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NKT_i cell activation during DNA priming in heterologous primeboost vaccination enhances T cell responses and protection against *Leishmania*

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Summary

Heterologous prime-boost vaccination employing DNA-vaccinia virus (VACV) modality using the LACK (p36) antigen (Leishmania homologue of receptors for activated C kinase) has been shown to elicit protective immunity against both murine cutaneous and visceral leishmaniasis. However, DNA priming is known to have limited efficacy; therefore in the current studythe effect of NKT cell activation using a-galactosyl-ceramide (aGalCer) during intradermal DNAp36 priming was examined. Vaccinated mice receiving a GalCer+DNAp36 followed by a boost with VVp36 appeared to be resolving their lesions and had at 10 to 20-fold higher reductions in parasite burdens. NKT cell activation during a GalCer+DNAp36 priming resulted in higher numbers of antigen-reactive effector CD4+ and CD8+ T cells producing granzyme and IFN γ , with lower levels of IL-10. Although immunodepletion studies indicate both CD4 and CD8 T cells provide protection in the vaccinated mice, the contribution of CD4+ T cells was significantly increased in mice primed with DNAp36 together with a GalCer. Notably 5 months after boosting, mice vaccinated with DNAp36+aGalCer continued to show sustained and heightened T cell immune responses. Thus, heterologous prime-boost vaccination using a GalCer during priming is highly protective against murine cutaneous leishmaniasis, resulting in the heightened activation and development of CD4 and CD8 T cells (effectors and memory).

Keywords

Vaccine; Leishmania; NK-T; adjuvant; memory

Introduction

The leishmaniases are a group of vector-borne parasitic diseases caused by protozoa of the genus *Leishmania*. The disease is endemic in the tropics, subtropics and southern Europe [1]. The clinical forms range from the self-healing cutaneous leishmaniasis (CL) to visceral leishmaniasis (VL) in which the parasite spreads into the reticuloendothelial system with fatal outcome. Although drug treatment exists for leishmaniasis [2, 3], alternative approaches for the control of this disease (vector control, immunotherapeutic,

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chemotherapeutic and vaccine) are still needed [4-6]. Over the past decade, DNA vaccination has been shown to be a promising tool to combat intracellular infectious agents since it induces cell-mediated immunity [7] as well as humoral responses. LACK, a 36 kDa (p36) antigen from *Leishmania*, is an analogue of the receptors for activated C kinase. Immunofluorescence experiments indicate that LACK localizes to the cell cytoplasm (near the kinetoplast disc) [8], and is highly conserved among *Leishmania* species; LACK is expressed both by the promastigote and amastigote forms of the parasite [9]. Studies indicate that DNA encoding for the LACK antigen can provide protection against *Leishmania major* and that this is due to the induction of T cell responses and IFN γ production [10-14]. However, DNA vaccination with LACK has not proven to provide protection across *Leishmania* species causing both visceral and cutaneous forms of the disease [15-17], consequently new modalities have been sought.

The antigen delivery system is a critical component in determining antigenic efficacy. VACV and fowlpox vectors have been shown to be a good antigen delivery system for the control of infectious diseases in animal model studies [18] and, importantly, are known to provide long-term memory [19]. A DNA prime-poxvirus boost regime has been shown to induce protection against *Plasmodium berghei* malaria [18] and to induce immunological control of an immunodeficiency virus infection in monkeys [20]. A prime/boost regime using DNA and VACV expressing the leishmanial LACK antigen has been shown to be immunogenic and protective against murine L. major infection [21-23]. Further, the primeboost modality is effective in inducing protection against visceral leishmaniasis caused by L. infantum, while DNA vaccination alone fails to protect [16, 24]. However, although significant reductions in parasite burdens were observed, protection was partial. Consequently, methods for enhancing the protection provided by the DNA-VACV prime boost modality have been sought. It is known that the potency of DNA priming is limited and this could potentially restrict the efficacy of boosting with recombinant VACV or other attenuated viral vectors. Consequently, adjuvants (cytokines, co-stimulatory molecules, delivery vehicles) with potential to enhance DNA vaccination have been evaluated [21, 25]. Both CD4 (Th1) and CD8 T cells have been demonstrated to be important for the control of Leishmania major infection [12, 13, 26-28]. NKT activation has been reported to lead to enhanced CD4 and CD8 T cell responses [29, 30] as well as induce dendritic cell maturation [31]; further, NK T cells have been shown to contribute to the control of *L. major* infection [32, 33]. Interestingly, TNFa (induced by various PAMPs/TLR) has been shown to be responsible for the recruitment of CD1d/NKT cells [34]. Further, CpG has been shown to enhance NKT cell activation through interplay between plasmacytoid and myeloid dendritic cells [35, 36]. Consequently, it might be anticipated that a vaccine employing DNA-priming (TLR9) together with NKT cell (aGalCer) activation would further amplify a developing Th1/TC1 immune response. However, a GalCer can drive both Th1 and Th2 responses [37, 38] and can induce the proliferation of Treg populations [39, 40]; further, the use of aGalCer as an adjuvant in vaccine studies has met with mixed results. Disease exacerbation and impairment of CD8 T cell function have been observed for systemic aGalCer-mediated NKT cell activation during DNA vaccination against Trypanosoma cruzi [41] infection. In contrast, studies have shown that the systemic activation of NKT cells (using the ligand a GalCer) during vaccination using viral vectors or irradiated sporozoites can lead to enhanced CD8 T cell responses and protection against murine malaria [42-44].

In this study, we have examined the effect of intradermal NKT activation during DNA priming immunization on vaccine efficacy in controlling murine leishmaniasis due to *L. major*. Intradermal priming with DNA-LACK together with α GalCer significantly enhances protection induced by a heterologous DNA-VACV prime-boost vaccination; this is due to increased levels of antigen-specific T cells (CD4 and CD8), in particular CD4+ T cells responses are increased. Further, the enhancement of T cell responses is sustained and is

evident 5 months post-vaccination, indicating that NKT activation during DNA vaccination can contribute to the appropriate development of both T cell effector and memory populations.

