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The Transcriptome of the Fetal Inflammatory Response Syndrome

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Abstract

Problem—The fetal inflammatory response syndrome (FIRS) is considered the counterpart of the systemic inflammatory response syndrome (SIRS), but similarities in their regulatory mechanisms are unclear. This study characterizes the fetal mRNA transcriptome of peripheral leukocytes to identify key biological processes and pathways involved in FIRS.

Method of Study—Umbilical cord blood from preterm neonates with FIRS (funisitis, plasma IL-6 > 11 pg/ml; n=10) and neonates with no evidence of inflammation (n=10) was collected at birth.

Results—Microarray analysis of leukocyte RNA revealed differential expression of 541 unique genes, changes confirmed by qRT-PCR for 41 or of 44 genes tested. Similar to SIRS and sepsis, ontological and pathway analyses yielded significant enrichment of biological processes including antigen processing and presentation, immune response, and processes critical to cellular metabolism. Results are comparable with microarray studies of endotoxin challenge models and pediatric sepsis, identifying 25 genes across all studies.

Conclusions—This study is the first to profile genome-wide expression in FIRS, which demonstrates a substantial degree of similarity with SIRS despite differences in fetal and adult immune systems.

Keywords

prematurity; preterm birth; chorioamnionitis; FIRS; microarray; transcriptomics

INTRODUCTION

The fetal inflammatory response syndrome (FIRS), considered to be the fetal counterpart of systemic inflammatory response syndrome (SIRS), is frequently present in neonates delivered as a result of spontaneous preterm labor.^{1;2} Intrauterine infection is one of the most important mechanisms of disease in preterm birth for which a causal association with prematurity has been recognized.³⁻⁸ Intra-amniotic infection and/or inflammation is present in approximately one third of the patients with spontaneous preterm labor⁹⁻¹¹ and it is associated with FIRS and fetal injury.¹²⁻²¹ Indeed, FIRS is an independent risk factor for perinatal morbidity/mortality^{2;22} and has also been associated with infection-related neonatal complications,²³ bronchopulmonary dysplasia,^{2;12;24-26} and impaired neurological outcomes²⁷ including cerebral palsy.^{13;28-35} Moreover, fetal microbial invasion or other insults may result in a systemic fetal inflammatory response³⁶ that can progress toward multiple organ dysfunction, including the hematopoietic system,³⁷⁻³⁹ the adrenals,⁴⁰ heart,^{41;42} kidneys,⁴³ thymus^{44;45} and skin.⁴⁶

Currently, FIRS is defined by an elevated umbilical cord plasma interleukin (IL)-6 concentration² and/or the presence of funisitis.^{23;47;48} Another approach to diagnose FIRS is to measure C-reactive protein concentration in umbilical cord blood, which has been shown to be elevated in patients with funisitis and congenital neonatal sepsis.⁴⁹ Alterations in the abundance of IL-6 as well as additional serum cytokines have better characterized SIRS and sepsis⁵⁰⁻⁵² but leukocyte biology in both FIRS and SIRS is not completely understood.

The fetal immune system develops and matures over the course of gestation. Fetal white blood cell counts change with gestational age with lymphocytes being the most prevalent leukocyte through approximately 37 weeks.⁵³ Whereas lymphocytes and monocytes increase linearly with gestational age, neutrophils increase exponentially after 31 weeks of gestation to become the predominant leukocyte at term. In addition, the majority of cord blood lymphocytes are CD45RA⁺ (naive T lymphocytes) whereas adult lymphocytes are primarily CD45RO⁺.^{54;55} These variations may be just one factor contributing to the altered immune status of neonates versus adults.

Differences beyond white blood cell counts and cellular subsets have also been described for fetal, neonatal, and adult immune systems. Functional differences in migration, phagocytosis, oxidative burst, and cytokine production have been identified using *ex vivo* assays of leukocyte function. For example, migration of un-stimulated neonatal granulocytes is higher than that of adults but leukotriene B4 stimulation leads to greater migration by adult neutrophils.⁵⁶ Phagocytosis of opsonized *Escherichia coli* was lower in fetal granulocytes and monocytes relative to neonatal granulocytes; cells from both populations demonstrated less activity than those from adults.⁵⁷ This is in contrast to the increased intracellular oxidative burst observed in unstimulated as well as N-formyl methionyl-leucyl-phenylalanine (fMLF) or *Escherichia coli* stimulated fetal granulocytes. Cytokine responses to *ex vivo* stimuli are also altered in neonatal leukocytes with some studies finding similarities in the abundance of cytokine mRNA (IL-1 β , IL-6, and IL-8) in stimulated umbilical cord blood mononuclear cells (CBMC) and adult peripheral blood mononuclear cells (PBMC).⁵⁸ Decreased concentrations of other cytokines (IL-12, IL-15, GM-CSF, and M-CSF) have also been observed in CBMC and found to result from decreased mRNA stability.⁵⁵ A direct comparison of unstimulated adult and cord blood monocyte gene expression by microarray has demonstrated that at least 20 genes are increased in abundance in adult monocytes whereas 3 are decreased relative to cord blood monocytes.⁵⁹ Additional differences were observed upon stimulation with LPS. Thus, fetal and neonatal leukocytes are responsive to their environment but that response is sometimes altered, and perhaps immature, relative to what is found in an adult immune system.

With the wealth of knowledge available regarding a “normal” immune response in both neonatal and adult leukocytes, there is a paucity of information regarding the understanding of leukocyte biology in both SIRS and FIRS. Delineation of stereotypic changes in leukocyte gene expression may provide more objective tools for the identification and treatment of these inflammatory response syndromes. This has been the focus of genome-wide expression profiling studies in an effort to characterize leukocyte changes both in patients with SIRS/sepsis^{51;60–62} and by studying the effects of *in vivo* endotoxin challenge in adult human volunteers.^{52;63} However, the degree of similarity of molecular mechanisms contributing to FIRS and SIRS is unknown. Thus, we pursued mRNA profiling in umbilical cord blood from neonates with documented FIRS to begin addressing this question.

MATERIALS AND METHODS

Sample Collection and Study Subjects

This retrospective study included preterm neonates with (n=10) and without (n=10) FIRS. Blood collection for RNA, plasma, and serum isolation was obtained from the umbilical vein prior to placenta detachment. Mothers of neonates provided written informed consent for the collection of biological materials and clinical data under protocols approved by the institutional review boards of Wayne State University (Detroit, MI), Sotero del Rio Hospital (Santiago, Chile), and the National Institute of Child Health and Human Development of the National Institutes of Health (NIH/DHHS; Bethesda, MD). All neonates were born to mothers experiencing spontaneous preterm labor with intact membranes at the time of enrollment. The presence or absence of FIRS was determined by pathologic analysis of the umbilical cord for the presence of neutrophils in the wall of the umbilical cord vessels and/or Wharton’s jelly⁴⁸ and measurement of IL-6 in cord plasma by high sensitivity ELISA (R&D Systems; Minneapolis, MN). Neonates were included in the FIRS group if the umbilical cord plasma IL-6 concentrations were $\geq 11\text{pg/ml}^2$ and funisitis was observed in the umbilical cord.⁴⁸

