

# Top-down attention switches coupling between low-level and high-level areas of human visual cortex

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**Top-down attention is an essential cognitive ability, allowing our finite brains to process complex natural environments by prioritizing information relevant to our goals. Previous evidence suggests that top-down attention operates by modulating stimulus-evoked neural activity within visual areas specialized for processing goal-relevant information. We show that top-down attention also has a separate influence on the background coupling between visual areas: adopting different attentional goals resulted in specific patterns of noise correlations in the visual system, whereby intrinsic activity in the same set of low-level areas was shared with only those high-level areas relevant to the current goal. These changes occurred independently of evoked activity, persisted without visual stimulation, and predicted behavioral success in deploying attention better than the modulation of evoked activity. This attentional switching of background connectivity suggests that attention may help synchronize different levels of the visual processing hierarchy, forming state-dependent functional pathways in human visual cortex to prioritize goal-relevant information.**

category selectivity | functional MRI | goal-directed attention | retinotopic occipital cortex | ventral temporal cortex

The ventral visual stream, the neural substrate of object perception (1), is organized hierarchically. At early stages, occipital cortex decomposes visual images into simple features, such as form and orientation (2). At later stages, ventral temporal cortex combines these features into complex objects, such as faces and scenes (3). Although this hierarchy is hard-wired (4), human vision is flexible: our goals and intentions determine what we see via top-down attention (5). How does top-down attention prioritize goal-relevant information in the ventral visual stream?

The conventional answer is that attention prioritizes certain information by enhancing evoked responses in cortical areas that represent this information. For example, when attending to faces (e.g., when looking for a friend in a crowd, or in our study, monitoring for a repeated face in a stream of composite images that contain both a face and a distracting scene), the response of the fusiform face area (FFA) to faces is enhanced; in contrast, when attending to scenes (e.g., when looking for a restaurant in a new town, or in our study, monitoring for a repeated scene in the composite images), the response of the parahippocampal place area (PPA) to scenes is enhanced (6). This attentional modulation is interpreted as resulting from top-down selection of goal-relevant information and relative inhibition of goal-irrelevant information. Similar effects have been observed throughout visual cortex and with diverse methodologies, including positron emission tomography (7), functional magnetic resonance imaging (fMRI) (6, 8), and single-cell recordings (9, 10). By strengthening representations, top-down attention may ensure that goal-relevant information competes better against goal-irrelevant information and wins the battle for conscious awareness (11).

We propose a complementary but different answer: attention may prioritize information by strengthening the coupling or interaction between goal-relevant areas of human visual cortex. This hypothesis is motivated by theoretical models of cognitive control, such as guided activation theory, in which internal goals specify mappings of weights between posterior brain regions to route

information through goal-relevant pathways (12, 13). Moreover, this hypothesis is prompted by neurophysiological findings from nonhuman primates (14) that attention coordinates neural activity between extrastriate and frontoparietal cortex by coupling neuronal spikes with local field potentials (LFPs) (15, 16) and increasing long-range LFP coherence (17, 18). Here we exploit the spatial coverage and noninvasiveness of fMRI to assess how top-down attention modulates interactions between posterior visual areas of the human brain. We develop an fMRI analysis technique to test the prediction that selective attention to a visual category (faces or scenes) enhances the coupling of occipital areas that process low-level features common to all categories (V1, V2, V3, V4) with the ventral temporal area selective for objects of that category (FFA or PPA, respectively; Fig. 1A).

To measure coupling, we assessed how intrinsic blood oxygen level-dependent (BOLD) activity correlated among brain regions. Such correlations have been used extensively to infer the latent functional architecture of the brain at rest (19, 20)—without external stimulation, the only reason for two regions to express a common signal is if they interact directly or indirectly. Although such approaches have been very successful, they are best suited to studying the resting state rather than specific attentional states. To draw strong conclusions about the relationship of brain interactions to attention requires measuring correlations while subjects perform attention tasks.

