a post hoc multiple comparisons between coronary artery and each peripheral artery were performed using Dunnett's test or Steel-Dwass test for continuous variables and Bonferroni

correction for categorical variables. The correlation between RI and plaque components was evaluated by Spearman's rank correlation analysis. Statistical analysis was performed with JMP version 9.0 (SAS Institute, Cary, North Carolina). A value of $P < 0.05$ for initial assessment was considered significant. In post hoc analysis, P values <0.016 were considered statistically significant.

3. Results

3.1 Clinical Characteristics

Table 1 shows clinical characteristics and laboratory data of our patients. Eleven (16%) patients with coronary artery disease presented with acute coronary syndrome and 57 (84%) presented with stable angina. Five patients (17%) with carotid artery disease had symptomatic stenosis and 24 (83%) had asymptomatic stenosis. All patients with carotid artery disease had been diagnosed with stenosis greater than or equal to 70% by carotid duplex (n=16), magnetic resonance angiography (n=11), or computed tomography angiography (n=3) prior to catheterization and they were planned for carotid artery stenting. Thirty five (70%) patients with renal artery disease had refractory hypertension and 6 (12%) had unexplained renal failure and 9 (18%) had a history of flash pulmonary edema. All patients with iliac artery disease had chronic lower extremity ischemia. According to the Rutherford classification [9], 4 (29%) patients were graded as category 0, 1 (7%) as category 1, 4 (29%) as category 2, 5 (36%) as category 3, none as category 4 and 5. IVUS was used as an adjunct to subsequent catheter intervention in 6 (9%) patients with coronary, 29 (100%) with carotid, 45 (90%) with renal, and 7 (50%) with iliac artery disease. In the other cases, IVUS was used to make another therapeutic decision such as surgery or medical treatment.

3.2 Angiographic and IVUS findings

IVUS gray-scale parameters were shown in Table 2. Plaque ruptures were demonstrated (Appendix A) in all the arteries.

3.3 Plaque Phenotype and Composition

The distribution of plaque phenotypes assessed by VH-IVUS (Appendix B) was different in the four arterial beds $(p<0.001,$ Figure 1). Compared with coronary artery, carotid artery plaques did not show significant difference (p=0.53). Plaques demonstrated less characteristics of VH-FA in renal ($p<0.001$) and iliac arteries ($p=0.006$) compared with coronary arteries. Renal arteries had the highest prevalence of VH-PIT (43%) and the lowest prevalence of VH-FC (18%). In iliac arteries, half of the plaques (50%) were VH-FC.

The percent area occupied by each plaque component at the minimal lumen site was shown in Figure 2. Significant differences were observed in the percent area of necrotic core $(p<0.001)$ and dense calcium $(p=0.002)$ in the four arteries.

3.4 Plaque Characteristics and Remodeling Pattern

The distribution of plaque phenotypes was different between PR and IR/NR groups in coronary (p<0.001), carotid (p=0.01), renal (p=0.01) and iliac arteries (p=0.04) (Figure 3). Compared with lesions with IR/NR, those with PR demonstrated more characteristics of VH-FA in coronary ($p<0.001$), carotid ($p=0.001$) and renal arteries ($p=0.001$). Similar observations were made regarding VH-TCFA (coronary; p=0.01, carotid; p=0.04, and renal arteries; p=0.02). In iliac arteries, more than half (64%) of IR/NR lesions were VH-FC. With regard to plaque composition, PR lesions had greater percent necrotic core area than IR/NR lesions (16 \pm 5% vs. 10 \pm 5%, p<0.05) in coronary arteries.

There was a positive linear relationship between RI and percent necrotic core area in coronary arteries $(r=0.26, p=0.03)$. No relationship was observed between RI and other plaque components in coronary arteries (fibrous tissue; r=−0.51, p=0.68, fibrofatty tissue; r= −0.93, p=0.45, dense calcium; r=−0.12, p=0.32). Similarly, RI were correlated with percent necrotic core area (r=0.40, p=0.03) but not with the other components (fibrous tissue; r=0.23, p=0.22, fibrofatty tissue; r=−0.33, p=0.08, dense calcium; r=−0.18, p=0.35) in carotid, renal (necrotic core; r=0.41, p=0.003, fibrofatty tissue; r=−0.38, p=0.01 and fibrous tissue; $r=0.21$, $p=0.14$, dense calcium; $r=0.19$, $p=0.19$) and iliac arteries (necrotic core; r=0.66, p=0.01 and fibrous tissue; r=−0.04, p=0.91, fibrofatty tissue; r=−0.47, p=0.09, dense calcium; $r=0.29$, $p=0.32$). Thus, in all four arterial beds, there was a significant correlation between the necrotic core and RI.

3.5 Gender Difference

Plaque morphology was compared in each arterial bed according to gender (Appendix C). In coronary artery, women had smaller EEM CSA both in lesion site $(p=0.008)$ and reference segment ($p=0.006$) and had tendency to have less plaque rupture ($p=0.19$). In carotid artery, women had smaller plaque burden than men $(p=0.03)$. Remodeling index in women was higher than men in carotid artery ($p=0.007$) and tended to be higher in renal artery ($p=0.12$). There was no statistical difference regarding plaque phenotype and composition assessed by VH-IVUS (Appendix D).