Results

α Galactosylceramide enhances the protection induced by heterologous prime-boost (DNA-VACV) vaccination

We have previously demonstrated in a mouse model [22, 24, 45] that heterologous primeboost DNA-VACV vaccination with each vector expressing the leishmanial LACK (*Leishmania* homologue of receptors for activated C kinase) antigen can provide protection against both cutaneous and visceral leishmaniasis. Although significant reductions in parasite burdens are achieved using this modality, protection observed was partial, overall resulting in a delay in onset of infection and less severe disease. Consequently, approaches were pursued for enhancing the protection provided by the DNA-VACV prime/boost modality.

NKT activation has been reported to lead to enhanced CD4 and CD8 T cell effector responses [29, 30, 46] as well as induce dendritic cell maturation [31] and hence might be expected to act to increase T cell responses during vaccination. As both CD4 (Th1) and CD8 T cell responses have been demonstrated to be important for the control of Leishmania *major* infection [12, 13, 26-28], we evaluated the efficacy of α GalCer as an adjuvant in DNA priming. Experimentally, mice were primed intradermally with p36 (LACK) plasmid DNA (DNAp36) together with or without a-galactosylceramide (aGalCer). Mice were then boosted two weeks later with VVp36 (1×10⁷ PFU). Control groups of mice were inoculated with either DNA empty plasmid vector followed by 1×10^7 PFU WR-Luc (virus control) or received PBS alone. Three weeks after boosting, mice were infected in the right rear hind foot with 5×10^4 late-stationary phase *L. major* promastigotes. As shown in Figure 1A, mice that received a GalCer during DNA priming developed smaller lesions over time than mice receiving DNAp36 alone. In fact, the lesions of the mice in the aGalCer-DNAp36 group appeared to be resolving at 13 weeks post-infection. Evaluation of parasite burdens at 13 weeks post infection (Figure 1B) confirmed lesion development results and revealed that mice primed with a GalCer together with DNAp36 had 10-fold further reduction in their parasite burdens than those receiving DNAp36 alone.

An examination of the cellular immune responses of the mice either before infection (3 weeks after the final VVp36 boost) (Figure 2) or at 13 weeks post-infection (data not shown), were comparable and indicated that vaccinated mice receiving α GalCer together with DNAp36 produced higher levels of IFN γ and nitric oxide and reduced levels of IL-10 in response to leishmanial antigen (SLA or rLACK). In addition, the antibody responses of the vaccinated mice were evaluated at 13 weeks post-infection against both SLA (whole leishmanial lysate) and LACK antigen. As shown in Figure 3, mice primed with α GalCer together with DNAp36 in comparison to mice receiving DNAp36 alone, had elevated levels of IgG2a against LACK antigen, but comparable levels of IgG1 antibody. However, it should be noted that the overall antibody responses against soluble leishmanial antigen (which would be representative of the ongoing response to the parasite) were comparable for both groups of vaccinated mice as well as vaccine control mice (receiving pCINeo and VVLuc). Hence, overall the adjuvant α GalCer appeared to enhance a cellular Th1-like response in the vaccinated mice, which correlated with increased protection against *L. major* infection.

α Galactosylceramide enhanced responses during heterologous prime-boost (DNA-VACV) vaccination are long-lived

In order to evaluate the long-term effect of α GalCer on the overall immune response, several vaccinated mice (3/group) were left unchallenged. Five months after the final VVp36 boost, the immune response to leishmanial antigens and ConA were examined in these mice. As seen in Table 1, the IFN γ responses of the mice receiving DNAp36 together with α GalCer continued to be elevated in comparison to those of mice receiving DNAp36 alone. Further, the ratio of IFN γ /IL-10 remained higher (2-fold) in the mice receiving DNAp36 together with α GalCer. Interestingly and consistent with this observation, the mice receiving DNAp36 together with α GalCer also maintained higher levels of IgG2a LACK antibody (and higher IgG2a/IgG1) at 5 months post-boost than mice not receiving α GalCer (data not shown). The enhanced immunological responses of mice vaccinated with DNAp36 together with α GalCer were sustained. Therefore, NKT cell activation during DNA vaccination led not only to the development of higher numbers of T cell effectors and protection, but also to long-lived T cell immunological memory.

NKT cells contribute to protection in mice vaccinated using α Galactosylceramide-during DNA priming

To verify the role of NKT cells in the α GalCer enhancement of protection induced during DNAp36 priming, groups of NKT deficient BALB/c mice were vaccinated and challenged with *Leishmania major* (Figure 4). NKT deficient mice were protectively vaccinated using the heterologous prime-boost modality. However, the lesion development over the 13 week period of observation did not show a reduction in lesion size for the group receiving α GalCer + DNAp36 in comparison to the group receiving DNAp36 alone; further, the parasite burdens were identical for these two groups of vaccinated mice. These results paralleled the immune responses (Table 2) found in these two groups of vaccinated mice. NKT deficient mice receiving DNAp36+ α GalCer or DNAp36alone displayed comparable IFN γ , granzyme, nitric oxide and IL-10 responses. It should be noted that this experiment was performed together with the experiment shown in Figure 5 (below). Hence an enhanced protection was observed for BALB/c mice vaccinated with p36DNA+ α GalCer. Consequently, the effect of α GalCer on DNA priming was mediated through the activation of NKT cells.

$\alpha Galactosylceramide-during DNA priming leads to enhanced CD4 and CD8 T cell IFN<math display="inline">\gamma$ and granzyme responses

Both CD4 and CD8 T cells are known to contribute to protection against murine leishmaniasis in vaccinated mice (reviewed in [47]). It is well established that VACV can induce both CD8 and CD4 T cell responses; however, a generally dominant CD8 T cell response is observed [19]. Further, vaccination with DNAp36 alone or using a DNA-VACV prime-boost-modality has been shown primarily to lead to the development of a dominant CD8 T cell response [12, 13, 48]. However, activation of NKT cells has been shown to lead to dendritic cell maturation and CD4 T cell responses [49-52]. Consequently, given the enhanced protection observed, the impact of a GalCer on the development of CD4 and CD8 T cell responses was of interest.