The interpretation of BOLD correlations during tasks, however, is complicated by evoked responses (20, 21). Consider our attention task: a subject is instructed to attend to scene information and is shown images containing a face and a scene (Fig. 1B). Our hypothesis predicts that correlations between occipital cortex and the goal-irrelevant FFA should not increase. However, because the FFA responds somewhat to most external stimuli, and this response is time-locked to responses in occipital cortex, occipital-FFA correlations will strengthen (Fig. S1). The challenge for measuring how the goal of attending to scenes modulates connectivity is thus to distinguish intrinsic correlations related to sustaining this goal (state-dependent correlations) from those related to synchronized stimulus-evoked responses (stimulus-driven correlations). Our solution is to project stimulus-evoked responses out of the data with linear regression and measure correlations in the residual spontaneous fluctuations (Fig. 1B and Fig. S2). This extraction is possible because evoked and spontaneous signals are linearly superimposed in human fMRI data (22). The resulting *background connectivity* can be used to assess how the functional architecture of the human brain is influenced by attentional state.

Regions of interest (ROIs) in occipital and ventral temporal cortex were identified in individual subjects. To establish

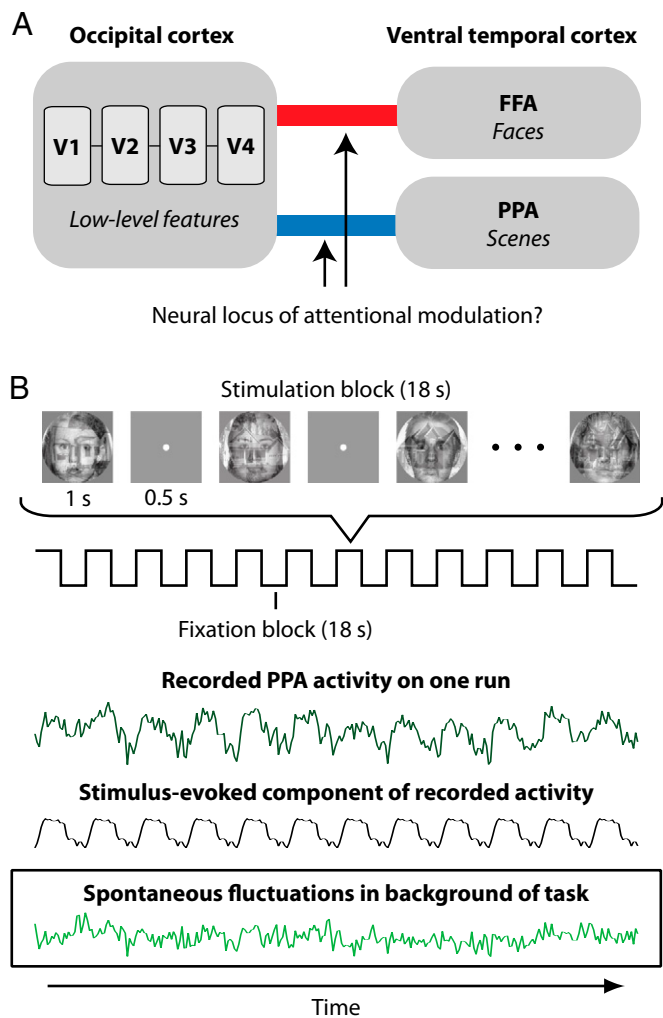
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**Fig. 1.** Study overview. (A) We hypothesize that top-down attention to an object category enhances interactions between retinotopic areas in occipital cortex selective for low-level features and the area of ventral temporal cortex selective for the attended category. (B) Attention was directed to the face or scene component of composite stimuli. Time series for each attentional state were extracted from all ROIs (example subject, dark green). Stimulus-evoked responses (gray) were projected from the time series, isolating spontaneous fluctuations (light green). We analyze whether correlations of these fluctuations between occipital and temporal ROIs depend on attentional state.

