



Efficacy and safety of rituximab therapy for systemic lupus erythematosus: a systematic review and meta-analysis

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Received Feb. 27, 2012; Revision accepted Apr. 16, 2012; Crosschecked Aug. 9, 2012

Abstract: Objective: To review the efficacy and safety of rituximab therapy for systemic lupus erythematosus (SLE). Methods: We searched for randomized controlled trials and observational studies that evaluated the effect of rituximab based on the systemic lupus erythematosus disease activity index (SLEDAI), British Isles lupus assessment group index (BILAG), urine protein levels, and the prednisolone dose, and had adequate data to calculate the mean, standard deviation (SD), and 95% confidence intervals, and to systematically review and meta-analyze observational studies with fixed effects model or random effects model. Results: We included 2 randomized controlled studies and 19 observational clinical studies. We summarized the data from the 19 observational studies, analyzed the heterogeneity of the literature, and then used fixed effect model or random effect model for statistical analysis. The SLEDAI, BILAG, and urine protein levels and the prednisolone dosage were decreased after rituximab treatment, and the decreases in the BILAG, urine protein levels, and the prednisolone dose were found to be significant ($P < 0.05$), when compared with baseline level. Rituximab's adverse effects generally could be controlled with an effective dosing regimen. Conclusions: Although there are still controversies about rituximab's treatment on SLE, but our study had showed that rituximab had favorable effects on refractory lupus. The long-term efficacy and safety of rituximab require further study.

Key words: Systemic lupus erythematosus, Rituximab, Meta-analysis

doi:10.1631/jzus.B1200057

Document code: A

CLC number: R758.62

1 Introduction

Systemic lupus erythematosus (SLE) is an autoimmune disease caused by cellular and humoral immune dysfunction. Renal involvement occurs in up to 60% of SLE patients, and lupus nephritis (LN) remains a predominant cause of morbidity and mortality (Waldman and Appel, 2006). At present, the main drug treatments for SLE include corticosteroids and immunosuppressive drugs, such as cyclophosphamide (CYC), azathioprine (AZA), mycophenolate mofetil (MMF), and tacrolimus (Houssiau *et al.*, 2002). Unfortunately, many patients experience the adverse drug reactions of the currently available

immunosuppressants (which are used due to the increased risk of infection), which contribute to increased mortality. Therefore, there is an urgent need to identify new, more effective therapeutic methods with more favorable safety profiles.

Rituximab is a chimeric monoclonal antibody against the protein CD20 and is used in the treatment of lymphoma, leukemia, transplant rejection, and some autoimmune disorders (Scott, 1998). As a chimeric antibody directed against CD20 on B lymphocytes, rituximab has become a hopeful therapy on SLE (Thatayatikom and White, 2006), and there are a number of observational studies with evidence that rituximab is effective in reducing the levels of certain auto-antibodies, resulting in clinical improvement (Levine and Pestronk, 1999). However, these results are in contrast with two recently conducted controlled

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trials: the 'Explorer' (Merrill *et al.*, 2011) and 'Lunar' (Furie *et al.*, 2009) trials. These trials were randomized, double blind placebo-controlled studies. The 'Explorer' trial assessed the efficacy of rituximab added to standard immunosuppressive therapy in moderate or severe SLE. The 'Lunar' trial investigated the efficacy and safety of rituximab in active proliferative LN. Both studies failed to show clinically significant differences between rituximab and placebo. In this study, we systematically assessed the efficacy and safety of rituximab in SLE patients. In accordance with the guidelines of the meta-analysis of observational studies in epidemiology (MOOSE GROUP), we designed this study as a systematic review and meta-analysis of observational studies.

2 Materials and methods

2.1 Identification of eligible studies and data extraction

We performed a search to identify observational studies and randomized controlled trial (RCT) that examined rituximab therapy for SLE patients. Literature searches were performed using the PubMed database (between Jan. 1, 2002 and Dec. 31, 2011). We also searched the American College of Rheumatology (ACR) and the Europe League against Rheumatology (EULAR), the following key words and Medical Subject Headings (MeSH) terms were used: 'Lupus', 'Systemic lupus erythematosus', 'Rituximab', and 'Anti-CD20'. We reviewed all references in the studies included to determine extra works not included in the electronic databases. No language restrictions were considered.

2.2 Criteria for considering articles for review

We reviewed RCTs and further included cohort studies, case control studies, and case series (>5 cases). Studies were included if they met the following criteria: (1) the study that examined rituximab as an induction therapy for SLE; (2) the study that recorded the necessary data about therapy efficacy and safety; and (3) patients with a diagnosis of SLE based on the ACR criteria. We excluded studies that included pediatric patients.

In the studies included in our review, the complete remission criteria of LN were defined as a

normal value for serum creatinine, normal serum albumin, inactive urinary sediment, and a 24-h urinary albumin level <0.5 g. Partial remission criterion of LN was defined as a $\geq 50\%$ improvement in all renal parameters that were abnormal at baseline without deterioration in any parameter. The studies with imputed standard deviations (SDs) were defined as low quality studies.

One reviewer (Dr. Lan LAN) extracted the data and another reviewer (Dr. Fei HAN) verified that the data had been accurately recorded.

2.3 Statistical analysis

Data were extracted and summarized using the medians or means and the SDs as provided by the authors. Missing data were requested from the study authors by e-mail. Analysis of the indicators of heterogeneity between studies was performed to determine whether these indicators could be combined, heterogeneity was analyzed using χ^2 test with $N-1$ degrees of freedom. A P value of 0.05 was regarded as the critical value for homogeneity. Continuous outcome data from individual trials were meta-analyzed using the weighted mean difference (WMD) as combined effect. If the studies included were homogeneous, they were meta-analyzed with using the fixed effects model to estimate the combined effect. If the studies included were heterogeneous, they were analyzed using random effects model to estimate the combined effect. All statistical analyses were performed using Review Manager 4.2 statistical software.

3 Results

Our search returned 589 publications and abstracts, of which 503 were clearly not relevant to the study and excluded. Fifty-one studies were excluded due to the absence of required data, and 14 reviews were excluded. We included 19 observational studies and 2 RCT studies for systematic review (Fig. 1).

