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## Diabetic Cardiomyopathy: Bench to Bedside

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### Abstract

The study of diabetic cardiomyopathy (diabetic CM) is an area of significant interest given the strong association between diabetes and the risk of heart failure. Many unanswered questions remain regarding the clinical definition and pathogenesis of this metabolic cardiomyopathy. This article reviews the current understanding of diabetic CM with a particular emphasis on the unresolved issues that have limited translation of scientific discovery to patient bedside.

### Keywords

diabetic cardiomyopathy; mitochondria; heart failure; metabolism

### Case Vignette

A 58-year male with no past medical history presents with 3 months of progressive dyspnea on exertion and mild LE edema. On initial evaluation his hemoglobin A1c is elevated at 7.8%, fasting triglycerides are increased at 220 mg/dl, and his HDL is low at 30 mg/dl. He undergoes an echocardiogram which reveals moderate LVH, low normal EF (45%), pseudonormal diastolic filling, and a dilated IVC. He has mild, diffuse coronary artery disease on cardiac catheterization and his blood pressure is 135/80.

What is the most likely etiology of his heart failure?

How does diabetes influence cardiac metabolism and function?

How should his diabetes be treated given his cardiomyopathy?

### 1. Introduction

The prevalence of obesity in the United States has reached epidemic proportions. As a consequence, obesity related diseases, such as diabetes, also continue to increase at a staggering rate. Cardiovascular complications are common in diabetics and account for the majority of morbidity and mortality in this population. In particular, the link between

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diabetes and heart failure (HF) has gained increased attention over the past several decades. The term “diabetic cardiomyopathy” (diabetic CM) was first coined in the early 1970’s by Rubler, who identified 4 patients at autopsy with diabetic nephrosclerosis and a non-ischemic cardiomyopathy<sup>1</sup>. Since that time epidemiologic studies have confirmed that diabetics are more than twice as likely to develop HF compared to non-diabetics<sup>2</sup>. Moreover, the survival of diabetic HF patients is also reduced relative to those without diabetes<sup>3</sup>. For these reasons, understanding the pathogenic mechanisms responsible for diabetic myocardial disease is of significant interest.

The accepted clinical definition of diabetic CM is the presence of diastolic or systolic cardiac dysfunction in a diabetic patient without other obvious causes for cardiomyopathy, such as coronary artery disease (CAD), hypertension (HTN), or valvular heart disease. Given the vague nature of this definition and lack of true diagnostic criteria, diabetic CM remains a somewhat elusive entity. However, extensive clinical and animal model research has identified certain structural and pathologic findings that characterize this metabolic cardiomyopathy. Typically, left ventricular hypertrophy (LVH) and diastolic dysfunction are the earliest manifestations of diabetic CM, with systolic dysfunction occurring later in the course of disease. However, given the loose clinical criterion for diagnosing diabetic CM, there is some uncertainty as to its natural history.

The strong association between diabetes and HF has fueled intense human and animal research aimed at identifying the mechanisms underlying diabetic myocardial disease. Several pathologic abnormalities have been identified in the diabetic heart including myocardial lipid overload, altered substrate utilization, oxidative stress, fibrosis, inflammation, and mitochondrial dysfunction. Although significant progress has been made, the precise underpinnings of diabetic CM remain controversial. In fact, many still question whether diabetes in and of itself is capable of producing overt HF. In this chapter, we will discuss the current thinking with regards to the pathogenesis and management of diabetic CM, with an emphasis on areas of uncertainty. In addition, the interplay between diabetes and other HF risk factors will be discussed.

## 2. Pathogenesis

The pathogenesis of diabetic CM is complex and multifactorial (Fig. 1). However, several common themes have emerged. This section will focus first on the structural and functional abnormalities that occur in the diabetic heart, and then review the potential molecular mechanisms contributing to myocyte dysfunction.

