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Nevirapine versus Ritonavir-Boosted Lopinavir for HIV-Infected Children

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Abstract

BACKGROUND—Nevirapine-based antiretroviral therapy is the predominant (and often the only) regimen available for children in resource-limited settings. Nevirapine resistance after exposure to the drug for prevention of maternal-to-child human immunodeficiency virus (HIV) transmission is common, a problem that has led to the recommendation of ritonavir-boosted lopinavir in such settings. Regardless of whether there has been prior exposure to nevirapine, the performance of nevirapine versus ritonavir-boosted lopinavir in young children has not been rigorously established.

METHODS—In a randomized trial conducted in six African countries and India, we compared the initiation of HIV treatment with zidovudine, lamivudine, and either nevirapine or ritonavirboosted lopinavir in HIV-infected children 2 to 36 months of age who had no prior exposure to nevirapine. The primary end point was virologic failure or discontinuation of treatment by study week 24.

RESULTS—A total of 288 children were enrolled; the median percentage of CD4+ T cells was 15%, and the median plasma HIV type 1 (HIV-1) RNA level was 5.7 log_{10} copies per milliliter. The percentage of children who reached the primary end point was significantly higher in the nevirapine group than in the ritonavir-boosted lopinavir group (40.8% vs. 19.3%; P<0.001). Among the nevirapine-treated children with virologic failure for whom data on resistance were available, more than half (19 of 32) had resistance at the time of virologic failure. In addition, the time to a protocol-defined toxicity end point was shorter in the nevirapine group ($P = 0.04$), as was the time to death ($P = 0.06$).

CONCLUSIONS—Outcomes were superior with ritonavir-boosted lopinavir among young children with no prior exposure to nevirapine. Factors that may have contributed to the sub-

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optimal results with nevirapine include elevated viral load at baseline, selection for nevirapine resistance, background regimen of nucleoside reverse-transcriptase inhibitors, and the standard ramp-up dosing strategy. The results of this trial present policymakers with difficult choices. (Funded by the National Institute of Allergy and Infectious Diseases and others; P1060 ClinicalTrials.gov number, NCT00307151.)

> New antiretroviral drugs and drug classes have markedly advanced the treatment of human immunodeficiency virus (HIV) infection in children. In resource-limited settings, where most HIV-infected children live, therapeutic options are restricted by financial and logistic constraints. Nevirapine is an important component of long-term therapy because it is stable at high temperatures, available in fixed-dose combinations, and relatively inexpensive, and its use is based on extensive experience and an acceptable safety profile in the pediatric population. Often, it is the only option available for infants and children.

Randomized studies have shown that regimens incorporating ritonavir-boosted lopinavir (both protease inhibitors) are superior to nevirapine-based regimens in the treatment of mothers and infants who were previously exposed to single-dose nevirapine for the prevention of perinatal HIV transmission.1,2 The World Health Organization (WHO) guidelines for antiretroviral treatment (ART) now recommend ritonavir-boosted lopinavir for the initial treatment of children younger than 2 years of age who have previously been exposed to single-dose nevirapine. ³ Nevirapine continues to be recommended for initial therapy in children without prior exposure to nevirapine; however, there has been no randomized trial comparing ritonavir-boosted lopinavir–based ART and nevirapine-based ART in such children.

The P1060 study was designed as two parallel, randomized clinical trials comparing nevirapine with ritonavir-boosted lopinavir, in addition to zidovudine and lamivudine, in HIV-infected, ART-eligible children between 2 and 36 months of age. We previously published the findings in cohort 1 of the study, which comprised children with documented exposure to single-dose nevirapine. $¹$ Here, we report the findings in cohort 2, which</sup> comprised children without previous nevirapine exposure.

METHODS

PATIENT POPULATION AND STUDY DESIGN

Children with HIV infection were eligible for the study if they had not previously been exposed to antiretroviral agents (except for those used to prevent mother-to-child transmission of HIV), if they required treatment according to WHO criteria, and if their baseline level of plasma HIV type 1 (HIV-1) RNA was above 5000 copies per milliliter. Children (and their mothers) could not have had previous exposure to non-nucleoside reverse-transcriptase inhibitors (NNRTIs) such as nevirapine or efavirenz (hereinafter referred to as an absence of exposure to nevirapine).

