

# Heterozygous *TBK1* mutations impair TLR3 immunity and underlie herpes simplex encephalitis of childhood

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**Childhood herpes simplex virus-1 (HSV-1) encephalitis (HSE) may result from single-gene inborn errors of TLR3 immunity. TLR3-dependent induction of IFN- $\alpha/\beta$  or IFN- $\lambda$  is crucial for protective immunity against primary HSV-1 infection in the central nervous system (CNS). We describe here two unrelated children with HSE carrying different heterozygous mutations (D50A and G159A) in *TBK1*, the gene encoding TANK-binding kinase 1, a kinase at the crossroads of multiple IFN-inducing signaling pathways. Both mutant *TBK1* alleles are loss-of-function but through different mechanisms: protein instability (D50A) or a loss of kinase activity (G159A). Both are also associated with an autosomal-dominant (AD) trait but by different mechanisms: haplotype insufficiency (D50A) or negative dominance (G159A). A defect in polyinosinic-polycytidylic acid-induced TLR3 responses can be detected in fibroblasts heterozygous for G159A but not for D50A *TBK1*. Nevertheless, viral replication and cell death rates caused by two TLR3-dependent viruses (HSV-1 and vesicular stomatitis virus) were high in fibroblasts from both patients, and particularly so in G159A *TBK1* fibroblasts. These phenotypes were rescued equally well by IFN- $\alpha$ 2b. Moreover, the IFN responses to the TLR3-independent agonists and viruses tested were maintained in both patients' peripheral blood mononuclear cells and fibroblasts. The narrow, partial cellular phenotype thus accounts for the clinical phenotype of these patients being limited to HSE. These data identify AD partial *TBK1* deficiency as a new genetic etiology of childhood HSE, indicating that *TBK1* is essential for the TLR3- and IFN-dependent control of HSV-1 in the CNS.**

HSV-1 encephalitis (HSE) remained a lethal disease for four decades after the establishment of its viral etiology in 1941 (Whitley, 2006). The advent of the antiviral drug acyclovir in 1981 greatly decreased mortality rates in children with HSE, but severe neurological

sequelae are still commonly observed in surviving patients (Whitley et al., 1986). Childhood HSE occurs during primary infection with HSV-1, a double-stranded DNA (dsDNA) virus

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Abbreviations used: AD, autosomal dominant; AR, autosomal recessive; CNS, central nervous system; EMCV, encephalomyocarditis virus; HSE, HSV-1 encephalitis; MEF, mouse embryonic fibroblast; MOI, multiplicity of infection; mRNA, messenger RNA; poly(I:C), polyinosinic-polycytidylic acid; RT-qPCR, quantitative RT-PCR; SNP, single nucleotide polymorphism; ssRNA, single-stranded RNA; SV40, simian virus 40; VSV, vesicular stomatitis virus.

M. Ciancanelli and Y.-H. Ou contributed equally to this paper.

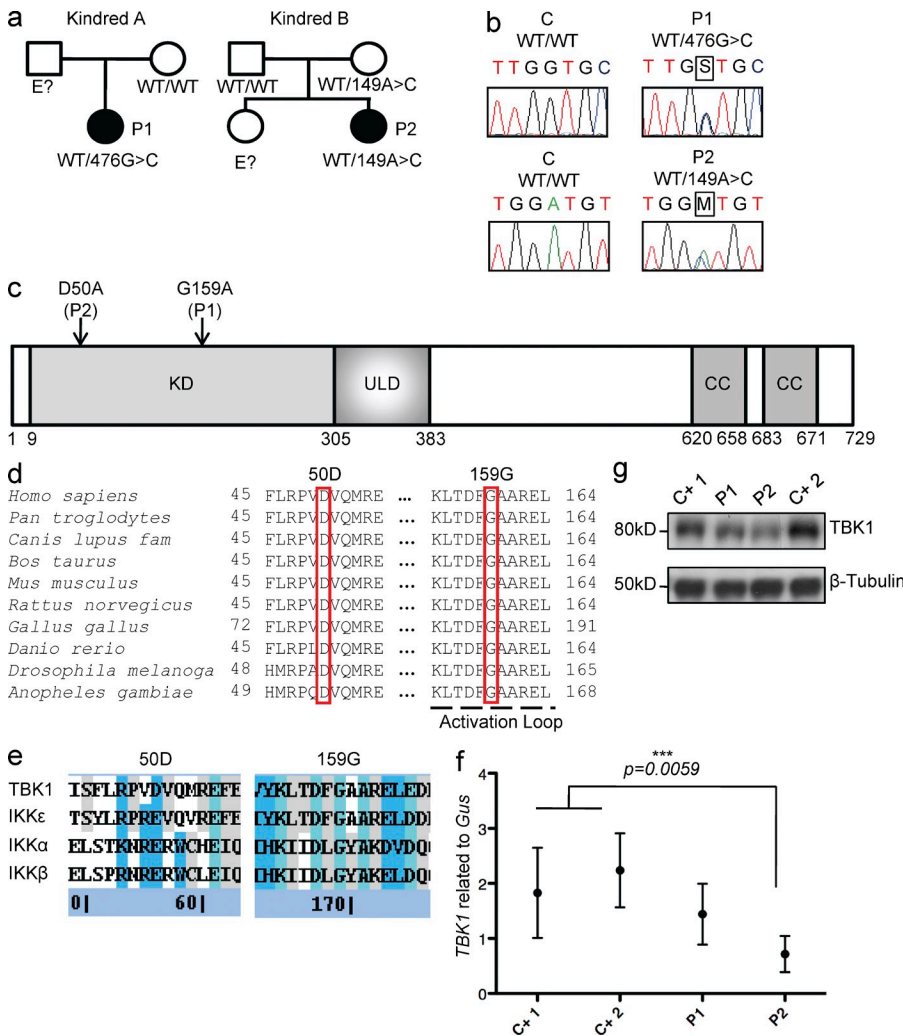
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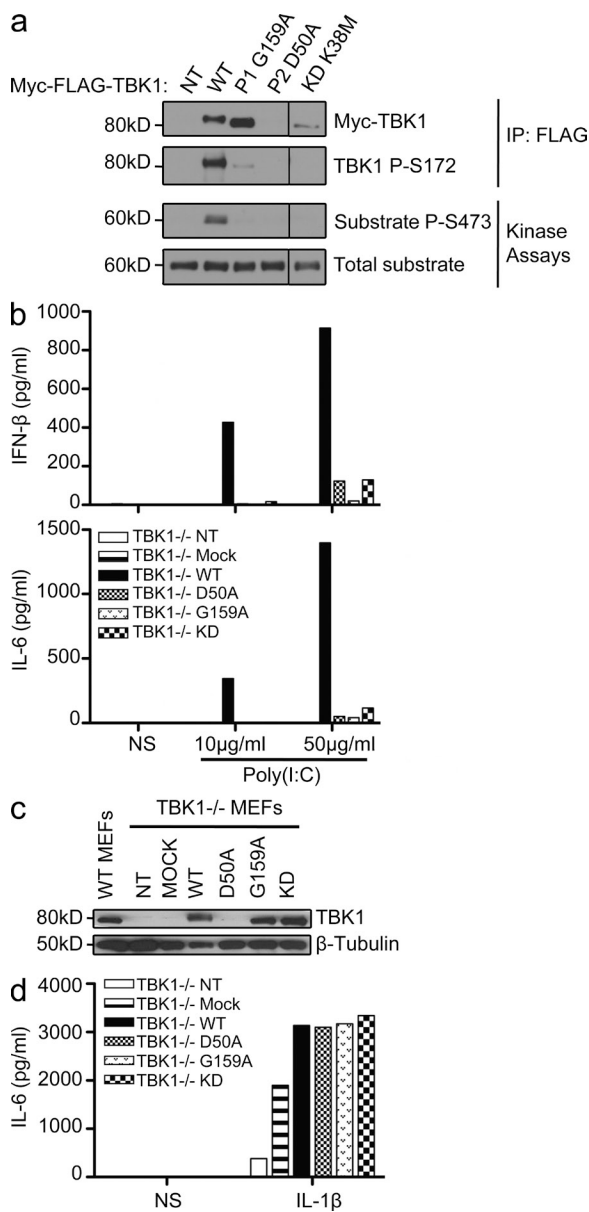
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of the Herpesviridae family. HSV-1 follows a neurotropic infection route, as the virus reaches the central nervous system (CNS) from the nasal or oral epithelium via the cranial nerves, by retrograde axonal transport in the olfactory or trigeminal nerve (De Tiège et al., 2008; Abel et al., 2010). HSE is restricted to the CNS, with no detectable viremia or lesions of other tissues. About 85% of young adults worldwide are seropositive for HSV-1, and the vast majority are asymptomatic or present only benign herpes labialis (Stanberry et al., 1997). The incidence of HSE is ~1–2 cases per 500,000 individuals per year (Whitley and Kimberlin, 2005). The occurrence of HSE, which is not epidemic, has never been shown to be associated with any particular HSV-1 isolate. HSE is nonetheless the most common sporadic viral encephalitis in the Western world. Childhood HSE thus remained a rare, unexplained, and devastating complication of primary infection with HSV-1, until our discoveries of single-gene inborn errors of TLR3 immunity in some children with HSE (Casrouge et al., 2006; Zhang et al., 2007, 2008; Pérez de Diego et al., 2010; Guo et al., 2011; Sancho-Shimizu et al., 2011b).

We have reported children with isolated HSE caused by autosomal-recessive (AR) UNC-93B deficiency (Casrouge et al., 2006), autosomal-dominant (AD) TLR3 deficiency (Zhang et al., 2007), AR TLR3 deficiency (Guo et al., 2011), AD TRAF3 deficiency (Pérez de Diego et al., 2010), AD TRIF deficiency, and AR TRIF deficiency (Sancho-Shimizu et al., 2011a,b). In addition, one patient with AR STAT-1 deficiency and impaired cellular responses to IFNs (Dupuis et al., 2003) and another patient with X-linked recessive NEMO deficiency and impaired TLR3-dependent production of IFNs (Audry et al., 2011) died of HSE, albeit after many other infectious illnesses. Conversely, patients with MyD88 and IRAK4 deficiencies but with an intact TLR3 pathway are not prone to HSE (Picard et al., 2003, 2010; Yang et al., 2005; Ku et al., 2007; von Bernuth et al., 2008). Collectively, these genetic data indicate that the TLR3-dependent induction of IFN- $\alpha/\beta$  and/or IFN- $\lambda$  is essential for protective immunity to HSV-1 primary infection of the CNS during childhood. In dermal fibroblasts, genetic defects of the TLR3 pathway impair the production of IFN- $\beta$  and IFN- $\lambda$



**Figure 1. Heterozygous *TBK1* mutations in two children with HSE.** (a) Family pedigrees and segregation. (b) Heterozygous *TBK1* mutations 476G>C in P1 and 149A>C in P2. The PCR products sequenced were amplified from genomic DNA from the granulocytes of a control (C) and both patients. (c) Schematic diagram of the protein structure of *TBK1*, featuring its kinase domain (KD), ubiquitin-like domain (ULD), and coiled-coil (CC) regions. Both heterozygous substitutions, 159G>G/A (P1) and 50D>D/A (P2), affect the kinase domain of *TBK1* (amino acids 9–305). (d) Multiple alignments of relevant amino acid sequences of the kinase domain of human *TBK1* with its homologues from nine other species, with the residues mutated in P1 (G159) and P2 (D50) highlighted. (e) Multiple alignments of relevant amino acid sequences of the kinase domain of human *TBK1* with the other IKK and IKK-related kinases, IKK- $\alpha$  (46% similar to *TBK1*), IKK- $\beta$  (44% similar), and IKK- $\epsilon$  (64% similar). The residues mutated in P1 and P2 are conserved (G159) or similar (D50) across IKK or IKK-related kinases. (Blue signifies sequence similarity, teal signifies sequence identity, and gray signifies partial identity/similarity). (f) *TBK1* expression, as assessed by RT-qPCR on mRNA from the SV40-fibroblasts of patients (P1 and P2) and control lines (C+1 and C+2). Values represent mean values  $\pm$  SD calculated from three independent experiments. (g) *TBK1* levels, as assessed by Western blotting, in SV40-fibroblasts from patients (P1 and P2) and two control lines (C+1 and C+2). This Western blot result is representative of three experiments.