### 2.1 Structural and functional characterization of Diabetic CM

**LVH**—LVH is a significant predictor for the development of heart failure, and is associated with increased mortality<sup>4,5</sup>. Although hypertension is the leading risk factor for the development of LVH, substantial evidence indicates that diabetes can also trigger this pathologic remodeling response. Echocardiographic studies performed in diabetic patients have consistently shown a strong association between diabetes, increased LV mass, and LVH even in the absence of coexistent HTN<sup>6,7</sup>. Moreover, obesity itself also portends an increased risk of concentric LVH independent of elevated blood pressures<sup>8</sup>. Consistent with this observation, there is evidence to suggest that adipose tissue derived cytokines may contribute to cardiac hypertrophy in situations of nutrient excess<sup>9</sup>. Moreover, hyperinsulinemia may also contribute to cardiac myocyte hypertrophy<sup>10</sup>. Although the precise mechanisms of the hypertrophic response to metabolic stress remain to be fully elucidated, LVH has become a defining structural characteristic of diabetic CM.

**Diastolic Dysfunction**—LVH and hypertrophic remodeling are associated with abnormal myocardial relaxation and diastolic dysfunction. Similar to the data surrounding LVH in metabolic disease, there is strong link between diabetes and diastolic dysfunction. In fact, diastolic abnormalities are thought to be amongst the earliest functional manifestations of diabetic CM. The prevalence of diastolic dysfunction in diabetics ranges between 40–75%<sup>11, 12</sup>. Moreover, the majority of type 1 and type 2 diabetic animal models also reveal diastolic function abnormalities by echocardiography and pressure-volume loop analysis<sup>13</sup>. Importantly, diastolic dysfunction is apparent in these models even in the absence of HTN or CAD. The mechanism of diastolic dysfunction in the diabetic heart may be a consequence of abnormal calcium handling, impaired energetics, cardiac lipid accumulation, and/or myocardial fibrosis. Interestingly, the early stages of diastolic dysfunction are reversible in diabetics who lose weight and normalize their metabolism<sup>14</sup>. This finding implies that the pathogenesis of diabetic CM may have a reversible phase and emphasizes the importance of early, aggressive lifestyle modification in diabetics with impaired myocardial relaxation.

**Systolic Dysfunction**—Reduced LV systolic function is generally considered to be a late manifestation of diabetic CM. It is unknown whether systolic HF is the final common pathway of diabetic CM or is an alternate phenotype determined by the interaction between genetics and diabetes in susceptible individuals. It is also important to recognize that many diabetics with “normal” ejection fraction may actually have impaired systolic function when more sophisticated measures, such as myocardial strain measurements or tissue doppler, are employed<sup>15</sup>. As such, the early stages of systolic dysfunction are likely to go unrecognized clinically. Similar to the general population, the presence of systolic HF is associated with worse prognosis in patients with diabetes.

## 2.2 Cellular and Molecular Defects in Diabetic CM

**Glucotoxicity**—Hyperglycemia has long been considered a central component in the pathogenesis of diabetic CM. In part, this is supported by evidence that poor blood glucose control correlates with increased risk of developing HF in diabetic patients<sup>16</sup>. In general, hyperglycemia is thought to contribute to cardiac dysfunction through two potential mechanisms: 1) the generation of advanced glycation end products (AGEs) and/or 2) the induction of oxidative stress.

Elevated blood glucose levels can lead to the non-enzymatic post-translational modification of proteins, lipids, and nucleic acids. Following rearrangement to the more stable amadori products these modified molecules are referred to as AGEs<sup>17</sup>. Not surprisingly, the level of AGEs in serum and tissue are significantly elevated in patients with diabetes<sup>18</sup>. In addition to impairing the function of modified proteins or nucleic acids, AGEs can trigger biologic responses via the receptor for AGEs, or RAGE. RAGE is a member of the immunoglobulin superfamily that is expressed on a wide variety of cells including macrophages, cardiac myocytes, endothelial cells, and smooth muscle cells<sup>19</sup>. RAGE signaling leads to the activation of MAP kinases, PI-3 Kinase, rho GTPases, NF- $\kappa$ B, and NADPH oxidase which triggers inflammatory cytokine production and reactive oxygen species (ROS) generation. Evidence supporting a role for RAGE activation in the pathogenesis of cardiac dysfunction in diabetes was recently published using a streptozotocin (STZ)-induced model of type 1 diabetes in rats. In this study, treatment of diabetic rats with aminoguanidine, a small molecule that prevents AGE formation, reduced ventricular and vascular stiffening<sup>20</sup>. However, aminoguanidine also has RAGE-independent effects that may be relevant to this phenotype. There is still debate about the importance of AGE/RAGE signaling in diabetic CM as most of the data comes from animal models of profound and untreated hyperglycemia. Thus, additional research will be necessary to address this question.