a baseline, subjects first completed two *rest* runs with no task. Subjects then completed two *face-attention* runs and two *scene-attention* runs. In both conditions, blocks of composite images containing both a face and a scene were presented centrally (Fig. 1B), with the streams of faces and scenes generated independently with occasional back-to-back repetitions. Subjects detected the face repetitions in face-attention runs and the scene repetitions in scene-attention runs. Because repetitions were always present in both categories, successful behavioral performance required detecting repetitions in one category, while ignoring those in the other. This type of selective task over multiplex stimuli is commonly used to study top-down attention (6–8, 21). Thus, subjects sustained a single attentional state for entire runs, prioritizing one category of information over the other.

Our central prediction is that attention to visual categories will modulate coupling between occipital and ventral temporal cortex. In occipital cortex, we focus on human area V4 because of its proximity to FFA and PPA—in terms of both physical distance

(4, 23) and representational complexity (24)—and because V4 is strongly affected by attention (8, 9, 11, 15). Thus, if attention operates by regulating interactions within visual cortex, then attention to a category should be associated with increased background connectivity between V4 and the goal-relevant region of ventral temporal cortex.

## Results

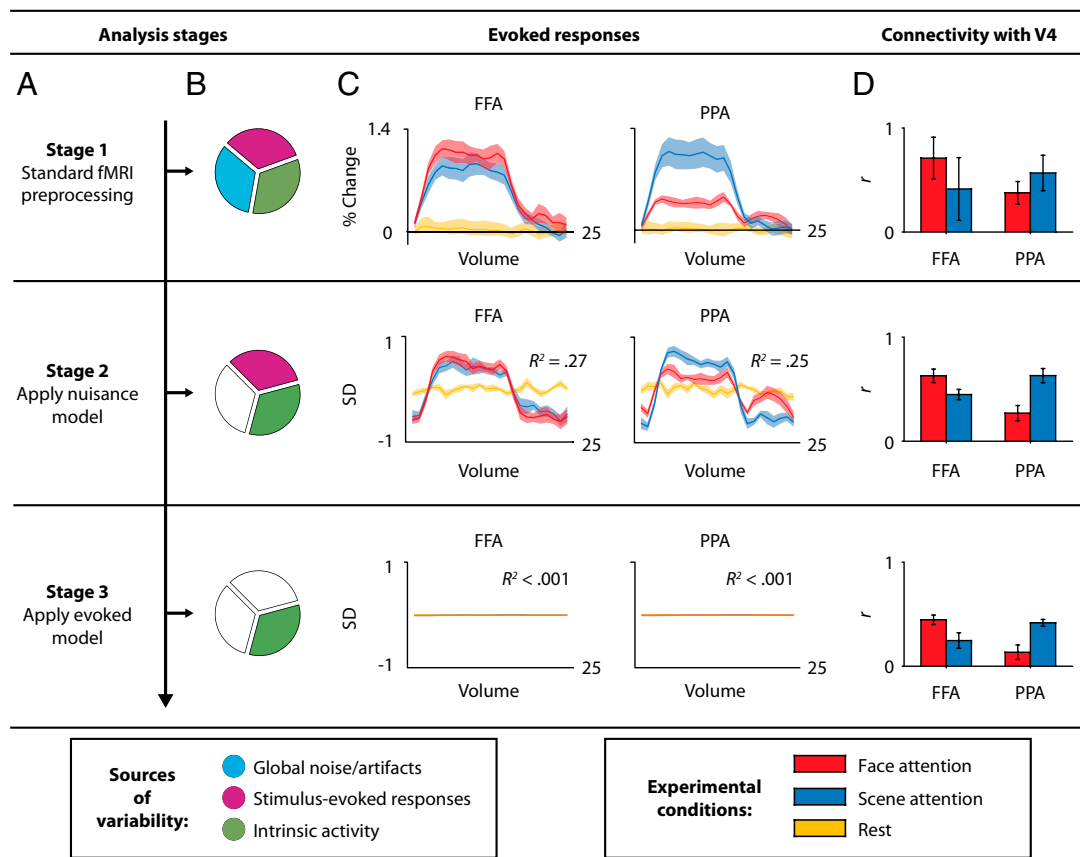
Behavioral performance in the attention task was highly accurate in terms of sensitivity (mean  $d' = 3.6$ ;  $t_6 = 17.46$ ,  $P < 0.001$ ). The hit rate for detecting goal-relevant repetitions was not at ceiling (mean = 85.7%), suggesting—along with the need to selectively attend and respond to stimuli from one category while inhibiting stimuli from the other—that the task was generally demanding of attention. Behavioral performance did not reliably differ by attentional state (face-attention mean  $d' = 3.5$ ; scene-attention mean  $d' = 4.1$ ;  $t_6 = 1.94$ ,  $P = 0.101$ ).