To quantitatively evaluate the response of CD8 and CD4 T effector cell populations to the ongoing infection, the numbers of splenic CD4 and CD8 T cells responding in WT vaccinated and control mice after 13 weeks of infection were compared using FACS (Table 3). Proliferative responses (blast cells) together with the production of IFN γ or granzyme were employed as markers of activated T cells [53, 54]. Further, the effect of *in vitro* blocking of either the CD4 or CD8 T cell response on the overall ongoing response to SLA was determined for the vaccinated mice at 13 weeks post-infection (Table 4).

FACS analyses indicate that NKT activation during DNA priming leads to higher numbers of antigen reactive CD4 and CD8 T cells, as the numbers of antigen-activated T cells (as determined by the production of either IFN γ or granzyme) increased (1.5 to 5.8 fold) in the mice receiving DNAp36+aGalCer in comparison to those receiving DNAp36 alone. The overall increases in DNAp36+a.GalCer group in the responding CD4 or CD8 T cells producing IFN γ were comparable (1.5 and 2.0-fold, respectively), as were the corresponding mean intensities of fluorescence (data not shown). However, consistently (both LACK-vaccinated groups) significantly (2-fold) higher levels of CD4 than CD8 T cells were found to produce IFN γ , indicating that CD4 T cells are the predominant source of IFN γ . Overall the contribution of CD4 T cell IFN γ was higher in the mice receiving DNAp36+aGalCer. Further, the levels of cytolytic (producing granzyme) CD4 and CD8 T cells were also increased in the mice receiving DNAp36+aGalCer. Although the level of cytolytic CD4⁺ granzyme⁺ T cells increased 5.8-fold after vaccination with DNAp36+ aGalCer (compared to DNAp36 alone) in comparison to the increase in CD8⁺ granzyme⁺ T cells (2-fold), CD8+ T cells (2.6%) were the predominant granzyme-producing cells (Table 3).

FACS results were consistent with antibody blocking experiments (Table 4) of vaccinated mice that indicated a predominant contribution of CD4 T cells to the production of IFN γ . The level of inhibition of CD8 alone was partial, while blocking the response of CD4 T cells resulted in almost complete ablation of the IFN γ response. These results suggest that cooperation between CD4 and CD8 T cells are likely involved in an optimal secondary response, as has been observed in other systems [55-57].

Interestingly, blocking experiments indicated that CD4 T cells were also the predominant source of IL-10, as inhibition of CD4 cells extensively reduced the development of IL-10 responses. IL-10 CD4 Tr as well as CD4(+)CD25(-)Foxp3(-) Th1 populations have been reported to modulate leishmaniasis pathogenesis in non-vaccinated (naïve) mice [58-60] as well as to modulate protection elicited in response to prime-boost vaccination [61]. Although the precise CD4 T cell subpopulation(s) responsible for the IL-10 production remain to be determined, consistently in all groups of mice (control and vaccine), CD4 blockage led to lower levels of IL-10 produced, suggesting that CD4 T cells are generally the primary source of IL-10 during infection. As mice depleted of either CD4 or CD8 T cells (section below) before infection have levels of IL-10 comparable to those of non-vaccinated controls, vaccination importantly leads to overall reductions in IL-10 levels in response to infection; notably both T cell populations appear critical for this effect.

CD8 and CD4 T cells contribute to protection in mice vaccinated using α Galactosyl-ceramide-during DNA priming

To further evaluate the immunological consequences of NK T cell activation and evaluate the contributions of CD4 and CD8 T cells, we asked - which were the actual populations of effector cells induced by vaccination and did this significantly change in the presence of α GalCer during DNA priming. Cellular depletion (CD4 or CD8) was employed. Briefly, mice were vaccinated as indicated above and CD4 or CD8 T cells were depleted as previous described [62, 63] immediately prior to infection with *L. major*, depletion at this time in vaccinated mice would primarily target antigen-specific memory cells. Lesion development was monitored and immune responses to infection determined; at 13 weeks post-infection, the parasite levels at the cutaneous site of infection were enumerated.

As shown in Figure 5, lesion size development and parasite burdens were significantly increased in LACK-vaccinated mice depleted of either CD4 or CD8 T cells. In Figure 5A, although there was not significant difference between control mice (pCINeoDNA+WRLuc) and those vaccinated with DNAp36+VVp36 and depleted of CD8 T cells (p>0.05),

vaccinated mice depleted of CD4 T cells were still significantly protected (p=0.01). Vaccinated mice depleted of either CD4 or CD8 T cells were significantly less protected than their non-depleted counterparts (p=0.001 and 0.0001, respectively). In vaccinated mice receiving aGalCer together with DNAp36(Figure 5B), mice depleted of either CD4 or CD8 T cells were significantly less protected than their non-depleted counterparts (p=0.01 and 0.001, respectively). Overall parasite burden analyses (Figure 5C) confirmed these results. Protection in mice receiving aGalCer together with DNAp36was better than mice vaccinated with DNAp36 alone (p=0.0001, ANOVA). Further, the parasite burdens in mice depleted of CD8 T cells in either group (α GalCer together with DNAp36 or DNAp36 alone) were significantly higher than mice depleted of CD4 T cells (p=0.01 and 0.001, respectively).

Overall these results reveal a larger contribution/role of CD8 T cells in protection induced by DNA-VACV prime-boost vaccination. In particular in the DNAp36 + VVp36 vaccinated group, the reversal of protection was more pronounced for mice depleted of CD8 T cells; parasite numbers at the site of infection in CD8-depleted mice were increased 65-fold, while in CD4 depleted mice parasite levels were increased only 2.4-fold. However for the mice receiving aGalCer during DNA priming, the effect of CD4 cell depletion was more substantial and approached that found after depletion of CD8 cells, with 156- (CD4) and 875-fold (CD8) increases in parasite levels at the site of infection. These data suggest that NKT cell activation increased the antigen-specific T cell responses (CD4 and CD8) during DNA priming. However, it appeared that NKT cell activation preferentially facilitated the development of antigen-specific CD4 T cells, leading to an enhanced contribution of CD4 T cells to protection.