Multiplex Analysis of Cord Serum Cytokines

Blood collected into evacuated tubes (BD Vacutainer; BD Diagnostics; Franklin Lakes, NJ) was allowed to clot for 30 minutes at room temperature. Tubes were centrifuged at $1300\times g$ for 10 minutes and serum transferred to cryovials for storage at -70°C until the time of assay. Cord serum levels of IL-1 β , IL-2, IL-4, IL-5, IL-6, IL-7, IL-8, IL-10, IL-12p70, IL-13, interferon (IFN)- γ , granulocyte-macrophage colony stimulating factor (GM-CSF), and TNF- α were assessed with a high sensitivity human cytokine kit (LINCO Research; St. Charles, MO). The assay was performed in duplicate with $50\mu\text{l}$ of serum. Additional cytokines were measured using the Beadlyte Human Multi-Cytokine Detection System 7 (CCL22, sFasL, G-CSF, GRO α , IFN α 2a, IL-16, IL-1Ra, sIL-2R α , IL-9, MCP-3, MIP-1 β , TNF β) and multiplex beads for eotaxin, IP-10, MCP-1, MIP-1 α ; all were purchased from Upstate USA (Charlottesville, VA). Prior to assay, serum samples were diluted 1:2 with serum diluent (Upstate USA; Charlottesville, VA). All assays were performed according to manufacturer’s recommendations. Briefly, $50\mu\text{l}$ of prepared standards, whole serum, or diluted serum were incubated with antibody-coated beads in a 96-well filter plate at 4°C on a plate shaker overnight. Wells were vacuum-washed with wash buffer followed by incubation with biotinylated detection antibody at room temperature with agitation for 1 hour (Linco kit) or 1.5 hour (Upstate kit). This was followed by 30 minute incubation with phycoerythrin-conjugated streptavidin at room temperature with agitation. Finally, samples were resuspended in sheath fluid (Luminex Corporation, Austin, TX) containing 0.5% phosphate buffered formalin (Fisher Scientific, Pittsburg, PA) prior to data acquisition with a Luminex 100 analyzer (Luminex Corporation, Austin, TX).

Statistical analysis was performed when cytokine levels were above the limit of detection in at least 16 of 20 samples. Serum cytokine data were analyzed using a Wilcoxon test. Spearman correlations were calculated for umbilical cord blood IL-6 concentrations obtained using the conventional plate ELISA and data obtained from microparticle multiplex assays utilizing the statistical program SPSS version 14.0 (SPSS Inc., Chicago, IL). Differences were considered significant at $P < 0.05$.

RNA Preparation

Umbilical vein cord blood was collected prior to placenta detachment into PAXgene blood RNA tubes (PreAnalytiX GmbH, distributed by Becton and Dickinson Company; Franklin Lakes, NJ) from all preterm neonates. Blood tubes were maintained at room temperature for 24 hours and then frozen at -70°C until further processing. RNA was isolated using the PAXgene Blood RNA kit (Qiagen; Valencia, CA) which includes an on-column DNase I treatment for digestion of contaminating genomic DNA. Quantity and quality of isolated RNA was assessed by UV spectrophotometry (NanoDrop Technologies; Wilmington, DE) and Agilent Bioanalyzer RNA Nano-Chip (Agilent Technologies, Inc.; Santa Clara, CA), respectively.

Microarray Analysis

Whole genome expression analysis was performed on leukocyte RNA from 10 neonates with FIRS and 7 neonates without systemic inflammation. Limited RNA was available for 3 additional neonates without systemic inflammation. These samples were preserved for inclusion in subsequent confirmation experiments. Prior to microarray analysis, $3\mu\text{g}$ total RNA was globin reduced using GLOBINclear Whole Blood Globin Reduction Kit (Ambion; Austin, TX) according to manufacturer's instructions. In addition to capture oligos targeting alpha and beta globin, a gamma (fetal) globin oligo was generated by Ambion (Austin, TX) and included in the capture oligo mix. Confirmation of globin reduction was performed in triplicate on select samples using TaqMan inventoried gene expression assays (Applied Biosystems; Foster City, CA) for alpha, beta, and gamma globin.

Complementary RNA (cRNA) synthesis, microarray hybridization, and chip imaging were performed at the Applied Genomics Technology Center of Wayne State University (www.agtc.wayne.edu; Detroit, MI). Globin reduced RNA (500ng) was amplified and biotin-labeled with the Illumina TotalPrep RNA Amplification kit (Ambion; Austin, TX). Labeled cRNA were hybridized to Sentrix-Human 6 Expression BeadChips (Illumina, Inc.; San Diego, CA). BeadChips were imaged using a BeadArray Reader (Illumina, Inc.; San Diego, CA). Initial array data processing, including background correction and intensity averaging across multiple beads for each gene, was performed using BeadStudio Software (Illumina, Inc.; San Diego, CA). Average intensity values underwent a log-transformation followed by quantile normalization. Differential expression was inferred using a moderated two-sample t-test⁶⁴ with false discovery rate (FDR) adjustment of P -values.⁶⁵ Differences were considered significant at $P < 0.05$. All microarray data preprocessing and analysis was performed using the correspondent R (www.r-project.org) and Bioconductor (www.bioconductor.org) software packages.

The list of differentially expressed genes was further analyzed for enrichment of ontological clusters using Onto-Express,⁶⁶ available within the Onto-Tools software suite.⁶⁷ Enriched biological processes, molecular functions, and cellular components were identified using an over-representation analysis, which tests if the number of genes directly associated to a particular gene ontology term was significantly higher than the number expected by random chance. Pathway analysis was performed using a similar over-representation analysis on the metabolic and signaling pathway collection from MetaCore database (GeneGo Inc.; St.

Joseph, MI). Another, conceptually different, pathway analysis method, the impact analysis, was performed on the signaling pathways from KEGG (<http://www.genome.jp/kegg/>). In addition to counting how many differentially expressed genes are involved in a particular pathway, this analysis also takes into account the position of the genes in the pathways, their measured fold changes, as well as the interactions among genes as described by the topology of each pathway. This “impact analysis” was performed using Pathway Express⁶⁸ available within the OntoTools software collection at (<http://vortex.cs.wayne.edu/projects.htm>).

Quantitative Real Time RT-PCR (qRT-PCR)

Differences in mRNA abundance were verified using total leukocyte RNA from the same neonates studied by microarray analysis with 3 additional neonates in the no inflammation group (n=10 for each group). cDNA was created using TaqMan Reverse Transcription Reagents and mRNA abundance was assayed in triplicate with TaqMan inventoried gene expression assays (both from Applied Biosystems; Foster City, CA) (supplemental Table I). Two different approaches were utilized to test differential expression between groups. In the first approach, the three technical replicates for each individual were averaged and differences were assessed using a t-test. Data ($C_{t_{\text{target}}}-C_{t_{\text{ref}}}$) were also modeled using Generalized Estimating Equations (GEE)⁶⁹ taking into account that the repeated observations from each individual are clustered (i.e. correlated) and not independent measurements.

Comparative analysis with published microarray studies

Microarray data from two publications were obtained through supplementary information available online (https://www.gluegrant.org/pubsupport/Nature_1/ and <http://physiolgenomics.physiology.org/>).^{52;63} Data from a third study was kindly provided by Dr. H.R. Wong.⁶² For all three studies, differentially expressed probe sets were converted to gene symbols and intersected with the list of differentially expressed genes from the current study. The directions of gene expression changes provided by the authors^{52;62;63} were also compared for consistency with the results from the current study.

RESULTS

Characteristics of study population

All neonates included were delivered preterm as a result of spontaneous labor. The median gestational age of neonates with FIRS (funisitis positive and plasma IL-6 11pg/ml) was 30.3 weeks whereas neonates with no evidence of inflammation (funisitis negative and plasma IL-6<11pg/ml) were delivered at the median gestational age of 31.2 weeks (Table I). In both groups, 80% of the mothers received antibiotics prior to delivery whereas 50% received tocolysis in an effort to suppress preterm labor. All mothers received glucocorticoids prior to delivery to aid in fetal lung maturation. Five of the 10 mothers delivering neonates with FIRS underwent amniocentesis; all were diagnosed with intra-amniotic infection. In contrast, negative amniotic fluid culture results were obtained from amniocentesis performed on 7 of 10 mothers delivering preterm neonates with no evidence of fetal systemic inflammation. Among neonates with FIRS, sepsis was diagnosed in 7 cases within 28 days of delivery; one additional case was diagnosed at 42 days of birth. All but two of the neonates survived. Three of the neonates without FIRS developed sepsis within 28 days of delivery; all survived.