As predicted, however, background connectivity did depend on attentional state (ROI  $\times$  state interaction:  $F_{1,6} = 22.39$ ,  $P = 0.003$ ; Fig. 2A): V4–PPA connectivity was stronger under scene attention than face attention ( $t_6 = 4.22$ ,  $P = 0.006$ ), and V4–FFA connectivity was stronger under face attention than scene attention ( $t_6 = 3.12$ ,  $P = 0.020$ ). This interaction was not limited to area V4, extending to earlier occipital areas (V1–V3: all  $P$  values  $< 0.057$ ; Fig. 2C), nor was it limited to the FFA and PPA, with attention modulating connectivity between V4 and most voxels in occipitotemporal cortex that showed category selectivity for faces or scenes (Fig. S3). Because our stimuli, ROIs, and analyses were identical across attention runs, these modulations of background connectivity can only be attributed to the manipulation of top-down attentional state.

If background connectivity reflects the implementation of attentional goals, then it should have functional significance for subjects' ability to perceive goal-relevant information. Indeed, although our sample size was small, individual differences in attentional modulation of background connectivity between V4 and FFA/PPA correlated strongly with behavioral performance on the attention tasks ( $r = 0.91$ ,  $P = 0.004$ ; Fig. 2B). There was also a moderate but nonsignificant correlation between attentional modulation of evoked responses and behavior ( $r = 0.43$ ,  $P = 0.341$ ). The correlation of V4 connectivity with behavior remained significant, however, after partialing out individual differences in evoked-response modulation ( $r = 0.89$ ,  $P = 0.017$ ). Although residuals are typically treated as error or noise when modeling evoked responses in cognitive neuroscience, they accounted for 79% of the behavioral variance unexplained by evoked responses in our study.

Is attentional modulation of connectivity a consequence of attentional modulation of evoked responses? For example, enhanced FFA and PPA responses under face attention and scene attention, respectively, may have increased interactions with occipital cortex through feedback (26). Our data are inconsistent with this possibility (Fig. 3). First, we observed modulation of connectivity during nonstimulated periods between blocks (ROI  $\times$  state interaction:  $F_{1,6} = 15.08$ ,  $P = 0.008$ ), when there was essentially no evoked response, and thus no attentional modulation of the response (ROI  $\times$  state interaction:  $F < 1$ ). Indeed, modulation of connectivity was not weaker during nonstimulation than stimulation periods (period  $\times$  ROI  $\times$  state interaction:  $F_{1,6} = 2.23$ ,  $P = 0.186$ ), despite a concomitant drop in the evoked modulation ( $F_{1,6} = 24.88$ ,  $P = 0.002$ ). Second, we observed evoked responses in cases when connectivity did not significantly change: goal-irrelevant regions (e.g., FFA during scene attention) showed robust evoked responses ( $F_{1,6} = 16.90$ ,  $P = 0.006$ ) but no increase in background connectivity relative to rest ( $F < 1$ ). This double dissociation shows that evoked responses are neither necessary nor sufficient for modulation of background connectivity.