To further examine the effects of cellular depletion on the ongoing immune response and effect of the cells contributing to protection, cytokine responses were evaluated in the T cell depleted mice at 14 weeks post-infection; splenic lymphocytes were stimulated using SLA and IFN γ , and granzyme, nitric oxide and IL-10 levels were determined. Overall the effect of CD4 or CD8 T cell depletion resulted in the ablation of IFN γ , nitric oxide and granzyme production in response to infection. In contrast, either CD4 or CD8 depletion just prior to infection led to increased IL-10 production, which approached that of control mice (Table 5). IL-10+CD4+ T cell populations have been reported to be critical for parasite persistence in murine leishmaniasis caused by L. major [58-61]. As mice depleted of either CD4 or CD8 T cells before infection have levels of IL-10 comparable to those of non-vaccinated controls, it can be concluded that vaccination importantly leads to overall reductions in IL-10 levels induced during infection and that interactions between CD4-CD8 T cells may be critical to the ablatement of the IL-10 response. . Given the decrease in IL-10 levels observed in the vaccinated mice receiving aGalCer during DNA priming, these results suggest that NKT activation in the context of TLR9 activation by CpG may prevent the known NKT enhancement of Tr cell populations [39, 40].

Overall, experimental evidence indicates that NKT activation by aGalCer during intradermal DNA vaccination leads to enhanced curative Th1-like/TC1 CD4 and CD8 T cell responses which results in significant increases in protection against infection. Further, these studies appear to reveal that CD4↔CD8 interactions are critical/key to this protection during the memory/recall response, as the absence of either T cell subpopulation at the time of infection severely impacts on the development of the host immune response. Hence, CD4 and CD8 T cells are important as memory populations as well as their direct effector functions to the development of a protective response to infection.

Discussion

A heterologous DNA-vaccinia virus (VACV) prime-boost antigen delivery system has been shown to be efficacious for the control of infectious diseases in animal model studies and in human trials [18, 20, 64-73]. A prime/boost regime using DNA and vaccinia viruses expressing the leishmanial LACK antigen has been shown to be protective against murine *L. major* infection [21-23] and is effective in inducing protection against visceral leishmaniasis caused by *L. infantum*, while DNA vaccination alone fails to protect [16, 24]. However, the efficacy of DNA priming is known to be limited; consequently, adjuvants (cytokines, costimulatory molecules, delivery vehicles) with potential to enhance DNA priming have been sought [21, 25].

The use of recombinant protein cytokines or DNA encoding cytokines (IL-12, IL-18) have been demonstrated to enhance DNA priming, leading to increased protection against leishmanial infection [21]. However, in terms of vaccine development, the use of synthetic immunomodulatory compounds, such as a GalCer, offer similar advantages to DNA in terms of stability and production, while having direct immediate effects on the target cell population. Additionally, structural modification of the parent molecule has been shown to produce longer-lived NKT activation or the induction of specific cytokine profiles [51, 74]. Further, a GalCer has already been utilized in the treatment of human tumors [75, 76]. In terms of potential vaccine use, NKT activation has been reported to lead to enhanced CD4 and CD8 T cell effector responses [29, 30, 46] as well as induce dendritic cell maturation [31]. However, the precise response (Th1 versus Th2) that develops as a consequence to a Galcer activation of NKT cells is not always predictable [38]; for example, a GalCer provides protection in a murine model of malaria [42, 44], but disease exacerbation was found in Trypanosoma cruzi vaccine studies in mice [41]. However, CpG has been shown to enhance NKT cell activation through interplay between plasmacytoid and myeloid dendritic cells [35, 36], which may be in part dependent upon TNFa [35]. Recent studies indicate that activated NKT cells increase dendritic cell migration and enhance CD8 T cell responses in the skin [77]. Consequently, the interaction of adjuvants (and developing inflammatory milieu) in a vaccine employing intradermal DNA-priming (TLR9) together with NKT cell (aGalCer) activation could selectively amplify the developing Th1/TC1 immune response. Results from analyses of vaccination of mice indicate that this has occurred; mice vaccinated with a GalCer showed higher levels of both CD4 and CD8 T cells upon infection. These cells produced higher levels of IFN γ /granzyme and lower levels of IL-10 in response to infection. In addition, depletion experiments revealed that both populations (CD4 and CD8) were important for protection, while in mice not receiving a GalCer, a predominant role for CD8 T cells was apparent. Notably, these effects (elevated IFN γ /granzyme, lower IL-10 and increased protection) were not observed in vaccinated NKT deficient mice.

CD4 and CD8 T cells producing INF γ have been demonstrated to be important for the control of cutaneous leishmaniasis caused by *Leishmania major* infection [12, 13, 26-28] as well as visceral disease [78-80]. In the current study, depletion experiments indicate that neither population is sufficient for protection, in that immunodepletion of either CD4 or CD8 T cells prior to infection for mice receiving aGalCer results in reversal of protection. Interestingly, aGalCer/NKT activation during DNAp36 priming leads to enhanced CD4 T cell contribution to protection in comparison to DNAp36-vaccination alone. These results suggest that the interactions between CD4-CD8 T cells are required for the activation and development of effector populations. Previous studies have demonstrated that the contributions of CD8 IFN γ was critical to vaccination induced by DNAp36 alone [12-14] and that this led to heightened CD4 T cell activation. Although somewhat controversial [81], the interaction between T cell subpopulations (and a requirement of both populations during chronic infection or secondary responses) has been documented in other systems and

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recently for L. major infection [55-57, 82]. It is unclear in the current study what the role of CD8 T cells might be in protection; however, it is clear that overall these cells are not the dominant source of IFN γ . Previous leishmaniasis vaccine studies have shown that CD8 IFN γ [13, 64] can be critical in the development of CD4 T cell responses and indeed, such mechanisms may also be involved in the prime-boost vaccinated mice. However, VACV vaccination is known to lead to the development of cytolytic CD8 T cells. The role of CTL CD8 T cells in vaccine-mediated protection has not been extensively studied. Only in the case of vaccine studies against L. amazonensis using a murine model and the P-8 proteoglycolipid complex antigen has a role for perforin and CD8 T cells been documented [63]. However, in mice primed with DNAp36 alone, little if any consequence of CD4 T cell depletion was found, suggesting that CD8 T cells alone can provide significant protection and the question arises as to the CD8-mechanisms pertinent to this protection. We found increased levels of granzyme B as a consequence of vaccination - in both CD4 and CD8 populations, suggesting that these CTL populations could contribute to disease control. Further studies are required to determine this point. However, these could point to additional effector targets for vaccine development.