Increased pro- and anti-inflammatory cytokines in neonates with FIRS

To evaluate whether increases in both pro- and anti-inflammatory cytokines occur in the immature fetal immune system, multiplex immunoassays were utilized to measure various

cytokines (Table II). Serum concentrations of a number of pro-inflammatory cytokines were significantly ($P<0.05$) increased in cord blood from FIRS neonates in comparison to those without FIRS, including IL-6, IL-8, TNF- α , IP-10 (CXCL10), and MCP-1 (CCL2). Plasma IL-6 concentrations as measured by high sensitivity ELISA were utilized for the initial categorization of samples into FIRS and no FIRS groups. Slightly higher concentrations were observed in serum using the multiplex assay but a significant positive correlation between the results of the two assays was observed ($r^2=0.798$; $P<0.001$). Anti-inflammatory cytokines such as IL-10, IL-13, and IL-1Ra were all increased in neonates with FIRS, with IL-10 increases observed as significant. Statistical analysis was not performed on IL-13 and IL-1Ra as detectable concentrations of these cytokines were only present in a subset of samples. Several pro-inflammatory factors, including G-CSF, GM-CSF, and IL-1 β were also elevated in FIRS but did not meet the criteria for statistical analysis.

Differential gene expression in FIRS

Previous studies have demonstrated the importance of globin reduction when using RNA collected with the PAXgene system.^{70;71} In our samples, alpha, beta, and gamma globin RNAs were reduced by greater than 90% using a modified GLOBINclear procedure (supplemental Figure 1). Others have observed that changes in leukocyte abundance contribute to changes in mRNA abundance.⁷² Thus, we evaluated total white blood cell counts, percent lymphocytes, percent monocytes, and percent neutrophils in all samples where differentials were available. The overall white blood cell count was higher in neonates with FIRS but the difference was not significant (supplemental Table II); percentages of lymphocytes, monocytes, and neutrophils were similar between the two groups. Thus, leukocyte differential was not utilized as a covariate for analysis of microarray data.

Differential abundance of total leukocyte RNA in preterm neonates with and without FIRS was assessed using the Illumina microarray platform. Of the greater than 26,000 well annotated probes representing genes on the array, 296 demonstrated increases and 252 were decreased for a total of 548 significantly differentially expressed probes ($P<0.05$, Figure 1). These corresponded to 541 unique leukocyte genes differentially expressed in FIRS relative to no inflammation. Principal component analysis performed using expression data from all annotated probes (~26,000) showed a clear distinction between the cluster of no inflammation (No FIRS) samples and the cluster of FIRS samples (Figure 2). Ontological analysis of the 541 differentially expressed genes with Onto-Express/Onto-Tools demonstrated significant enrichment of 27 biological processes (Table III) and 23 molecular functions (Table IV). Key enriched biological processes included antigen processing and presentation, anti-apoptosis, immune and inflammatory responses as well as processes critical to cellular metabolism. A number of enriched molecular functions were related to signal transduction and transferase activity. Metabolic and signaling pathways significantly associated with gene expression data were also identified. Out of the nearly 50 signaling pathways annotated by KEGG, the “impact analysis” identified 5 pathways as significant. These included pathways for antigen processing and presentation ($P=0.0001$), phosphatidylinositol signaling system ($P=0.0001$), type I diabetes mellitus ($P=0.0014$), cell adhesion molecules ($P=0.0014$), and B cell receptor signaling ($P=0.0017$). Similar pathways were included in the more than one hundred of the in-house annotated pathways from the MetaCore database that were significantly enriched in the set of differentially expressed FIRS genes. Additional pathways such as transcription regulation of granulocyte development, glycolysis and gluconeogenesis, as well as those important to apoptosis (anti-apoptotic TNFs/NF- κ B/IAP, apoptotic TNF-family, p53 independent apoptotic signaling, and anti-apoptotic TNFs/NF- κ B/Bcl-2 pathways) were identified using MetaCore.

Validation of differential expression by quantitative real time RT-PCR

Forty-four genes were chosen for validation by qRT-PCR. Gene selection was based on rank within the list of significantly differentially expressed genes (1=most significant) and inclusion in at least one of the significantly enriched ontological clusters. Forty-one of the 44 genes tested by qRT-PCR were significantly different in neonates with FIRS relative to those without systemic inflammation by both statistical analysis methods (GEE and t-test; $P < 0.05$) (Figure 3 and Table V). The correlation between log-fold changes obtained with microarray and qRT-PCR was high (92.5%) while the regression slope was 0.52 indicating the expected compression of the expression range with microarray technology.⁷³ Validation of inflammation and immune response genes in umbilical cord blood leukocytes exhibited changes often seen in the presence of systemic and chronic inflammation (Figure 3A). Calgranulins B and C (S100A9 and S100A12) were both significantly up-regulated in neonates with FIRS. Increases in arachidonate 5-lipoxygenase-activating protein (ALOX5AP) and leukotriene B4 receptor (LTB4R) were also found. Similar to previous observations in endotoxin challenge models of sepsis,^{51;52} mRNA abundance for IL-1 receptor antagonist (IL1RN, also known as IL-1Ra) was significantly higher in FIRS and coincides with increases in serum IL-1Ra (Table II). The observed decrease in IL-16 was the only gene that demonstrated significant differences by GEE analysis alone (GEE $P = 0.0448$; t-test $P = 0.0806$) and these changes were not confirmed at the serum protein concentration.

A number of genes important to the MHC type II processing pathway were also significantly decreased in preterm neonates with FIRS (Figure 3B). Critical components of MHC antigen presentation were found at lower levels in neonates with FIRS (HLA-DPB1, HLA-DQB1, and HLA-DRA). In addition, antigen processing was putatively altered as indicated by a decrease in HLA-DMB, an important component of MHC peptide loading. Interestingly, an inhibitor of HLA-DM activity (HLA-DOB) was also lower in neonates with FIRS. The down-regulation of various HLA genes could directly result from decreases in MHC2TA (CIITA), a positive regulator of MHC II gene transcription.

Genes important to carbohydrate metabolism including glucose transporter 3 (SLC2A3), protein phosphatase 1 regulatory subunit 3D (PPP1R3D), hexokinase (HK3), phosphoglycerate mutase 1 (PGAM1), and fructose biphosphate-aldolase (ALDOA) were also significantly up-regulated in umbilical cord blood leukocytes from neonates with FIRS (Figure 3C). In contrast, genes mediating signal transduction and intracellular transferase activity were decreased in FIRS (Figure 3D). Decreases in CCR6, TRAF5, and B lymphoid tyrosine kinase (BLK) may play a role in suppressing a lymphocyte response in conjunction with the observed decreases in antigen processing and presentation as well as IL-16 (see Figure 3A). Two additional genes with “protein tyr/ser/thr phosphatase activity” (DUSP14 and STYX) were also down-regulated during FIRS suggesting an overall decrease in de-phosphorylation activity in cord blood leukocytes. Decreased intracellular phosphatase activity may be one mechanism by which cells regulate potential increases in signal transduction elicited by greater MYD88 and g-protein receptor signaling molecule (GNA15) levels observed in this study.