**Figure 2. Both mutant TBK1 alleles are loss-of-function but through different mechanisms.** (a) In vitro kinase assays: substrate (Akt) phosphorylation by both mutant kinases, G159A and D50A, compared with the phosphorylation levels for WT TBK1 and the kinase-dead (KD) K38M TBK1. A single experiment representative of three independent experiments performed is shown. HEK293T cells were transfected with WT and mutant constructs or left untransfected (NT). Black lines indicate that intervening lanes have been spliced out. IP, immunoprecipitation. (b) *TBK1*<sup>-/-</sup> MEFs were either left untransfected (NT) or were transfected with a mock vector, WT TBK1, or mutant constructs: D50A (mutation present in P2), G159A (the mutation in P1), and S172A (kinase-dead, KD). After 24 h, the cells were stimulated with 10 or 50  $\mu$ g/ml poly(I:C). ELISA was performed to assess IFN- $\beta$  and IL-6 production 24 h after stimulation. The data shown are representative of three independent experiments. (c) TBK1 expression from the transfected constructs was assessed by Western blotting with antibodies against TBK1, with  $\beta$ -tubulin used as a loading control. (d) *TBK1*<sup>-/-</sup> MEFs were either not transfected (NT) or transfected with a mock vector or a vector encoding WT TBK1 or the mutants, G159A, D50A, or S172A.

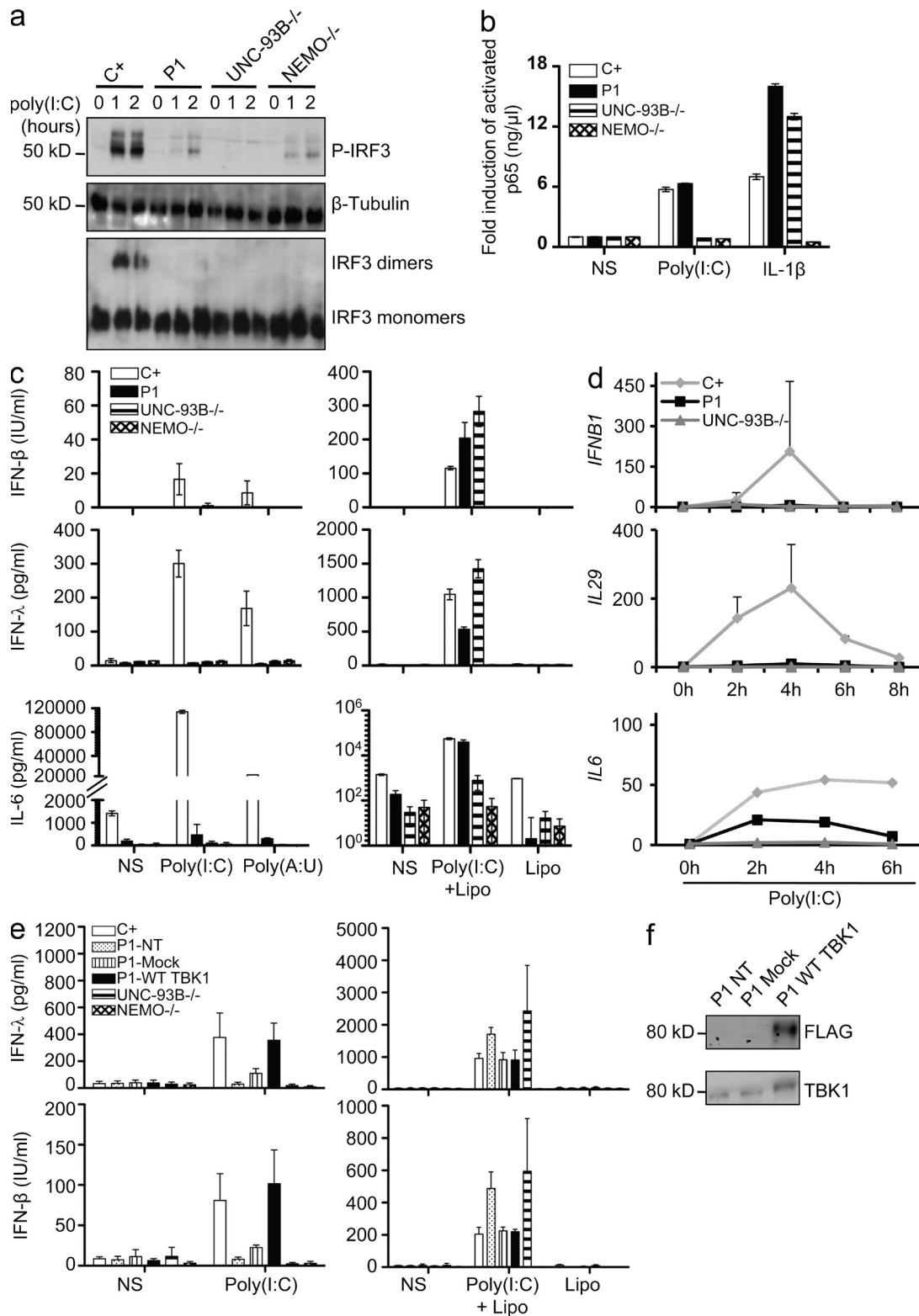
after stimulation with the nonspecific, synthetic, TLR3 agonist polyinosinic-polycytidylic acid (poly(I:C)). These fibroblasts are also highly susceptible to infection with HSV-1 or vesicular stomatitis virus (VSV). This fibroblast phenotype has recently been shown to recapitulate the impairment of TLR3-dependent HSV-1 control in *UNC-93B*-deficient cells of the CNS (unpublished data). As only a fraction of children with HSE bear known defects in *TLR3*, *UNC93B1*, *TRIF*, or *TRAF3*, we searched for other genetic defects by screening HSE patients for mutations in other genes involved in the TLR3-IFN pathway.

## RESULTS

### Heterozygous *TBK1* mutations in two patients with HSE

We investigated two unrelated patients with HSE from Poland (P1) and from France (P2; Fig. 1 a). These patients suffered from HSE at the ages of 7 yr (P1) and 11 mo (P2). They are now 17 and 26 yr old, respectively, and have suffered no other unusual infectious disease, viral in particular, in the absence of prophylaxis. We sequenced candidate TLR3 pathway genes from genomic DNA extracted from granulocytes and from simian virus 40 (SV40)-transformed fibroblasts (SV40-fibroblasts) from the two patients and cDNAs synthesized from messenger RNAs (mRNAs) extracted from SV40-fibroblasts. We found two different mutations in the coding region of the gene encoding TANK-binding kinase 1 (TBK1, also known as T2K/NAK [Tojima et al., 2000; Pomerantz and Baltimore, 1999; Bonnard et al., 2000]). P1 carries a heterozygous mutation in the fifth exon of *TBK1*, at position 476 of the cDNA: c.476G>G/C (Fig. 1 b). Her father was not tested and her mother does not carry this variant (Fig. 1 a). The mutation results in the nonconservative replacement of a glycine residue with an alanine residue at position 159 (G159A) in the immediate vicinity of the predicted activation loop of the kinase (Fig. 1 c; Xu et al., 2011). P2 carries a heterozygous mutation in the third exon of *TBK1*, c.149A>A/C (Fig. 1 b). Her mother is healthy and carries the same heterozygous mutation, whereas her father is WT for *TBK1* (Fig. 1 a). This substitution results in a nonconservative change at position 50 in the kinase domain, replacing an aspartic acid residue with an alanine residue (D50A; Fig. 1 c). No mutations were found in the coding exons of *TLR3*, *UNC93B1*, *TRAF3*, *TRIF* (encoding Toll/IL-1 receptor domain-containing adaptor protein inducing IFN- $\beta$ , TRIF) and *TANK* (encoding TRAF-interacting protein I, TANK; see Whole-exome sequencing in P1 and P2: TLR3-IFN pathway genes sequenced and found to be WT in the Materials and methods). No mutations were found in other genes known to be involved in the TLR3-IFN pathway, as detected by whole-exome sequencing (see Whole-exome sequencing in P1 and P2: TLR3-IFN pathway genes sequenced and found to be WT).

24 h later, cells were stimulated with 10 ng/ml IL-1 $\beta$ . IL-6 production was measured by ELISA. The data shown are representative of three independent experiments. NS, nonstimulated.



**Figure 3. The G159A TBK1 allele of P1 abolishes TLR3-mediated IFN induction in the patient's fibroblasts.** (a) IRF-3 phosphorylation in fibroblasts from P1, as assessed by Western blotting after stimulation with 25 μg/ml poly(I:C) for 0, 1, or 2 h, with β-tubulin as a loading control. Comparison with control cells (C+) and UNC-93B<sup>-/-</sup> and NEMO<sup>-/-</sup> fibroblasts. IRF3 dimerization was assessed by native Western blotting. Blots are representative of three independent experiments. Two different healthy control cell lines were tested and gave the same result. (b) Activated p65 levels, as determined by EMSA-ELISA after 30 min of stimulation with IL-1β or 1 h of poly(I:C) in control cells (C+; averaged from two control cell lines), in fibroblasts from P1 and from UNC-93B<sup>-/-</sup> and NEMO<sup>-/-</sup> patients. (c) IFN-β, IFN-λ, and IL-6 production, as assessed by ELISA, after 24 h of stimulation with poly(I:C) or poly(A:U) (d) IFNβ1 and IL29 production, as assessed by ELISA, after 0, 2, 4, 6, and 8 h of stimulation with poly(I:C) in control cells (C+), in fibroblasts from P1, and from UNC-93B<sup>-/-</sup> patients. (e) IFN-λ and IFN-β production, as assessed by ELISA, after 24 h of stimulation with poly(I:C) in control cells (C+), in fibroblasts from P1-NT, P1-Mock, P1-WT TBK1, UNC-93B<sup>-/-</sup>, and NEMO<sup>-/-</sup> patients. (f) Western blotting for FLAG and TBK1 in fibroblasts from P1-NT, P1-Mock, and P1-WT TBK1 patients.



