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## Lopinavir/ritonavir Monotherapy After Virologic Failure of First-line Antiretroviral Therapy in Resource-limited Settings

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### Abstract

**Objective**—To evaluate virologic response rates of lopinavir/ritonavir (LPV/r) monotherapy as second-line antiretroviral treatment (ART) among adults in resource-limited settings (RLS).

**Design**—Open-label pilot study of LPV/r monotherapy in participants on first-line non-nucleoside reverse transcriptase inhibitor 3-drug combination ART with plasma HIV-1 RNA 1000–200 000 copies/mL.

**Methods**—Participants were recruited from 5 sites in Africa and Asia within the AIDS Clinical Trials Group (ACTG) network. All participants received LPV/r 400/100mg twice daily. The primary endpoint was remaining on LPV/r monotherapy without virologic failure (VF) at week 24. Participants with virologic failure were offered addition of emtricitabine and tenofovir (FTC/TDF) to LPV/r.

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ICJME criteria for authorship:

- 1) Substantial contributions to the conception and design, acquisition of data, or analysis and interpretation of data.
- 2) Drafting the article or revising it critically for important intellectual content.
- 3) Final approval of the version to be published.

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**Results**—Mutations associated with drug resistance were encountered in nearly all individuals screened for the study. One hundred and twenty-three participants were enrolled, and 122 completed 24 weeks on study. A high proportion remained on LPV/r monotherapy without VF at 24 weeks (87%). Archived samples with HIV-1 RNA levels < 400 at week 24 (n = 102) underwent ultrasensitive assay. Of these subjects, 62 had levels < 40 copies/mL and 30 had levels 40–200. Fifteen subjects experienced VF, among whom 11 had resistance assessed, and 2 had emergent protease inhibitor mutations. The presence of baseline thymidine analogue mutations and K65R predicted a lower VF rate. Thirteen subjects with VF added FTC/TDF, and 1 subject added FTC/ TDF without VF. At study week 48, 11/14 adding FTC/TDF had HIV-1 RNA levels < 400 copies/mL.

**Conclusions**—In this pilot study conducted in diverse RLS, LPV/r monotherapy as second-line ART demonstrated promising activity.

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## Introduction

More than five million HIV-1-infected persons are currently receiving antiretroviral therapy (ART) globally [1], resulting in dramatic reductions of HIV-1-related morbidity and mortality. The World Health Organization (WHO) recommends a simplified public health approach to ART prescription to reach HIV-1-infected persons who live in resource-limited settings (RLS) [2]. First-line ART is suggested with a non-nucleoside reverse transcriptase inhibitor (NNRTI) and two nucleoside/ nucleotide reverse transcriptase inhibitors (NRTIs), representing potent, safe and relatively inexpensive regimens. Virologic suppression rates on first-line ART commonly exceed 80% at one year on treatment among patients who are retained in care [3]. In the event of first-line ART failure, second-line ART is available in many RLS, which consists of a boosted protease inhibitor (PI)- containing regimen in combination with two NRTIs. Second-line ART also provides high rates of plasma HIV- 1 RNA suppression at 1 year, with approximately 75% of patients achieving levels < 400 copies/mL if they remain in care [3].

Despite the therapeutic successes of ART, considerable challenges remain. Monitoring of plasma HIV-1 RNA levels is not available in most RLS, and as a result, first-line ART failure is often identified by either immunological or clinical changes that may occur long after loss of virologic suppression. The delay in identifying ART failure has been shown to lead to the accumulation of complex resistance profiles that impact susceptibility to NRTIs and their future use [4–8]. It is unknown to what extent the inclusion of NRTI in second-line ART regimens contributes to antiretroviral activity given these observations. In the worst-case scenario, NRTIs may only expose patients to the increased risk of drug-related toxicities and add cost to second-line ART.

Previous studies of boosted PI monotherapy in treatment naïve HIV-1-infected persons have suggested that most adherent patients can achieve significant virologic suppression, albeit less robust than that achieved with 3 drug, multi-class drug combinations [9,10]. Interestingly, despite observations of low-level viremia among boosted PI monotherapy recipients, studies to date have rarely identified the evolution of drug resistance mutations in the HIV protease gene [11]. In RLS with limited availability of alternative antiretroviral medications and extensive NRTI resistance following first-line virological failure, boosted PI monotherapy could represent a viable option for second-line ART. The A5230 study of the AIDS Clinical Trial Group (ACTG) was designed to evaluate the activity and tolerability of second-line ART with lopinavir/ritonavir (LPV/r) monotherapy in a pilot study among persons experiencing virologic failure on a first-line regimen containing an NNRTI and two NRTIs. The study has a 24 week primary endpoint with continued long-term follow-up to 2 years; here we present the primary analysis results.