4. Discussion

The present in vivo study demonstrates for the first time that there are artery-specific differences in atherosclerotic plaque phenotype and composition, as well as similarities in the association of plaque remodeling with plaque components in four different arterial beds. Such heterogeneity in plaque composition may explain the differential clinical presentation of atherosclerosis in different arterial beds.

VH-FAs were relatively infrequently observed in renal and iliac arteries where stable plaque phenotypes such as VH-PIT and VH-FC were more often observed. These observations were in agreement with previous histological studies [2]. However, as apposed to previous studies, our data was based on the IVUS finding for the target lesions and the distribution of plaque phenotype was linked to clinical presentations of our patients. Thus, the current study extends these previous in vitro observations and confirmed these findings in vivo. Clinical manifestations are determined not only by the degree of obstructive atherosclerosis but also local and regional end-organ damage of the arterial territories. The primary pathophysiological mechanism in coronary and carotid arteries is atherothrombosis such as myocardial infarction or stroke. On the other hand, it is presumed that hypoperfusion is the predominant mechanism in renal artery disease. In our study subjects with renal artery disease, the predominant plaque phenotype at clinical presentation was VH-PIT (56%). Yet, intriguingly, 6% showed plaque rupture and 16% had VH-TCFA. These findings imply that atherothrombosis in renal artery might also play a role in the pathophysiology of hypertension and end-stage renal disease, although further studies are required. Most iliac artery diseases also manifested as stable phenotypes in this study subjects. However, Okura et al. [10] demonstrated that plaque ruptures in ilio-femoral lesion were observed in patients presenting with acute coronary syndrome. Hence, the plaque phenotypes could depend on patients' clinical status in peripheral artery disease as well.

There are a number of possible explanations for the heterogeneity of plaque phenotype. The underlying arterial structure could have a potential influence. The LMCA, proximal ICA, proximal renal artery and external iliac artery involve a transitional zone between elastic and muscular artery types [11,12], which are prone to foam cell lesions and lipid cores. In

addition, the underlying differences in hemodynamics such as non-uniform distribution and higher time-dependent wall shear rate in carotid compared with femoral arteries [13] might be etiologic [14]. Genetically, variable gene expression was demonstrated to be associated with plaque development and progression [15]. Moreover, higher density of vasa vasorum, a key factor in plaque destabilization [16)], was demonstrated in human coronary arteries compared with renal and femoral arteries [17]. Thus, a complex and multifactorial pathophysiological process may explain in part the heterogeneity on atherosclerosis in different arterial beds.

The present study shows the association of remodeling pattern with plaque phenotype and composition. These results extended findings of previous studies showing the positive relationship between necrotic core tissue and RI in coronary [18] and renal arteries [3] to patients with carotid and iliac diseases. Our results suggest that coronary and peripheral artery diseases may share a common pathophysiological process with regard to plaque remodeling. In a postmortem study, a strong association was demonstrated between plaque and vessel area and inflammatory markers such as macrophages and T lymphocytes [19,20]. In a clinical study using VH-IVUS, Kubo et al. [21] demonstrated the association of percentage of necrotic core with elevated C-reactive protein, suggesting the relationship between necrotic core and inflammatory activity of the coronary atherosclerotic plaque.

In the current study, a variety of baseline characteristics was observed among different arteries. Varied contributions of risk factors to plaque development and progression in different arterial beds might explain heterogeneous patient characteristics among arteries at clinical presentation [22]. It is plausible that younger age could be associated with unstable plaque morphology in coronary artery disease [23]. In addition, unequal distribution of serum lipid levels and statin therapy observed among groups could be confounding factor as statin treatment changes plaque composition toward a more stable phenotype [24]. However, high proportion of statin use in patients with carotid artery disease might not account for the trend toward unstable phenotype in the carotid arteries and the same holds true for iliac artery disease. Thus, various underlying patient characteristics as well as regional differences might have influence on plaque morphology and disease expression in different arterial beds.

While the distribution of plaque phenotypes and composition in men and women was comparable, women were more likely to have higher remodeling index in carotid and renal artery in the current study. These trends are different from those in coronary arteries in which there was no gender difference regarding remodeling pattern [25]. These findings should be confirmed in a larger number of patients.

Although therapeutic aspects of the plaque characteristics in PAD were not covered in the current study, clinical implications of assessing plaque morphology were suggested for coronary intervention in which the underlining plaque characteristics are associated with the vascular response [26], distal embolism [27], and restenosis [28] after stent implantation. Further clinical studies are needed to address the question whether these observations hold true for PAD.