Neither of the two *TBK1* variants was found in single nucleotide polymorphism (SNP) databases (NCBI dbSNP 135 and UCSC) or on sequencing of a panel of 1,050 control human DNAs provided by the CEPH-HGD, ruling out the possibility of irrelevant polymorphisms. No other mutations were found in the remaining exons or flanking intronic regions of *TBK1*. Moreover, the D50 and G159 residues are strictly conserved across species (Fig. 1 d). Both substitutions are also predicted to be “probably damaging” by Polyphen-2 version 2.2.2 (Adzhubei et al., 2010) and “damaging” by SIFT (Kumar et al., 2009). Finally, the other human IKK-related kinases, IKK- $\epsilon$  (64% similar to human *TBK1*), IKK- $\alpha$  (46% similar to *TBK1*), and IKK- $\beta$  (44% similar to *TBK1*), have a glutamic acid residue, which is similar to aspartic acid, at position 50 and a glycine residue at position 159 (Fig. 1, d and e). Collectively, these genetic data suggest that these two patients are heterozygous for rare, HSE-causing *TBK1* missense alleles.

#### Differences in the expression of the mutant *TBK1* alleles

We first assessed *TBK1* mRNA levels by quantitative RT-PCR (RT-qPCR) in SV40-fibroblasts from the patients and in control cells (Fig. 1 f). *TBK1* mRNA levels were similar in control cells and in fibroblasts from P1, whereas they were lower in fibroblasts from P2 (Fig. 1 f). Consistent with these findings, *TBK1* protein levels were normal (similar to the control) in fibroblasts from P1, but low in fibroblasts from P2, as shown by Western blotting (Fig. 1 g). The heterozygous G159A mutation therefore seems to have no effect on *TBK1* expression in the patients' fibroblasts, whereas the D50A mutation seems to affect the amounts of both mRNA and protein for *TBK1*. As the level of expression of mutant alleles may be confounded by the expression of the WT allele in the patients' heterozygous cells, we then compared the expression of the individual *TBK1* alleles in HEK293T cells or *TBK1* knockout (*TBK1*<sup>-/-</sup>) mouse embryonic fibroblasts (MEFs), transfected with either a mutant allele or the WT human *TBK1* allele. Upon transient transfection with the G159A mutant allele, HEK293T cells and *TBK1*<sup>-/-</sup> MEFs produced G159A *TBK1* in amounts similar to those obtained for the WT *TBK1*. In contrast, transfection with the D50A mutant allele resulted in the production of much smaller amounts of protein (Fig. 2, a and c). The D50A mutation in *TBK1* may thus destabilize the protein, resulting in its premature degradation and the presence of smaller total amounts of *TBK1* protein in the patient's fibroblasts.

These data strongly suggest that the *TBK1* G159A allele is expressed normally, whereas the D50A allele is poorly expressed.

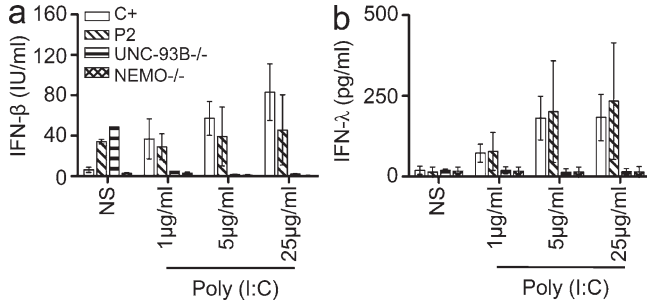
#### Both *TBK1* mutant alleles are kinase-dead and loss-of-function

*TBK1* is a Ser/Thr kinase of the IKK-related family, which, upon TLR3 activation, is recruited to the TRIF-TRAF3 complex (Sato et al., 2003; Oganeyan et al., 2006). After their activation by phosphorylation, *TBK1* and IKK- $\epsilon$  (also known as IKKi [Shimada et al., 1999; Peters et al., 2000]) normally phosphorylate their target transcription factor, IRF3 (Fitzgerald et al., 2003; Sharma et al., 2003). In vitro kinase assays showed that the mutant *TBK1* protein carrying the G159A substitution had no enzyme activity (Fig. 2 a). The levels of in vitro phosphorylation of the recombinant protein Akt, used as a substrate (Ou et al., 2011), were much lower with the G159A *TBK1* protein than with WT *TBK1* (Fig. 2 a). In addition, phosphorylation of the S172 residue of *TBK1*, which is required for its activation (Kishore et al., 2002), was severely impaired in the G159A mutant protein (Fig. 2 a). The kinase-dead K38M mutant was used as negative control in these in vitro assays (Fig. 2 a). As previously discussed (Fig. 1 g), D50A *TBK1* was produced in much smaller amounts than the WT, G159A, or K38M *TBK1* variants (Fig. 2 a). We then assessed the functionality of the two mutant proteins, in terms of *TBK1* involvement in the TLR3 pathway, in *TBK1*<sup>-/-</sup> MEFs (McWhirter et al., 2004) transiently transfected with the D50A, G159A, or WT human *TBK1* allele. The transfection of *TBK1*<sup>-/-</sup> MEFs with either the D50A or the G159A *TBK1* allele failed to restore the poly(I:C)-induced IFN- $\beta$  induction, whereas this induction was restored by transfection with the WT human *TBK1* (Fig. 2 b). Indeed, G159A *TBK1* behaved like the kinase-dead S172A mutant (Lei et al., 2010). The D50A allele was a loss-of-expression allele, as shown by Western blotting (Fig. 2 c), whereas the G159A and S172A *TBK1* alleles resulted in the production of amounts of *TBK1* protein similar to those produced from the WT allele (Fig. 2 c). All transfected cells were healthy and produced IL-6 in response to IL-1 $\beta$  (Fig. 2 d). Thus, the G159A *TBK1* mutant allele is normally expressed but generates a kinase-dead protein, and the D50A allele is a loss-of-expression allele resulting in a loss of protein activity. Both mutants are therefore loss-of-function for TLR3-mediated cellular responses.

#### TLR3 responses to poly(I:C) are impaired in fibroblasts from P1 but not in those from P2

We tested the hypothesis that the loss-of-function G159A and D50A *TBK1* alleles are associated with an AD form of

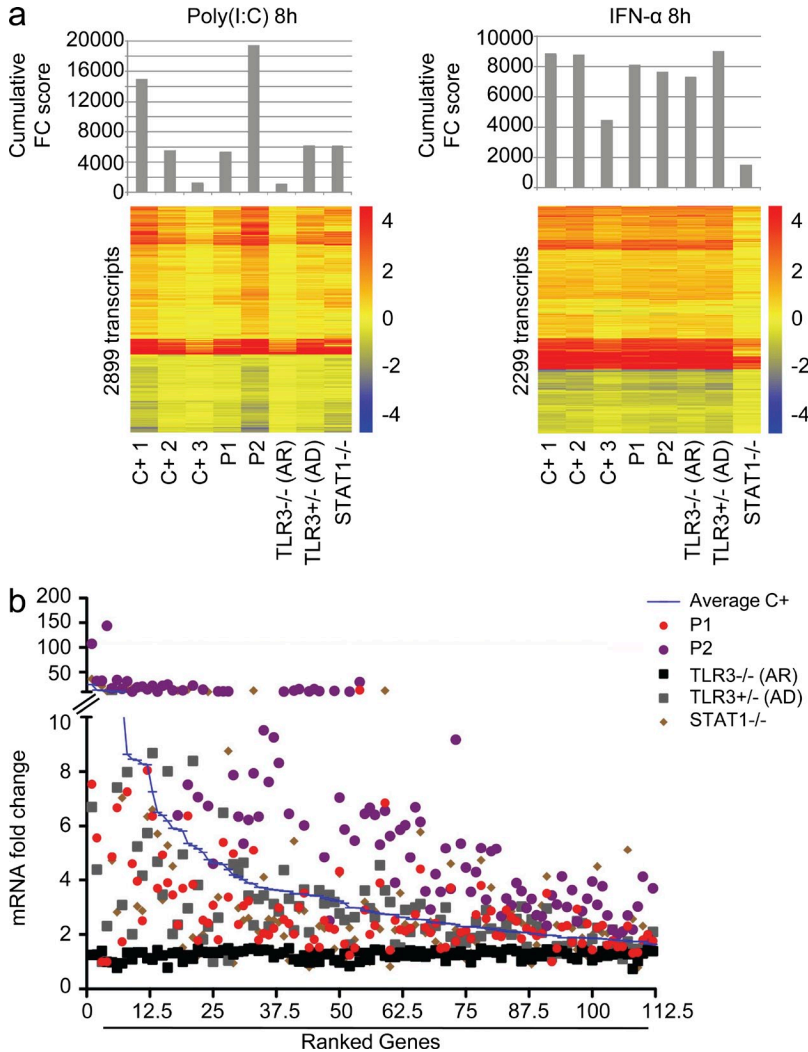
(polyadenylic-polyuridylic acid) or transfection of poly(I:C) mediated by Lipofectamine (Lipo) in control (C+) cells (averaged from two distinct control cell lines) and in fibroblasts from P1 and from UNC-93B<sup>-/-</sup> and NEMO<sup>-/-</sup> patients. (d) Induction of mRNA for *IFNB1*, *IL29*, and *IL6* after stimulation with 25  $\mu$ g/ml poly(I:C), as assessed by RT-qPCR in fibroblasts from P1, an UNC-93B<sup>-/-</sup> patient, and controls (C+; averaged from two distinct control cell lines). Graphs present the mean values  $\pm$  SD of three independent experiments for *IFNB1* and *IL29*. The graph presented for *IL6* is representative of two independent experiments. (e) Fibroblasts from P1 were untransfected (NT), mock-transfected, or transfected with a vector encoding FLAG-tagged WT *TBK1* and stimulated for 24 h with the indicated reagents (poly(I:C) or poly(I:C) transfection mediated by Lipofectamine). IFN- $\beta$  and IFN- $\lambda$  production was assessed by ELISA. (b, c, and e) Values represent mean values  $\pm$  SD calculated from three independent experiments. (f) Western blot of transfected P1 cells with anti-*TBK1* and anti-FLAG antibodies. NS, nonstimulated.



**Figure 4. TLR3-mediated IFN production is normal in P2's fibroblasts.** (a and b) WT (C+), P2, UNC-93B<sup>-/-</sup>, and NEMO<sup>-/-</sup> fibroblasts were stimulated with various doses of poly(I:C) for 24 h. The production of IFN-β (a) and IFN-λ (b) was assessed by ELISA. The graphs show the mean values ± SD for three independent experiments. NS, nonstimulated.