## Methods

### Study design

ACTG A5230 is an open-label, multicenter pilot study of LPV/r monotherapy in subjects failing a first-line regimen containing an NNRTI and two NRTIs, (NCT00357552). The study was conducted at five Clinical Research Sites, including three sites in Africa (Lilongwe, Malawi; Moshi, Tanzania; and Johannesburg, South Africa) and two sites in Asia (Chiang Mai, Thailand; and Chennai, India). The primary objective of the study was to demonstrate that LPV/r monotherapy provides at least a 65% virologic response rate in this patient population, defined as achieving and maintaining HIV-1 RNA levels < 400 copies/mL for at least 24 weeks while on LPV/r monotherapy.

### Eligible subjects

Eligible subjects had documented HIV-1 infection with 2 methods of antibody and/or antigen or nucleic acid detection, and were 18 years or older. They must have received a first-line regimen containing an NNRTI and two NRTIs for at least 6 months continuously, and have a plasma HIV RNA-1 level between 1000 and 200 000 copies/mL within 30 days of study entry. Eligible subjects were also required to have the following laboratory values; hemoglobin >8mg/dL, platelets >50 000/mm<sup>3</sup>, estimated creatinine clearance >60mL/minute, AST, ALT and alkaline phosphatase <3 times the upper limit of normal, and total bilirubin <2.5 times the upper limit of normal. Pregnant or breastfeeding women, and persons with prior PI use, a serious medical condition within the previous 14 days, active substance abuse, or evidence of chronic hepatitis B infection as documented by a positive hepatitis B surface antigen result were excluded. All subjects provided written informed consent.

### Study evaluations

For the first 24 weeks following LPV/r treatment initiation, subjects returned at weeks 2 and 4, and then every 4 weeks until week 24. At every study visit a clinical assessment, list of concomitant medications, adherence interview using the ACTG Adherence Questionnaire [12] and pill count were performed. Serum chemistries, liver enzymes and hematology values were measured at weeks 4, 8, 12 and 24. Fasting lipid panels were done at study entry, and weeks 12 and 24. Plasma HIV-1 RNA levels were measured at study entry, and weeks 12, 16, 20 and 24. CD4+ cell counts were measured at study entry, and weeks 16 and 24. All laboratories participated in international quality assurance testing. With the exception of samples drawn at week 2 and 4, quantitation of HIV-1 RNA levels in A5230 was performed on-site in real time using a US Food and Drug Administration- approved HIV-1 RNA assay; week 2 and 4 samples were tested at the time of the week 12 sample. In a post-hoc analysis, all week 24 samples with plasma HIV RNA-1 <400 copies/mL underwent further evaluation with the Abbott *m2000rt* RealTime™ assay, (Abbott Laboratories, Abbott Park, Illinois USA; lower limit of detection 40 copies/mL) in one of three designated laboratories. Samples for genotypic resistance testing were collected on all subjects at the screening visit. Resistance testing was performed in-batch at two regional laboratories using ViroSeq (Cela Diagnostics, CA) as per manufacturer's instructions. Briefly, a 1.7 kb amplicon was generated by a reverse transcriptase (RT)-initiated polymerase chain reaction encompassing the entire protease (PR) and partial reverse transcriptase (RT). Sequencing was performed with an ABI Prism 3100-*Avant* Genetic Analyzer (Applied Biosystems, USA). HIV-1 subtype and drug resistance were determined from PR and RT sequences, and analyzed using the Stanford Algorithm [13,14].

## Study interventions

All subjects received fixed dose combination (FDC) LPV/r 400 mg/100 mg twice daily. If subjects developed virologic failure, FDC emtricitabine 200 mg/tenofovir 300 mg (FTC/TDF) daily was added to LPV/r. Concomitant treatment with rifampin and other medications listed in the LPV/r package insert was prohibited.

## Primary endpoint

The primary endpoint was remaining on LPV/r monotherapy without virologic failure at week 24. Virologic failure was defined as 1) failure to suppress plasma HIV-1 RNA to <400 copies/mL by week 24, or 2) confirmed rebound of plasma HIV-1 RNA levels to >400 copies/mL after confirmed suppression to <400 copies/mL. With some exceptions per protocol, discontinuation of LPV/r monotherapy was considered as a primary endpoint; exceptions included discontinuation for rifampin-based tuberculosis treatment, addition of antiretroviral drugs due to pregnancy, and death with HIV-1 RNA levels <400 copies/mL.

## Sample size

The target sample size of 120 subjects was chosen to provide at least 90% power to show that LPV/r monotherapy could provide at least a 65% success rate over 24 weeks. This assumed that the true success rate of the strategy in this population is 76%, and used a onesided type I error rate of 5%.