The ideal vaccine against leishmaniasis would be one that protected against the various species and forms of disease (visceral, cutaneous and mucocutaneous). However, the literature suggests that the ability to provide cross-protection is elusive [16, 78, 83]. We have previously demonstrated that heterologous prime-boost vaccination (DNA-VACV) can provide protection against both cutaneous and visceral leishmaniasis. We have demonstrated herein that the development of protective memory and effector populations are enhanced through the activation of NKT populations during DNA priming. Consequently, a multiple-subunit vaccine employing this modality could provide for an effective protection across *Leishmania* species, and the development of a pan-leishmaniasis vaccine. However, work is required to determine if this modality represents a vaccine modality for humans; given the known effects of a GalCer in humans [29-31, 46, 75, 76] these results are encouraging.

Materials and Methods

Parasite strains and animals

L. major strain WR309 (MHOM/IL/79/LRC-L251) were grown as promastigotes at 24°C in Schneider's *Drosophila* medium (Sigma, Saint Louis, MO) supplemented with 15% heat inactivated fetal bovine serum and antibiotics, as previously described [84]. The virulence of the strain was preserved by periodic passage through BALB/c mice. All mice used in this study were BALB/c background (WT or NKT-deficient). Breeding pairs of BALB/c-NKT deficient mice were kindly provided by Dr. Malcolm Duthie (Infectious Disease Research Institute, Seattle, WA). BALB/c mice (4-6 weeks of age) were purchased from the NIH National Cancer Institute (Frederick, MD). All mice were housed in the Yale University School of Medicine, an American Association for Accreditation of Laboratory Animal Careapproved animal facility (Federal Animal Welfare Assurance number A3230-01). Sentinel mice were periodically checked for the presence of viruses in the colony.

Plasmids, recombinant p36 protein and recombinant vaccinia viruses

The gene encoding for *L. infantum* p36 protein was obtained as described [22] and inserted downstream of the cytomegalovirus (CMV) promoter into the SmaI site of the pCI-neo vector (Promega, Madison, WI). The empty plasmid pCI-neo (Promega) was used as control (DNA control). Plasmid DNA was purified using the Qiagen Endofree Plasmid Maxi Kit (Qiagen Inc., Valencia, CA) and eluted in pyrogen-free deionized water. The endotoxin level of the purified plasmid was tested using QCL-1000 Chromogenic LAL Test (Lonza

Walkersville Inc., Walkersville, MD) before immunization. Preparations had a maximum of $0.1 \text{ ng LPS}/100 \mu \text{g DNA}$.

WR-LACK (WRp36) was derived from the WR strain and was prepared using standard methods previously described [22, 45, 85, 86].

Prime-boost vaccination and infection of mice with Leishmania major

Mice (7 to 10/group) were primed intradermally with p36 (LACK) plasmid DNA or control vector DNA (100 μ g/mouse in 100 μ l of phosphate buffered saline) together with or without a-galactosylceramide (aGalCer; 2 μ g/mouse). Mice were boosted intraperitoneally two weeks later (14 d.p.i.) with WRp36 (1×10⁷ PFU/mouse). Mice immunized earlier with the control DNA were boosted with 1×10⁷ PFU of the control virus encoding for the luciferase gene (WR-Luc). Infection control mice received phosphate buffer saline (PBS) alone during the two immunizations. Three weeks after boosting, three of the mice from each group were sacrificed and spleen and draining lymph node were collected for immunological analyses. At the same time, other mice (minimally four per group) were infected in the right rear hind foot with 5×10⁴ late-stationary phase *L. major* promastigotes. The course of infection was monitored by measuring the lesion development; using a dial gauge caliper (Starrett Thickness Gauge), the ratio of the infected compared with the (left) uninfected foot was determined. At the end of monitoring lesion development, the mice were sacrificed and the parasites were enumerated in the cutaneous tissue (site of infection) and draining lymph node by a limiting dilution assay, as reported previously [87].

Immunodepletion of CD4+ or CD8+ T cells

Anti-CD4 (L3T4) or anti-CD8 (Ly-2) monoclonal antibody obtained from eBioscience (San Diego, CA) was employed for cellular immunodepletion of vaccinated mice. For T cell depletion, mice were injected intraperitoneally with 100 μ g of anti-CD4 (clone GK1.5) or anti-CD8 (clone 53-6.7) antibody on days –3 and –1 of infection. Efficacy of depletion was monitored by flow cytometry and showed that more than 95% of the target cell population was depleted.

Cytokine Assays

Splenic lymphocytes and lymph node cells from control and immunized mice were harvested. Single cell preparations were made in RPMI medium supplemented with 10% fetal bovine serum, 2-mercaptoethanol, antibiotics and L-glutamine. Cells $(5 \times 10^6 \text{ per well})$ were plated in 24 well plates (Costar, Cambridge, MA) and stimulated at 37 °C with purified recombinant LACK (5 µg/ml) antigen, soluble leishmanial antigen (SLA; 25 :g/ml)), concanavalin A (1 :g/ml) or left unstimulated. For antibody blocking experiments, cells were cultured in the presence of 20 :g/ml of inhibitory anti-CD4 and/or anti-CD8 antibody (as indicated above for depletion experiments) or isotype control (eBiosciences, San Diego, CA). Preliminary experiments indicated that maximal blocking was achieved under these conditions. Supernatants were collected after 72 h of incubation and stored at –20°C until used. Cytokine levels were measured from culture supernatants by sandwich ELISA, according to the manufacturer's specifications, as previously described [84, 87]. Background cytokine levels were determined using supernatant from unstimulated cell populations.

