

Autophagy across the eukaryotes Is *S. cerevisiae* the odd one out?

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Autophagy is conserved throughout the eukaryotes and for many years, work in *Saccharomyces cerevisiae* has been at the forefront of autophagy research. However as our knowledge of the autophagic machinery has increased, differences between *S. cerevisiae* and mammalian cells have become apparent. Recent work in other organisms, such as the amoeba *Dictyostelium discoideum*, indicate an autophagic pathway much more similar to mammalian cells than *S. cerevisiae*, despite its earlier evolutionary divergence. *S. cerevisiae* therefore appear to have significantly specialized, and the autophagic pathway in mammals is much more ancient than previously appreciated, which has implications for how we interpret data from organisms throughout the eukaryotic tree.

Autophagy is fundamental to eukaryotic life, essential for survival following stresses such as starvation as well as cellular homeostasis. These roles are important for all cells and, as such, autophagy is conserved throughout the eukaryotes. From a research point of view, this has been extremely useful, allowing the use of model organisms such as the yeast *Saccharomyces cerevisiae* to first identify and subsequently explore the core macroautophagic machinery.¹ As autophagy has been described most fully in *S. cerevisiae* it has become the model to which autophagy in other organisms is compared. However as the number of organisms in which it is studied increases, it is important to reconsider how representative autophagy in *S. cerevisiae* is of other eukaryotes.

As our knowledge of the autophagic pathway has increased, it has become clear that there are substantial differences between mammalian and *S. cerevisiae* autophagy. Perhaps the most obvious of these is the presence of a single degradative vacuole in *S. cerevisiae*, as opposed to a number of acidic lysosomal vesicles in mammalian cells. It has been shown that lysosomal positioning is important to coordinate autophagy, and the reformation of lysosomes from autolysosomes is highly regulated, and therefore these interactions must differ substantially between organisms such as *S. cerevisiae* with a single lysosome and those with multiple lysosomal compartments.^{2,3}

Another major difference is the location of autophagosome formation. The *S. cerevisiae* phagophore membrane is both initiated and expands from a single specialized structure termed the phagophore assembly site (PAS) that is disconnected from other cellular organelles.⁴ In contrast, mammalian autophagosomes form by transiently transforming regions of the endoplasmic reticulum (ER) or mitochondrial membranes into phagophore nucleation sites and can produce many autophagosomes simultaneously.^{5,6}

These contrasting mechanisms of autophagosome formation clearly have different requirements. Therefore, whereas most of the core autophagic machinery is highly conserved, a number of elements are significantly divergent. While the genetic requirements for *S. cerevisiae* autophagy have largely been identified, the picture is less complete in other organisms and the goal of many groups is to identify new components of the pathway in mammals.

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It is frequently assumed that elements of the autophagic pathway present in “higher eukaryotes” (i.e., metazoans) but absent in *S. cerevisiae*, are more recent adaptations and therefore metazoan specific. While in some cases this is undoubtedly true, during its evolution *S. cerevisiae* has become exquisitely specialized and undergone genome duplication and drastic gene loss. Therefore in many cases *S. cerevisiae* is not representative of metazoans, or even other fungi. Recent work, discussed below, indicates that this is also the case for autophagy, where in a number of respects *S. cerevisiae* is the “odd one out” and the mechanism of autophagosome formation is more universally conserved than previously thought.

The complete range of eukaryotic organisms is diverse, but most model organisms used to study autophagy in detail are relatively close in evolutionary terms, generally belonging to the opisthokont branch of the phylogenetic tree (including the animal and fungal kingdoms). One of the organisms outside of this group where autophagosome formation has been studied in detail is *Dictyostelium discoideum*, a representative of the amoebozoa group that diverged

from the metazoa at some point after the plants but before fungi (Fig. 1).^{7,9,10}

Interestingly, studies using these amoeba show that like mammalian cells, the expanding phagophore forms from multiple regions of the ER, highly reminiscent of the omegasome structures observed in mammals.^{5,11} These regions are transient, and there is no evidence, therefore, of a PAS-equivalent structure. Dictyostelium cells also have a classical lysosomal compartment, consisting of numerous acidified and proteolytic vesicles rather than a single *S. cerevisiae*-style degradative vacuole.¹² As *S. cerevisiae* diverged from the common eukaryotic ancestor after Dictyostelium, the PAS is likely to be a *S. cerevisiae*-specific adaptation. It is therefore probable that the generation of phagophores from the ER (and potentially other organelles) is the true ancient method of autophagosome formation, conserved throughout the eukaryotes as far as the fungi, which subsequently diverged.