3.1 Characteristics of the included studies and their quality

Tables 1 and 2 show a summary of included studies. We found 2 RCTs (Furie *et al.*, 2009; Merrill *et al.*, 2011) and 19 observational studies (Leandro *et al.*,

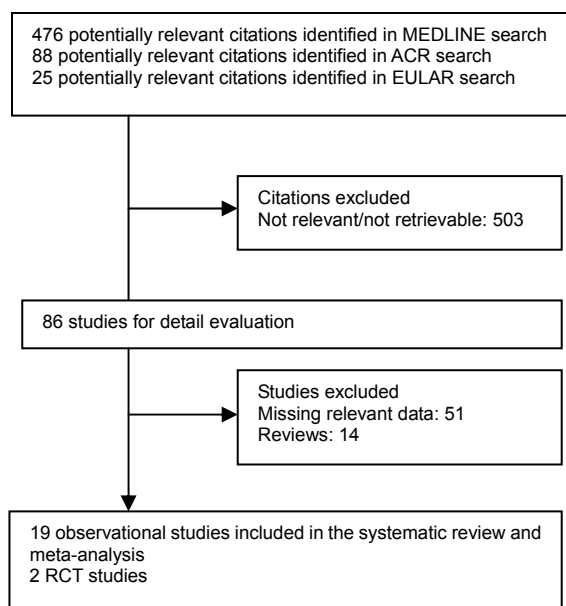


Fig. 1 MEDLINE, the American College of Rheumatology (ACR), and the Europe League against Rheumatology (EULAR) search: process selection

2002; Leandro *et al.*, 2005; Vigna-Perez *et al.*, 2006; Gunnarsson *et al.*, 2007; Tokunaga *et al.*, 2007; Sutter *et al.*, 2008; Tamimoto *et al.*, 2008; Melander *et al.*, 2009; Pepper *et al.*, 2009; Catapano *et al.*, 2010; Lateef *et al.*, 2010; Ramos-Casals *et al.*, 2010; Terrier *et al.*, 2010; Pinto *et al.*, 2011; Roccatello, 2011; Tony *et al.*, 2011; Turner-Stokes *et al.*, 2011; Vital *et al.*, 2011; Arce-Salinas *et al.*, 2012).

3.1.1 Characteristics of the patients in 19 observational studies

We enrolled 19 observational studies included a total of 611 patients (520 female (85.1%), 91 male (14.9%)) with an average age of 33.6 years (SD=4.37 years). Of these patients, 222 (36.3%) were diagnosed with LN. The median follow-up time was 18.2 months.

The LN cases consisted of 139 (62.6%) class IV, 31 (14%) class V, 8 (3.6%) class IV+V, 21 (9.5%) class III, 13 (5.8%) other type, and 10 (4.5%) cases that were not classified. All patients fulfilled the ACR criteria for SLE and were measured using the 2003 International Society of Nephrology/Renal Pathology Society (ISN/RPS) classification of LN. Additionally, 576 (94.3%) of the SLE patients enrolled in these observational studies had active disease that was refractory to standard immunosuppressive therapy or had relapsed. Previously applied immunosuppressive

agents included glucocorticoids (GC), CYC, MMF, and AZA.

1. Dose of rituximab

The dosing of rituximab in SLE patients varied between studies; some followed the rheumatoid arthritis guidelines ((0.5–1.0 g)×2 infusions) (Leandro *et al.*, 2002; 2005; Vigna-Perez *et al.*, 2006; Pepper *et al.*, 2009; Terrier *et al.*, 2010; Pinto *et al.*, 2011), and some followed a lymphoma schedule (375 mg/m²×4 weeks) (Gunnarsson *et al.*, 2007; Tokunaga *et al.*, 2007; Sutter *et al.*, 2008; Tamimoto *et al.*, 2008; Melander *et al.*, 2009; Catapano *et al.*, 2010; Lateef *et al.*, 2010; Ramos-Casals *et al.*, 2010; Terrier *et al.*, 2010; Roccatello *et al.*, 2011; Vital *et al.*, 2011; Arce-Salinas *et al.*, 2012). In our review, except for the German Registry of Autoimmune Diseases (GRAID) studies in Germany, 268 (50.9%) cases received a rituximab (0.5–1.0 g)×2 infusions, 211 (40.1%) received a rituximab infusion of 375 mg/m²×4 weeks, and 46 (8.7%) cases received a dose of rituximab ≤375 mg/m²×2 weeks. Rituximab was commonly co-administered with corticosteroids, with 428 (70.0%) patients receiving methylprednisolone/prednisolone (100–250 mg) or a full dose of prednisolone as induction therapy and 99 (16.2%) patients receiving CYC with the first infusion of rituximab. Additionally, 329 (53.8%) patients received other immunosuppressive agents. We analyzed the response of 569 patients to receiving methylprednisolone and nonmethylprednisolone induction therapy, and the remission rates were 74.9% and 64.2%, respectively (Table 3).

2. B-cell depletion (BCD)

Five studies did not mention the number of patients with BCD. In the remaining 232 cases, 187 (80.6%) patients achieved satisfactory BCD. Most of these patients achieved BCD in 12 weeks, and this depletion lasted for 12–48 months. We extracted the available data from 173 cases and analyzed the dose effect on BCD (Table 4).

3. Overall clinical response

The remission rate was registered in 460 cases, where 116 (25.2%) patients achieved partial remission and 153 (33.3%) achieved complete remission. In the study of Pinto *et al.* (2011), 34 complete and partial remissions were reported, accounting for 80.9% of patients. Based on this information, 65.9% of patients achieved partial/complete remission.