The children were stratified by age (2 to $\lt 6$, 6 to $\lt 12$, or 12 to 36 months) and randomly assigned in equal numbers to either nevirapine or ritonavir-boosted lopinavir, combined with zidovudine and lamivudine. Nevirapine was initially given in a dose of 4 mg per kilogram of body weight once daily for 14 days, with a dose of 7 mg per kilogram twice daily thereafter (the dose approved by the Food and Drug Administration). An amendment to the protocol (September 4, 2007) increased the nevirapine dose to 160 to 200 mg per square meter of body-surface area (once daily for 14 days, then twice daily) in line with newly instituted WHO recommendations.

Children were enrolled at one site in India and at nine sites across sub-Saharan Africa (four in South Africa and one each in Zimbabwe, Zambia, Malawi, Uganda, and Tanzania). The study was approved by the ethics review committee at each site, the Ministries of Health (where appropriate), and the institutional review board at each partner institution in the United States. Each child's parent or legal guardian provided written informed consent. Study visits and laboratory testing were conducted as previously described.¹

All the authors vouch for the completeness and accuracy of the data presented. The study was conducted in accordance with the protocol, available with the full text of this article at [NEJM.org.](http://NEJM.org) The antirectroviral drugs used in this study were donated by Abbott, Boehringer Ingelheim, and GlaxoSmithKline. Representatives of these three pharmaceutical manufacturers participated in early discussions of the trial design but not in final design decisions or in trial implementation or analyses.

STUDY END POINTS

The primary study end point was treatment failure by 24 weeks, defined as virologic failure or permanent discontinuation of the nevirapine or ritonavir-boosted lopinavir component of the treatment regimen for any reason (concomitant tuberculosis therapy, death, or another reason). Virologic failure was defined as a confirmed plasma HIV-1 RNA level that was less than 1 \log_{10} copies per milliliter below the baseline level at 12 to 24 weeks after treatment initiation, or a confirmed plasma HIV-1 RNA level of more than 400 copies per milliliter at 24 weeks. Toxicity end points are defined in the protocol.

Secondary end points included confirmed virologic failure or death by week 24, confirmed virologic failure (with virologic failure defined as above or as a confirmed viral rebound to >4000 copies per milliliter after week 24) or death during the follow-up period, and a composite of virologic failure or discontinuation of the study treatment during the follow-up period. Data for children lost to follow-up were censored for the virologic failure end point at the date of the last available HIV-1 RNA measurement.

STATISTICAL ANALYSIS

The analysis included all children in cohort 2 who started the study treatment. Four children who had previously been exposed to nevirapine were incorrectly assigned to cohort 2. These four children were included in all analyses; sensitivity analyses that excluded them showed similar results. Since only four infants were enrolled in the youngest age stratum (2 to <6 months), children in the two youngest strata were combined for all the analyses.

Rates of the primary end point and of virologic failure at week 24 were calculated from Kaplan–Meier curves for each age stratum. The primary analysis was based on a weighted average of rates across age strata, with weights equal to the inverse of the variance of the stratum-specific rate. Unweighted rates (i.e., with age stratification ignored) were also evaluated. Age-stratified Cox proportional-hazards models were used to compare time-toevent end points (in adjusted and unadjusted analyses) and to evaluate whether differences between randomly assigned treatments varied across subgroups by including a treatment-bysubgroup interaction variable. Pre-specified subgroups were classified according to age, sex, initial dose of nevirapine, HIV subtype, and type of documentation supporting the absence of prior exposure to nevirapine, as well as baseline HIV-1 RNA level, percentage of CD4+ T cells, CD4+ count, and WHO disease stage.

Safety information was reported while the children were receiving the study treatment (astreated analysis). Summaries of adverse events according to the highest grade for each type of event were tabulated. Changes from baseline to weeks 24 and 48 in cholesterol levels, triglyceride levels, CD4+ counts and percentages, Centers for Disease Control and

Prevention z scores for height and weight (www.cdc.gov/growthcharts), and body-mass index (BMI) were compared according to treatment with the use of t-tests (unadjusted) and linear regression analyses adjusted for entry value. Intention-to-treat analyses were performed for immunologic and growth outcomes, and as-treated analyses were performed for lipid data. Two-sided P values, unadjusted for interim analyses or multiple comparisons, are reported.