## Statistical analysis

Baseline characteristics of the study population were compared across sites using non-parametric *k*-sample tests. The proportion of subjects remaining on LPV/r monotherapy without virologic failure at week 24 was estimated with an exact 95% confidence interval. The proportion of subjects with plasma HIV-1 RNA levels <400 copies/mL over time was estimated using intent to treat methods with missing/off treatment evaluation ignored ( $M = I$ ) and considered as failure (>400 copies/ml) ( $M = F$ ); as treated analyses were also performed. Exact logistic regression was used to assess factors associated with the probability of failure; prior use of efavirenz (EFV) versus NVP, prior therapy duration (> 3 years, 1–3 years and <1 year), sex, baseline CD4+ cell count (<200 vs. >200/mm<sup>3</sup>), baseline plasma HIV-1 RNA levels (<10 000 vs. >10 000 copies/mL), and NRTI resistance (defined as K65R or thymidine analogue mutations (TAMs) vs. no NRTI resistance). In unplanned post-hoc analyses, baseline HIV-1 RNA levels and rates of failure are described. All analyses were restricted to data from study entry to the scheduled week 24 visit. In order to provide information on the consequences of LPV/r monotherapy failure, the HIV-1 RNA and CD4+ cell count responses, and resistance findings through week 48 are included from subjects reaching a primary failure endpoint.

## Results

### Baseline characteristics

One hundred twenty-three subjects entered the study; their baseline characteristics are described in Table 1. The majority of subjects were female. The most common first-line ART regimen was nevirapine, lamivudine (3TC) and stavudine (d4T)-containing regimens ( $n = 63$ , 51%), and the majority of subjects had received ART for >3 years ( $n = 70$ , 57%). The median plasma HIV-1 RNA level was 4.34 log<sub>10</sub> copies/mL, and 21 subjects (17%) had levels >100 000 copies/mL. The median CD4+ cell count at study entry was 164/mm<sup>3</sup>, and 70 subjects (57%) had counts <200/mm<sup>3</sup>. Screening plasma HIV-1 RNA levels, the proportion of subjects with baseline CD4+ cell counts below 100/mm<sup>3</sup>, and the proportion of subjects meeting WHO CD4+ cell count criteria for regimen failure [15] were found to

differ by site ( $P = 0.016$ ,  $P < 0.001$ ,  $P = 0.002$ ,  $P < 0.001$ , respectively), with subjects from the South African site having significantly lower values for each parameter.

### Baseline resistance and subtyping

From the 114 screening samples that were successfully sequenced, nearly all subjects had mutations associated with drug resistance. Most subjects were found to have mutations associated with reduced susceptibility to 3TC ( $n = 108$ ; 95%), EFV ( $n = 112$ ; 98%) and NVP ( $n = 112$ ; 98%). Based on genotyping of the PR and partial RT, HIV-1 subtype C was found to be the most prevalent (66%), followed by AE (19%), A1 (7%) and D (6%).

### Subject disposition

Of 123 subjects who entered the study, 122 completed 24 weeks of study follow-up; one subject died from a myocardial infarction deemed unrelated to treatment with a plasma HIV-1 RNA level  $< 400$  copies/mL at week 20. All subjects remained on study treatment (LPV/ r-monootherapy or LPV/r with FTC/TDF) throughout the 24-week primary endpoint.

### Virologic responses

At week 24, 107 (87%) subjects remained on LPV/r monootherapy without virologic failure (95% CI: 80- 92%). From week 16,  $> 80\%$  of subjects had plasma HIV- 1 RNA levels  $< 400$  copies/mL regardless of how missing data and premature treatment discontinuations were analyzed (Fig. 1). Of note, 95/102 subjects (93%) entering with baseline plasma HIV-1 RNA levels  $< 100\,000$  copies/mL had achieved HIV-1 RNA  $< 400$  copies/mL by week 16 compared to 19/21 (90%) with baseline levels  $> 100\,000$  copies/mL. One hundred and two subjects had plasma HIV-1 RNA levels  $< 400$  copies/mL at week 24. Among these 102 subjects (panel within Fig. 1), 62 (61%) had plasma HIV-1 RNA  $< 40$  copies/mL, 30 (29%) had levels 40–200 copies/mL, 7 (7%) had levels 201–400 copies/mL, and 3 (3%) had levels  $> 400$  copies/mL, (respectively 413, 489 and 1712 copies/mL).