Similarities and differences between FIRS and sepsis or endotoxin challenge

Several studies have focused on genome-wide expression profiling in an effort to characterize leukocyte changes both in patients with SIRS/sepsis⁶² and by studying the effects of *in vivo* endotoxin challenge in human volunteers^{52;63} To evaluate similarities and differences between the data of the current study and that of recently published works, a comparative analysis was performed. The 3776 differentially expressed probe sets detected by Calvano and colleagues⁶³ using the Affymetrix HG-U133 PLUS 2.0 array were converted to gene symbols. An overall direction of change was inferred by the direction of

change observed across most of the time point post endotoxin challenge. One hundred ninety-three differentially expressed genes were in common between this endotoxin challenge study and the FIRS results of the current study; 74.1% of the genes were differentially expressed in the same direction (e.g. increased due to endotoxin challenge or FIRS). A second endotoxin challenge model of sepsis identified 201 differentially expressed probe sets observed using the Affymetrix HG-U 95Av2 array at the 6 hour time point post challenge.⁵² Only 35 genes were in common between the two studies but all gene expression changes were in the same direction. The third comparison examined the similarities and differences between the current FIRS study and that of pediatric septic shock.⁶² All patients included in the study were less than 10 years of age with a median age of 2.4 in the control group, 2.7 in the survivor group, and 1.6 in the non-survivor group.⁶² A total of 1710 differentially expressed probe sets were observed using the Affymetrix HG-U133 PLUS 2.0 array. Similar to the study by Calvano et al.,⁶³ approximately 35% of the genes changed in FIRS were also changed in pediatric septic shock. All 195 genes were observed to be changed in the same direction in both studies. In total, 25 common genes were observed across all studies (Table VI) with a high degree of consistency in the direction of change observed.

DISCUSSION

Complexity of systemic inflammatory response syndromes – either in the fetus, neonate, child, or adult – has challenged researchers and clinicians alike. The results of this study are the first to describe global gene expression differences in preterm neonates with FIRS relative to those without a systemic inflammatory response at the time of birth. In addition, a number of the differentially expressed genes in FIRS coincide with those previously described in studies conducted in adults using endotoxin challenge models^{52;63} as well as in pediatric sepsis.⁶² Observed increases in umbilical cord serum concentrations of pro- and anti-inflammatory cytokines also echoed changes observed in adult sepsis/SIRS.^{50–52} Inflammation-associated increases in pro-inflammatory cytokines are a hallmark of adult SIRS and sepsis.⁷⁴ This pro-inflammatory aspect of sepsis has been the target of a number of potential treatments with little success.⁷⁵ Potent inflammatory mediators such as TNF- α , IL-6,²⁸ IL-8, and MCP-1 were all increased in neonates with FIRS. These cytokines and others are also increased in amniotic fluid^{76–92} as well as in adults with SIRS/sepsis^{50;74} or endotoxin challenge models⁵² but to a much greater extent. *In vitro* LPS stimulation studies of monocytes have demonstrated that less TNF- α and IL-6 are produced from umbilical cord blood leukocytes versus adult blood cells.^{57;93} Therefore, it is not surprising that serum cytokine concentrations in FIRS, although increased, are not as high as found in adults with sepsis/SIRS.

Blood leukocytes both respond and contribute to inflammatory response syndromes. Changes were readily detectable at the gene expression level in neonates with FIRS with a number of genes indicative of innate immune activation. Several factors important to leukocyte adhesion and chemotaxis (LTB4R, ALOX5AP, CD11b, S100A9, S100A12) were up-regulated during FIRS. The leukotriene B₄ receptor (LTB4R, also called BLT1) is a high affinity receptor found on neutrophils, monocytes, and some lymphocyte subsets.⁹⁴ Binding of the receptor with leukotriene B₄ or other agonistic ligands results in increased leukocyte chemotaxis, calcium flux, and adhesion.⁹⁵ The increase observed in FIRS is interesting in light of evidence demonstrating decreased expression of LTB4R in neutrophils and monocytes stimulated with inflammatory mediators such as LPS and TNF- α .^{94;96} Pettersson and colleagues hypothesized this down regulation to be a mechanism of limiting excessive inflammatory cell accumulation at sites of infection.⁹⁶ Leukotrienes are further implicated in FIRS, SIRS, and sepsis via modulation of ALOX5AP (also called FLAP), a molecule intimately involved in leukotriene synthesis.⁹⁷ Not only was ALOX5AP increased in

leukocytes from the neonates with FIRS but increases have also been observed in endotoxin challenged adults^{52;63} and in pediatric sepsis.⁶² Chemical inhibitors of ALOX5AP result in improved survival in mice subjected to a cecal ligation and puncture model of peritonitis and severe sepsis.⁹⁸ Thus, aberrant control of leukotriene mediated adhesion and chemotaxis may play a critical role in exacerbating the inflammation of FIRS and SIRS.

Leukocyte contributions and responses are not limited to leukotriene-induced cell adhesion and migration during systemic inflammatory response. Neutrophils contain several antimicrobial peptides, which are small molecular weight proteins with broad spectrum antimicrobial activity against bacteria, viruses, and fungi.^{99–101} Calgranulins are phagocyte-specific proteins with a variety of functions including antimicrobial activity, enhancement of migration and chemotaxis, as well as up-regulation of adhesion molecules.¹⁰² Up-regulation of calgranulin C (S100A12) is consistent across studies of endotoxin challenged adults,^{52;63} pediatric sepsis,⁶² and the current study (Figure 3 and Table VI). Protein concentrations of S100A12 are also increased in the amniotic fluid of neonates with funisitis,¹⁰³ indicating that the fetal inflammatory response is limited to the fetal blood compartment. Increases in calprotectin, a heterodimer of calgranulins A (S100A8, also called MRP8) and B (S100A9, also called MRP14), is also associated with intra-amniotic infection in preterm labor and preterm premature rupture of membranes.⁹⁹ Thus, the FIRS-associated increases in cord blood leukocyte mRNA for S100A9 and S100A12 are consistent both with adult SIRS/sepsis and inflammation in the amniotic cavity. As a pro-inflammatory mediator, S100A9 has been implicated in induction of CD11b expression and has a role in increased neutrophil adherence.¹⁰⁴ Surface CD11b is increased on neutrophils from patients with sepsis and associates with decreased chemotaxis relative to healthy adults.¹⁰⁵ In preterm infants, neutrophil surface CD11b is lower than in their term counterparts as well as adults and it does not increase with *in vitro* stimulation.¹⁰⁶ Leukocytes from the preterm neonates of the current study indicate that the level of CD11b is altered in response to environmental changes, possibly including increased S100A9, which is in contrast to the study of leukocytes from preterm versus term infants and adults. These conflicting results may be due to evaluating cells exposed to multiple stimuli *in vivo* versus individual *in vitro* treatment. Despite aspects of immaturity and limited *in vitro* responsiveness of fetal leukocytes, the fetal systemic inflammatory response has many characteristics of that found in adult inflammation.

Fetal systemic inflammation is associated with phenotypic and metabolic changes consistent with activation in fetal immune cells. In a recent study,¹⁰⁷ funisitis was associated with a significant increase in the median mean channel brightness (MCB) of CD14, CD64, and CD66b on granulocytes and the MCB of CD64 on monocytes collected from umbilical cord blood. The basal intracellular reactive oxygen species production and oxidative burst were higher in the umbilical cord monocytes of neonates with funisitis than in those without funisitis.¹⁰⁷