FACS analyses were performed as previously described [63]. Cell surface staining for CD4 and/or CD8 was performed using specific FITC- or CyChrome antibody conjugates (BD Pharmingen, San Jose, CA). For intracellular cytokine staining, cells were treated with Golgi Plug (BD Pharmingen, San Jose, CA) for 4 hrs before harvesting, stained for surface markers (CD4 (L3T4 of H129.19 clone) and CD8 (Ly-2 of 53-6.7 clone)), and then fixed and permeabilized [63]. Cells were subsequently stained for either IFNγ (BD Pharmingen)

or granzyme B (eBioSciences) using a PE-conjugated specific antibody. Cell fluorescence was measured using a FACScan (Beckton Dickinson); data were analyzed using Flow Jo software (Tree Star, Inc.).

Measurement of nitrite/nitric oxide

Nitric oxide (NO) production was measured as nitrite using the Greiss reaction as previously described [88]. Briefly, to each well 96-well flat-bottom microtiter plate (Nunc, USA) containing 50 :1 of culture supernatant equal volumes of the Greiss reagents (1% sulfanilamide and 0.1% naphthylethylenediamine dichloride in 2.5% phosphoric acid) were added and incubated at room temperature for 10 minutes. Standards of NaNO₂ using concentrations from 1.56 to 100 μ M NaNO₂ were employed with each assay. Absorbance was measured at 540 nm with the Bio-tek plate reader (Bio-tek Instruments, Winooski, VT). Background concentrations were assessed using supernatant from unstimulated splenocytes.

Antibody response

Antibody levels to LACK (p36) antigen and soluble leishmanial antigen (SLA) were assessed by ELISA. Total IgG as well as IgG1 and IgG2a isotype responses were evaluated. This was conducted on sera collected from each group of animals before challenge and at one month post-challenge. The ELISA was performed as previously described [21] with some modifications. Briefly, 96-well Maxisorp plates (Nunc, USA) were coated overnight at 4° C with 100µl of purified recombinant p36 protein (5 µg/ml). The following day, plates were washed four times with PBS-0.05% Tween 20 (PBS-T) and blocked with 3% BSA in PBS-T (blocking buffer) for two hours at 4°C. Sera were diluted in blocking buffer at 1:50 and 1:400 for pre- and post-challenge sera respectively, and incubated overnight at 4°C. Then, plates were washed and peroxidase-conjugated goat anti-mouse total IgG (H+L) (Pierce, Rockford, IL), IgG1 or IgG2a (Southern Biotechnology Associated, Birmingham, AL) was added. Reactions were developed using ATBS (Kirkegaard Perry Laboratories, Gaithersburg, MD). Absorbance was read at 405 nm with the Bio-tek plate reader (Bio-tek Instruments, Winooski, VT).

Evaluation of the parasite burden

The total number of parasites in the various infected tissues (cutaneous site, draining lymph node) was evaluated by limiting dilution analysis using Schneider's *Drosophila* medium, as previously described [87]. The individual parasite burdens from four mice per group were determined; results are expressed as the averaged value \pm standard errors of the parasite burdens obtained from each group.

Statistical analysis of data

Data are presented as mean \pm standard error. The Mann-Whitney Test was performed to assess the statistical significance between data acquired from mice primed with p36 (LACK) with and without alpha-galactosylceramide. For multiple group comparisons, Kruskal-Wallis one-way analysis of variance (ANOVA) was performed followed by a Dunn's post test. For all these statistical analyses, P values less than or equal to 0.05 were considered significant.

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Abbreviations

L	Leishmania
VACV	vaccinia virus
LACK	Leishmania homologue of receptors for activated C kinase
aGalCer	α-galactosyl-ceramide
CL and VL	cutaneous and visceral leishmaniasis





Mice were primed intradermally with p36 (LACK) plasmid DNA (DNAp36; 100 µg) with or without a-galactosylceramide (2µg aGalCer). Mice were boosted two weeks later with VVp36 (1×10⁷ PFU). Control groups of mice were vaccinated with either control DNA followed by 1×10^7 PFU WR-Luc (vaccine controls) or received PBS alone (infection controls). Three weeks after boosting, mice were infected in the right rear hind foot with 5×10^4 late-stationary phase *L. major* promastigotes. A) Lesion development with time post-infection in mice receiving: -**I**-, PBS (control); -**O**- pCINeoDNA + WR-Luc (vector control); -**A**-, DNAp36 + VVp36; -*-, p36DNA+aGalCer + VVp36. (* - p36DNA versus p36DNA+ aGalCer p=0.03) B) Evaluation of parasite burdens at 13 weeks post-infection. **I**, PBS (control); **I**, pCINeoDNA and WR-Luc (vector control); **I**, DNAp36 + WRp36; **I**, p36DNA+aGalCer + WRp36 (* - p36DNA versus p36DNA + aGalCer p=0.02).). The results presented are representative of 3 independent experiments.





Mice were primed intradermally with p36 (LACK) plasmid DNA (DNAp36; 100 μ g) with or without α -galactosylceramide (2 μ g α GalCer) and were boosted two weeks later with WRp36 (1×10⁷ PFU) (as indicated in Figure 1). Shown are the results from ELISA analyses of splenic cells stimulated with either recombinant LACK antigen (p36) or soluble leishmanial antigen (SLA), three weeks after the final VVp36 boost: **I**, p36 antigen; **D**, soluble leishmanial antigen (SLA). These results are representative of 3 independent experiments.



Figure 3. Antibody Responses of Vaccinated Mice

The antibody responses of the vaccinated and control mice were evaluated at 13 weeks postinfection against: A) LACK antigen (1:500) and B) SLA (whole leishmanial lysate; 1:1000). The levels of total IgG as well as specific IgG1 and IgG2 were determined as indicated in Materials and Methods Section. The antibody responses of mice receiving α GalCer +DNAp36 versus DNAp36were significantly different for: LACK: Total IgG (p=0.0001); IgG1 (p=0.04); IgG2a (p=0.00001); SLA: Total IgG (p=0.03); IgG2a (p=0.01); IgG1 (not significant). These results are representative of 3 independent experiments.