### Virologic failures

Fifteen subjects met the criteria for virologic failure at week 24, and an additional subject had FTC/TDF added without virologic failure (total failures 16, 13%), (Fig. 2). Failure occurred in 6/21 subjects (29%) entering with baseline plasma HIV-1 RNA levels  $> 100\,000$  copies/mL; in contrast, in the 102 subjects with baseline plasma HIV-1 RNA levels  $< 100\,000$  copies/ml, 10 subjects (10%) met virologic failure criteria. Among the 15 subjects with virologic failure, PR and RT sequences were obtained from 11 (73%); one individual had insufficient sample available, and 3 samples could not be sequenced [HIV-1 RNA level  $< 1000$  (2 subjects) and 1330 copies/mL (1 subject)]. PR mutations not observed at study entry were identified in two subjects; V82F in one and L33F, M46I, I54V, V82A and L90M in the second, (Table 2). With regard to NRTI-associated mutations at the time of virologic failure, eight subjects had between 1 and 6 mutations that were no longer detectable compared to mutations detected at screening. Five subjects gained one NRTI-associated mutation from study entry to virologic failure. From study entry to virologic failure, no NNRTI-associated mutations were gained by any of the subjects and in six subjects NNRTI mutations were no longer detectable.

### Predictors of virologic failure

In unadjusted and adjusted analyses, no associations between the potential predictors and virologic failure were apparent ( $P > 0.10$ ).

### Treatment intensification

By study week 48, 14 (88%) of the 16 primary endpoint failures intensified their treatment with FTC/TDF and 11 (79%) of 14 suppressed to <400 copies/mL following intensification (Fig. 2). Among the three subjects who failed to suppress, one (33%) had newly emergent PI-associated mutations at the time of virologic failure. Of the subjects who suppressed to <400 copies/mL on treatment intensification, all were suppressed at study week 48. Table 2 lists the resistance profiles for subjects at screening and at virologic failure, categorized by receipt of treatment intensification and virologic response. Among the 11 subjects who suppressed HIV-1 RNA to <400 copies/mL, seven had at least one major NRTI-associated resistance mutation that could no longer be detected by population-based sequencing. Among the two subjects who did not suppress HIV-1 RNA levels to <400 copies/mL, both either maintained the same number of NRTI-associated resistance mutations or gained a new mutation.

### CD4+ cell count responses

CD4+ cell counts increased from a median (Q1–Q3) of 164 (82–268)/mm<sup>3</sup> at study entry to a median of 268 (169–404)/mm<sup>3</sup> at week 24. Among subjects remaining on LPV/r monotherapy without primary endpoint failure at week 24, the median CD4+ cell count increase over 24 weeks was 102 cells/mm<sup>3</sup> (46–157) compared to 111 (35–172) for the primary endpoint failures.

### Adherence

Adherence levels were generally high throughout the 24 weeks; by self-report, 79–90% of subjects responded that they had never missed a dose of study medication at each adherence assessment. Hypothesis-testing statistical analyses were not performed but there appeared to be no differences in reported adherence between subjects who were virologic successes versus failures.

### Safety and tolerability

Thirty-one subjects (25%) experienced grade 3 or 4 adverse events during LPV/r monotherapy (Table 3). The most common events included lipid elevations (1 subject with grade 3 fasting triglycerides, 6 subjects with grade 4 fasting triglycerides, 5 subjects with grade 3 fasting LDL cholesterol, and 5 subjects with grade 3 fasting total cholesterol), elevations in fasting blood sugar (2 subjects with grade 3 and 4 subjects with grade 4), and abnormalities in serum phosphorus (5 subjects with grade 3 hypophosphatemia).

### Discussion

This pilot study, conducted at 5 geographic sites with multiple HIV-1 subtypes represented, demonstrates promising antiretroviral activity of LPV/r monotherapy as second-line ART in NNRTI-experienced HIV-infected persons. Over 24 weeks, 87% of subjects remained on LPV/r monotherapy with plasma HIV-1 RNA levels suppressed to <400 copies/mL with the lower limit on the estimated efficacy of the regimen of 80%, exceeding our target threshold of 65%. Among subjects who developed virologic failure, treatment intensification with FDC FTC/TDF resulted in suppression to <400 copies/mL over 48 weeks for the majority of subjects.

As the number of HIV-1-infected persons on first-line ART grows, inevitably some will fail and need second line ART. Currently the numbers of patients switching to second-line regimens are relatively low, estimated at 3–5% [16–18], although it is likely that 15–25% of HIV-infected persons on first-line ART for at least 12 months have detectable plasma HIV-1 RNA [18]. When plasma HIV-1 RNA monitoring is available, it does lead to earlier



switches to second-line ART [18], and better outcomes have been associated with RNA <1000 copies/mL at the time of switching [19]. Unfortunately, in many RLS settings RNA measurement is not available and first-line ART failure is recognized late, with the consequence of increasing patient numbers with extensive NRTI and NNRTI resistance mutations.