Hyperglycemia has also been linked to increased oxidative stress independent of RAGE. The mechanism of ROS generation in this instance can occur via increased glucose flux through the polyol pathway, hexosamine pathway, and mitochondrial oxidative phosphorylation<sup>21</sup>. A more detailed discussion of oxidative stress in the pathogenesis of diabetic CM is presented below.

Given the dogma that hyperglycemia drives diabetic cardiovascular disease, it is surprising that clinical studies have failed to show that aggressive blood glucose control reduces the incidence of cardiovascular complications in diabetics<sup>22, 23</sup>. Although this may reflect an inadequate understanding of how best to lower blood glucose, an alternative explanation is that other metabolic factors contribute to the pathogenesis of diabetic myocardial disease independent of hyperglycemia. Potential candidates are altered lipid metabolism, inflammation, and mitochondrial dysfunction. These factors and their sequela will be discussed in the following sections.

**Altered lipid metabolism**—The heart is a metabolic omnivore that is capable of using diverse substrates for ATP generation. Under normal conditions the heart generates ~ 70% of its ATP from fatty acid oxidation (FAO), with glucose oxidation contributing most of the remainder<sup>24</sup>. Substrate flexibility is also a hallmark of cardiac metabolism. To maintain adequate ATP generation in the face of physiologic or metabolic stress the heart must be able shift substrate utilization. This metabolic flexibility is thought to play a crucial role in protecting cardiac myocytes from injury when ATP demand increases and/or substrate availability decreases.

The impact of obesity and diabetes on myocardial metabolism has been well studied over the past 2 decades. In diabetes, the heart is bathed in elevated concentrations of fatty acids and glucose. Human studies using positron emission tomography tracers have reproducibly demonstrated increased basal FAO and reduced glucose oxidation rates in patients with obesity and diabetes<sup>25, 26</sup>. Consistent with these findings, in vivo and ex vivo animal models have also shown that type 1 and type 2 diabetes lead to increased myocardial FAO capacity<sup>27, 28</sup>. As observed in human studies, animal models also confirm that both glycolysis and glucose oxidation are reduced in the diabetic myocardium<sup>29</sup>. In part, this is a reflection of myocardial insulin resistance<sup>30</sup>. Thus, despite the presence of elevated glucose in the extracellular environment, its uptake and utilization is impaired in the diabetic heart.

Metabolic reprogramming in the diabetic heart includes transcriptional and post-transcriptional mechanisms. The PPAR $\alpha$  gene expression network is induced in hearts from diabetic animals and humans based on mRNA and protein analysis<sup>31, 32</sup>. PPAR $\alpha$  is a nuclear receptor transcription factor whose activity is regulated by fatty acid ligands. The gene targets of PPAR $\alpha$  are involved in fatty acid import, FAO, and triglyceride synthesis<sup>33</sup>. In addition, PPAR $\alpha$  can suppress glucose oxidation via the transcriptional induction of PDK4, which prevents the entry of glucose into the citric acid cycle<sup>34</sup>. PPAR $\alpha$ -independent mechanisms also contribute to the metabolic shift in the diabetic myocardium, including reduced expression of the insulin responsive glucose transporter GLUT4<sup>35</sup>. As a consequence of reduced myocardial glucose uptake, FAO rates increase to maintain constant levels of cellular ATP.