HSE by first studying the response of the patients' heterozygous fibroblasts to poly(I:C). Human dermal fibroblasts express TLR3 and respond to poly(I:C) in a TLR3-dependent manner (Matsumoto et al., 2002; Zhang et al., 2007; Guo et al., 2011). In control cells, the activation of IRF3 and

NF-κB after the recruitment of TRIF to TLR3 (Sato et al., 2003) leads to the production of IFN-β, IFN-λ, and proinflammatory cytokines such as IL-6. We assessed IRF3 phosphorylation and subsequent dimerization by native PAGE and Western blotting after poly(I:C) stimulation. We found that cells from P1 (G159A/WT) did not support IRF3 phosphorylation or dimerization to levels observed in control cells, similar to UNC-93B<sup>-/-</sup> and NEMO<sup>-/-</sup> deficient cells, in which TLR3 signaling is abolished (Fig. 3 a). However, the fibroblasts of P1 displayed normal nuclear activation of NF-κB in response to poly(I:C) stimulation (Fig. 3 b), like healthy control cells but unlike UNC-93B<sup>-/-</sup> or NEMO<sup>-/-</sup> deficient fibroblasts. Consistent with the lack of IRF3 activation, SV40-transformed and primary fibroblasts from P1 did not produce IFN-β and IFN-λ mRNA or protein upon stimulation with extracellular poly(I:C) (Fig. 3, c and d; and not depicted). Consistent with the NF-κB activation observed, poly(I:C)-induced IL-6 production was low but detectable in P1's fibroblasts (Fig. 3, c and d). Moreover, the lack of response of P1's cells to poly(I:C) was rescued by WT *TBK1* overexpression (Fig. 3, e and f). Similarly, the patient's cells were able to produce IFN-β and IFN-λ upon stimulation with poly(I:C) in the presence of Lipofectamine (Fig. 3, c and e), which mediates the transfection of poly(I:C) into the cytosol, where it can be detected by cytosolic dsRNA sensors other than endosomal TLR3 (Kato et al., 2006, 2008). Cells from patients with AR UNC-93B and AR TLR3 deficiencies also respond to poly(I:C) in the presence of Lipofectamine (Fig. 3, c and e;



**Figure 5. Genome-wide transcriptional evaluation of the TLR3 pathway in primary fibroblasts.**

(a) Cumulative fold change (FC) score (top) and heat maps (bottom) of the transcripts regulated by 8 h of stimulation with poly(I:C) (left) or IFN-α2b (right) in primary fibroblasts from three healthy controls (C+), both TBK1 patients (P1 and P2), a patient with TLR3 AR deficiency (TLR3<sup>-/-</sup>), a patient with AD TLR3 deficiency (AD TLR3), and a patient with STAT-1 complete deficiency (STAT1<sup>-/-</sup>). The cumulative score is the sum of all the fold change values of >1.5 (up- or down-regulation). Heat maps show a hierarchical clustering of transcripts differentially expressed upon stimulation (based on 100 differences in intensity and 1.5-fold changes compared with nonstimulated condition in healthy controls). Changes with respect to the unstimulated condition are shown by a color scale: red, up-regulated; blue, down-regulated; yellow, no change. The probes displaying differences of >100 in intensity were used to calculate the cumulative score. (b) Ranking of the 112 transcripts up-regulated after 8 h of poly(I:C) stimulation, with a fold change of at least 1.5 in all three controls tested, in primary fibroblasts from three healthy controls averaged together (Average C+), both TBK1 patients (P1 and P2), a patient with TLR3 AR deficiency (TLR3<sup>-/-</sup>), a patient with AD TLR3 deficiency (AD TLR3), and a patient with STAT-1 complete deficiency (STAT1<sup>-/-</sup>).

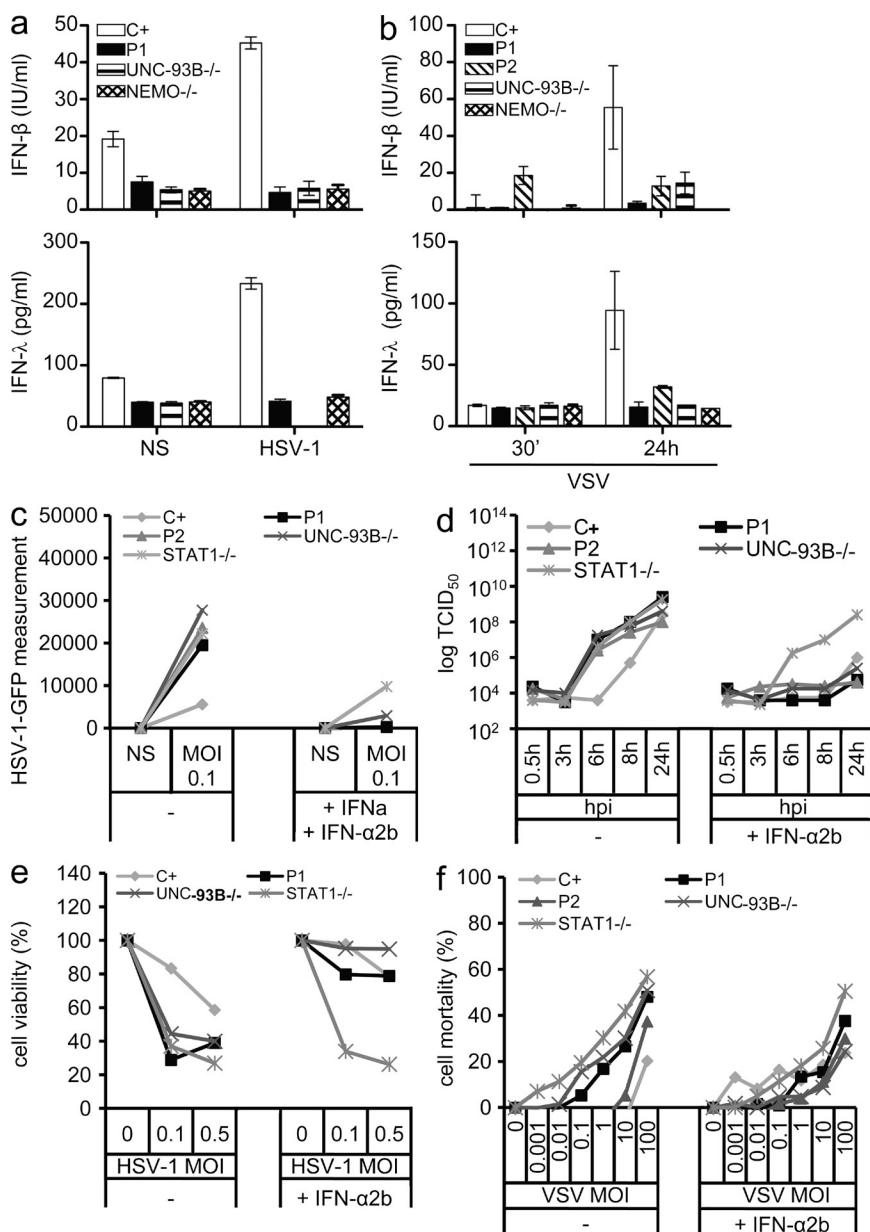
Casrouge et al., 2006; Guo et al., 2011). Thus, the TBK1 defect in P1 impairs TBK1-dependent TLR3 signaling but does not seem to affect signaling by cytosolic dsRNA sensors. In contrast, in fibroblasts from P2 (D50A/WT), IRF3 dimerization was only marginally impaired upon stimulation with a low dose of poly(I:C) (1  $\mu\text{g}/\text{ml}$ ; not depicted), and no such impairment was observed at high doses (25  $\mu\text{g}/\text{ml}$ ; not depicted). Consequently, the production of IFN- $\beta$  and IFN- $\lambda$  in response to extracellular poly(I:C) was normal in fibroblasts from P2 (Fig. 4, a and b). Thus, TLR3 signaling was only marginally affected by the heterozygous D50A *TBK1* allele in the fibroblasts of P2. Consistently, the TLR3-dependent poly(I:C) response was impaired in fibroblasts from P1 but not P2, as assessed by genome-wide transcription experiments (Fig. 5). Overall, heterozygosity for the G159A *TBK1*

allele severely impairs IRF3-dependent IFN- $\beta$  and IFN- $\lambda$  production in response to TLR3 activation, whereas the D50A *TBK1* allele leads to no detectable impairment of TLR3-dependent poly(I:C) responses in human fibroblasts.

### IFN-dependent control of HSV-1 and VSV is impaired in the fibroblasts of both patients

The IFN response to HSV-1 and VSV in human dermal fibroblasts has been shown to be partially dependent on TLR3. TLR3-, UNC-93B-, TRIF-, and TRAF3-deficient fibroblasts fail to contain the replication of HSV-1 and/or VSV as the result of a lack of IFN- $\beta$  and IFN- $\lambda$  production (Casrouge et al., 2006; Zhang et al., 2007; Pérez de Diego et al., 2010; Guo et al., 2011; Sancho-Shimizu et al., 2011b). We tested the hypothesis that the loss-of-function G159A

and D50A *TBK1* alleles were responsible for an AD form of HSE by investigating the IFN-mediated antiviral response in heterozygous fibroblasts from P1 and P2. The fibroblasts of P1 produced no IFN- $\beta$  or IFN- $\lambda$  24 h after infection with HSV-1 (Fig. 6 a). The cells of P1 therefore displayed high levels of viral replication and cell death, like TLR3- and UNC-93B-deficient cells but unlike healthy control cells (Fig. 6, c and e). The addition of IFN- $\alpha$ 2b to these cells restored virus



**Figure 6. Impaired IFN-dependent control of HSV-1 and VSV infection in the patients' fibroblasts.**

(a and b) IFN- $\beta$  and IFN- $\lambda$  production, as measured by ELISA, in the patients' fibroblasts, control cells (C+; averaged from two distinct control cell lines), and UNC-93B $^{-/-}$  and NEMO $^{-/-}$  fibroblasts after 24 h of stimulation with HSV-1 (a) and 30 min or 24 h of stimulation with VSV (b). The graphs display means  $\pm$  SD determined from three independent experiments. (c and d) Replication of the HSV-1-GFP virus at an MOI of 1 (c) and of VSV at an MOI of 10 (d) in the patients' fibroblasts (P1 and P2) and in control cells (C+; averaged from two distinct control cell lines), and UNC-93B $^{-/-}$  and STAT1 $^{-/-}$  fibroblasts, as determined at the indicated hours after infection, with or without 18-h IFN- $\alpha$ 2b pretreatment. One experiment representative of two independent experiments performed is shown. (e) Viability of control cells (C+; averaged from two different control cell lines) and in fibroblasts from P1, an UNC-93B $^{-/-}$  patient, and a STAT1 $^{-/-}$  patient after infection with HSV-1-GFP at MOIs of 0.1 and 0.5, with or without IFN- $\alpha$ 2b treatment 18 h before infection. (f) Cell mortality after 24 h of VSV infection in control cells (C+; averaged from two distinct control cell lines) and in fibroblasts from P1, P2, a UNC-93B $^{-/-}$  patient, and a STAT1 $^{-/-}$  patient, with or without 18-h IFN- $\alpha$ 2b pretreatment. NS, nonstimulated.