Other studies of second-line ART in RLS have demonstrated similar rates of virologic suppression with use of LPV/r plus NRTI [19–21]. One study examined outcomes in 328 subjects receiving LPV/r, zidovudine and didanosine as second-line ART; plasma HIV-1 RNA levels were available for 262 subjects, and 203 (77%) had levels <400 copies/mL after 1 year, comparable to our results with LPV/r monotherapy [19]. Another study of second-line ART in 141 South African subjects included the use of LPV/r and genotypic resistance testing to guide the choice of the two NRTI's [20]. Baseline characteristics of the South African study population were similar to ACTG A5230 subjects with a median plasma HIV-1 RNA of 17 000 copies/mL and 17% with RNA >100 000 copies/mL, although NRTI and NNRTI resistance was not as extensive as observed in our population. Seventy-seven percent of these subjects suppressed to <400 copies/mL and 65% to <50 copies/mL at week 24, similar to the 87% of subjects with <400 copies/mL and 50% (62/123) with <40 copies/mL observed in ACTG 5230. A third study of second-line ART with LPV/r, zidovudine, lamivudine and TDF among 109 persons in Malawi found that 85% of those surviving at one year had plasma HIV-1 RNA levels <400 copies/mL [21].

Previous trials of LPV/r monotherapy have observed findings of low-level viremia in first-line regimens, and randomized trials have demonstrated such viremia is significantly greater with monotherapy compared to 3- drug LPV/r-containing regimens [9,10]. When week 24 samples for subjects remaining on LPV/r monotherapy with plasma HIV-1 RNA levels <400 copies/mL in A5230 were re-tested using an ultrasensitive assay, low-level viremia was detected in 39% with the majority of samples measured in the 40–200 copies/mL range. The significance of low-level viremia is uncertain, with other trials of LPV/r maintenance monotherapy suggesting that it may remain stable for prolonged periods of time [22,23]. Numerous studies have demonstrated that resistance mutations in the protease gene are infrequent in persons receiving boosted protease inhibitors [24], even as monotherapy, despite this low-level viremia [11,23]. Similar observations were made in ACTG A5230—only 7% (2/16) subjects virologically failing LPV/r monotherapy had protease mutations that were not observed at study entry.

A recent Thai study randomized subjects failing first-line ART to either LPV/r monotherapy or LPV/r, FTC and TDF, and was conducted simultaneously with ACTG A5230 [25]. They observed that the proportion of subjects with viremia at levels 50–400 after 48 weeks was significantly higher in the monotherapy arm (14% versus 3%), leading them to conclude that 3-drug second-line regimens were superior. A second randomized trial of LPV/r maintenance monotherapy versus combination therapy (SARA trial) was conducted in Uganda and Zimbabwe among 192 subjects who had received 24 weeks of second-line combination therapy including LPV/r [26]. Plasma HIV-1 RNA was not monitored in real-time, but testing of archived specimens revealed that the combination therapy group had greater virologic suppression at week 24 (77% vs. 60% <50 copies/mL). Genotypic resistance testing on 10 monotherapy subjects with plasma HIV-1 RNA levels >1000 copies/mL revealed that a minority of subjects had new protease mutations at the time of failure. Treatment intensification was not included in the SARA trial design, and the availability of real-time plasma HIV-1 RNA monitoring and intensification represent an important distinguishing feature of ACTG A5230.

The short-term virologic responses to FTC/TDF intensification in ACTG A5230 subjects are encouraging, and similar responses were observed in the Thai trial where 8/17 subjects in the LPV/r monotherapy arm suppressed to <50 copies/mL following intensification with FTC/TDF [25] and in trials of LPV/r monotherapy when NRTIs were added in subjects with low-level viremia [23,24]. The strategy LPV/r monotherapy followed by treatment intensification in the event of virologic failure may be critically important for future studies of LPV/r monotherapy. However, this strategy does impose the need for plasma HIV-1 RNA monitoring and associated costs that must be balanced against the high costs and potential toxicities of second-line regimens which empirically include NRTIs [27]. An intriguing association between reversion of NRTI resistance mutations detectable by population sequencing at virologic failure and response to FTC/TDF intensification in ACTG A5230, perhaps suggesting that some degree of re-sensitization may occur, although longer periods of observation are needed to assess the durability of responses and the potential impact of minor variants on longer-term outcomes. The continued follow-up of all subjects over 2 years on ACTG A5230 will provide more information on the durability of the strategy of LPV/r monotherapy with intensification with FTC/TDF.