**Fig. 4.** Analysis stages. (A) Each stage shown in a row, with analyses to the right performed on residuals from that stage. (B) Sources of variance in the residuals of current stage. (C) Mean evoked responses across blocks, with proportions of variance explained by average block response. (D) V4-FFA/PPA correlations. Despite the large reduction in the variance explained by evoked responses across analysis stages, there are negligible changes in the attentional modulation of V4 connectivity (main effect of reduced correlations, but no change in the ROI  $\times$  state interaction; see text). This suggests that attentional modulation of connectivity does not depend on stimulus responses but rather on spontaneous activity commonly used to measure intrinsic brain networks at rest.

frequencies up to 0.3 Hz (Fig. S4B). Additional analyses demonstrated that block-by-block variance around the mean evoked response also could not explain our results (Figs. S2B and S5).

Finally, we can quantify the contribution of evoked responses to background connectivity by measuring correlations between brain areas that could not possibly interact. First, each subject completed two identical runs per condition, and so we correlated the FFA with itself across runs, and the PPA with itself across runs (Fig. S6). Second, all subjects completed the same tasks, and so we correlated the FFA/PPA in each subject with occipital ROIs averaged over all other subjects (Fig. S7). In both cases, strong correlations were observed before, but not after, removing evoked responses, suggesting that background connectivity reflects state-dependent intrinsic correlations.

### Discussion

Together, these results demonstrate that top-down attention can switch background connectivity across multiple regions of visual cortex: attention to faces increased the proportion of intrinsic variance shared between occipital cortex and the FFA, and attention to scenes increased the proportion of intrinsic variance shared between occipital cortex and the PPA. How might attention cause these changes in background connectivity?

One possibility is that attention prioritizes information by directly altering communication between regions of visual cortex. In accordance with recent neurophysiological findings in nonhuman primates, attention could improve the fidelity of communication about attended information by enhancing long-range LFP

coherence (17, 18). This synchronization may, in turn, increase the likelihood of input from one area (e.g., V4) arriving at moments of peak excitability in another area (e.g., FFA or PPA) (27). Although the frequencies assayed with fMRI are at least an order of magnitude slower than other physiological measures, how the modulation of BOLD correlations relates to attentional reductions in low-frequency EEG power (28) and firing rate correlations (29), and to attentional increases in high-frequency LFP coherence (15–18), remains an important open question (30, 31).

Alternatively, the increase in shared variance may reflect coordinated top-down input to occipital and ventral temporal cortex from control regions, such as those in frontoparietal attention networks (32). An exploratory analysis revealed frontal regions whose background connectivity with posterior areas depended on attentional state (Fig. S8), which may provide a useful starting point for future research to investigate the control of connectivity within visual cortex. Note, however, that any top-down input that was time-locked to stimulus presentation would have been removed by our analysis, and thus our results could only be supported by spontaneous or random fluctuations in synchronized input. Whether the observed attentional modulation of background connectivity reflects a direct or indirect change in communication, our findings reveal a unique neural correlate of attention that can strongly predict behavior and is reflected in a component of the BOLD signal that is typically discarded.

These results also emphasize that attention operates in a distributed fashion throughout the ventral visual stream. Conventionally, attention is thought to prioritize goal-relevant

information by modulating the visual area that is most specialized for processing this kind of information, both in terms of its evoked response (3, 6–10) and how it interfaces with frontoparietal cortex (14, 17, 18, 33). The present findings suggest that attention can also influence visual areas not specialized for this information (e.g., retinotopic human area V4 during category-based attention to faces/scenes), increasing their coupling with more specialized areas. In this way, beyond modulating isolated regions at one level of the visual processing hierarchy, top-down attention may help synchronize different levels, forming state-dependent functional pathways to prioritize processing of goal-relevant information.