Systemic inflammatory response syndromes are also characterized by the dysregulation of pro- and anti-inflammatory responses.¹⁰⁸ As gauged by the anti-inflammatory associated changes in FIRS, the fetal inflammatory response syndrome exhibits gene expression changes similar to those found in SIRS. One predominant theme identified through ontological and pathway analyses was that antigen processing and presentation by the MHC II pathway was clearly affected in FIRS. Changes in gene expression were observed at all major steps of the pathway. Gamma interferon-inducible lysosomal thiol reductase (GILT/IFI30) was increased in FIRS. This enzyme plays a vital role in reducing disulfide bonds to unfold and degrade proteins in antigen presenting cells (APC).¹⁰⁹ While breakdown of endocytosed antigens is potentially increased in APC's during FIRS, the subsequent steps of antigen processing and presentation appear to be down-regulated. Antigen presentation

molecules HLA-DPB1, HLA-DQB1, and HLA-DRA, as well as molecules important to MHC peptide loading such as HLA-DR and CD74 (also called the invariant chain)¹¹⁰ were lower at the mRNA level in cord blood leukocytes when systemic inflammation was present. Observed decreases in the transcriptional regulator of MHC II gene expression MHC2TA may play a key role in HLA mRNA abundance changes. Similar changes have been observed previously at the mRNA and surface protein levels in adult SIRS/sepsis. Changes in HLA also appear to associate with patient survival with substantially lower levels found in non-surviving patients versus those that survive.^{111;112} A third study demonstrated that differences in HLA were not observed upon initial diagnosis, but levels three to four days post diagnosis demonstrated a correlation with survivors versus non-survivors.¹¹³ HLA levels may remain low for several weeks past the initial sepsis diagnosis.¹¹⁴ Interestingly, total HLA was not significantly different in patients with sepsis versus healthy adults but the cellular distribution was drastically altered. A punctate intracellular distribution was observed during sepsis compared to the normal periphery location. IL-10, a cytokine that was increased in the current study, was identified as a contributing factor in HLA-DR redistribution.¹¹⁴ Thus, patients who survive the initial hyper-inflammatory response of SIRS may continue to experience problems due to the compensatory anti-inflammatory response that follows. If it is indeed the case that the compensatory anti-inflammatory response is already present in preterm neonates with FIRS, the vulnerability of newborns to infection or insults may contribute to increased incidence of sepsis within the first 28 days of life such as was observed in neonates of the current study.

The anti-inflammatory limb of the immune response is crucial for dampening intra-amniotic inflammation.^{115–119} Additional alterations indicate the presence of a compensatory anti-inflammatory response in neonates with FIRS. Fundamental B cell receptor signaling pathway genes were also decreased in umbilical cord blood leukocytes from FIRS neonates. Initiation of this pathway by antigen is potentially altered due to decreases in CD79A and CD79B (I α and I β). These two molecules have a role in B cell activation and are at higher levels in cord blood B cells from healthy term neonates relative to the levels found on adult B cells.¹²⁰ CD19, a positive B cell receptor regulator involved in signaling for proliferation, was also decreased in leukocytes during FIRS. This is in contrast to increased CD19 surface levels observed by Weinschenk and colleagues in preterm neonates with confirmed sepsis.¹²¹ Time and type of sampling (cord blood at delivery versus neonatal blood) may contribute to the appeared difference of the current and previous observations. To further support the hypothesis that B cell receptor signaling is diminished in FIRS neonates, important NF- κ B activation molecules were also decreased. These included CARD11 (CARMA1), a mediator of antigen receptor-induced NF- κ B activation,¹²² and I κ B kinase (IKKB) which is also involved in NF- κ B activation.¹²³ Decreases in CD22 and CD72 in leukocytes from FIRS neonates may be one mechanism to combat this putative decrease in B cell receptor signaling. CD22 is an inhibitor of B cell receptor signaling¹²⁴ while CD72 prevents differentiation of naive B cells into plasma cells.¹²⁵ Thus, although receptor signaling is lowered, there is also less inhibition of any signals that may be initiated. Finally, the anti-inflammatory aspect of FIRS was not limited to changes in mRNA abundance. Increases in serum IL-10 as well as serum and mRNA IL-1Ra observed in this study were also found within the first 10 hours post endotoxin challenge of healthy adults.⁵¹ In addition, higher concentrations of IL-10 and IL-1Ra associate with adverse outcomes for adults with sepsis.^{50;74} High concentrations of cord plasma IL-1Ra in preterm neonates is a risk factor for adverse outcomes and neonatal morbidity.¹²⁶ Thus, an anti-inflammatory response has a profound impact on neonatal health and appears to be an important component of FIRS.

Genome-wide expression profiling in patients with SIRS/sepsis^{51;60–62} or in endotoxin challenge models of sepsis^{52;63} have begun to provide a better understanding of the molecular mechanisms associated with disease. With the many similarities in alterations of

both pro- and anti-inflammatory leukocyte genes found in FIRS and studies with adult leukocytes during inflammation, the list of genes found across several reports and those reported herein is 25 genes. A key factor contributing to this disparity may be differences between the fetal and adult immune systems. Other important factors could include the RNA isolation methods and/or the microarray platforms and analysis methods used. For this reason, it would be difficult to say that the 275 genes found specific to this study, when compared to those of Calvano et al.,⁶³ Talwar et al.,⁵² and Wong et al.,⁶² are truly specific to fetal inflammatory response syndrome. The high success rate of confirmation lends confidence in the differentially expressed genes of the current study. These results also indicate that the modified globin reduction procedure did not have any deleterious effects on the sample preparation as globin reduced samples were utilized for the microarray experiment only. With a list of targets in hand, confirmation of mRNA changes in independent groups of neonates with FIRS by qRT-PCR will only further substantiate the current observations. In closing, the similarities in the transcriptomes of FIRS and SIRS/sepsis may provide new targets for strategies designed to modulate inflammation despite differences in the maturity and cellular composition of fetal and adult immune systems.

Conclusions

This is the first study to profile genome-wide expression in FIRS, which demonstrates a substantial degree of similarity with SIRS despite differences in fetal and adult immune systems.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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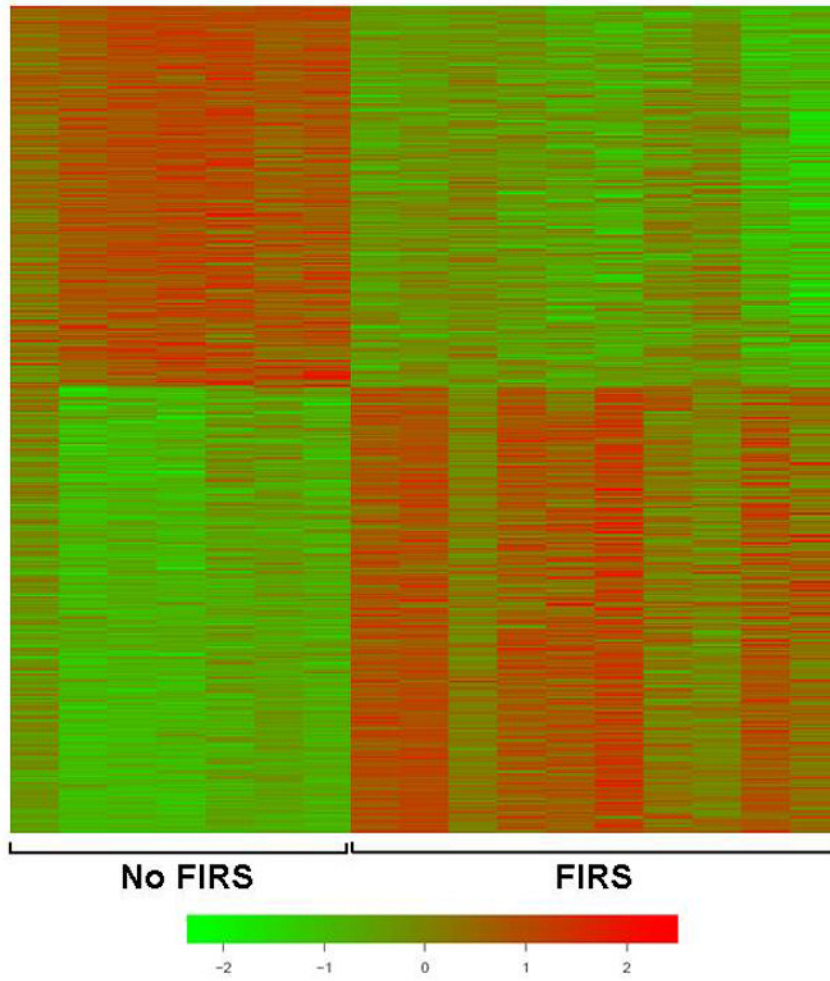


Figure 1. Genes differentially expressed in FIRS

Cord blood RNA from preterm neonates with (n=10) and without (n=7) FIRS was analyzed using the Illumina microarray platform. The color scale represents normalized log₂ gene expression levels; data are 0 centered by rows (genes) and sorted as a function of the t-scores between the two groups. Displayed are the 296 genes that were significantly increased in leukocytes from neonates with FIRS and the 252 that were decreased (False discovery rate <0.05).