This study does have a number of limitations. It is a pilot, open-label uncontrolled study, and the results must be cautiously interpreted. A larger randomized trial comparing second-line ART with LPV/r monotherapy followed by FTC/TDF intensification for inadequate responses versus 3-drug LPV/r-containing ART is warranted. Further, the more intensive monitoring and support provided in a clinical trial may limit generalizing to responses in clinical cohorts. Finally, at the present time we are only able to report results through the primary endpoint follow-up to week 24; follow-up in ACTG A5230 is ongoing and will continue until each subject has reached 104 weeks (expected in April 2012).

In summary, persons failing first-line ART with NNRTI-containing regimens have extensive NRTI and NNRTI resistance mutations, which may carry important implications for second-line ART choices. These pilot results suggest promising early responses to the strategy of second-line LPV/r monotherapy, coupled with further virologic suppression following intensification with FTC/TDF among persons with virologic failure.

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### Conflicts of interest

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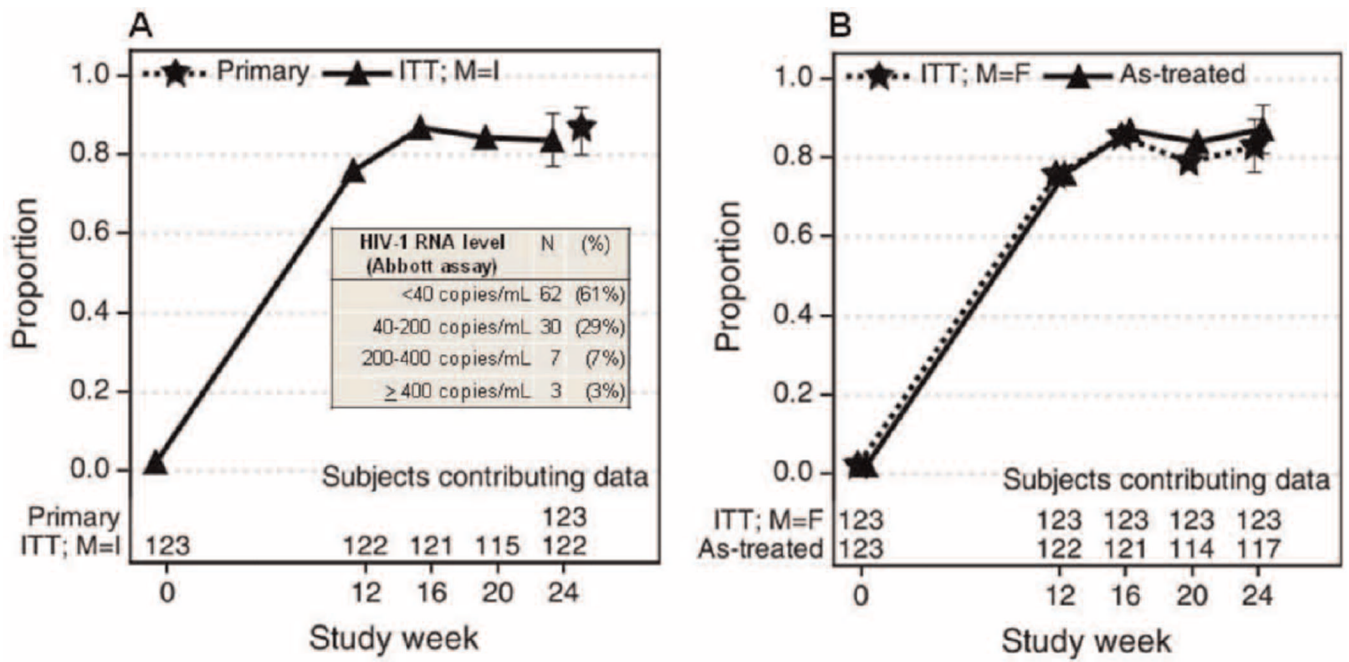
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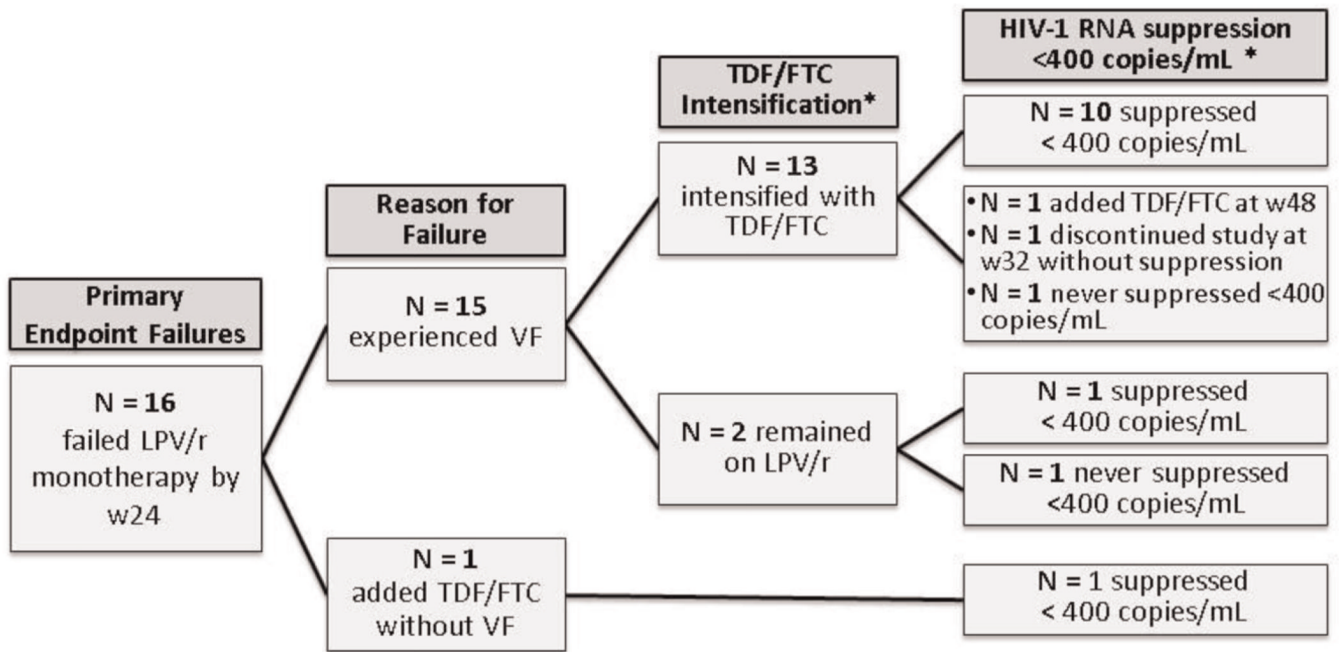