containment to levels similar to those in control cells (Fig. 6, c and e). The IFN dependence of this phenotype was confirmed by the impaired response of STAT-1-deficient fibroblasts to prior treatment with IFN- $\alpha$ 2b, in terms of viral control (Fig. 6 c). Moreover, fibroblasts from P1 and P2 also displayed very low levels of IFN- $\beta$  and IFN- $\lambda$  production after infection with VSV at a multiplicity of infection (MOI) of 10 (Fig. 6 b). VSV replication was not suppressed by cells from either of the patients but was suppressed by fibroblasts from a healthy control (Fig. 6 d). VSV-induced cell death levels were also higher in cells from both patients than in the control, as in TLR3- and UNC-93B-deficient cells (Fig. 6 f). The treatment of these cells with IFN- $\alpha$ 2b restored viral containment and cell death to levels similar to those in control cells (Fig. 6, d and f). The IFN-dependent control of HSV-1 and VSV, which is at least partly dependent on TLR3 in human fibroblasts (Casrouge et al., 2006; Zhang et al., 2007; Pérez Diego et al., 2010), was therefore impaired in the patients' cells. In cells heterozygous for the G159A and D50A *TBK1* alleles, the two loss-of-function *TBK1* mutant alleles thus confer a dominant phenotype, in terms of the TLR3- and IFN-dependent control of viruses. Fibroblasts heterozygous for D50A *TBK1* displayed an impaired control of viruses via TLR3, despite responding normally to poly(I:C) via TLR3. This suggests that the entire, intact, TBK1-dependent TLR3 pathway is required for HSV-1 and VSV control in human fibroblasts, although we cannot exclude a possible role for TBK1 in the TLR3-dependent or -independent inhibition of HSV-1 replication (Verpooten et al., 2009; Ma et al., 2012) or cell death (Ou et al., 2011). Both the G159A and D50A *TBK1* alleles are thus loss-of-function and dominant for TLR3- and IFN-dependent antiviral immune responses. The D50A allele, which is weakly expressed, is dominant for viral control via TLR3. The G159A allele, which is normally expressed and encodes a kinase-dead protein, is dominant not only for viral control but also for poly(I:C) responses via TLR3.

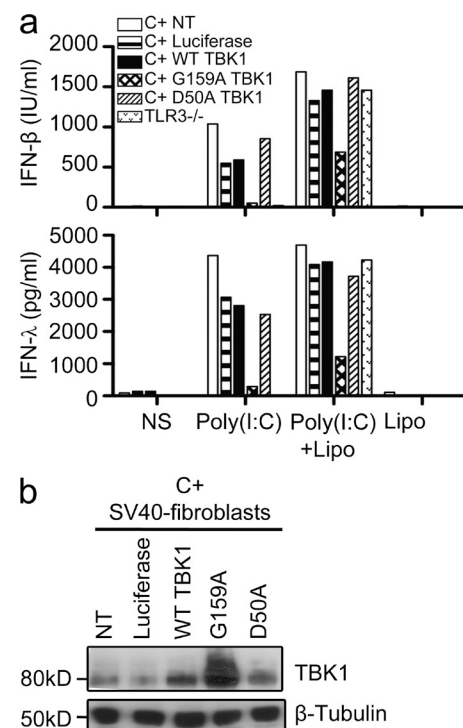
### The two *TBK1* mutant alleles are dominant through different mechanisms

Our data suggest that the two *TBK1* alleles may be dominant through different mechanisms. We investigated whether the mutant *TBK1* alleles were dominant negative by transducing control human fibroblasts, which express endogenous WT *TBK1*, with lentiviral pseudoparticles encoding luciferase only (used as a negative control), WT *TBK1*, or one of the two mutant *TBK1* proteins. After TLR3 stimulation by poly(I:C), we measured the IFN- $\beta$  and IFN- $\lambda$  production from those cells. Transient overexpression of the G159A *TBK1* allele in healthy control fibroblasts decreased the IFN- $\lambda$  and IFN- $\beta$  production upon poly(I:C) stimulation (Fig. 7 a), but no such effect was observed after overexpression of the D50A or WT allele. Western blotting of the whole-cell lysates confirmed the overexpression of the G159A and WT *TBK1* proteins (Fig. 7 b). The G159A *TBK1* allele thus exerts a dominant-negative effect on WT *TBK1* activity, which is normally expressed in control cells. In contrast, the amounts of IFN- $\lambda$  and

IFN- $\beta$  produced in response to poly(I:C) were similar in control fibroblasts transduced with lentiviral pseudoparticles encoding luciferase or the WT or D50A *TBK1* proteins. The D50A *TBK1* allele is therefore most likely not dominant negative and exerts its dominant effect by haploinsufficiency. This is consistent with the previous observations of *TBK1* instability and lower total amounts of *TBK1* in the cells of P2 (Fig. 1, f and g and Fig. 2, a and c). Both the G159A and D50A *TBK1* alleles are thus loss-of-function, but one is dominant over the WT allele by negative dominance and the other by haploinsufficiency. We then investigated the possible dominance of the two alleles in the context of other TLR3-independent, *TBK1*-mediated, IFN-inducing pathways.

### Impact of *TBK1* mutations on other IFN-inducing pathways

*TBK1* is involved in the activation of IRF3 and IRF7 in multiple IFN-inducing signal transduction pathways (Chau et al., 2008), including cascades triggered by the activation of cytosolic RNA sensors (RIG-I and MDA-5), cytosolic DNA sensors (DAI and IFI16), and TLR4. We first investigated the response to TLR4 activation in PBMCs by assessing IFN- $\alpha$

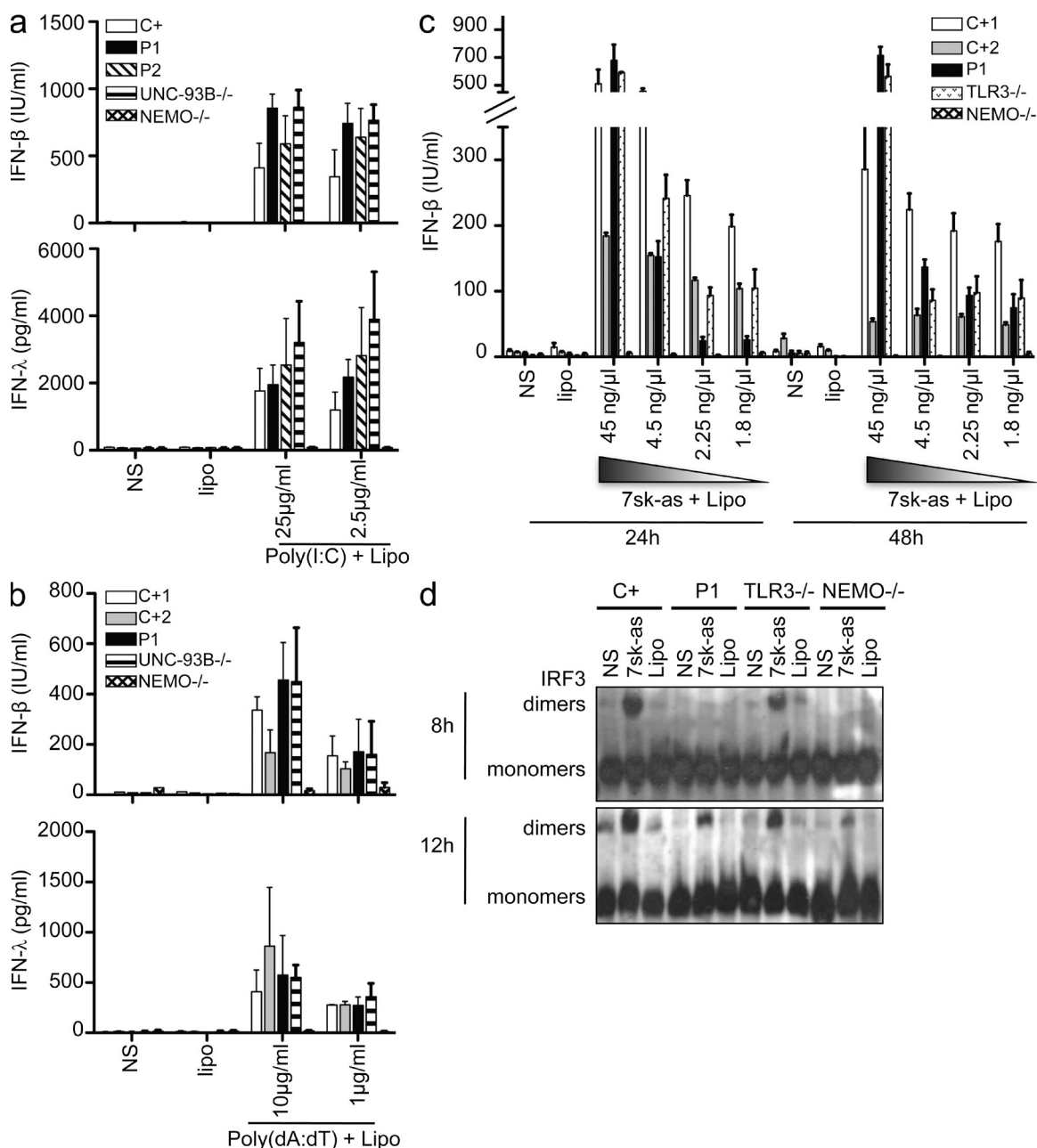


**Figure 7. The G159A allele exerts a dominant-negative effect, whereas the D50A allele does not.** (a) IFN- $\beta$  and IFN- $\lambda$  production, as assessed by ELISA, in response to 50  $\mu$ g/ml poly(I:C) stimulation or 25  $\mu$ g/ml poly(I:C) transfection mediated by Lipofectamine (Lipo) in TLR3-deficient fibroblasts and in control fibroblasts (C+) transduced with lentivirus pseudoparticles encoding luciferase, WT *TBK1*, or one of the *TBK1* mutants (G159A or D50A) or left untransduced (NT). Cells were transduced 6 d before stimulation. This experiment is representative of three independent experiments. NS, nonstimulated. (b) Western blot of transduced control fibroblasts (C+) with anti-*TBK1* and antitubulin antibodies.



production after 24 h of stimulation with LPS, which is recognized by TLR4 (Poltorak et al., 1998). The PBMCs of P1 responded normally to TLR4 activation (not depicted), consistent with the normal response induced by LPS stimulation in *TBK1*<sup>-/-</sup> and *TBK1*<sup>+/-</sup> MEFs (Hemmi et al., 2004).