Table 1 Characters of 19 observational studies

No.	Study	Patient characters	n_e	Dose	Other immunosuppressant agents	t_m	Remission rate	SLEDAI change	BILAG change	Achieving BCD	Relapse rate	Relapse date	Adverse effect
1	Leandro <i>et al.</i> , 2002	Patient with active SLE and resistant to standard immunosuppressive therapy	6	500 mg 2 infusions 1 week apart	750 mg cyclophosphamide 2 infusions, prednisolone 30/60 mg for 5 d, continue hydroxychloroquine, and prednisolone	12	Not mentioned	5 (83%)	6 (100%)	6	Not registered	Not registered	Acute respiratory infection (3), acute gastroenteritis (3), shingles (1), folliculitis (1), oral candidiasis (1)
2	Leandro <i>et al.</i> , 2005	Active SLE failed to conventional immunosuppressive therapy	24	6 patients 2 infusions of 500 mg, 18 patients 2 infusions of 1000 mg, all 2 weeks apart	Infusion with cyclophosphamide/prednisolone, continue prednisolone, and hydroxychloroquine	6	Not mentioned	19 (80%)	23 (95.8%)	7	Not registered	Not registered	Thrombocytopenia (1), infusion reaction (1)
3	Vigna-Perez <i>et al.</i> , 2006	Active SLE and renal involvement refractory to conventional therapy	22	0.5 to 1.0 g on Days 1 and 15	Continue previous immunosuppressant including GC, CYC, MMF, AZA	3	PR: 7 (32%); CR: 5 (23%)	20 (90%)	20 (90%)	20	Not registered	Not registered	1 patient died for invasive histoplasmosis
4	Gunnarsson <i>et al.</i> , 2007	Active SLE and renal involvement refractory to conventional therapy	7	375 mg/m ² of body surface area on Days 2, 9, 16, 23	Infusion with methylprednisolone 250 mg and CYC 0.5 g/m ² , continue with prednisolone 0.5–1.0 kg/d	6	PR: 1 (14%); CR: 3 (43%)	7 (100%)	7 (100%)	7	Not registered	Not registered	Photosensitive eruption (1), herpes zoster (limited) (1), neutrogea fever (1), urinary tract infection (1)
5	Tokunaga, 2007	Active SLE, with CNS	10	375 mg/m ² 2 infusions for 6, 500 mg 4 infusions for 1, 1 week apart; 1000 mg 4 infusions for 2, 2 weeks apart; 375 mg/m ² single for 1	Infusion and continue with (15–40 mg of prednisolone, 1–3 mg betamethasone	24	Not mentioned	9 (90%)	10 (100%)	10	6 (60%)	4–23 months	Pneumonia (2), herpes zoster (1), chickenpox (1), intractable infection of decubitus ulceration (1)
6	Sutter <i>et al.</i> , 2008	Active SLE failed to previous immunosuppressive therapy	12	375 mg/m ² intravenously 4 infusions, 1 week apart	Pretreated with 50 mg diphenhydramine, 650 mg of acetaminophen, and 100 mg of intravenous methylprednisolone	12	Not mentioned	10 (83.3%)	11 (91.7%)	11	Not registered	Not registered	Not mentioned
7	Tamimoto <i>et al.</i> , 2008	Active SLE failed to previous immunosuppressive therapy	8	100 mg/m ² for 3, 250 mg/m ² for 2, 375 mg/m ² for 3, on Days 1, 8, 15 and 22	Prednisolone 12.5–50.0 mg, CSA 75–175 mg	12	PR: 3 (38%); CR: 2 (25%)	7 (87.5%)	7 (87.5%)	7	4 (50%)	3–9 months	Nasopharyngitis (1), bacterial bronchitis (1), bacterial pneumonia (1), cutaneous candidiasis (1)
8	Melander <i>et al.</i> , 2009	Lupus nephritis refractory to previous therapy (12) and relapse (8)	20	375 mg/m ² of body surface area 4 infusions, 1 week apart	3 patients receive CYC infusion with rituximab, 13 accompanied with high dose GC	22	PR: 7 (35%); CR: 5 (25%)	Not mentioned	12 (70.6%)	12	1	9 months	RTX injection (2), infection (5), cutaneous herpes zoster (2)
9	Lateef <i>et al.</i> , 2010	Severe, refractory SLE patients	10	375 mg/m ² 2 infusions, 1 week apart	Infusion with CYC 500 mg	12	PR: 4 (57%); CR: 3 (43%)	10 (100%)	10 (100%)	10	9	6–12 months	No obvious adverse effect observed

To be continued

Table 1

No.	Study	Patient characters	n_e	Dose	Other immunosuppressant agents	t_m	Remission rate	SLEDAI change	BILAG change	Achieving BCD	Relapse rate	Relapse date	Adverse effect
10	Vital et al., 2011	Active SLE refractory to previous therapy	39	1000 mg of rituximab on Days 1 and 14	Infusion with methylprednisolone 100 mg and continue prednisolone 30–60 mg and background immunosuppressants	12	PR: 12 (31%) CR: 20 (51%)	27 (69.2%)	18 (46.2%)	14, 14	12, 33 months	1 patient died at 92 weeks non opportunistic infections (4)	
11	Turner-Stokes et al., 2011	Patients received at least two cycles of BCD with rituximab refractory to treatment with other immunosuppressive agents	18	1000 mg rituximab given in two infusions 2 weeks apart	Infusion with 750 mg CYC and 100–250 mg methylprednisolone, continue with oral prednisolone, but the dose gradually tapered	12	PR: 7 (41%) CR: 4 (24%)	15 (83%)	15 (83%)	8 (45%)	12 months	Severe allergic reactions (2), 1 patient died for varicella pneumonia, another died for septicemia	
12	Roccatello et al., 2011	Severe SLE refractory to previous immunosuppressive agents	8	375 mg/m ² on Days 2, 8, 15 and 22, 2 more dose in Days 30, 60	Infusion with methylprednisolone 1.5 mg/kg, continue with oral prednisolone, 50 mg for 2 weeks rapidly tapered until 5 mg in 2 months	36	Not mentioned	8 (100%)	8 (100%)	2	41 months	Show significant mild-to-moderate infusion reactions nor clinically relevant infection sequelae	
13	Arce-Salinas et al., 2012	Refractory lupus nephritis	8	375 mg/m ² 4 infusions 1 week apart	Infusion with steroids (1.5 mg/kg), tapered after 6 weeks, continue with no modification of steroids, AZA, or MM doses	24	PR: 2 (25%) CR: 2 (250%)	4 (50%)	Not mentioned	3	12 months	Minor acute adverse reactions (blood pressure variations, chills, and some mild rash), no major reactions or infections followed-up period	
14	Catapano et al., 2010	Refractory or relapsing SLE	31	15: a dose of 375 mg/m ² 4 infusions; 1 week apart; 16: 1000 mg with a 2-week interval 2 infusions	Infusion with cyclophosphamide (500 mg) and IV methylprednisolone (500–1000 mg)	30	PR: 10 (32.2%) CR: 17 (54.8%)	27/31 (87%)	30 (97%)	18/27 (67%)	11 months	Sever adverse effect (pericarditis, serum sickness reaction, throat swelling, et al.), patient have 11 severe infection	
15	Pepper et al., 2009	Patients with class III/IV/V lupus nephritis	18	2 doses of rituximab, 1 g, given at Days 1 and 15	Infusion with 500 mg methylprednisolone IV maintenance with MMF 1 g/d	12	PR: 6 (33.3%) CR: 6 (33.3%)	Not registered	10 (55.5%)	2 (11.1%)	4.5 months	Infection-related admissions (3), cannula site cellulites (1)	
16	Pinto et al., 2011	Colombian patients with severe and refractory SLE	42	1 g of RTX every 2 weeks 2 infusions	Infusion with paracetamol 1 g, diphenhydramine 50 mg, methylprednisolone 200 mg, continue with prednisolone 1 mg/(kg·d)	24	80% PR+CR	25 (60%)	Not mentioned	9 (21.4%)	0–44 months	Urinary infection (13), bacteremia (3), delayed infusion reaction (2), respiratory infection (1)	