RESULTS

STUDY PARTICIPANTS, Follow-Up, AND TREATMENT ADHERENCE

A total of 288 children were enrolled between November 23, 2006, and March 19, 2010, with follow-up planned to continue until March 2011. On October 27, 2010, when the 24 week follow-up for all children was complete, the data and safety monitoring board performed a review and recommended unblinding of the data and release of the results, since the comparisons of the two treatments for the primary end point had met prespecified stopping guidelines.

Reported analyses are based on data collected through October 27, 2010, for the 147 children randomly assigned to nevirapine and the 140 assigned to ritonavir-boosted lopinavir; 1 child in the ritonavir-boosted lopinavir group never started therapy and was therefore not included (see Table S1 in the Supplementary Appendix, available at [NEJM.org\)](http://NEJM.org). The median follow-up period was 72 weeks (interquartile range, 48 to 120). At base-line, 210 children (73.2%) were 12 months of age or older, with a median age of 1.7 years (Table 1). The children had relatively advanced HIV disease, with a median HIV-1 RNA level of 535,632 copies per milliliter, a median of 15% CD4+ T cells, and median z scores of −2.6 for weight and −2.3 for height. The majority of HIV infections (212 of 265 [80.0%]) were subtype C. Median adherence to therapy was 100% for the initial 24 weeks of treatment, according to reports by caregivers, with no significant differences between the two treatment groups ($P = 0.16$). Care-givers reported adherence of 95% or higher for 80.3% of the children who received nevirapine, as compared with 90.0% of those who received ritonavir-boosted lopinavir.

PRIMARY END POINT

The percentage of children who reached the primary end point (i.e., virologic failure or discontinuation of the study treatment for any reason, including death, by 24 weeks) was significantly higher in the nevirapine group than in the ritonavir-boosted lopinavir group (40.8%, vs. 19.3%; P<0.001) (Table 2). The relative contributions of these end points were similar in the two treatment groups: 55.6% of the children in the ritonavir- boosted lopinavir group who reached the primary end point discontinued treatment before virologic failure, as compared with 45.0% in the nevirapine group (Table S2 in the Supplementary Appendix). The findings were similar across age strata (between-group difference in the percentage of children who reached the primary end point, 21.5 percentage points across both age strata, 22.0 percentage points for children younger than 12 months of age, and 21.3 percentage points for those 12 months of age or older) (Table 2 and Fig. 1A and 1B).

The results of primary end point analyses adjusted for age, sex, severity of HIV disease (based on WHO stage, percentage of CD4+ T cells, and HIV-1 RNA level), nevirapine dose at entry, documentation of prior single-dose nevirapine exposure (to prevent mother-to-child transmission), and HIV subtype were similar to the results of unadjusted analyses; only baseline HIV-1 RNA level was a significant predictor of the primary end point after adjustment for treatment ($P = 0.03$). Tests for interaction showed no evidence that the

difference between treatment groups in the primary end point varied across subgroups ($P =$ 0.31 for age, $P = 0.18$ for nevirapine dose, and P 0.10 for all other subgroups).

SECONDARY ANALYSES

Rates of virologic failure or death by week 24 were 15.6 percentage points higher in the nevirapine group than in the ritonavir-boosted lopinavir group (95% confidence interval [CI], 6.3 to 24.8 ; $P = 0.001$ (Fig. 1C and 1D). The hazard ratio for time to virologic failure or death by week 24 for children in the nevirapine group, as compared with those in the ritonavir-boosted lopinavir group, was 2.51 (95% CI, 1.41 to 4.47; P<0.01); adjusted analyses had similar results. Analyses of time to virologic failure or discontinuation of study treatment and time to virologic failure or death during the follow-up period significantly favored the ritonavir-boosted lopinavir group (Fig. 1). For all study end points, the difference between the treatment groups had been largely established by 24 weeks. By 48 weeks, 81 of 108 children (75.0%) randomly assigned to nevirapine had HIV-1 RNA levels below 400 copies per milliliter, as compared with 101 of 119 children (84.9%) randomly assigned to ritonavir-boosted lopinavir ($P = 0.06$).