We further investigated the capacity of fibroblasts from the two patients to mediate signaling for other pathways known to be TBK1 dependent in mice by assessing the production of IFN- $\beta$  and IFN- $\lambda$  after transfection with the RIG-I-specific agonist 7sk-as (Pichlmair et al., 2006), with poly(I:C), which



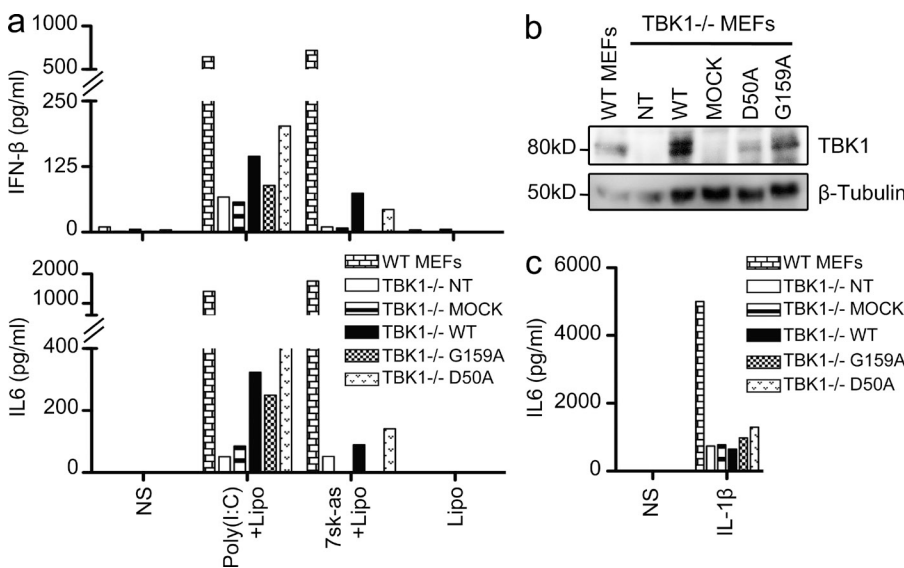
**Figure 8. Normal responses to dsRNA, dsDNA, and ssRNA introduced by transfection in the patients' fibroblasts.** (a) Production of IFN- $\beta$  and IFN- $\lambda$  in response to various doses of poly(I:C), introduced by transfection into control (C+; averaged from two different control cell lines), P1, P2, and UNC-93B<sup>-/-</sup> and NEMO<sup>-/-</sup> deficient fibroblasts. Values represent mean values  $\pm$  SD calculated from three independent experiments. (b) Production of IFN- $\beta$  and IFN- $\lambda$  in response to various doses of poly(dA:dT) introduced by transfection into control (C+), P1, P2, UNC-93B<sup>-/-</sup>, and NEMO<sup>-/-</sup> fibroblasts. Values from three different experiments were averaged and are presented here as mean values  $\pm$  SD. (c) IFN- $\beta$  production 24 or 48 h after transfection with the ssRNA 7sk-as in control (C+1 and C+2), P1, TLR3<sup>-/-</sup>, and NEMO<sup>-/-</sup> fibroblasts. Values represent mean values  $\pm$  SD from three independent experiments. (d) IRF3 dimerization, assessed by native Western blotting upon stimulation with 2.25 ng/ $\mu$ l 7sk-as in fibroblasts from P1, at 8 and 12 h; comparison with control WT cells (C+), TLR3<sup>-/-</sup>, and NEMO<sup>-/-</sup> fibroblasts. The blots shown are representative of three independent experiments. NS, nonstimulated.

can activate both RIG-I and MDA-5 (Yoneyama et al., 2004), or with the synthetic dsDNA poly(dA:dT) (poly (deoxyadenylic-deoxythymidylic) acid), which can activate DAI or IFI16 (Takaoka et al., 2007; Unterholzner et al., 2010). The fibroblasts of both patients produced normal levels of IFN- $\beta$  and IFN- $\lambda$  after transfection with various amounts of dsDNA or poly(I:C) (Fig. 8, a and b; and not depicted) and large amounts of 7sk-as (Fig. 8 c and not depicted). However, the levels of IFN- $\beta$  or IFN- $\lambda$  production induced by lower doses of 7sk-as were much lower in cells from P1 than in control cells at 24 h but reached normal levels by 48 h (Fig. 8 c). Consistently, IRF3 activation was delayed after RIG-I stimulation after transfection with a low dose of 7sk-as: IRF3 dimerization was barely detectable at 8 h but normal after 12 h of RIG-I stimulation in the fibroblasts of P1 (Fig. 8 d). These results point to a mild impairment of RIG-I signaling in fibroblasts heterozygous for G159A *TBK1*. No major TLR3-independent phenotypes were observed in the cells of P1 and P2. We assessed the potential of the G159A and D50A *TBK1* alleles to rescue the lack of IFN- $\beta$  production observed in *TBK1*<sup>-/-</sup> MEFs upon transfection with poly(I:C) and 7sk-as (Fig. 9 a). Unlike the WT *TBK1* allele, the G159A mutant allele could not rescue these responses, confirming that this allele was a loss-of-function allele. The D50A allele allowed residual responses, albeit probably caused by transient overexpression of the D50A *TBK1* protein. On Western blot, cells transfected with the D50A allele were found to contain smaller total amounts of *TBK1* (Fig. 9 b) than cells transfected with the WT or G159A alleles, consistent with our previous findings (Figs. 1 g and 2, a and c). All cells were healthy and produced IL-6 in response to IL-1 $\beta$  (Fig. 9 c). In *TBK1*<sup>-/-</sup> MEFs, both mutants are therefore loss-of-function for IFN-inducing pathways triggered by dsRNA and single-stranded RNA (ssRNA). It remains unclear whether the normal IFN response after the activation of TLR4 and cytosolic sensors of nucleic acids in our patients'

cells is caused by the residual *TBK1* activity present in human cells with a partial *TBK1* deficiency or caused by the contribution of *TBK1* to these pathways not being essential in human PBMCs and fibroblasts.

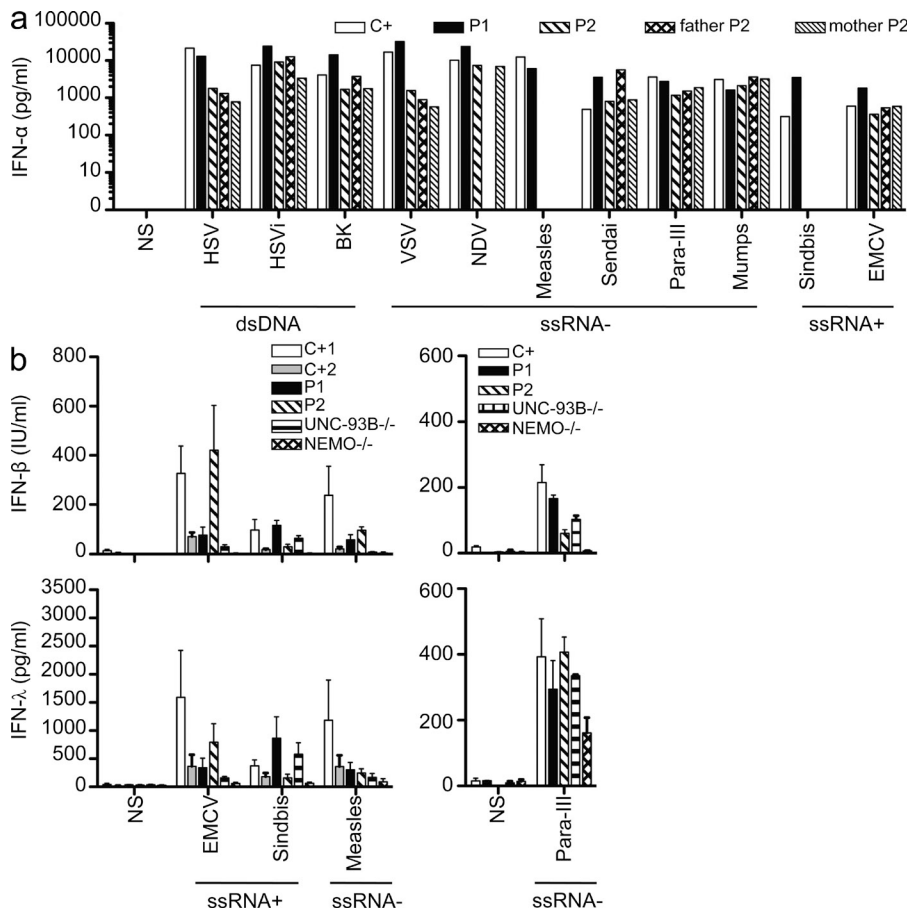
**Normal IFN responses to viruses other than VSV and HSV-1 in the cells of both patients**

Both *TBK1*-deficient patients suffered from isolated HSE, with no other unusual infectious diseases, including viral diseases, during their 17 and 26 yr of life. We investigated the response of cells from P1, carrying the dominant-negative G159A *TBK1* allele, to several viruses. We first challenged PBMCs from P1 and P2 with various types of viruses, including positive ssRNA viruses (encephalomyocarditis virus [EMCV] and Sindbis virus), negative ssRNA viruses (Sendai, human parainfluenza virus III, NDV [Newcastle disease virus], mumps virus, and measles virus), and dsDNA viruses (BK virus and HSV-1), all of which induced normal levels of IFN- $\alpha$  and IL-6 in these cells (Fig. 10 a and not depicted). This may reflect the partial nature of the genetic defects carried by the patients, the redundancy of *TBK1* and IKKi in the activation of IRF3 and IRF7 in leukocytes (Fitzgerald et al., 2003; Sharma et al., 2003; Hemmi et al., 2004; McWhirter et al., 2004; Matsui et al., 2006), or both. Unlike leukocytes, fibroblasts do not produce IKKi (Shimada et al., 1999; Hemmi et al., 2004; Perry et al., 2004). When infected with human parainfluenza virus III, measles, EMCV, or Sindbis virus, the fibroblasts of both patients behaved like control cells in terms of their capacity to produce IFN- $\beta$  and IFN- $\lambda$  (Fig. 10 b). This result contrasts with the impaired response of these cells to infection with HSV-1 or VSV, both of which activate TLR3 to initiate IFN induction, as demonstrated by findings for the cells of



**Figure 9. Assessing the functionality of both mutant *TBK1* alleles in the response to transfected poly(I:C) and ssRNA (7sk-as).**

(a) *TBK1*<sup>-/-</sup> MEFs were either left untransfected (NT) or were transfected with a mock vector, WT *TBK1*, or mutant constructs: D50A (mutation present in P2) or G159A (the mutation in P1). After 24 h, the cells were stimulated by transfection with Lipofectamine of 25  $\mu$ g/ml poly(I:C) or 22.5 ng/ $\mu$ l ssRNA (7sk-as). ELISA was performed to assess IFN- $\beta$  and IL-6 production 24 h after stimulation. The experiment shown is representative of three independent experiments. (b) *TBK1* expression from the transfected constructs was assessed by Western blotting with an antibody against *TBK1*.  $\beta$ -Tubulin was used as a loading control. (c) IL-6 production as assessed by ELISA after stimulation with 10 ng/ml IL-1 $\beta$  for 24 h after transfection in *TBK1*<sup>-/-</sup> MEFs either not transfected (NT) or transfected with a mock vector or a vector encoding WT *TBK1* or the mutants G159A and D50A *TBK1*. The experiment shown is representative of three independent experiments. NS, nonstimulated.