To be continued

Table 1

No.	Study	Patient characters	n_e	Dose	Other immunosuppressant agents	t_m	Remission rate	SLEDAI change	BILAG change	Achieving BCD	Relapse rate	Relapse date	Adverse effect
17	Terrier <i>et al.</i> , 2010	136 active SLE patients from 44 centers, refractory to previous treatments	136	82: 1 g (2 infusions); 48: 375 mg/m ² (4 infusions)	125 associate prednisone wit dosage of 29.9 mg/d, 72 patients (53%) receive HCQ and 52% associate other concomitant immunosuppressive agents	18	In LN, PR: 29% CR: 14 (45%)	80 (71%)	Not mentioned	Not mentioned	31/76 (41%)	16.6 months	Sever infection (12 (9%)), serum sickness (5), acute infusion reactions 12 (9%)
18	Ramos-Casals <i>et al.</i> , 2010	196 SAD patients refractory to standard therapy	107*	375 mg/m ² of rituximab weekly for 4 weeks (85%), 1000 mg 2 infusions, 2 weeks apart (15%)	All patients continue with corticosteroid 60% patients continue with previous immunosuppressive agents	27	CR: 45% PR: 32%	Not mentioned	Not mentioned	Not mentioned	20/81 (25%)	0–26 months	Infections in 12 patients, including respiratory infection, urinary tract infection, cutaneous infection
19	Tony <i>et al.</i> , 2011	370 patients from 42 centers with a diagnosis of an autoimmune, condition other than RA or NHL	85 [#]	Mean dose of rituximab was 2440 mg each patient over a median period of 194 d	7 SLE patients receive CYC with infusion of rituximab, 67 patients continue immunosuppressive agents	12	CR: 30% PR: 20%	Not mentioned	Not mentioned	Not mentioned	Not mentioned	Not mentioned	13.2% patients experience infection, serious infections was 5.3 per 100 patient-years in the total 370 patients

SLEDAI: systemic lupus erythematosus disease activity index; BILAG: British isles lupus assessment group index; BCD: B-cell depletion; PR: partial remission; CR: complete remission; SLE: systemic lupus erythematosus; LN: lupus nephritis; SAD: systemic autoimmune diseases; GC: glucocorticoid; CYC: cyclophosphamide; MMF: mycophenolate mofetil; AZA: azathioprine; HCQ: hydroxychloroquine. n_e : number enrolled; t_m : median follow month. * including 107 SLE patients; [#] 23.0% (85 patients) were SLE

Table 2 Characters of 2 RCT studies

Study	n_e	n_c	Subject	Mean age (year)	Follow-up period (week)	Treatment	Disease activity	Flare	Prednisone dose	Immunologic parameters	Adverse effect	Conclusion
Explorer	169	88	Moderately to severely active extra renal SLE	40.2	56	Rituximab 1000 mg 2 infusions at entry, again at 6 months, continue with prednisone dose	Low disease activity was achieved prior to Week 52 in 58 (66.0%) patients in the placebo group and in 127 (75.1%) patients in the rituximab group	No difference of median time to first moderate or severe flare and flare rate between two groups	The rate of prednisone rescue for A flare was similar	The decrease in rituximab was greater than that in the placebo group	Infusion related adverse effect similar in two groups. Four serum sickness adverse events in rituximab group	Explorer study did not meet the primary and secondary points, but suggested that rituximab may lessen severe flares as defined by BILAG A criteria
Lunar	72	72	Class III/IV and active lupus nephritis	30	52	Rituximab on Days 1, 15, 168, and 182	There were no statistically significant differences in the primary or clinical secondary end points	Not registered	Rituximab had a greater effect on levels of anti-dsDNA and complement at Week 52	Serious adverse events were similar in two groups	Lunar did not show a statistically significant difference in primary or clinical secondary end points	

n_e : number enrolled; n_c : control number

Table 3 Role of methylprednisolone as an induction therapy

Infusion	n_e	n_a	n_f
Methylprednisolone as induction therapy	223	167 (74.9%)	56 (25.1%)
Low dose prednisolone	346	222 (64.2%)	124 (35.8%)

n_e : number enrolled; n_a : number achieving remission; n_f : number failing to achieve remission

Table 4 Different doses of rituximab in BCD

Dose	n_e	n_a
1 000 mg×2 infusions	122	92 (75.4%)
500 mg×2 infusions	12	12 (100%)
375 mg/m ² ×4 infusions	27	27 (100%)
375 mg/m ² ×2 infusions	9	9 (100%)
100 mg/m ² ×4 infusions	3	2 (66.6%)

n_e : number enrolled; n_a : number achieving BCD

There were 253 patients in our review that reported a change of SLEDAI, with 80.2% of patients achieving reduction of SLEDAI. Furthermore, 160 patients reported change of BILAG, with 75.6% of patients achieving decreases in BILAG score.

4. Outcome of LN

There were 220 LN patients included in our review, and 66 patients recorded the change of proteinuria, amounting to 54 (81.8%) patients achieving significant decreases in 24-h proteinuria. Of the 220 lupus patients, 8 studies enrolling 116 patients reported on remission rates. Among these, 44 (37.9%) cases achieved complete remission and 40 (34.5%) cases reached partial remission. We also investigated the response of patients with different pathology types to rituximab (Table 5). Patients with LN class IV seemed to have the highest sensitivity to rituximab.

Table 5 LN behavior with rituximab therapy

Pathology	n_e	n_c	n_p	n_f
Class IV	49	19 (39.6%)	18 (37.6%)	12 (22.8%)
Class V	14	4 (28.6%)	5 (35.7%)	5 (35.7%)
Class III	4	1 (20.0%)	1 (20.0%)	2 (40.0%)
Class IV+V	3	0	2 (66.6%)	1 (33.3%)

n_e : number enrolled; n_c : number of complete remission; n_p : number of partial remission; n_f : number failing to achieve remission

5. Corticosteroid sparing effect

One hundred percent of patients in our review received corticosteroid or prednisone therapy after rituximab, and the dose was tapered during follow-up. There were 5 studies that detailed the dose change in

prednisone, and 137 cases indicated a significant decrease in prednisone dose, in a mean observational period of 18.8 months, with a mean reduction of 12.13 mg/year.