## Materials and Methods

**Subjects.** Eight naïve, right-handed adults with normal or corrected-to-normal vision participated for monetary compensation. Seven subjects completed two fMRI sessions and were included in the analysis (two men, mean age = 26 y); one subject was excluded because they completed the first (retinotopic mapping) session but did not return for the second (experimental) session. The Princeton University Institutional Review Board approved the study protocol, and all subjects provided informed consent.

**Localizer Runs.** We performed phase-encoded retinotopic mapping using standard stimuli and procedures (23). A rotating wedge of a flickering colored checkerboard was used to map polar angle (two runs each of clockwise and counterclockwise rotation), and an expanding and contracting ring of the same checkerboard was used to map eccentricity (one run each of expansion and contraction). We localized FFA/PPA with standard procedures (21). The localizer occurred after all attention runs and used the same face and scene stimuli, now presented individually.

**Attention Runs.** Face-attention and scene-attention runs consisted of an on-off block design, with 18-s blocks of stimulation interleaved with 18-s blocks of fixation (Fig. 1B). Stimulation blocks consisted of 12 1-s presentations of face/scene composite images separated by 500-ms interstimulus intervals, with face and scene identity determined pseudorandomly (21). Stimuli were presented centrally and subtended  $6 \times 6^\circ$  of visual angle. Stimulus onsets were time-locked to the repetition time (TR) and triggered by the scanner. To manipulate attentional state, subjects performed a selective one-back task on either the faces or scenes while ignoring the other category. For example, during face-attention runs, subjects pressed a response button only if the face component of two successive images was identical. During fixation periods, the only stimulus was a central fixation point. Rest runs had the same underlying structure as attention runs, but subjects saw only a continuous fixation point throughout the run. All subjects completed two rest runs, followed by alternating face-attention and scene-attention runs (two each, order counterbalanced across subjects).

**Image Acquisition.** The fMRI data were acquired with a 3T scanner (Siemens, Allegra) using a birdcage volume coil (Nova Medical). An occipital rf surface coil (Nova Medical) was used for four subjects during retinotopic mapping sessions to improve signal-to-noise in occipital cortex. During retinotopic mapping, a high-resolution magnetization-prepared rapid acquisition gradient-echo (MPRAGE) anatomical scan was acquired for spatial registration and surface reconstruction. Additional high-resolution anatomical scans were available for some subjects from past sessions and were included to improve surface reconstruction. Functional images for retinotopic mapping were acquired with a gradient-echo echo-planar imaging (EPI) sequence [TR 2.0 s; echo time (TE) 40 ms; flip angle (FA)  $71^\circ$ ; matrix  $128 \times 128$ ; resolution  $2 \times 2 \times 3$  mm] with 20 slices aligned to the calcarine sulcus. Functional images for attention runs and face/scene localizer runs were acquired with a gradient-echo EPI sequence (TR 1.5 s; TE 28 ms; FA  $64^\circ$ ; matrix  $64 \times 64$ ; resolution  $3.5 \times 3.5 \times 3.5$  mm) with 26 axial slices aligned to the anterior commissure/posterior commissure line. To improve registration, an additional T1 fast low angle shot (FLASH) anatomical scan was acquired at the end of each session, coplanar to the functional scans. To correct B0-field inhomogeneities, phase and magnitude field maps were collected at the end of all sessions, coplanar to the functional scans and with the same resolution.

**Image Preprocessing.** The fMRI data were analyzed using FSL (34), FreeSurfer (35), and Matlab (MathWorks). All images were skull stripped to improve registration. Volumes from the first 6 s of functional runs were discarded. The remaining volumes were corrected for slice-acquisition time and head motion, high-pass filtered (100-s period cutoff), debiased using FSL FAST

(surface-coil runs) or FSL FUGUE (volume-coil runs), spatially smoothed (retinotopic mapping runs, 3 mm FWHM; attention/face-scene localizer runs, 5 mm FWHM), and registered to the coplanar anatomical, high-resolution anatomical, and Montreal Neurological Institute standard brain.