**Figure 10. Both patients present normal responses to other viruses in PBMCs and fibroblasts.** (a) PBMCs from a control (C+), P1, P2, and P2's parents were challenged with several viruses with different types of genomes: dsDNA viruses (HSV-1, UV-inactivated HSV-1 or HSV-1i, and BK), negative-strand ssRNA viruses (VSV, NDV, measles, Sendai virus, human parainfluenza virus III [Para-III], and mumps), and positive-strand ssRNA viruses (Sindbis virus and EMCV). Levels of IFN- $\alpha$  were assessed by ELISA 24 h after infection. P2 and her parents' cells were not tested for their response to infection with Sindbis and measles. This experiment was performed once. (b) Production of IFN- $\beta$  and IFN- $\lambda$ , as assessed by ELISA, in control (C+), P1, P2, UNC-93B<sup>-/-</sup>, and NEMO<sup>-/-</sup> fibroblasts 24 h after infection with positive-strand ssRNA viruses (Sindbis virus at an MOI of 10 and EMCV at an MOI of 1) and negative ssRNA viruses (measles at an MOI of 1 and parainfluenza virus III at an MOI of 1). Values represent mean values  $\pm$  SD from three independent experiments. NS, nonstimulated.

patients with TLR3 (Guo et al., 2011), UNC-93B (Casrouge et al., 2006), TRIF (Sancho-Shimizu et al., 2011b), and TRAF3 (Pérez de Diego et al., 2010) deficiencies. The lack of viral control in the fibroblasts of patients heterozygous for *TBK1* is thus limited to the neurotropic viruses HSV-1 and VSV. These results for PBMCs and fibroblasts are consistent with the narrow clinical phenotype observed in both *TBK1*-deficient patients. They suggest that the residual *TBK1*-dependent IFN induction by TLR3 and/or by other receptors in cells with a partial *TBK1* deficiency may contribute to the protective immunity against most common viruses.

**DISCUSSION**

We have identified AD *TBK1* deficiency as a new genetic etiology of HSE in childhood. The patients' fibroblasts displayed impaired TLR3 responses, confirming the essential role of human *TBK1* as an IFN-inducing IRF3 kinase in the TLR3 pathway in these cells. Together with our previous discoveries of AR UNC-93B (Casrouge et al., 2006), AR and AD TLR3 (Zhang et al., 2007; Guo et al., 2011), AR and AD TRIF (Sancho-Shimizu et al., 2011b), and AD TRAF3 (Pérez de Diego et al., 2010) deficiencies, this finding highlights the nonredundant role of TLR3-dependent IFN induction in the CNS for the control of HSV-1 in the

course of primary infection in childhood. The clinical penetrance of AD *TBK1* deficiency is incomplete, like that of AD TLR3, AR UNC-93B, and AD TRIF deficiencies, consistent with the sporadic occurrence of HSE (Whitley and Kimberlin, 2005). The actual clinical penetrance cannot be assessed, as the frequencies of the *TBK1* mutant alleles are not known. We know only that they were not found in 1,050 healthy controls (2,100 chromosomes) from 52 ethnic groups. Our discovery of rare morbid variants of the *TLR3*, *UNC93B1*, *TRAF3*, *TRIF*, and *TBK1* genes, each found in only a small number of children with HSE, suggests that the determinism of HSE may depend on a collection of highly diverse and possibly immunologically related single-gene lesions (Casanova and Abel, 2007; Alcaïs et al., 2010). We were unable to investigate whether the two forms of *TBK1* deficiency reported here displayed complete cellular penetrance, as documented in families with UNC-93B, TLR3, or TRIF deficiency (Casrouge et al., 2006; Zhang et al., 2007; Sancho-Shimizu et al., 2011b). Consistent with this possibility, no unreported variants other than that of *TBK1* were found by whole-exome sequencing of genes involved in the TLR3-IFN axis in P2 and her asymptomatic mother (see Whole-exome sequencing in P1 and P2: TLR3-IFN pathway genes sequenced and found to be WT). Interestingly, the two unrelated patients with *TBK1* deficiency had different cellular phenotypes, that of the patient with the G159A mutation being more severe than that of the patient with the D50A mutation because the G159A allele is dominant negative, whereas D50A is dominant by haploinsufficiency. Regardless of these

differences, our discovery of two forms of AD partial TBK1 deficiency adds weight to the argument that HSE should not be considered only a viral disease. Instead, it should also be seen as a genetic disorder caused by an array of rare, single-gene inborn errors of TLR3 immunity, in at least a fraction of affected children (Casanova and Abel, 2005; Alcais et al., 2010).

The identification of TBK1 deficiency as a genetic etiology of HSE is surprising, as mouse TBK1 has been shown to be involved in many antiviral pathways other than the TLR3 cascade, including the RIG-I/MDA-5 (Fitzgerald et al., 2003; Sharma et al., 2003; Hemmi et al., 2004), DAI (DLM-1/ZBP1; Takaoka et al., 2007), and IFI16/STING (Ishikawa and Barber, 2008; Ishikawa et al., 2009; Unterholzner et al., 2010) pathways. In vitro studies have shown that the IFN- $\alpha/\beta$  response is defective in *TBK1*<sup>-/-</sup> MEFs stimulated with poly(I:C) (Hemmi et al., 2004) or infected with Sendai virus (Hemmi et al., 2004; Perry et al., 2004) or VSV (Hemmi et al., 2004). IFN induction is also defective in mouse *TBK1*<sup>-/-</sup> macrophages challenged with HSV-1 and dsDNA (Miyahira et al., 2009), LPS, and poly(I:C) (Perry et al., 2004). *TBK1*<sup>-/-</sup> mice die in the perinatal period as the result of massive liver degeneration and apoptosis (Bonnard et al., 2000). The role of TBK1 in host defense in vivo has not, therefore, been studied in mice. Our data suggest that TBK1 haplotype insufficiency may also occur in mice. *TBK1*<sup>+/-</sup> mice are viable but have not been characterized immunologically or in terms of their response to infection (Marchlik et al., 2010). Nevertheless, our two patients with AD partial TBK1 deficiency were found to be resistant to most common viruses. Like TLR3, UNC-93B, TRIF, and TRAF3 deficiencies, but unlike STAT-1 (Yang et al., 2005) and NEMO (Audry et al., 2011) deficiencies, AD TBK1 deficiency is associated with isolated HSE. The residual TBK1 activity is not sufficient to sustain optimal TLR3 responses, against HSV-1 in particular, but is sufficient for the TLR3-independent pathways tested. AD TBK1 deficiency does not impair the response to transfected dsDNA, dsRNA, or ssRNA in human fibroblasts or the response to TLR4 in leukocytes. This may account for the effective control of the viruses tested in AD TBK1-deficient fibroblasts and for the patients' lack of susceptibility to other viral diseases. However, we cannot exclude the possibility that TBK1 is redundant for certain pathways and/or in certain cells. In any case, this experiment of nature neatly illustrates how subtle mutations of human genes at the crossroads of multiple signaling pathways may result in partial biochemical defects, accounting for narrow cellular and clinical phenotypes.

## MATERIALS AND METHODS

### Case reports

P1 is a Polish child from a nonconsanguineous family with no family history of encephalitis or herpes labialis. Both parents and the child were healthy until P1 suffered from HSE at the age of 7 yr. The diagnosis was based on the positive detection by PCR of HSV-1 DNA in the child's cerebrospinal fluid and on typical HSE-like brain pattern aberrations on MRI. P1 tested positive for IgG and IgM antibodies against HSV-1 at the time of diagnosis. She was

treated with acyclovir, systemic steroids, intravenous gammaglobulins, phenobarbital, valproic acid, benzodiazepines, mannitol, and antibiotics for 4 mo. P1 subsequently presented cognitive disability and drug-resistant epilepsy. She has since required multidrug anti-epilepsy treatment, which has not influenced her immunological status, as immunological screenings gave normal results. Since her HSE episode, P1 has developed only mild upper respiratory tract infections despite attending kindergarten and primary school. P1 has been exposed to VZV (varicella zoster virus), CMV, EBV, B19, and influenza A, as demonstrated by positive serological results, in the absence of acute events. P1 was also immunized with hepatitis B, diphtheria/tetanus/polio type 1, 2, and 3, and mumps vaccines with no adverse effect.

P2 is a French patient from a nonconsanguineous family with no family history of encephalitis. P2 suffered from HSE at 11 mo of age, presenting initially with otitis and hyperthermia, followed by convulsions and fever the next day. 6 d later, a 15-d course of treatment with zovirax was initiated. At this time, P2's condition had deteriorated and she presented right-sided hemiparesis and erythema on her arms and hands. A scan revealed typical encephalitis-like patterns for the left temporal area of the brain. At the end of zovirax treatment, P2 still had right-sided hemiparesis but no longer suffered from convulsions. In the next month, EEGs and scans confirmed the presence of lesions on the left side of the brain (temporal, frontoinsular, and parietal). P2 subsequently suffered from obesity and motor and cognitive disabilities, including epilepsy during treatment for her motor and cognitive disabilities. P2 has been exposed to VZV, CMV, B19, and influenza A, as demonstrated by positive serological results, with no acute events. P2 was also immunized with the live mumps/rubella/measles vaccines and with the diphtheria toxoid, inactivated poliovirus type 1, poliovirus type 2, poliovirus type 3, and tetanus toxoid vaccines with no adverse effect. P2's mother and sister suffer from bouts of herpes labialis once or twice a year but have no other significant infectious phenotype. P2's father suffered from measles and chicken pox as a child but has no other significant infectious phenotype.

Both children have been living in and followed up in their countries of origin (Poland for P1 and France for P2). Informed consent was obtained in the home country of each patient in accordance with local regulations and with institutional review board (IRB) approval. The experiments described here were conducted in the United States and in France in accordance with local regulations and with the approval of the IRB of The Rockefeller University and Institut National de la Santé et de la Recherche Médicale.

### Human molecular genetics

Total RNA and genomic DNA were extracted from the PBMCs of P1, P2, and a control with TRIZOL (Gibco). RNA was reverse-transcribed with SuperScript II RT (Invitrogen) according to the manufacturer's instructions. The cDNAs for all the genes sequenced and for the genomic coding regions of *TBK1* were amplified with specific primers. PCR was performed with *Taq* polymerase (Invitrogen). PCR products were analyzed by electrophoresis in 1% agarose gels, purified by ultracentrifugation through Sephadex G-50 Superfine resin (GE Healthcare), sequenced with the Big Dye Terminator cycle sequencing kit (Applied Biosystems), and analyzed on an ABI Prism 3700 machine (Applied Biosystems).

### Cell culture and transfections

Primary human fibroblasts were obtained from biopsies of patients or healthy controls and were cultured in DME (Invitrogen) supplemented with 10% FBS. They were transformed with an SV40 vector, as previously described (Chapgier et al., 2006), to create SV40-immortalized fibroblasts (SV40-fibroblasts). The SV40-fibroblast cell lines were activated in 24-well plates at a density of 10<sup>5</sup> cells/well for 24 h, unless otherwise specified. *TBK1*<sup>-/-</sup> and WT MEFs were supplied by M.A. White. MEFs were transformed with an SV40 vector, as for human fibroblasts, and cultured in DME (Invitrogen) supplemented with 10% FBS. Cells were transiently transfected with expression vectors with a calcium phosphate transfection kit from Sigma-Aldrich, used according to the manufacturer's instructions. The cells were dispensed into 12-well plates at a density of 0.9 × 10<sup>4</sup> cells/well for transfection. The pcDNA3.1-FLAG-TBK1 construct was obtained by subcloning



the FLAG-TBK1 sequence from the vector pCMV5-FLAG-TBK1 into pcDNA3.1+ (Invitrogen); pCMV5-FLAG-TBK1 was provided by P. Cohen (University of Dundee, Dundee, Scotland, UK). Point mutations encoding D50A, G159A, or S172A TBK1 were introduced in pcDNA3.1-FLAG-TBK1 by site-directed mutagenesis using the QuikChange II Site-Directed Mutagenesis kit (Agilent Technologies).