6. Serology and complement levels

It was noteworthy that the serology and complement levels changed in the studies we included. For example, Leandro *et al.* (2002) indicated that after rituximab therapy, the IgA, IgM, and IgG levels all decreased significantly ($P<0.05$). Gunnarsson *et al.* (2007) enrolled seven patients, and all were found to have a significant reduction in anti-dsDNA, and five patients achieved significant increases in C3 levels and GFR. Melander *et al.* (2009), Lateef *et al.* (2010), and Vital *et al.* (2011) all found decreased anti-dsDNA levels after rituximab therapy ($P<0.05$). Terrier *et al.* (2010) also found a median C3 level increase from 0.68 to 0.80 ng/ml and a median anti-dsDNA level decrease from 119 to 31 IU/ml ($P<0.05$) in 18 cases.

7. Adverse effects

Adverse events (AE) were recorded in 111 (16.8%) patients, including infection (70 (63.1%) cases), acute infusion reaction (21 (18.9%) cases), severe allergic reaction (11 (9.9%) cases), serum sickness (7 (6.3%) cases), and delayed infusion reaction (2 (1.8%) cases). In the 70 patients who experienced an infection, there were 15 cases of urinary tract infections, 9 cases of respiratory infection, 2 cases of candidiasis infection, 3 cases of bacteremia, 1 case of chickenpox, and 1 case of septicemia. The other 39 infection cases were not clearly described (Table 6).

Table 6 Adverse effects in 111 SLE patients

Adverse effect	Number of patients
Severe allergic reaction	11 (9.9%)
Acute infusion reaction	21 (18.9%)
Delayed infusion reaction	2 (1.8%)
Severe sickness	7 (6.2%)
Infection	70 (63.1%)
Urinary tract infection	15 (21.5%)
Respiratory infection	9 (12.3%)
Candidiasis infection	2 (2.9%)
Chickenpox	1 (1.5%)
Bacteremia	3 (4.4%)
Septicemia	1 (1.5%)
Not clear	39 (55.8%)

8. Relapse rate

Fourteen studies (478 cases) reported that 148 (31.0%) patients experienced relapse during follow-up, with the date of relapse varying from 3 to 44 months. Of these, 61 (41.2%) patients were treated with rituximab (treated with the same dose as the previous therapy) during the relapse. Eight (5.4%) patients reached remission after the dose of steroid was increased. Furthermore, 11 patients with flare-ups were successfully treated with immunosuppressants (e.g., MMF, AZA, CYC). In the 61 cases who were treated with rituximab during relapse, 46 (75.4%) patients achieved complete remission, 9 (14.8%) patients achieved partial remission, 3 (4.9%) patients were lost to follow-up, and 3 (4.9%) patients had no response.

3.1.2 Efficacy and safety of rituximab in randomized controlled studies

We included the RCTs 'Explorer' (Merrill *et al.*, 2011) and 'Lunar' (Furie *et al.*, 2009) and summarized the characteristics of the two RCTs (Table 2).

1. 'Explorer' study

The 'Explorer' trial was a 52-week, multicenter, randomized, double blind placebo-controlled trial of rituximab in 257 patients with moderately to severely active extra renal SLE. In this trial, 257 SLE patients were randomized in a 2:1 ratio to receive intravenous rituximab (2 times 1000 mg dose given every 14 d) or placebo on Days 1, 15, 168, and 182. At entry, patients were assigned to receive a new or increased prednisone dose (0.50, 0.75, or 1.00 mg/kg) based on their baseline steroid use and disease severity. The prednisone dose was tapered over the following 56 weeks. The previous immunosuppressive regimen was continued throughout follow-up. The primary endpoint was the effect of placebo versus rituximab in achieving and maintaining a major clinical response. Secondary endpoints were (1) the time-adjusted area under the curve minus baseline (AUCMB) of the BILAG, (2) the proportion of patients who achieved major clinical response, (3) the proportion of patients who got better in all organs or with a BILAG C at Week 24, (4) the time from remission to the first moderate or severe flare-up, (5) quality of life (QoL) measured by the Lupus QoL questionnaire, (6) the proportion of patients who achieved a major clinical response with a prednisone dose of 10 mg/d from

Weeks 24–52.

In conclusion, the 'Explorer' trial did not meet its primary or secondary efficacy outcomes, and none of these outcomes were significantly different between the two treatment groups.

In the 'Explorer' study, there were no statistically significant differences in the numbers of AEs and serious adverse events (SAEs) between the two treatment groups, although the frequency of treatment-emergent infectious SAEs was higher in the placebo group than in the group of patients receiving rituximab. There was no difference in musculoskeletal and connective tissue disorders between the two groups.

2. 'Lunar' study

The objective of the 'Lunar' study was to assess the efficacy and safety of rituximab in active, proliferative LN. This study was a randomized, double-blind phase III study of 144 enrolled class III/IV LN participants with a urine protein to creatinine ratio (UPCR) of >1. Participants were randomized to receive either 1000 mg of rituximab or placebo on Days 1, 15, 168, and 182. The primary endpoint was the proportion of patients who achieved either complete or partial remission. The secondary outcomes included: (1) decrease of C3 and C4 complement levels from baseline; and (2) proportion of patients who achieve a complete renal response. There were no statistically significant differences in the primary or secondary outcomes between the two treatment groups. AEs and SAEs were similar between the two groups.

3.2 Meta-analysis

We combined the data at baseline and after rituximab therapy from the 19 observational studies. The data after rituximab therapy was defined as the intervention group and the data at baseline was defined as the comparison group. We did not include the data from the 2 RCTs, because we did not get enough data of randomized trials.

3.2.1 Effect on BILAG

The BILAG data from studies 1 (Leandro *et al.*, 2002), 2 (Leandro *et al.*, 2005), 9 (Lateef *et al.*, 2010), and 10 (Vital *et al.*, 2011) were included in the meta-analysis. Although studies 11 (Turner-Stokes *et al.*, 2011), 12 (Roccatello *et al.*, 2011), and 14

(Catapano *et al.*, 2010) also reported on BILAG, they were excluded due to missing data. The homogeneity χ^2 test value of BILAG data from studies 1, 2, 9, and 10 was 3.95 ($P=0.27$ (>0.05)); therefore, the 4 studies were considered homogeneous. The overall effect was measured to be $Z=16.02$ ($P<0.00001$), indicating that treatment with rituximab had significant effects on BILAG when compared to baseline (Fig. 2).