Although there are no detailed studies of autophagosome formation in organisms covering most of the eukaryotic tree, there is evidence for this conserved mechanism at the genomic level. In recent years there

has been much interest in identifying the ‘missing’ proteins required for macroautophagy in metazoans but absent in *S. cerevisiae*. A screen in *Caenorhabditis elegans* identified three genes encoding such proteins (*epg-3*, *-4* and *-5*), two of which reside in the ER. These are vacuolar membrane protein 1 (VMP1) and etoposide-induced protein 24 (EI24) (also named EPG-3 and EPG-4, respectively).¹³ Interestingly, although absent in *S. cerevisiae* clear homologs of all three genes can be found in non-metazoans, and VMP1 and EI24 are present in every branch of the eukaryotic tree, along with the core members of the canonical autophagy apparatus (which are comprehensively described elsewhere^{14,15}) (Table 1; Figs. S1 and S2). Interestingly, although they are lost from large parts of the fungal kingdom, including the entire Ascomycota phylum (containing *S. cerevisiae*) both genes can be found in the Chytrid fungus *Batrachomyces dendrobatidis*, and EI24 orthologs are also present across the Basidiomycetes. Both these groups diverged early in the fungal lineage indicating that these genes were lost later, somewhere around the Ascomycota branch point.¹⁶

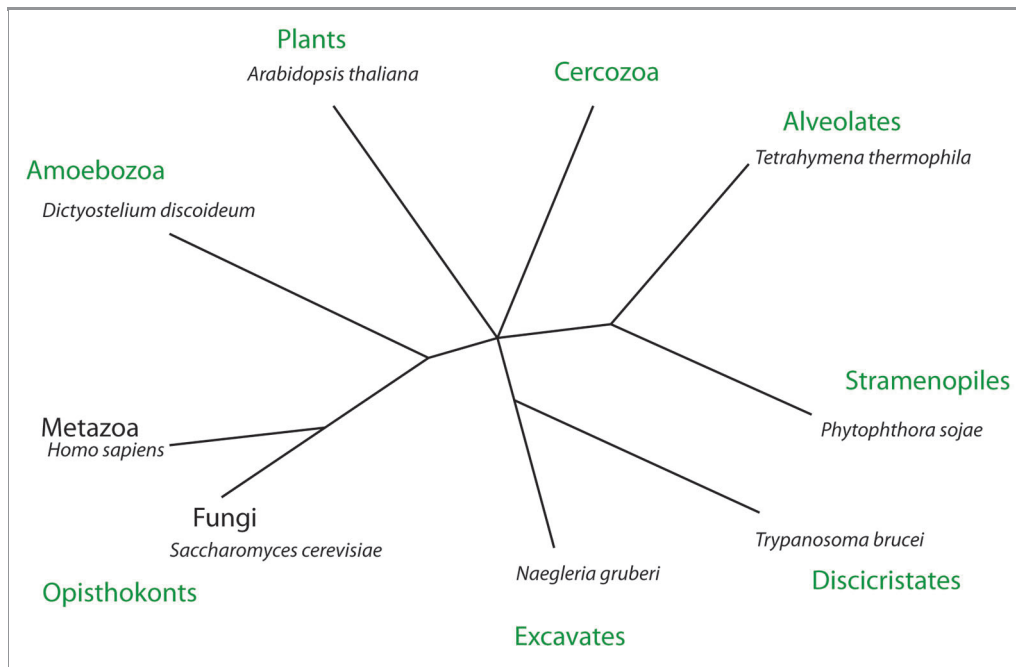


Figure 1. A simplified eukaryotic tree. This phylogeny is based on references 7 and 8 and nearly all eukaryotes can be placed in one of the eight groups. The organisms named were used as representatives of each clade to search for the presence of autophagy-related genes. The Cercozoa have been excluded from the sequence analysis due the lack of complete genomic data.

Table 1. Conservation of autophagy-related genes/proteins across the eukaryotes. Each genome was searched using the BLAST algorithm for orthologs of the respective human genes

Group	Organism	ATG1	ATG4	ATG5	ATG6	ATG7	ATG8	ATG9	ATG18	VMP1	EI24	epg-5
Opisthokonts	<i>Saccharomyces cerevisiae</i>	Y	Y	Y	Y	Y	Y	Y	Y	N ¹	N ²	N ¹
	<i>Homo sapiens</i>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
	<i>Caenorhabditis elegans</i>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
Amoebozoa	<i>Dictyostelium discoideum</i>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N
Plants	<i>Arabidopsis Thaliana</i>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N
Alveolates	<i>Tetrahymena thermophila</i>	Y	Y	Y	Y	Y	Y	N ³	Y	Y	Y	N
Stramenopiles	<i>Phytophthora sojae</i>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
Discrystates	<i>Trypanosoma brucei</i>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N
Excavates	<i>Naegleria gruberi</i>	Y	N ⁴	Y	Y	Y	Y	Y	Y	N ⁵	Y	Y