At study entry, 58 of 287 mothers (20.2%) were breast-feeding their infants (18.4% of the mothers of infants in the nevirapine group and 22.1% of those in the ritonavir-boosted lopinavir group), and none of the mothers were receiving ART. During study follow-up, mothers of 6 infants in the nevirapine group and 5 infants in the ritonavir- boosted lopinavir group started ART, which in all cases included nevirapine. Only one of these children (in the ritonavir-boosted lopinavir group) had virologic failure, making it unlikely that maternal use of nevirapine-based ART influenced the study results. Multiple analyses showed that the initial nevirapine dose had no effect on hazard ratios for any end point or toxicity outcome.

RESISTANCE TO ANTIRETROVIRAL AGENTS

Accurate assignment to cohort 2 was dependent on the absence of prior maternal or infant exposure to NNRTIs. The results of baseline testing for resistance to antiretroviral agents (ViroSeq HIV-1 Genotyping System for population sequencing [Celera]) supported the assignments. Only 5 of 257 children (2.0%) with samples that could be evaluated had mutations conferring nevirapine resistance (Y181C in 4 and K103N in 1) at base-line; only 1 of these 5 children was randomly assigned to the nevirapine group.

Among the 45 children in the nevirapine group who had virologic failure, resistance data were available at the time of failure for 32 children (for 23 by the visit at 24 weeks). At the time of virologic failure, 11 children had no detectable resistance, 17 had resistance to both nucleoside reverse-transcriptase inhibitors (NRTIs) and NNRTIs, 2 had resistance only to NRTIs, and 2 had resistance only to NNRTIs. Among children in the ritonavir-boosted lopinavir group, resistance data were available for 20 of 29 children with virologic failure at the time of failure: 9 had no detectable resistance, and 11 had resistance to NRTIs (1 of whom also had resistance to nelfinavir).

SAFETY ANALYSIS AND ADVERSE EVENTS

There were 13 deaths in the study: 10 in the nevirapine group and 3 in the ritonavir-boosted lopinavir group (hazard ratio with nevirapine, 3.41 ; 95% CI, 0.94 to 12.40; P = 0.06 by the Wald test; $P = 0.05$ by exact log-rank test). Seven of the deaths in the nevirapine group occurred within the first 12 weeks of the study (Fig. S1 in the Supplementary Appendix). In 9 children, the primary cause of death was infection: gastroenteritis and diarrhea with marasmus (in 4 children), pneumonia (in 2), sepsis associated with burns and kwashiorkor (in 2), and malaria (in 1); the cause of death was unknown in 1 child. Among the 3 deaths in

children receiving ritonavir-boosted lopinavir, 1 was due to measles, 1 to pneumonia and cardiac failure, and 1 to gastroenteritis and marasmus.

Protocol-defined toxicity end points occurred in 19 children: 14 in the nevirapine group and 5 in the ritonavir-boosted lopinavir group (Table S3 in the Supplementary Appendix). The time to a protocol-defined toxicity end point was shorter in the nevirapine group than in the ritonavir-boosted lopinavir group (hazard ratio, 3.00; 95% CI, 1.06 to 8.21; $P = 0.04$). The primary differences between treatment groups were higher rates of dermatologic and hepatic toxicity end points associated with nevirapine treatment (Tables S3 and S4 in the Supplementary Appendix). Changes in cholesterol and triglyceride levels at 24 and 48 weeks, adjusted for values at entry, did not differ significantly between the two treatment groups.

IMMUNOLOGIC AND GROWTH RESPONSES

Changes in the CD4+ count and percentage, weight and height z scores, and BMI from baseline to week 24 and to week 48 were compared according to treatment, with and without adjustment for baseline value and age stratum. Mean values improved for all outcomes in both treatment groups; between-group differences were significant only for adjusted changes in the z score for weight (P = 0.01 at week 24 and P = 0.01 at week 48) and BMI (P = 0.02 at week 24 and $P = 0.03$ at week 48) and favored the nevirapine group (Fig. 2, and Table S5 in the Supplementary Appendix).

DISCUSSION

The results of this randomized clinical trial, which compared nevirapine-based ART and ritonavir-boosted lopinavir–based ART for the initial treatment of HIV-infected children, challenge our current approach. For infants and young children, regardless of whether they were previously exposed to nevirapine, we now have evidence of the superiority of ritonavir-boosted lopinavir– based regimens over nevirapine-based regimens in terms of both efficacy and safety.