3.2.2 Effect on SLEDAI

The SLEDAI data from studies 4 (Gunnarsson *et al.*, 2007), 6 (Sutter *et al.*, 2008), 7 (Tamimoto *et al.*, 2008), 12 (Roccatello *et al.*, 2011), and 17 (Terrier *et al.*, 2010) were included in the meta-analysis. Although studies 3 (Vigna-Perez *et al.*, 2006), 5 (Tokunaga, 2007), 13 (Arce-Salinas *et al.*, 2012), and 16 (Pinto *et al.*, 2011) also reported on SLEDAI, they were excluded due to missing data. The homogeneity test χ^2 value of SLEDAI data from studies 4, 6, 7, 12, and 17 was 19.96 ($P=0.0005$ (<0.01)) and these studies were therefore, not considered homogeneous. The combined effect was calculated using the random effects model, and was found to be $Z=4.61$

($P<0.00001$), indicating that treatment with rituximab had significant effects on SLEDAI when compared to baseline (Fig. 3).

3.2.3 Effect on prednisone dose

The homogeneity test χ^2 value of prednisone dose data from studies 4 (Gunnarsson *et al.*, 2007), 7 (Tamimoto *et al.*, 2008), 13 (Arce-Salinas *et al.*, 2012), 14 (Catapano *et al.*, 2010), 15 (Pepper *et al.*, 2009), 16 (Pinto *et al.*, 2011), and 17 (Terrier *et al.*, 2010) was 179.25 ($P=0.00001$ (<0.05)) and these studies were therefore, not considered homogeneous. The combined effect was calculated using the random effects model, and was found to be $Z=2.73$ ($P=0.006$ (<0.05)), indicating that treatment with rituximab had significant effects on prednisone dose when compared to baseline (Fig. 4).

3.2.4 Effect on 24-h urine proteinuria

The 24-h urine proteinuria data from studies 4 (Gunnarsson *et al.*, 2007), 9 (Lateef *et al.*, 2010), 13 (Arce-Salinas *et al.*, 2012), 14 (Catapano *et al.*, 2010), and 17 (Terrier *et al.*, 2010) were combined in a

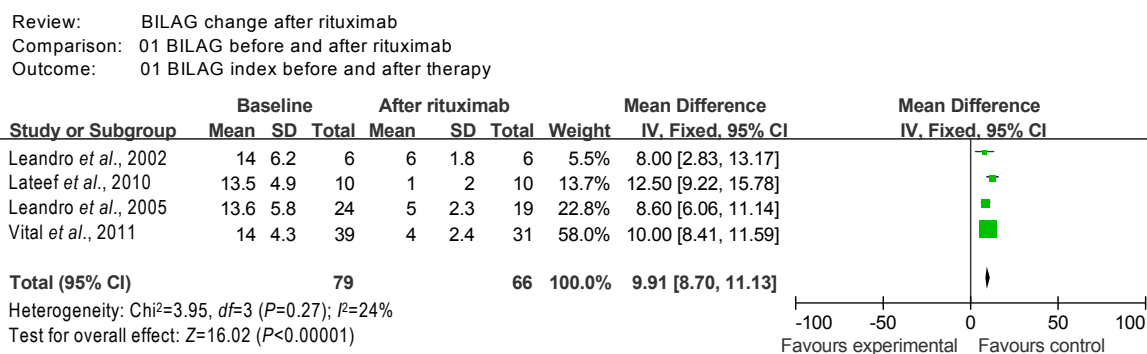


Fig. 2 Comparison of BILAG index at baseline and after rituximab therapy

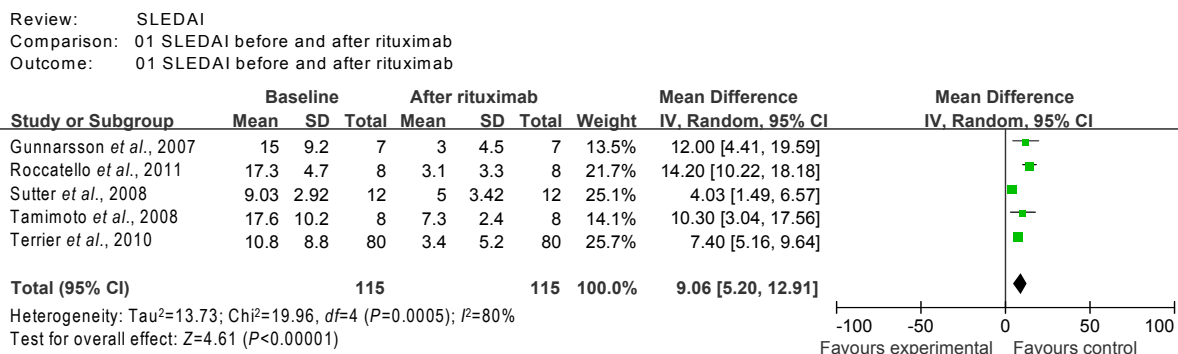


Fig. 3 Comparison of SLEDAI index at baseline and after rituximab therapy

meta-analysis. We did not include the studies that had missing data. The homogeneity test χ^2 value of the 24-h urine proteinuria data from studies 4, 9, 13, 14, and 17 was 3.70 ($P=0.30$ (>0.1)); therefore the 5 studies were considered homogeneous. Test for overall effect was calculated using the fixed effects model and found to be $Z=7.26$ ($P<0.00001$), indicating that after rituximab treatment the 24-h urine proteinuria decreased significantly compared to baseline (Fig. 5).

3.2.5 Sensitivity analysis

Given the heterogeneity across studies that reported on SLEDAI and prednisone dose, we conducted sensitivity analysis for these outcomes.

For the SLEDAI outcome, we excluded the low quality studies (with imputed SDs), and re-calculated the WMD using the fixed effects model. The

homogeneity test χ^2 value for studies 4 (Gunnarsson et al., 2007), 7 (Tamimoto et al., 2008), and 17 (Terrier et al., 2010) was 1.73 ($P=0.42$ (>0.1)), indicating homogeneity. The test for overall effect was calculated to be $Z=7.59$ ($P<0.00001$), which indicated that the SLEDAI significantly decreased after rituximab therapy (Fig. 6).

For the prednisone dose data, we also excluded the low quality studies (with imputed SDs), and re-calculated the WMD using the fixed effects model. As a result, the data from studies 4 (Gunnarsson et al., 2007), 7 (Tamimoto et al., 2008), and 17 (Terrier et al., 2010), had a homogeneity test χ^2 value of 4.34 ($P=0.11$ (>0.05)). The test for overall effect was calculated to be $Z=7.69$ ($P<0.00001$), which indicates that prednisone dose significantly decreased after rituximab therapy (Fig. 7).