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**Fig. 1.** Proportion of subjects with HIV-1 RNA levels <400 copies/ml.



**Fig. 2.**  
Primary endpoint failures.

**Table 1**

## Baseline characteristics.

		Total (N = 123)
Age (yrs)	Median (Q1, Q3) <sup>a</sup>	39 (33, 45)
Sex	Female	70 (57%)
Prior regimen <sup>b</sup>	TDF+3TC+NVP	4 (3%)
	ZDV+3TC+EFV	8 (7%)
	ZDV+3TC+NVP	26 (21%)
	d4T+3TC+EFV	21 (17%)
	d4T+3TC+NVP	63 (51%)
	ddI+3TC+NVP	1 (1%)
Total ARV exposure	Median (Q1, Q3) <sup>a</sup>	3 (2, 4)
	<1 years	9 (7%)
	1 – <3 years	44 (36%)
	3+ years	70 (57%)
HIV-1 RNA (log <sub>10</sub> (copies/mL))	Median (Q1, Q3) <sup>a</sup>	4.34 (3.75, 4.92)
	< 3.00	4 (3%)
	3.00–3.99	38 (31%)
	4.00 – <4.99	60 (49%)
	5.00 – <5.29	14 (11%)
	5.3	7 (6%)
CD4 cell count (cells/mm <sup>3</sup> )	Median (Q1, Q3) <sup>a</sup>	164 (82, 268)
	< 50	19 (15%)
	50–199	51 (41%)
	200–349	39 (32%)
	350	14 (11%)

<sup>a</sup>Q1 and Q3 represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles, respectively.

<sup>b</sup>Prior regimen: most recent regimen before enrolling in A5230; Medication abbreviations: 3TC- lamivudine, EFV- efavirenz, TDF-tenofovir, NVP- nevirapine, ZDV- zidovudine, D4T- stavudine, DDI- didanosine.



Table 2

Resistance profiles at screening and virologic failure time-points, for the subjects who failed virologically.