Lentivirus pseudoparticles were generated by transfection of HEK293-T cells, mediated by Fugene 6 (Roche), in DME supplemented with 3% FBS and NEAA (nonessential amino acids from Life Technologies) with three plasmids: pTRIP, encoding TBK1 or luciferase, HIV gag-pol, and VSV envelope protein G (VSV-G) plasmids at a weight ratio of 1:0.8:0.2 (Schoggins et al., 2011). Mutations were introduced in *TBK1* (D50A and G159A) by site-directed mutagenesis, as described above. Supernatants were collected at 48 and 72 h and supplemented with 4 µg/ml polybrene (Santa Cruz Biotechnology, Inc.) and 20 mM Hepes (Life Technologies).

### TLR activation, signal transduction experiments, and cytokine measurement

We used the following TLR agonists: a synthetic analogue of dsRNA, poly(I:C) (GE Healthcare; used at a concentration of 25 µg/ml unless otherwise specified) and LPS (Re 595 from *Salmonella minnesota*, obtained from Sigma-Aldrich, and used at a concentration of 10 µg/ml); IL-1β was obtained from R&D Systems. All agonists and reagents were endotoxin free. For all TLR agonists except LPS, cells were incubated for 30 min with 10 µg/ml polymyxin B at 37°C before activation. TLR agonists were used to stimulate PBMCs at a density of  $2 \times 10^6$  cells/ml in RPMI 1640/10% FCS, RPMI/1% FCS, or in AIMV medium, or in whole blood diluted 1:2 in RPMI 1640, as indicated. The SV40-fibroblast cell lines were activated in 24-well plates at a density of  $10^5$  cells/well for 20 h with HSV-1, VSV, 25 µg/ml poly(I:C) (unless otherwise specified), 20 ng/ml TNF, or 20 ng/ml IL-1β.

TransAM NF-κB p65 ELISA (Active Motif) was performed with an NF-κB p65-specific DNA probe with 10 µg nuclear extract. Native PAGE of total cell extract (25 µg of protein) was performed in a gel containing 1% sodium deoxycholate (DOC; Sigma-Aldrich) for the detection of IRF3 dimerization. After electrophoresis in a 7.5% polyacrylamide gel, the gel was blotted onto a membrane, which was then probed with the anti-IRF-3 antibody (FL-425; Santa Cruz Biotechnology, Inc.).

ELISA was performed for human IFN-α (AbCys SA), IFN-β (TFB; Fujirebio, Inc.), IL-6 (Pelipair kit), mouse IL-6 (R&D Systems), and mouse IFN-β (Verikine, PBL) according to the kit manufacturer's instructions. The IFN-λ ELISA was developed in the laboratory. In brief, plates were coated by incubation overnight at 4°C with 1 µg/ml mAb against human IFN-λ (R&D Systems), and the levels of IFN-λ in the supernatant were determined by incubation with a biotinylated anti-human IFN-λ secondary mAb (R&D Systems) at a concentration of 400 µg/ml.

### RT-qPCR and Western blotting

RNA was reverse-transcribed with the SuperScript II RT (Invitrogen) and oligo (dT) (Invitrogen) to determine *TBK1* expression levels. In all RT-qPCR, β-glucuronidase (*Gus*) was used for normalization. For RT-qPCR analysis assessing the induction of IFNs upon poly(I:C) stimulation, RT was performed with random hexamer primers. RT-qPCR was performed with TaqMan reverse transcription reagents (Applied Biosystems) in an ABI Prism 7700 sequence detection system (Applied Biosystems). All the probes used were taken from the TaqMan Gene Expression Assays (Applied Biosystems). Results are expressed according to the ΔΔCt method, as described by the manufacturer.

Equal amounts of protein for each sample were separated by SDS-PAGE and blotted onto polyvinylidene difluoride membranes (Bio-Rad Laboratories), which were then probed with primary antibodies followed by peroxidase-conjugated secondary antibodies and ECL Western blotting substrate (Thermo Fisher Scientific). The primary antibodies used included TBK1/NAK antibody (Cell Signaling), anti-DDK mAb (Origene), IRF3 phospho-pS386 antibody (Epitomics), rabbit polyclonal anti-IRF-3 FL-425 antibody (Santa Cruz Biotechnology, Inc.), and anti-β-tubulin antibody (Sigma-Aldrich).

### Viral infection and quantification in fibroblasts

For VSV infection,  $10^5$  SV40-fibroblasts were dispensed into each individual well of 24-well plates and infected with VSV at an MOI of 10 in DME supplemented with 2% FBS. The plates were incubated for 30 min, and the cells were then washed and incubated in 500 µl of medium. Supernatants were obtained and frozen at the 0.5-, 3-, 6-, 8-, and 24-h time points. VSV titers were determined by calculating the 50% end point (TCID<sub>50</sub>), as described by Reed and Muench (1938), after the inoculation of Vero cell cultures in 96-well plates. For HSV-1-GFP infection,  $10^4$  SV40-fibroblasts were dispensed into each individual well of 96-well plates and infected with HSV-1-GFP (strain KOS; Desai and Person, 1998) at various MOIs in DME supplemented with 10% FBS. After 2 h, cells were washed and incubated in 100 µl of culture medium. The GFP fluorescence of the samples was quantified at the 2-, 16-, 24-, and 48-h time points. For assays of cell protection upon viral stimulation, cells were treated with  $10^5$  IU/ml IFN-α2b for 18 h before infection, as appropriate.

### Cell viability assay

The viability of SV40-fibroblasts was assessed by resazurin oxidoreduction (TOX-8; Sigma-Aldrich). Cells were plated, in triplicate, in 96-well flat-bottomed plates ( $2 \times 10^4$  cells/well) in DME supplemented with 2% FBS. After 24 h, cells were infected by incubation for 24 h with VSV or for 72 h with HSV-1 at the indicated MOI. Resazurin dye solution was then added (5 µl per well) to the culture medium, and the samples were incubated for an additional 2 h at 37°C. Fluorescence was then measured at a wavelength of 590 nm, using an excitation wavelength of 531 nm. Background fluorescence, calculated for dye and complete medium alone (in the absence of cells), was then subtracted from the values for all the other samples; 100% viability corresponds to the fluorescence of uninfected cells.

### In vitro protein kinase assays

TBK1 protein kinase assays were performed as previously described (Ou et al., 2011).

### Whole-exome sequencing

3 µg DNA was extracted from leukocyte cells from the individuals and was sheared with an S2 Ultrasonicator (Covaris) for massively parallel sequencing. An adaptor-ligated library was prepared with the TruSeq DNA Sample Preparation kit (Illumina). Exome capture was performed with the Sure-Select Human All Exon kit (Agilent Technologies; Byun et al., 2010). Paired-end sequencing was performed on a HiSeq 2000 (Illumina) that generated 100-bp reads. For sequence alignment, variant calling, and annotation, the sequences were aligned to the human genome reference sequence (GRCh37 build) using the Burrows-Wheeler Aligner (Li and Durbin, 2009). Downstream processing was performed with the Genome analysis toolkit (GATK; McKenna et al., 2010), SAMtools (Li et al., 2009), and Picard (<http://picard.sourceforge.net>). Variant calls were made with a GATK Unified Genotyper. All calls with a read coverage  $\leq 2\times$  and a Phred-scaled SNP quality of  $\leq 20$  were removed from consideration. All variants were annotated with a software system developed in-house.

**Whole-exome sequencing in P1 and P2: TLR3-IFN pathway genes sequenced and found to be WT.** Genes sequenced by whole-exome sequencing were *TAB3* (NAP1), *IKBKE* (IKKe), *TBKBP1* (SINTBAD), *TRAF6*, *IRF3*, *IRF7*, *HMGB1*, *HMGB2*, *CC2D1A* (TAPE), *TRAF2*, *TRAF4*, *TRAF1*, *ZMYND11* (BS69), *RIPK1*, *IKBK*, *IKBKB*, *CHUK*, *NFKB1A*, *NFKB1*, *RELA*, *NFKB2*, *CAMK2G*, *CAMK2A*, *PIAS4*, *TRADD*, *DDX3X*, *TNFAIP3*, *GAB1*, *TNIK\**, and *TRIM21*. Genes sequenced by the Sanger method were *TLR3*, *TICAM1* (TRIF), *TRAF3*, *UNC93B1*, and *TANK*. P1 carries an unreported heterozygous intronic variation in *TNIK* (asterisk) located 125 nucleotides 3' to exon 4. A genomic DNA sample from P2's mother was sequenced by whole-exome sequencing. All genes known to be involved in the TLR3-IFN axis covered by whole-exome sequencing and found to be WT in P2's mother are indicated in bold typeface.

## Genome-wide transcriptional profile experiments in primary fibroblasts

**Data acquisition.** Fibroblasts from patients and controls were stimulated with IL-1 $\beta$  or poly(I:C) or IFN- $\alpha$  for 2 or 8 h or left unstimulated. Total RNA was isolated (RNeasy kit; QIAGEN) and RNA integrity was assessed on an Agilent 2100 Bioanalyzer (Agilent Technologies). Biotinylated cRNA targets were prepared from 250 ng of total RNA, using the Illumina Total-Prep RNA Amplification kit (Ambion). The labeled cRNAs (750 ng) were then incubated for 16 h to HT-12 version 4 BeadArrays (48,323 probes). BeadChip arrays were then washed, stained, and scanned on a HiScanSQ (Illumina) according to the manufacturer's instructions.

**Data preprocessing.** After background subtraction, the raw signal values extracted with BeadStudio (version 2; Illumina) were scaled using quantile normalization. Minimum intensity was set to 10, and all the intensity values were log<sub>2</sub> transformed. Only the probes called present in at least one sample ( $P < 0.01$ ) were retained for downstream analysis ( $n = 28,553$ ).

**Data analysis.** Transcripts differentially regulated upon stimulation were defined based on a minimum twofold change (up- or down-regulation) and a minimum absolute raw intensity difference of 100 with respect to the respective unstimulated sample. Heat maps were generated using R (version 2.12.2). Cumulative fold change scores were calculated using the absolute fold change values from the transcripts passing the cutoffs defined above.

**Data availability.** Raw data for the microarray analyses performed in this study are available from the public repository of GEO DataSets (accession no. GSE38652). Networks were resolved with MetaCore version 6.10 (GeneGo).

## Statistical methods

Statistical significance was determined by Student's *t* test. *P*-values of  $<0.05$  were considered statistically significant.

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