Figure 4. NKT Cells are Required for Increased Protection Induced by aGalCer

To verify the role of NKT cells in the α GalCer enhancement of protection induced during DNAp36 priming, groups of NKT deficient BALB/c mice were vaccinated and challenged with *Leishmania major*: - \blacksquare -, PBS-NK-T (control) - \bullet - pCINeoDNA and WR-Luc (vector control); - \blacktriangle -, DNAp36 + VVp36; -*-, p36DNA+ α GalCer + VVp36; also shown is the course of infection for control - \blacklozenge -, PBS WT-BALB/c mice; (DNAp36 versus DNAp36+ α GalCer p=0.45).

B) Evaluation of parasite burdens at 13 weeks post-infection. **I**, BALB/c (WT) PBS (control); **I**, NK-T PBS (Control); **S**, NK-T pCINeoDNA and WR-Luc (vector control); **I**, NK-T DNAp36 + VVp36; **I**, NK-T DNAp36+ α GalCer + VVp36. (DNAp36 versus DNAp36+ α GalCer P=0.65) These results are representative of 2 independent experiments. It should be noted that this experiment was performed together with the experiment shown in Figure 5. Hence an enhanced protection was observed for BALB/c mice vaccinated with p36DNA+ α GalCer.

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Figure 5. Immunodepletion of CD4 or CD8 T cells reverses protection in vaccinated mice Mice were vaccinated as indicated above and CD4 or CD8 T cells were depleted as previous described [62, 63] immediately prior to infection with *L. major*, lesion development was monitored (Figures 5 A and 5B), as described in Materials and Methods section. At 13 weeks post-infection, the parasite levels in the cutaneous site of infection were enumerated (Figure 5 C). **I**, PBS (control); **I**, pCINeoDNA and WR-Luc (vector control); **I**, DNAp36 + VVp36; **I**, DNAp36+αGalCer + VVp36; **I**, DNAp36 + VVp36- CD4 depleted; **I**, DNAp36 + VVp36 – CD8 depleted; **I**, DNAp36+αGalCer + VVp36-CD4 depleted; and **I**, DNAp36+αGalCer + VVp36 –CD8 depleted. These results represent the averaged values of 5 mice/group.

T Cell Responses to LACK Antigen in Vaccinated Mice at Five Months after the VVp36 Boost Table 1

Vaccine Group		IFNγ (ng/ml	(П-	10 (pg/n	JI)
	ConA	SLA	LACK	ConA	SLA	LACK
PBS	12 ± 4.1	15 ± 0.5	15 ± 0.1	152 ± 2.8	ND	6.8±2.9
Control DNA + WRLuc	$14{\pm}6.2$	27±0.4	52±0.6	176.6±4.3	ND	127.2±4.6
DNAp36 + VVp36	$160{\pm}2.6$	82±1.2	199 ± 2.8	420 ± 1.7	ND	634±15.0
$DNAp36, \alpha GalCer + VVp36$	$190{\pm}4.1^{*}$	$111\pm4.0^*$	$272\pm6.0^{**}$	$46.8\pm4.9^{****}$	ND	$459{\pm}15.6^{***}$

Cytokine Responses in Mice 5 Months after p36Vaccinia Virus Boost. Additional mice (3/group), vaccinated as part of the experiment shown in Figure 1, were allowed to rest for 5.5 months after the final VV p36 boost. Subsequently, splenic cells were isolated and stimulated with concanavalin A, rLACK antigen or soluble leishmanial antigen (SLA) and the levels of IFN y and IL-10 determined. In addition, antibody responses were evaluated. These results indicate a sustained enhanced immunologic response in the mice receiving DNAp36 together with a GalCer. Comparison of cytokine levels between mice receiving DNAp36 + VVp36 to those vaccinated with DNAp36, a GalCer + VVp36.

* p <0.05; ** p <0.01; *** p<0.001; **** p <0.0001; ND= not determined.

		Vaccin	ation Group	
	Control (PBS)	pCINeo+GalCer + WRLuc	DNAp36 + VVp36	DNAp36+aGalCer + VVp36
IFNγ (pg/ml)	19.1 ± 6.4	56.6 ± 5.1	5153 ± 107 *	5958 ± 88 *
IL-10 (pg/ml)	835 ± 81	735 ± 70	$202 \pm 40^{*}$	165 ± 50 [*]
Granzyme (ng/ml)	0.00 ± 0.00	0.03 ± 0.0	1.4 ± 0.7 *	1.26 ± 0.3 *
Nitric Oxide (µM)	0.06±0.01	0.12 ± 0.03	9.12 ± 0.83 *	8.02 ± 1.11 *

 Table 2

 Immune Responses at 13 Weeks Post-Infection NK-T Deficient Mice

T Cell Responses of NKT Vaccinate Mice. Shown are the responses of splenic lymphocytes from NKT deficient mice vaccinated and then infected with *Leishmania major* as indicated in Materials and Methods Section. At 13 weeks post-infection, splenic lymphocytes were stimulated with SLA and the levels of IFN γ , IL-10, granzyme and nitric oxide measured. NKT deficient mice receiving DNAp36+ α GalCer or DNAp36 alone displayed comparable IFN γ , granzyme, nitric oxide and IL-10 responses. Consequently, the effect of α GalCer on DNA priming was mediated through the activation of NKT cells.

None of the responses found were signicantly different between the mice receiving DNAp36 + VVp36 in comparison to those vaccinated with $DNAp36+\alpha GalCer + VVp36$; p>0.05. These results are representative of 2 independent experiments.