Nevirapine-based treatment is currently the choice for first-line ART in most countries where resources are limited and is often the only readily available option. Recent data in HIV-infected women have provided support for the continued use of nevirapine as a firstline treatment option. The Optimal Combination Therapy after Nevirapine Exposure (OCTANE) A5208 trial, which had a design parallel to that of the P1060 study, showed that nevirapine, administered as the initial treatment in HIV-infected women without prior exposure to single-dose nevirapine, was noninferior to ritonavir-boosted lopinavir with respect to virologic failure or death²; however, nevirapine was associated with a poorer safety profile. The treatment regimen included tenofovir and emtricitabine, which may be more potent than zidovudine and lamivudine, the agents used in the P1060 study. In addition, the PENPACT 1 (Paediatric European Network for Treatment of AIDS [PENTA 9]/Pediatric AIDS Clinical Trials Network [PACTG] 390) trial, conducted in Europe, the United States, and South America, showed equivalent outcomes when treatment was initiated with an NNRTI-based regimen or a proteaseinhibitor- based regimen.⁴ The PENPACT 1 trial differed substantially from the P1060 trial in several respects: it enrolled children at a median age of 6.5 years, with a median viral load of $5.1 \log_{10}$ copies per milliliter; the primary end point was virologic only (without consideration of treatment changes); and it allowed investigators to choose the NNRTIs (efavirenz was chosen in 62% of the cases) and protease inhibitors (which were equally divided between nelfinavir and ritonavir-boosted lopinavir). The P1060 study directly compared nevirapine-based treatment and ritonavir-boosted lopinavir–based treatment in children who were younger than 3 years of age.

Why did nevirapine fare less well than ritonavir-boosted lopinavir among the infants in our study? One important factor may be the high plasma HIV-1 RNA levels commonly seen during infancy (median for the overall cohort, 536,000 copies per milliliter), which make viral suppression difficult. Even with optimal ART viral decay kinetics, the time to achievement of an undetectable plasma virus level will be longer for infants than for older children and adults, who have lower viral loads.^{5,6} It is plausible that the use of agents for which single-gene mutations result in resistance (e.g., nevirapine) may be suboptimal in the presence of high viral replication and a prolonged time to viral suppression, which may confer a predisposition to the emergence of resistance. In the P1060 study, more than half the children in the nevirapine group who had virologic failure had nevirapine resistance at the time of failure. The results of the PENPACT 1 study showed that NNRTI resistance in children with no response to NNRTI-based ART occurs early and at low levels of viral rebound (<1000 copies per milliliter).⁴

Another potential contributing factor may have been the ramp-up dosing strategy, in which nevirapine is given once daily at half the final target dose for 2 weeks and is then increased to the full dose on a twice-daily schedule. This strategy was designed to minimize the risk of dermatologic reactions during the initiation of nevirapine therapy (seen primarily in adults during drug development) and to accommodate the induction of cytochrome P-450 metabolizing enzymes after exposure to nevirapine. However, this may result in suboptimal levels of nevirapine during the ramp-up period, a time when viral levels in infected infants are profoundly elevated. This hypothesis is consistent with the finding that virologic failure in the nevirapine group tended to be an early event, occurring in the first 12 to 24 weeks of therapy. The Children with Human Immunodeficiency Virus (HIV) in Africa — Pharmacokinetics and Adherence of Simple Antiretroviral Regimens (CHAPAS 1) study compared the initiation of full-dose nevirapine in fixed-dose combination tablets to simplify administration in children with a median age of 5 years.⁷ Rash occurred at a higher frequency when nevirapine was initiated at the full dose (11%, vs. 2% with dose escalation) but was considered to be manageable.