There are several limitations in this study. The study cohort was sampled from patients who had IVUS study. This fact holds the potential of selection bias. Then, IVUS images were acquired from the target lesion. Therefore, plaque phenotypes in other arterial beds in the same patient were not determined. This study would not answer the question of the interaction among arterial beds and the regional differences in disease expression under the influence of systemic factors in the same individual. As the IVUS pullback imaging was performed by manual pullback, longitudinal information was not obtained. In addition, VH-

IVUS has limited accuracy for detecting TCFA because of its low axial resolution (100–150 μ m) to visualize a thin fibrous cap (<65 μ m) [29, 30]. Finally, validation study for VH-IVUS for renal and iliac artery has not established, although there is no reason to suspect that they would be different from the coronary or carotid artery techniques.

6. Conclusion

Atherosclerotic plaque phenotypes and composition were heterogeneous in four different arterial beds at clinical presentation; compared with coronary artery, renal and iliac arteries had more stable phenotypes. In contrast, the associations of remodeling pattern with plaque phenotype and composition were similarly observed among the various arterial beds. There is likely an underlying shared pathogenic mechanism of plaque remodeling. The current study also points to a potential underlying mechanism for the varied clinical presentation in different arterial beds.

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Appendix A

Examples of angiographic and corresponding gray-scale intravascular ultrasound (IVUS). Ruptured plaques in left main coronary artery (A), internal carotid artery (B), renal artery

(C), and iliac artery (D). Arrowheads in angiographic images show target lesions. Gray-scale IVUS images show a cavity (asterisk) that communicated with the lumen and fibrous cap (arrows) overlaying a lipid core.

Appendix B

Examples of Plaque Phenotypes Classified by VH-IVUS. (A) virtual histology-intravascular ultrasound (VH-IVUS) thin-capped fibroatheroma (VH-TCFA) in coronary artery, (B) thick-capped fibroatheroma (VH-ThCFA) in carotid artery, (C) pathological intimal thickening (VH-PIT) in renal artery, and (D) fibrocalcific plaque (VH-FC) in iliac artery.

Appendix C. Gray-scale IVUS parameters

CSA indicates cross-sectional area; EEM, external elastic membrane, and P+M, plaque plus media.

Appendix D. Virtual histology IVUS parameters

VH-TCFA indicates thin-capped fibroatheroma; VH-ThCFA, thick-capped fibroatheroma; VH-PIT, pathological intimal thickening; and VH-FC, fibrocalcific plaque.

- **•** Compared to coronary arteries, fibroatheroma was less frequently observed in renal and iliac arteries.
- **•** Positive remodeled lesions demonstrated more fibroatheroma in coronary, carotid, and renal arteries.
- **•** There was positive relationship between remodeling index and percent necrotic area in all four arteries.

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Figure 1.

The frequencies of plaque phenotypes in each vascularture. The distribution of plaque phenotypes was different among four vascular beds (P<0.001). Plaques demonstrated less characteristics of VH-FA (both VH-TCFA and VH-ThCFA) in renal (p<0.001 vs. coronary) and iliac arteries (p=0.006 vs. coronary). VH-FA= fibroatheroma; VH-TCFA=thin-capped fibroatheroma; VHThCFA= thick-capped fibroatheroma; PIT=pathological intimal thickening; and VH-FC=fibrocalcific plaque.

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Figure 2.

Plaque composition at the smallest lumen site in four arteries. Box-whisker plots displayed the percent area of (A) fibrous plaque, (B) fibro-fatty plaque, (C) necrotic core, and (D) dense calcium of the whole plaque area. Renal arteries had less percentage of necrotic core (**p<0.001 vs. coronary). Carotid (*p=0.04 vs. coronary) and renal arteries (*p=0.01 vs. coronary) had less percentage of dense calcium.

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Figure 3.

The distributions of plaque phenotypes stratified by remodeling mode in four arteries. The distributions of plaque phenotypes were different between positive and intermediate/ negative remodeled lesions in coronary ($p<0.001$), carotid ($p=0.01$), renal ($p=0.01$), and iliac arteries (p=0.04). Compared to intermediate/negative remodeled lesions, positive remodeled lesions demonstrated more characteristics of VH-FA (VH-TCFA and VH-ThCFA) in coronary (84% vs. 25%, $p<0.001$), carotid (72% vs. 20%, $p=0.001$), and renal arteries (42%) vs. 4%, p=0.001). VH-FA= fibroatheroma; VH-TCFA= thin-capped fibroatheroma; VH-ThCFA= thick-capped fibroatheroma; VH-PIT=pathological intimal thickening; and VH-FC=fibrocalcific plaque.

HDL indicates high-density lipoprotein; ICE, ischemic cardiac events; LDL, lowdensity lipoprotein; and PAD, peripheral artery disease. HDL indicates high-density lipoprotein; ICE, ischemic cardiac events; LDL, lowdensity lipoprotein; and PAD, peripheral artery disease.

 $*$ p<0.0167 vs. coronary artery. p<0.0167 vs. coronary artery.

Table 2

Gray-scale IVUS Parameters of Culprit Lesions in Four Arteries Gray-scale IVUS Parameters of Culprit Lesions in Four Arteries

p<0.0167 vs. coronary arter

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