Review: Prednisone dose change after rituximab
 Comparison: 01 prednisone dose change after rituximab
 Outcome: 01 prednisone dose change

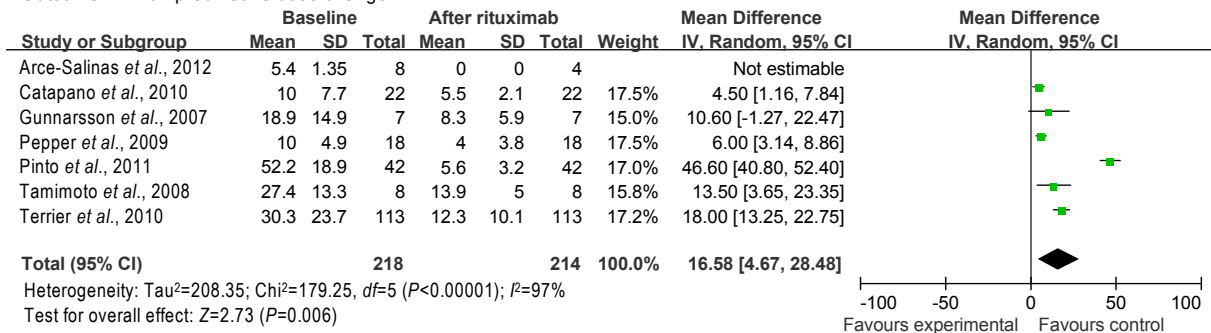


Fig. 4 Comparison of prednisone dose index at baseline and after rituximab therapy

Review: Proteinuria change before and after rituximab
 Comparison: 01 proteinuria change
 Outcome: 01 proteinuria change after rituximab

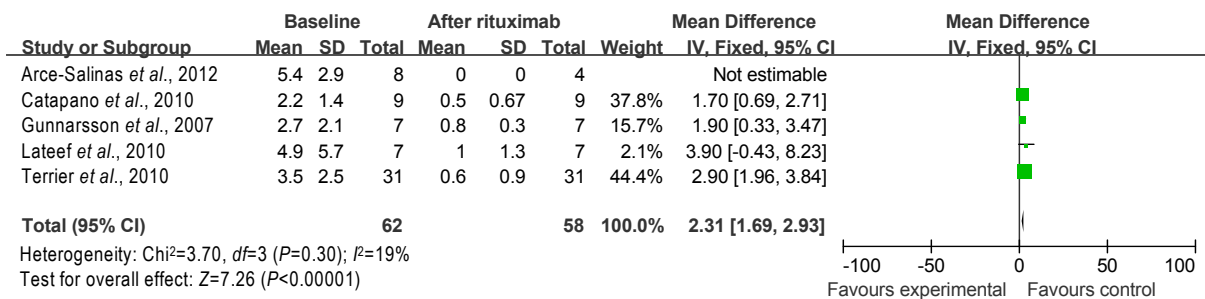


Fig. 5 Comparison of 24-h urine proteinuria index at baseline and after rituximab therapy

Review: SLEDAI
 Comparison: 01 SLEDAI before and after rituximab
 Outcome: 01 SLEDAI sensitivity

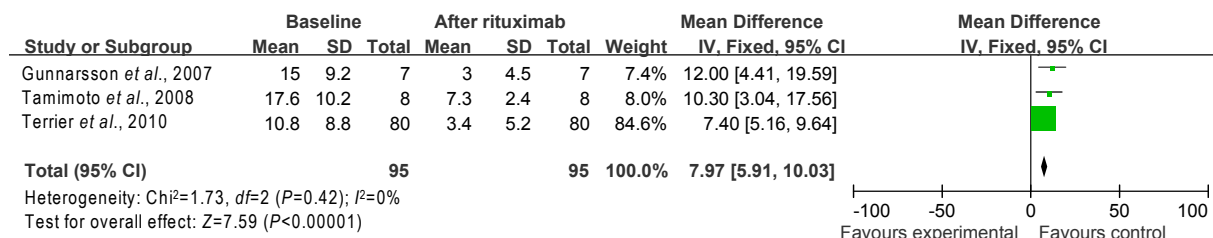


Fig. 6 Sensitivity analysis of SLEDAI at baseline and after rituximab therapy

Review: Prednisone dose change after rituximab
 Comparison: 01 prednisone dose change after rituximab
 Outcome: 01 prednisone dose change

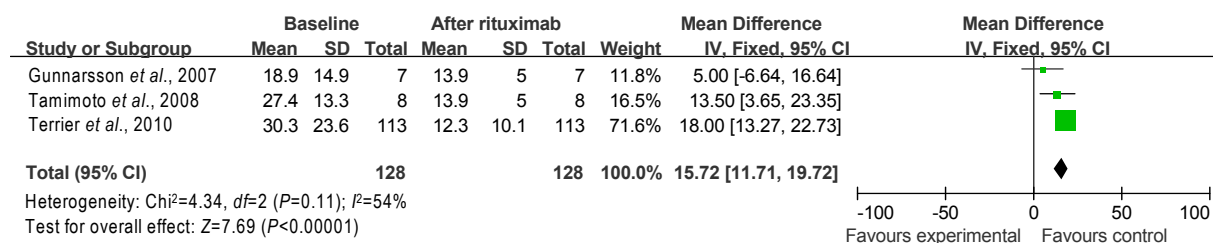


Fig. 7 Sensitivity analysis of prednisone dose at baseline and after rituximab therapy

4 Discussion

B-cells have been demonstrated to play a key role in the pathogenesis of lupus beyond their polyclonal activation and the production of auto-antibodies against self-antigens (Odendahl *et al.*, 2000). Targeting the B-cell compartment is therefore an attractive alternative to current available therapies.

Rituximab, a chimeric anti-CD20 antibody, leads to the depletion of most peripheral B-cells. The mechanism of rituximab inducing B-cell depletion is unclear; however, *in vitro* studies have found that rituximab induces the lysis of CD20-positive lymphoma cells by three mechanisms: antibody-dependent cell-mediated cytotoxicity (ADCC) (Reff *et al.*, 1994), complement-dependent cytotoxicity and direct signaling leading to apoptosis (Shan *et al.*, 2000).

There have been a few published RCTs that have shown good efficacy and safety profiles for this drug in patients with rheumatoid arthritis (Bingham *et al.*, 2010; Emery *et al.*, 2010; Rigby *et al.*, 2011); however, there is a paucity of evidence assessing the use of rituximab therapy in SLE.