Baseline resistance to NNRTIs due to unrecognized exposure to drugs for preventing mother-to-child transmission in cohort 2 is not likely to be responsible for the results; the frequency of baseline nevirapine resistance was less than 1% (1 of 132 children) in the nevirapine group. Resistance was determined with the use of an HIV-genotyping system based on population sequencing and approved by the Food and Drug Administration. Lowfrequency NNRTI mutations, which can be detected only by means of high-sensitivity assays, have been implicated in treatment failure in adults.^{8,9} It is not known whether lowfrequency mutations at baseline affected treatment outcomes in the P1060 cohort; this question will require further research. However, in the Nevirapine Resistance Study (NEVEREST), virologic failure in infants who were switched to nevirapine-based ART was associated with an increased frequency of baseline resistance, as detected by population sequencing, but not with low-frequency baseline resistance.¹⁰

Data from P1060 cohort 1 (infants exposed to single-dose nevirapine)¹ and from $NEVEREST¹¹$ previously showed that CD4 counts and weight gain improved more slowly from baseline levels with ritonavir-boosted lopinavir than with nevirapine despite efficacy data favoring ritonavir-boosted lopinavir. Although somewhat counter-intuitive, this observation was partially confirmed in the present study, in which adjusted increases in the z score for weight and in BMI from baseline to week 24 and to week 48 were significantly larger in the nevirapine-treated group; however, changes in CD4+ counts over time appeared to be similar in the two groups. The mechanism underlying the smaller weight gain in the ritonavir-boosted lopinavir group remains unclear, but leading hypotheses are poor palatability and appetite suppression, as well as the metabolic consequences of ritonavir,

excipients, or both in the liquid formulation (15.3% propylene glycol and 42.4% alcohol) or both.1,12–15

The path forward for pediatric first-line treatment guidelines in resource-limited settings is not simple. Identification of HIV infection early in infancy, with prompt initiation of ART, is critical for increasing survival among HIV-infected children.^{3,16} The data from the P1060 cohort 2 study show superior outcomes with ritonavir-boosted lopinavir, as compared with nevirapine, for the composite end point, as well as for virologic failure or death, toxicity, and death alone. These data support ritonavir-boosted lopinavir as the basis for first-line ART in all children younger than 3 years of age, regardless of whether they have had prior NNRTI exposure. Enthusiasm for such an approach, however, may be tempered by the inherent challenges to its implementation worldwide. For example, the liquid formulation of ritonavir-boosted lopinavir has an unpleasant taste and does not withstand high ambient temperatures. Today, the cost of a ritonavir-boosted lopinavir–based treatment regimen for young children is approximately twice that of a nevirapine-based regimen. It is important to note that 75% of the children who were randomly assigned to nevirapine had HIV-1 RNA levels below 400 copies per milliliter after 48 weeks of therapy, although the percentage was higher for the children randomly assigned to ritonavir-boosted lopinavir. In the absence of a confirmatory study, policymakers are left to weigh the costs and benefits of these two different first-line regimens as they develop national and regional pediatric treatment guidelines. New approaches to monitoring treatment and new drug formulations are urgently needed to address this emerging gap in global pediatric HIV treatment.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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APPENDIX

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Figure 1. Time to Primary End Point or Death, According to Treatment and Age Group

The time to the primary end point of virologic failure or discontinuation of treatment by study week 24 is shown for children 2 months to less than 12 months of age (Panel A) and for those 12 months of age or older (Panel B). The time to virologic failure or death is also shown for these two age groups (Panels C and D, respectively).

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Figure 2. Change in Weight from Baseline, According to Week of Treatment

Mean changes in z scores for weight from baseline are shown. The vertical bars represent 95% confidence intervals.

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Baseline Characteristics of the Children. Baseline Characteristics of the Children.

N Engl J Med. Author manuscript; available in PMC 2012 December 21.

 $\sqrt[t]{\text{WHO}}$ denotes World Health Organization. WHO denotes World Health Organization.

 $t_{\text{For all other infants, additional forms of documentation were available showing that they had not previously been exposed to nevirapine.}$

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Table 2

Rate of the Primary End Point, According to Treatment Group and Age. *

The rates of the primary end points (virologic failure or treatment discontinuation by study week 24) were estimated with the use of Kaplan–Meier methods. The weighted analysis was the primary study comparison; in the unweighted analysis, children were not stratified according to age. CI denotes confidence interval. comparison; in the unweighted analysis, children were not stratified according to age. CI denotes confidence interval.

 t The between-group difference is the rate in the nevirapine group minus the rate in the ritonavir-boosted lopinavir group. The between-group difference is the rate in the nevirapine group minus the rate in the ritonavir-boosted lopinavir group.