Table 3

Effect of NKT Activation During DNA36 Priming on the Development of CD4 and CD8 T cell Responses

	PBS (2×)	pCIneo+Galcer+ WRluc	DNAp36+VVp36	DNAp36+Galcer+ VVp36
% CD4 - IFNγ	0.45±0.2	0.97±0.1	2.76±0.3*	4.07±0.47*
% CD8 - IFNγ	0.25±0.07	0.92±0.14	1.4±0.32**	2.83±0.3**
% CD4 Granzyme B	0.047±0.01	0.076±0.02	0.14±0.3 ***	0.83±0.1 ***
% CD8 Granzyme B	nzyme B 0.064±0.01 0.33±0.09		1.34±0.3**	2.6±0.5 ***

FACS Analysis of Responding T cell Populations in Vaccinated and Control Mice at 13 Weeks Post-Infection. Shown are the effects of NKT activation during DNAp36 priming on the development of CD4 and CD8 T cell responses. The results of FACS analyses of spleen cells isolated from mice 13 weeks post-infection with *L. major* (PBS-Control, Vaccine Control (pCINeo+GalCer + WRLuc), DNAp36 +VVp36, and DNAp36+GalCer + VVp36) and stimulated for 3 days with SLA antigen are shown. Cell surface staining for (CD4 and/or CD8) and intracellular cytokine and granzyme staining were performed as indicated in the Materials and Methods Section. Cellular levels in mice vaccinated with DNAp36 followed by VVp36 in comparison to those receiving DNAp36+αGalCer and then boosted with VVp36 indicate significant differences with p values as follows:

______p<0.05

** p= 0.01

*** p=0.001.

Data represent the averaged values of three mice and are representative of 3 independent experiments.

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In vitro Inhibition of CD4 and CD8 T cell Responses at 13 weeks post-infection in BALB/c (WT) vaccinated mice

Group SLA IA anti-CD4 SLA IA anti-CD4 SLA anti-CD4 <th></th> <th></th> <th></th> <th>IFN((ng/ml)</th> <th></th> <th></th> <th></th> <th>IL-10 (pg/ml)</th> <th></th>				IFN((ng/ml)				IL-10 (pg/ml)	
PBS 0 ± 0	Group	SLA	SLA –anti-CD4	SLA –anti-CD8	SLA –anti-CD4 & CD8	SLA	SLA –anti-CD4	SLA -anti-CD8	SLA –anti-CD4 & CD8
Pcineo+Galcer+WRluc 0 ± 0 0 ± 0 0 ± 0 0 ± 0 0 ± 0 821 ± 37 27.2 ± 3.4 626 ± 18 20.8 ± 1.3 DNAp36+VVp36 66.2 ± 1.2 2.7 ± 0.04 47.6 ± 3.1 0.7 ± 0.1 281 ± 15 11.7 ± 1.5 180 ± 12 5.6 ± 0.7 DNAp36+Galcer+VVp36 85.5 ± 0.9 3.3 ± 0.2 73 ± 4.2 1.05 ± 0.03 164 ± 8 8.3 ± 0.7 122 ± 20 2.5 ± 0.9	PBS	0=0	0 ± 0	0 ± 0	0 ± 0	981±59	45.3±2.7	706±46	35.2 ± 2.1
DNAp36+VVp36 66.2±1.2 2.7±0.04 47.6±3.1 0.7±0.1 281±15 11.7±1.5 180±12 5.6±0.7 DNAp36+VVp36 85.5±0.9 3.3±0.2 73±4.2 1.05±0.03 164±8 8.3±0.7 122±20 2.5±0.9	Pcineo+Galcer+WRluc	0=0	0 ± 0	0 ± 0	0 ± 0	821±37	27.2± 3.4	626±18	20.8 ± 1.3
DNAp36+Galcer+VVp36 85.5±0.9 3.3±0.2 73±4.2 1.05±0.03 1.64±8 8.3±0.7 122±20 2.5±0.9	DNAp36+VVp36	66.2±1.2	2.7 ± 0.04	47.6 ± 3.1	$0.7{\pm}0.1$	281±15	11.7 ± 1.5	$180{\pm}12$	5.6 ± 0.7
	DNAp36+Galcer+VVp36	85.5±0.9	3.3 ± 0.2	73±4.2	1.05 ± 0.03	164 ± 8	8.3 ± 0.7	122 ± 20	2.5 ± 0.9

and effect of the cells contributing to protection, cytokine responses of splenic lymphocytes were evaluated *in vitro*. Cells were treated or not with anti-CD4, anti-CD8 or both as indicated. Cells were then stimulated as indicated in the Materials and Methods Section for 72 hours and the cytokine levels determined. Ongoing Immune Response to Infection of Vaccinated Mice Immunodepleted In Vitro of Either CD4 or CD8 T Cells. To further examine the effects of cellular depletion on the ongoing immune response

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Table 5
Immune Responses of Vaccinated and CD4 and CD8 Deficient/Depleted BALB/c Mice in
Response to Leishmania major Infection

Group	IFNy (ng/ml±SD)	Granzyme (ng/ml±SD)	IL-10 (pg/ml+SD)	Nitric Oxide
PBS (2×)	0±0	0±0	981±60	0.7±0.2
pCIneo+Galcer+WRluc	0±0	0±0	822±37	1.5±0.5
DNAp36+VVp36	66.2±1.2	1.5±0.3	282±15	15.6±0.3
DNAp36+Galcer+VVp36	85.5±1	3.5±0.6	164±8	24±0.5
DNAp36+VVp36 CD4dpl	5.7±0.7	0.8±0.2	470±21	6.3±0.1
DNAp36+VVp36 CD8dpl	2.1±0.3	0.4±0.1	650±25	2.6±0.1
DNAp36+Galcer+VVp36 CD4dpl	7±0.8	1+0.1	343±14	8.6±0.2
DNAp36+Galcer+VVp36 CD8dpl	1.3±0.7	0.5±0.2	640±60	4±0.6

To examine the effects of *in vivo* CD4 and CD8 T cell depletion on the ongoing immune response to infection, cytokine responses were evaluated *in vitro* of splenic lymphocytes. Cells were treated or not with anti-CD4, or anti-CD8 as indicated in the Materials and Methods Section. Cells were then stimulated for 72 hours and the cytokine levels determined.