Dark green shading indicates highly conserved orthologs with an E-value of $< 10^{-10}$. Light green shading indicates more distant orthologs with E-values of $< 10^{-5}$. Red shading indicates where no convincing ortholog could be identified (i.e., $E > 10^{-5}$). ¹These genes have no homologs in *S. cerevisiae*, or any other fungi except *Batrachochytrium dendrobatidis*. ²EI24 has no homolog in *S. cerevisiae* or any other fungi except those belonging to the basidiomycetes subgroup. ³Although the Tetrahymena genome contains no obvious ATG9 ortholog, weakly homologous genes are present in other alveolates such as *Toxoplasma gondii*. ⁴Although Trypanosoma ATG5 is not identified using the human gene, a homolog can be identified using non-opisthokont ATG5 sequences.¹⁴ ⁵No ATG4 or VMP1 orthologs appear to be present in any excavates currently sequenced. The accession numbers of sequences used to search were: ATG1 = hsUlk1 (NP_003556), ATG4 = hsAtg4a (AAH41862), ATG5 = hsAtg5 (CAI20314), atg6 = hsBeclin1 (NP_003757), ATG7 = hsAtg7 (AAH00091), ATG8 = hslC3B (NP_852610), ATG9 = hsAtg9a (EAW70707), ATG18 = hsWIP12 (Q9Y4P8), VMP1 = hsVMP1 (CAG38552), EI24 = hsEI24 (NP_004870) and epg-5 = mEPG5 (NP_066015).

The presence of VMP1 and EI24 in diverse organisms such as *Trypanosoma brucei* (a member of one of the earliest groups to diverge from the common eukaryotic ancestor) and plants clearly demonstrates that they were present during early eukaryotic evolution and have been subsequently lost in *S. cerevisiae*. A conserved function for these genes has also been demonstrated in Dictyostelium where VMP1 is also required for autophagy and both VMP1 and EI24 localize to the ER (see ref. 17; King J, unpublished data). Although functional studies of these genes in other protists is required to confirm a role in autophagy it is likely that the autophagic process observed in metazoans is more highly conserved through evolution than previously thought, and formation from the ER, rather than a PAS, is the true ancient mechanism of autophagosome formation.

The diversity of organisms in which autophagy is being studied is steadily growing, and in particular there is growing interest in autophagy in pathogens such as the trypanosomes, *Toxoplasma gondii*, *Leishmania major* and *Entamoeba histolytica* where it plays important roles in

differentiation and pathogenicity (recently reviewed in refs. 14,15). Recent molecular and bioinformatic studies indicate that the function of several core ATG genes are highly conserved¹⁸⁻²⁰ but it is clear that different organisms utilize the autophagic process in different ways. Therefore the upstream signaling and regulation of autophagy in selective organelle removal and development are more divergent than the core machinery and cannot be so easily translated across species.²¹⁻²³ Currently there are no detailed analyses of autophagosome biogenesis in any of these organisms and the source of the membrane and location of phagophore expansion is unknown. However, as they all retain VMP1 and EI24, I speculate that they also use an omegasome rather than a PAS-type mechanism of autophagosome formation.

When interpreting data from model organisms and extrapolating it to mammalian cells, it is essential to consider the evolutionary background of the process in question. Autophagy is so fundamental to cell health and survival that it is exceptionally well conserved, and studies in *S. cerevisiae* have been invaluable.

However, while some aspects of autophagy such as interactions with the classical apoptotic pathway via BCL2-family proteins clearly are specific to metazoans,²⁴ the yeast are so specialized that the absence of a gene in *S. cerevisiae* does not necessarily indicate metazoan specificity.

Many other questions about the evolution of autophagy remain. Recently it has been shown that both mitochondria and the plasma membrane can act as sites for mammalian autophagosome biogenesis and it will be interesting to see if this is also conserved.^{6,25} The eukaryotes are so diverse that there cannot be a generic model for all of them and, despite its limitations, *S. cerevisiae* remains at the heart of autophagy research. As the number of organisms studied rises, and the level of detail increases we will gain a fuller picture of how autophagy has changed and adapted through evolution. It is already clear that most of autophagy is far more ancient than previously thought.

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