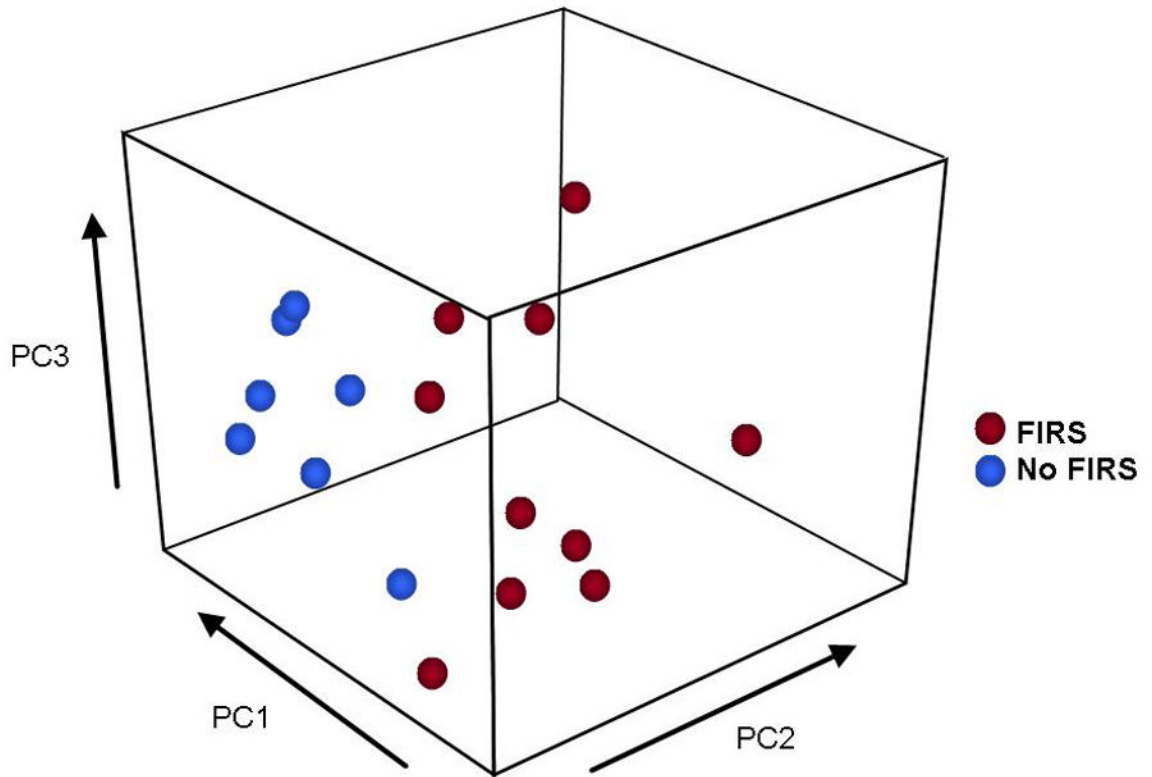
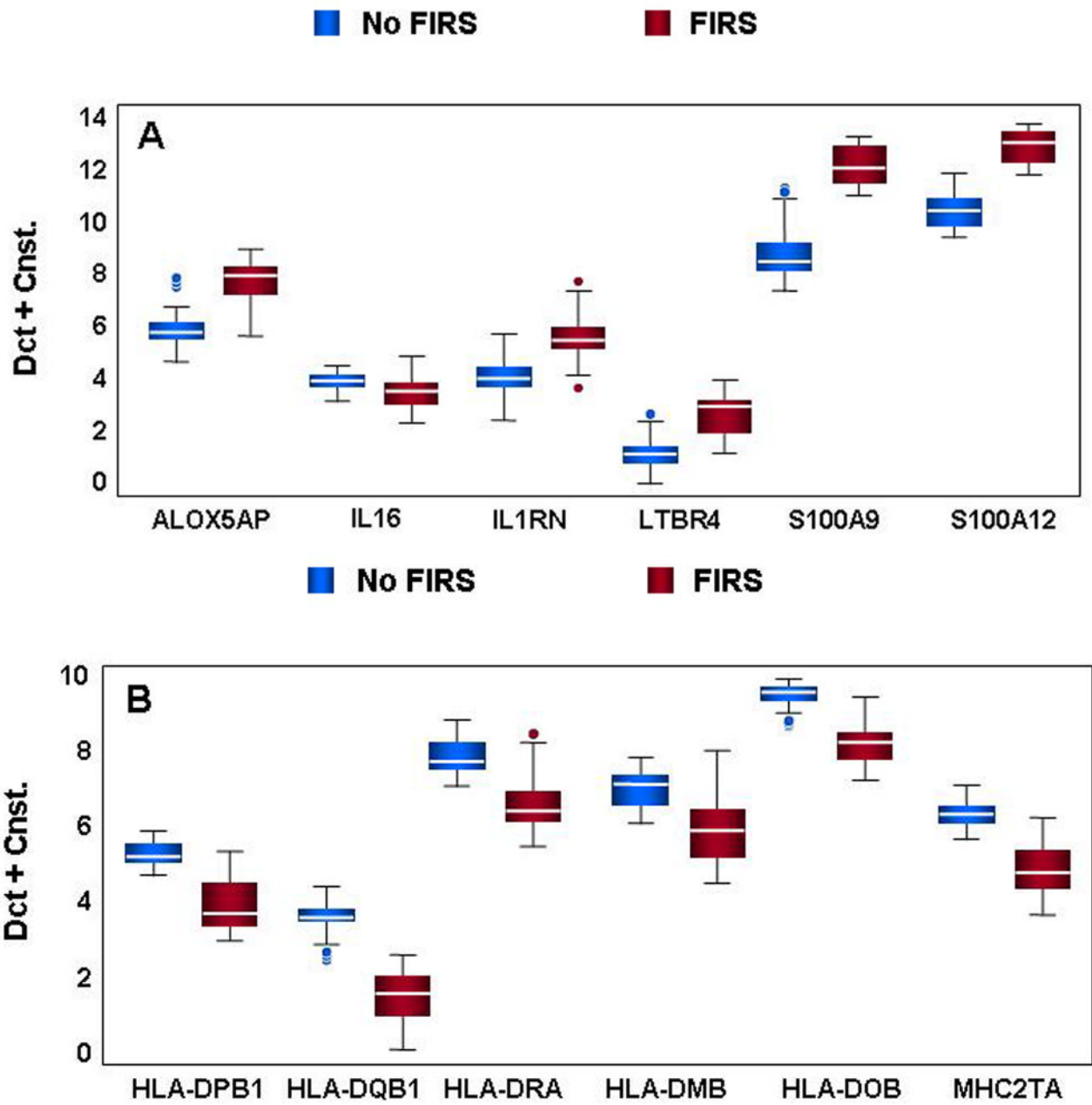


Figure 2. Principal Component Analysis of gene expression data

The intensity of 26,000 well annotated probes on the Illumina arrays were used to compute the main directions of variability within the data (principal components). This unsupervised analysis demonstrates that gene expression values can be used to delineate the two groups of samples. More details on this representation can be found elsewhere (*Machine Learning and Its Applications to Biology, Tarca AL, Carey VJ, Chen Xw, Romero R, Draghici S, PLoS Comput Biol, 3(6): e116 doi:10.1371/journal.pcbi.0030116.*)