				Resistance associated mutations and polymorphisms <sup>d</sup>			
ID	Visit <sup>b</sup>	VL <sup>c</sup>	PI	NRTI	NNRTI		
<i>Did not intensify LPV/r monotherapy</i>							
1	SCR	30,344	Not detected	K65R,D67N,Q151M,Y181C	K103N,Y181C		
	VF	618	Not detected	M184V	K103N,E138Q(n/r)		
2	SCR	7 916	"Failed sequencing."				
	VF	637	"Could not be amplified."				
<i>Did not suppress to &lt;400 copies/mL following LPV/r monotherapy intensification</i>							
3	SCR	30 100	L89M(n/r)	Not detected	Not detected		
	VF	39 500	L89M(n/r)	G333D	Not detected		
4	SCR	60 137	L10F,E35L(n/r),L89M(n/r),L90M	M184V,T215Y	K103N,E138Q(n/r),K238T		
	VF	37 020	L10F,L33F,E35F(n/r),M46I,I54V, V82A,I,89M(n/r),L90M	M184V,T215Y	K103N,E138Q(n/r),K238T		
<i>Suppressed to &lt;400 copies/mL following LPV/r monotherapy intensification</i>							
5	SCR	10 800	E35L(n/r),L89M(n/r)	M184V	K103N,P225H		
	VF	972	E35L(n/r),L89M(n/r)	M184V	K103N,P225H		
6	SCR	151 566	L89M(n/r)	Not detected	K103N		
	VF	7 214	"Insufficient sample"				
7	SCR	126 659	E35L(n/r),T74S,L89M(n/r)	D67N,K70R,Y181C,M184V,T215I,K219E	V108I,V179(n/r),Y181C		
	VF	1 193	E35L(n/r),T74S,L89M(n/r)	D67N,K70R,Y181C,M184V,K219E,G333D	V108I,V179(n/r),Y181C, Y318ND(n/r)		
8	SCR	55 570	L89M(n/r)	M41L,D67N,L74I,V118I,M184I,L210W, T215Y,K219R	K103N		
	VF	1 330	"Could not be sequenced."				
9	SCR	186 324	E35L(n/r),L89M(n/r)	Y181C,M184V,G333D	V179(n/r),Y181C, Y318ND(n/r)		
	VF	16 860	E35L(n/r),L89M(n/r)	Y181C,M184V	V179(n/r),Y181C		
10	SCR	194 847	A71T	K65R,Y181C,M184V	K101E,Y181C,G190A		
	VF	699	L33V(n/r),A71T	Not detected	Not detected		
11	SCR	6 831	E35L(n/r)	M184V	K103N,V179(n/r),K238T		
	VF	736	"Could not be amplified."				
12	SCR	124 025	L101E35L(n/r),L89M(n/r)	M41L,D67N,V75M,Y181C,M184V, L210W,T215Y	V179(n/r),Y181C,G190A		
	VF	5 309	L101E35L(n/r),V82F,L89M(n/r)	Not detected	V179(n/r)		

ID	Visit <sup>b</sup>	VL <sup>c</sup>	Resistance associated mutations and polymorphisms <sup>a</sup>		
			PI	NRTI	NNRTI
13	SCR	5 001	K43R(n/r),A71T	V75A,V118AV(n/r),M184V	K101E,E138A(n/r),G190S
	VF	1358	K43KR(n/r),A71T	Not detected	E138A(n/r)
14	SCR	40 907	L101,E35L(n/r),L89M(n/r)	M184V,T215Y	K103N,V179I(n/r)
	VF	6 113	L10ND(n/r),V11ND(n/r),E35L(n/r),L89M(n/r)	G333D	V179I(n/r)
15	SCR	101 655	Not detected	A62V,T69N,K70N,V75T,Y181C,M184V	K101E,Y181C,G190A
	VF	904	Not detected	G333D	Y318ND(n/r)

<sup>a</sup>Mutation mixtures have been converted to mutations.

<sup>b</sup>SCR: at Screening, VF: at Virologic Failure.

<sup>c</sup>HIV-1 RNA (copies/mL) quantified from a sample drawn at the same time as the sequencing sample.

**Table 3**

Grade 3 or 4 adverse events during LPV/r monotherapy that were reported for at least 2% of the study subjects.

<b>Sign/Symptom/Laboratory Event</b>	<b>Number of subjects by toxicity grade</b>	<b>DAIDS</b>	<b>Total number (%)</b>
	<b>3</b>	<b>4</b>	<b>subjects</b>
Total Triglycerides (fasting)	1	6	7 (6%)
Fasting Blood Sugar	2	4	6 (5%)
LDL (fasting)	5	0	5 (4%)
Phosphorus	5	0	5 (4%)
Total Cholesterol (fasting)	5	0	5 (4%)
SGOT	1	2	3 (2%)
Absolute Neutrophil Count	2	1	3 (2%)
Diarrhea/Loose Stools	2	1	3 (2%)
Total Bilirubin	2	1	3 (2%)
Hemoglobin	3	0	3 (2%)
SGPT	0	2	2 (2%)
Bicarbonate	1	1	2 (2%)
Potassium	1	1	2 (2%)
Cachexia/Wasting/weight Loss	2	0	2 (2%)

Table reflects the number of subjects reporting each category of symptom/lab event; 3 = Severe, 4 = Life-Threatening, 5 = Death. For each subject the worst grade for each event category is reported.