**Regions of Interest.** We drew occipital ROIs manually using FreeSurfer. To visualize occipital areas simultaneously, each subject's cortical surface was segmented along the white matter/gray matter boundary, inflated, and flattened. Functional runs from retinotopic mapping sessions were pre-whitened and phase-decoded to determine the angle and eccentricity of maximal stimulation for each voxel in visual cortex. Phase estimates from clockwise/counterclockwise and expansion/contraction were averaged to account for hemodynamic lag. We projected these values onto the cortical surface and determined occipital ROIs according to the relative locations of polar-angle reversals and foveally stimulated regions (23).

We defined the FFA and PPA ROIs from the face/scene localizer as the peak voxel in right ventral temporal cortex that was most selective for faces (face blocks > scene blocks) and scenes (scene blocks > faces blocks), respectively. BOLD activity was extracted from the FFA and PPA as a weighted average of the surrounding voxels, with weights determined by a 10-mm FWHM Gaussian kernel centered on the peak voxel. The pattern of results was robust to this a priori choice of kernel size (ROI  $\times$  state interaction in V4 for kernel sizes from 0 to 20 mm: all  $P$  values < 0.021). We restricted analysis to right FFA because the right hemisphere is dominant in face processing (36), and to right PPA to ensure comparability with FFA (21). We combined occipital ROIs from both hemispheres because these regions are strongly retinotopic and have no such asymmetries. Secondary analyses confirmed that attentional modulation of connectivity occurred independently for V4 in each hemisphere (all  $P$  values < 0.014).

**Background Connectivity.** We used a recently developed approach to measure interactions: background connectivity. After preprocessing, the BOLD signal from attention runs was scrubbed of nuisance and stimulus-evoked variance using two general linear models (Figs. 1B and 4A and Fig. S2). The first (nuisance) model contained regressors for the global mean BOLD signal, six motion correction parameters obtained from preprocessing, and BOLD activity from four white-matter voxels and four ventricle voxels (20). Residuals from the nuisance model served as input to the second (evoked) model. Each attention run consisted of 12 identically structured blocks of trials, during which a single attentional state was induced. Accordingly, we modeled stimulus-evoked responses with finite impulse response (FIR) basis functions that captured the mean evoked response across blocks. Residuals from the evoked model allowed us to assess functional connectivity associated with each attentional state, independent of correlations attributable to stimulus-evoked responses. The details and merits of this approach are described in Fig. S2 and elsewhere (21, 37).

**Other Analyses.** We replicated the standard attentional modulation of evoked FFA/PPA responses (6) (ROI  $\times$  state interaction:  $F_{1,6} = 27.42$ ,  $P = 0.002$ ). To visualize evoked responses after stage 1 (Fig. 4C), the same FIR model that was used for stage 3 was applied directly to the preprocessed data. Evoked responses after stages 2 and 3 (Fig. 4C) were calculated as the residuals from the nuisance and evoked models, respectively, signal averaged across blocks. These block averages were normalized to the SD of the residuals, allowing comparison of their amplitudes. Twelve repetitions of each average were concatenated and correlated with the original residuals to quantify how much variance they explained.

To determine stimulated and nonstimulated block volumes (Fig. 3), the grand average evoked response was calculated by collapsing the FIR block time course extracted after stage 1 from all conditions (i.e., FFA/PPA and attentional states), and the percent signal change for each timepoint/volume was compared using a  $t$  test with that of the final (i.e., 24th) volume in the block. This approximates the baseline activity just before block onset. The volumes that produced a significant difference ( $P < 0.05$ ) were labeled as stimulated, and the remainder as nonstimulated.

For all statistical analyses, correlations were variance-stabilized using the Fisher transformation. For visualization in figures, correlations and error bars were reconverted using the inverse transform. The threshold for statistical significance was  $P < 0.05$  (all tests two-tailed).

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