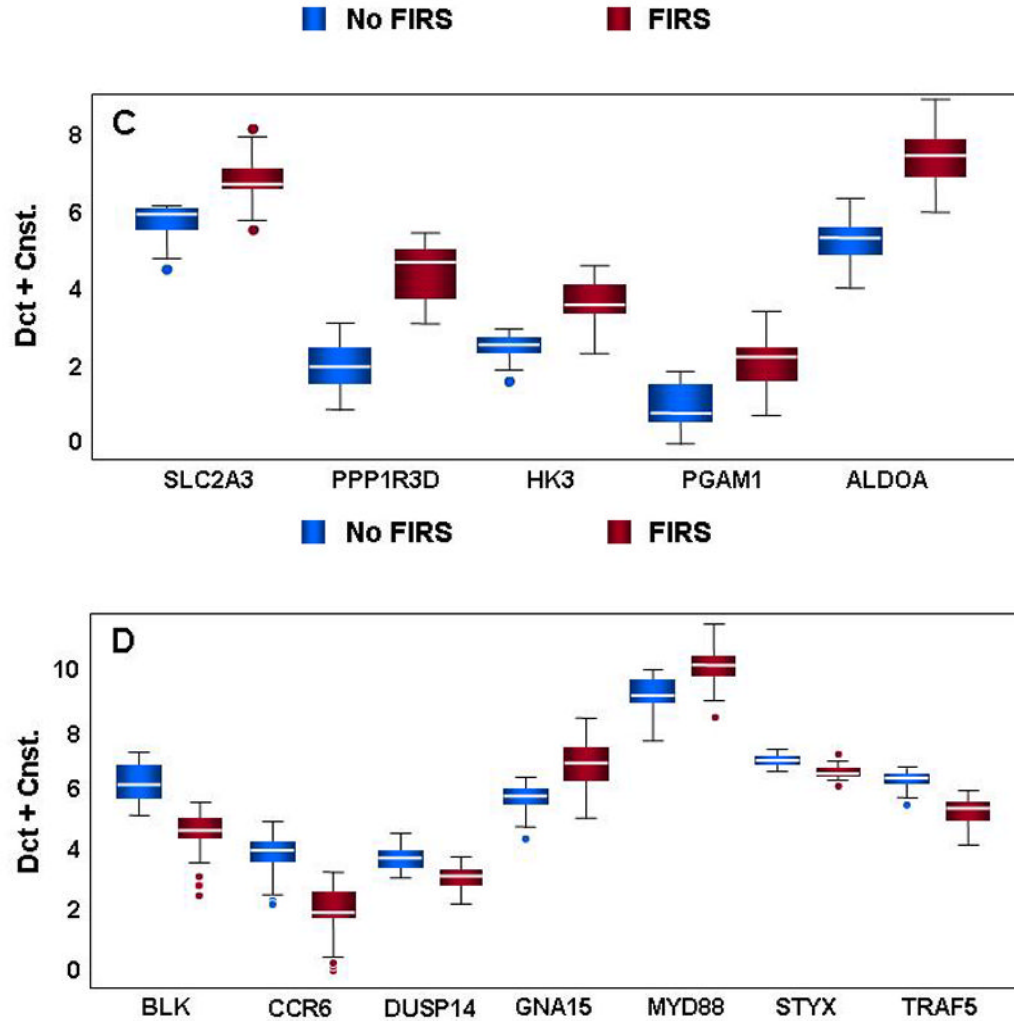


Figure 3. Confirmation of differential gene expression by qRT-PCR
 mRNA abundance was assessed in cord blood RNA from neonates with and without FIRS (n=10/group). Altered abundance of genes within ontological categories of immune response and inflammation (A), MHC II receptor activity (B), carbohydrate metabolism (C) and signal transduction (D) was confirmed using qRT-PCR. Box-plots include 50% of the data with the middle line showing the median value; $P < 0.05$ for all genes shown when modeled using GEE. Depending on the statistical method used, 93% to 95% of the genes tested confirmed the change observed by microarray analysis.

Table 1

Clinical characteristics of the study population

	No FIRS ^a (n=10) ^b	FIRS (n=10)	P-value
Median gestational age at delivery, weeks (range) ^c	31.2 (26.7–33.1)	30.3 (25.1–33)	NS
Median cord blood IL-6 concentration, pg/ml (range) ^c	1.9 (0.5–9.8)	59.5 (19.7–2155.9)	<0.001
Median steroid administration-to-delivery interval, days (range) ^c	3.5 (0–5.2)	0 (0–4.2)	NS
Received antibiotics (%) ^d	8 (80%)	8 (80%)	NS
Received tocolytics (%) ^d	5 (50%)	5 (50%)	NS
Gender (Male/Female) ^d	7/3	5/5	NS
Amniocentesis performed prior to delivery ^d	7 (70%)	5 (50%)	NS
Positive Amniotic Fluid Culture ^d	0 (0%)	5 (50%)	0.03
Neonatal sepsis diagnosis within 28 days of delivery ^d	3 (30%)	7 (70%)	NS

^aFetal Systemic Inflammatory Response Syndrome^bSamples from 7 of 10 neonates used for microarray experiment; all samples used for qRT-PCR^cSignificance tested using Mann-Whitney *U* test, NS = Not Significant^dSignificance tested using Fisher's exact test, NS = Not Significant

Table II

Multiplex analysis of serum cytokines in FIRS

Cytokine	No FIRS			FIRS			P-Value
	# samples ^a	Median (Range)	# samples	Median (Range)	# samples		
IL-1 β	0	ND ^b	5	1.18 (0.7–5.77)		ND	
IL-2	0	ND	4	1.83 (0.34–4.19)		ND	
IL-4	4	35.07 (14.58–44.66)	3	7.44 (3.73–25.45)		ND	
IL-5	7	0.49 (0.19–1.28)	6	0.44 (0.13–25.15)		ND	
IL-6	10	5.01 (1.42–18.73)	9 ^c	157.96 (33.17–1954.93)		<0.001	
IL-7	9	0.45 (0.17–2.71)	10	1.32 (0.24–4.62)		NS ^d	
IL-8	10	9.87 (1.73–20.57)	10	68.9 (17.86–759.26)		<0.001	
IL-10	10	2.65 (1.4–34.09)	10	26.61 (12.34–113.89)		0.003	
IL-12p70	0	ND	1	1.75		ND	
IL-13	1	0.59	5	1.63 (0.59–2.21)		ND	
IFN- γ	1	0.43	2	29.01 (9.75–48.26)		ND	
GM-CSF	3	0.59 (0.45–1.84)	7	1.22 (0.24–11.48)		ND	
TNF- α	10	11.02 (6.43–21.09)	10	27.77 (8.34–90.29)		0.008	
IL-1Ra	6	49.5 (31–66)	9	473 (47–4719)		ND	
IL-16	10	108 (48–216)	10	125 (65–614)		NS	
sIL-2Ra	10	457.5 (311–594)	10	1130 (370–2993)		0.019	
IFN- α 2a	0	ND	2	42.8 (36.9–48.7)		ND	
IP-10	10	66.4 (41–107.4)	10	147.15 (114–409)		<0.001	
Eotaxin	8	48 (35–59)	9	47 (32–70)		NS	
G-CSF	0	ND	8	16.4 (8.2–672.9)		ND	
GRO	10	818 (534–5298)	10	1937 (304–2903)		NS	
MCP-1	10	76.5 (29–182)	10	169.5 (79–940)		0.019	
MDC(CCL22)	10	787 (332–1189)	10	623.5 (194–1010)		NS	
MIP-1 α	8	71 (27–121)	10	85.5 (34–165)		NS	
MIP-1 β	5	16.3 (14.2–39.3)	7	18.9 (14.9–43.7)		ND	
sFasL	0	ND	2	125.5 (70–181)		ND	

^aNumber of samples with cytokine concentrations within the range of the standard curve