Because there is no standardized dose for rituximab in clinical practice, the included studies followed different schedules. From our review, 40.4% of patients received a dose of 375 mg/m², 51.6% of

patients received 1000 mg \times 2 infusions, and very few patients took a low dose of 100–250 mg/m² or a single dose of 500/1000 mg. As shown in Table 4, we found no significant differences for dose on the effect of BCD. However, the RCT, which used a dose of 1000 mg \times 2 infusions, had a lower BCD (75.4%). BCD may be related to genotype, and one study has indicated a unique relationship between BCD and FcgRIIIa genotype (Pepper *et al.*, 2009).

In our review, 65.9% of patients achieved complete/partial remission, which was lower than that found in Murray and Perry (2010)'s study. In addition, 80.2% of patients had a reduction in SLEDAI and 75.6% of patients showed a reduction in BILAG. These data indicate overall improvement in the reduction of disease activity after therapy. There were 418 (68.5%) patients receiving methylprednisolone (100–150 mg) as induction therapy, but similar to the Pepper *et al.* (2009)'s study, our analysis indicated that additional methylprednisolone did not significantly alter disease outcomes (Table 5).

Three hundred and eleven (50.9%) patients continued with previously administered immunosuppressive agents. Through analysis of the groups either continuing with immunosuppressives or not receiving immunosuppressive agents, Catapano *et al.* (2010) found no significant difference in the remission rate

between the two groups.

Our review also indicated a decrease in serum levels of anti-dsDNA, IgA, IgG, and IgM, a rise in serum C3 levels, and a decrease in the prednisone dose. These results were consistent with the 'Explorer' and 'Lunar' RCTs.

The main adverse effects of rituximab included infusion reactions such as headache, nausea, and chest discomfort, and were mostly well-tolerated. In our review, we summarized the adverse effects from 111 patients, and found that acute infusion reactions accounted for 18.9% of the 111 cases. Most of these acute infusion reactions could be reversed with cortisone. Infection accounted for 63.1% of the adverse effects, and almost could be controlled. These results were similar to those of previous studies. From our review, we can conclude that rituximab was safe for the treatment of SLE. The 'Explorer' and 'Lunar' studies also indicated that there were no significant differences between the experimental and control groups.

Progressive multifocal leukoencephalopathy (PML) is a rare, usually fatal disease caused by opportunistic infection caused by the JC virus (JCV). Although there were no participants with PML included in our review, PML has attracted the attention of rheumatologists for reports about its association with the use of rituximab (Calabrese *et al.*, 2007). In December 2006, the US Food and Drug Administration (FDA) issued an alert about the death of two PML cases with SLE, both of whom had been treated with rituximab (Molloy and Calabrese, 2010).

The potential pathogenic mechanism of rituximab-related PML remains unknown. The loss of other B-cell functions, such as those of antigen-presenting cells or cytokine production, may lead to a defect in cell-mediated immunity. PML is a rare AE. It occurs in fewer than 1 in 10000 rituximab-treated patients (Molloy, 2011). A better understanding of PML's mechanism is necessary for risk prediction and guidance of therapy.

In our study, 148 (31.0%) patients experienced relapse during follow-up, while 46 (75.4%) patients achieved complete remission after retreatment with rituximab. It is believed that relapse time is associated with the repopulation of B-cells. Vital *et al.* (2011) confirmed that the repopulation of B-cells predicted relapse of the disease, as incomplete B-cell depletion at 6 weeks was associated with lower clinical response rates. In the study by Catapano *et al.* (2010), however, 6 patients relapsed before the recovery of

B-cells.

In our systematic review and meta-analysis, we only included adult SLE patients (≥ 18 years), but there have been studies, which have focused on childhood SLE or juvenile-onset lupus patients. Compared with adult patients, the pediatric patients always took a lower dose of rituximab. Marks *et al.* (2005) and Podolskaya *et al.* (2008) reported that 7 patients with median age of 14.8 years received a dose of 750 mg/m² (about up to 100 mg, the maximum dose was 1 g) on Days 1 and 15. The participants in Nwobi *et al.* (2008)'s study took a dose of 188 and 375 mg/m². Similar to the adult patients, most of the pediatric patients were administered methylprednisolone prior to the injection of rituximab; oral prednisolone was decreased gradually after rituximab therapy. Similar to the studies of adult patients, the rituximab's therapy on childhood SLE patients observed positive results. Five patient experienced improvement in BILAG, renal function, and proteinuria in Marks *et al.* (2005)'s study. In Podolskaya *et al.* (2008)'s study, 11 (58.8%) patients achieved remission, and 7 (36.8%) patients had improved outcomes. The two studies reported five cases of herpes zoster. In Nwobi *et al.* (2008)'s study, 14 of the 15 patients achieved complete/partial remission and decreases in SLEDAI, proteinuria, Scr, and prednisone dose. Rituximab was well tolerated in most pediatric patients.

Although observational and retrospective studies of rituximab showed improved outcomes in SLE patients, they were not consistent with the results from the RCTs. Although many observational studies showed a satisfactory safety profile and clinical efficacy of rituximab in SLE patients, the two RCT studies did not achieve their primary and secondary endpoints. No significant difference was found between the rituximab and placebo in preventing or delaying moderate to severe flares. Possible reasons for this discrepancy are explained below.

First, the patients included in both the rituximab and placebo groups in the 'Explorer' and 'Lunar' studies had active SLE and had previously received moderate to high doses of corticosteroids and immunosuppressive agents. These studies excluded patients who had previously used CYC. Including patients with active SLE in both groups is necessary to establish efficacy unless a satisfactory control group is difficult to recruit (Pinto *et al.*, 2011). The patients included in the RCTs were different from patients included in the observational studies, as 76% of the LN patients enrolled in the AIR registry are

refractory to MMF and/or CYC compared with 0% in the 'Lunar' trial. The difference in ethnic factors should also be considered.

Second, the background therapy should be emphasized. Ramos-Casals *et al.* (2009) viewed a possible synergistic effect for rituximab in combination with CYC and associated CYC with significant advantages in the treatment of complicated, refractory SLE. Other factors, such as degree of resistance to other therapies and the ability of instruments to capture disease activity, should also be considered.

The present systematic review has several limitations. First, only two RCT studies were included. Also, given the heterogeneity between the RCTs and observational studies, the RCTs were not included in the meta-analysis. Second, several of the included studies had small sample sizes. Third, the follow-up period varied from 3 to 24 months. Finally, clinical heterogeneity was an issue in study type, subject, LN class, rituximab dosage, and follow-up duration.

The observational studies indicated that rituximab was effective in severe and refractory SLE patients, and they reported decreased SLEDAI, BILAG, urine protein levels, and prednisolone dosage. In addition, some of the LN patients achieved complete or partial remission. In contrast, both of the RCTs did not achieve primary and second endpoints, which makes us question the true effect attributed to rituximab.

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