^bND = Not Determined

^cIL-6 concentrations for one of the FIRS cases was greater than the upper limit of the assay (this data was not included)

^dNS = Not Significant by Wilcoxon test

Table III

Twenty-seven biological processes were significantly enriched for within the list of differentially expressed genes

GO ID	Biological Process	# of Genes on Array	# of Genes Differentially Expressed	P-value
GO:0006955	immune response	255	26	0.0000
GO:0019884	antigen presentation, exogenous antigen	15	7	0.0000
GO:0019886	antigen processing, exogenous antigen via MHC class II	16	7	0.0000
GO:0007166	cell surface receptor linked signal transduction	129	17	0.0000
GO:0009596	detection of pest, pathogen or parasite	5	3	0.0000
GO:0006096	glycolysis	31	6	0.0001
GO:0019735	antimicrobial humoral response (sensu Vertebrata)	73	9	0.0001
GO:0006959	humoral immune response	26	5	0.0002
GO:0006916	anti-apoptosis	102	10	0.0002
GO:0005975	carbohydrate metabolism	185	14	0.0002
GO:0042981	regulation of apoptosis	72	8	0.0003
GO:0007243	protein kinase cascade	51	6	0.0011
GO:0006954	inflammatory response	170	12	0.0011
GO:0006952	defense response	70	7	0.0012
GO:0008654	phospholipid biosynthesis	18	3	0.0033
GO:0006633	fatty acid biosynthesis	31	4	0.0033
GO:0016192	vesicle-mediated transport	50	5	0.0047
GO:0015986	ATP synthesis coupled proton transport	35	4	0.0053
GO:0043123	positive regulation of I-kappaB kinase/NF-kappaB cascade	56	5	0.0077
GO:0006935	chemotaxis	99	7	0.0086
GO:0006461	protein complex assembly	108	7	0.0141
GO:0006928	cell motility	111	7	0.0159
GO:0007242	intracellular signaling cascade	285	13	0.0252
GO:0006468	protein amino acid phosphorylation	413	17	0.0287
GO:0006886	intracellular protein transport	135	7	0.0432
GO:0008152	metabolism	281	12	0.0447
GO:0006457	protein folding	165	8	0.0447

Table IV

Twenty-three molecular functions were significantly enriched for within the list of differentially expressed genes

GO ID	Molecular Function	# of Genes on Array	# of Genes Differentially Expressed	P-value
GO:0045012	MHC class II receptor activity	15	7	0.0000
GO:0004888	transmembrane receptor activity	80	11	0.0000
GO:0005515	protein binding	2206	83	0.0001
GO:0016798	hydrolase activity, acting on glycosyl bonds	43	7	0.0001
GO:0005031	tumor necrosis factor receptor activity	7	3	0.0002
GO:0016853	isomerase activity	81	9	0.0003
GO:0045028	purinergic nucleotide receptor activity, G-protein coupled	18	4	0.0006
GO:0005057	receptor signaling protein activity	34	5	0.0016
GO:0008415	acyltransferase activity	88	8	0.0029
GO:0004298	threonine endopeptidase activity	17	3	0.0044
GO:0016740	transferase activity	838	35	0.0046
GO:0046872	metal ion binding	1438	52	0.0059
GO:0004713	protein-tyrosine kinase activity	107	8	0.0072
GO:0004871	signal transducer activity	228	13	0.0078
GO:0005529	sugar binding	110	8	0.0085
GO:0008138	protein tyrosine/serine/threonine phosphatase activity	21	3	0.0095
GO:0008270	zinc ion binding	1405	50	0.0096
GO:0016491	oxidoreductase activity	344	16	0.0147
GO:0003755	peptidyl-prolyl cis-trans isomerase activity	28	3	0.0171
GO:0004497	monooxygenase activity	51	4	0.0249
GO:0020037	heme binding	78	5	0.0340
GO:0016787	hydrolase activity	622	23	0.0398
GO:0005506	iron ion binding	192	9	0.0479

Table V

Additional genes tested by quantitative real time RT-PCR

Symbol	Gene Name	Microarray Rank	Fold-Difference Microarray ^a	Fold-Difference qRT-PCR	P-value t-test ^b	P-value GEE	Direction in FIRS
BIRC3	baculoviral IAP repeat containing 3	16	1.865	2.390	0.0000	0.0000	↓
SERPINB2	Plasminogen activator inhibitor-2 (serpin peptidase inhibitor, clade B)	21	1.341	6.407	0.0000	>0.0001	↑
SEMA4D	semaphorin 4D (CD100)	52	1.348	1.174	0.4779	0.4443	↓
FAIM3	Fas apoptosis inhibitory molecule 3	81	2.120	2.682	0.0000	0.0000	↓
BIRC5	baculoviral IAP repeat containing 5	399	1.412	3.245	0.0000	0.0000	↑
PRKCZ	protein kinase c, zeta	518	1.150	1.576	0.0031	0.0003	↓
MS4A1	CD20	65	1.769	3.455	0.0003	0.0000	↓
CD79b	B-cell specific Ig beta	9	2.012	3.177	0.0001	0.0000	↓
CST7	Cystatin F (leukostatin)	36	3.615	6.004	0.0000	0.0000	↑
PLA2G4B	phospholipase A2, group IVB	70	1.541	1.574	0.0021	0.0001	↓
ALDH3B1	aldehyde dehydrogenase 3 family member B1	493	1.398	2.151	0.0042	0.0004	↑
ACSL5	acyl-CoA synthetase long-chain family member 5	527	1.216	1.055	0.6481	0.6241	↓
ST3GAL5	ST3 beta galactoside alpha-2,3-sialyltransferase 5	486	1.237	1.397	0.0121	0.0031	↓
TLR10	toll-like receptor 10	39	1.258	2.903	0.0005	0.0000	↓
LDLR	low density lipoprotein receptor	68	1.629	2.198	0.0041	0.0001	↑
CD72	CD72	87	1.458	2.933	0.0000	0.0000	↓
CRLS1	cardiolipin synthase 1	529	1.439	1.716	0.0006	0.0000	↑
CD19	CD19	5	2.153	3.721	0.0000	0.0000	↓
PLCG1	phospholipase C, gamma 1	520	1.801	2.151	0.0020	0.0001	↓
PASK	PAS domain containing ser/thr kinase	478	1.552	1.667	0.0016	0.0001	↓

^a All genes were significantly different at *P* 0.05 by microarray analysis^b qRT-PCR confirmation of gene expression changes were statistically analyzed by both GEE and t-test

Table VI

Genes differentially expressed* in FIRS, pediatric sepsis, and endotoxin challenge models of sepsis.

Gene Symbol	FIRS	Calvano et al., 2005	Talwar et al., 2006	Wong et al., 2007
ADM	↑	↑	↑	↑
ALOX5AP	↑	↑	↑	↑
ALPL	↑	↓	↑	↑
ANXA3	↑	↑	↑	↑
BAZ1A	↑	↑	↑	↑
CEACAM1	↑	↑	↑	↑
CKAP4	↑	↑	↑	↑
EMR1	↑	↓	↑	↑
FGR	↑	↓	↑	↑
FLOT1	↑	↑	↑	↑
HLA-DPA1	↓	↓	↓	↓
HLA-DPB1	↓	↓	↓	↓
HLA-DQB1	↓	↓	↓	↓
HP	↑	↑	↑	↑
IL1RN	↑	↑	↑	↑
LIMK2	↑	↑	↑	↑
MAPK14	↑	↑	↑	↑
PGD	↑	↑	↑	↑
PGLYRP1	↑	↑	↑	↑
S100A12	↑	↑	↑	↑
SERPINB1	↑	↑	↑	↑
SLC2A3	↑	↑	↑	↑
SPOCK2	↓	↓	↓	↓
UGCG	↑	↑	↑	↑
UPP1	↑	↑	↑	↑

* Arrows indicate direction of change relative to healthy controls