The augmentation of FAO in diabetes is likely an adaptive mechanism in the short term, designed to handle excessive fatty acid delivery and reduced glucose availability. However, over time this response becomes maladaptive and can potentially lead to myocyte dysfunction. The mechanisms by which altered fatty acid metabolism contributes to cardiac dysfunction is an area of active research, but may include excessive mitochondrial ROS production, less efficient energy generation, and the production of incompletely oxidized

acyl-carnitine metabolites and/toxic lipid species<sup>36</sup>. Metabolic reprogramming of the diabetic heart also reduces its substrate flexibility. This is relevant in times of stress when the inability to utilize more efficient substrates, such as glucose, could lead to myocyte energy depletion and dysfunction. There are no clinically available therapies for HF targeted towards modulating myocardial metabolism, making this an attractive area for continued research and clinical translation.

**Lipotoxicity**—The presence of cardiac myocyte steatosis is a well-established pathologic hallmark of diabetic CM. The accumulation of lipid may seem paradoxical in the setting of increased fatty acid utilization, but this observation reflects the imbalance between FA uptake and oxidation that occurs in the diabetic heart. Autopsy studies of patients with non-ischemic cardiomyopathy have revealed that diabetic patients have a significantly more neutral lipid within cardiac myocytes compared to non-diabetics<sup>37</sup>. Consistent with these observations, MRI based quantification of myocardial triglyceride has also demonstrated that insulin resistance and diabetes are associated with a significant increase in cardiac lipid content<sup>38,39</sup>. Cardiac steatosis is also readily observed in animal models of diabetes, arguing that this is a defining characteristic of diabetic CM<sup>40</sup>. Of note, the accumulation of lipid appears to precede the onset of cardiac dysfunction.

There is a growing body of evidence that supports a role for myocardial lipid accumulation in the pathogenesis of diabetic CM. Several transgenic mouse models of cardiac steatosis have demonstrated that lipid overload can promote LVH and cardiomyopathy in the absence of systemic metabolic perturbations such as insulin resistance and hyperglycemia<sup>31,41–43</sup>. The mechanism(s) by which lipids promotes cardiac toxicity is not clear, but animal model and cell culture data have implicated ER stress, ceramide accumulation, oxidative stress, and mitochondrial dysfunction<sup>44,45</sup>. Moreover, lipid remodeling of ER and mitochondrial membranes may also be important in the pathogenesis of lipotoxicity. Further investigation with non-transgenic animal models will be required to determine the importance of lipotoxicity to the phenotype of diabetic CM.

**Oxidative Stress**—Increased oxidative stress is another common theme in models of diabetic CM. As discussed above, animal and human data demonstrates increased ROS in the diabetic myocardium. Oxidative stress appears to correlate with excess lipid delivery and elevated mitochondrial FAO rates, arguing that mitochondria are an important source of free radicals in the diabetic heart<sup>40</sup>. However, high rates of FAO do not always lead to excessive ROS generation, implying other derangements in mitochondrial structure and function must also be involved<sup>46</sup>. Hyperglycemia can also trigger oxidative stress via mitochondrial and non-mitochondrial glucose metabolic pathways<sup>47</sup>. Enzymatic sources of ROS such as that generated by the NADPH oxidase complex, which is induced by RAGE activation, may also be important for the redox environment in diabetic cardiomyocytes. In support of this notion, it was recently published that the NADPH oxidase system is activated in the diabetic heart<sup>48</sup>. Dysfunctional ROS scavenging mechanisms have also been proposed to contribute to the severity of oxidative stress in the diabetic heart<sup>49</sup>. More than likely, a combination of these mechanisms is responsible for the ROS observed in diabetic CM.

The functional importance of oxidative stress in diabetic CM has also been investigated. Mechanistically, it has been postulated that ROS can cause contractile dysfunction through damage of intracellular organelles and proteins. In support of this concept, overexpression of antioxidants such as superoxide dismutase, catalase, metallothionein, and glutathione peroxidase significantly improved contractile function in ex vivo hearts and cardiac myocytes from diabetic mice<sup>50–53</sup>. Despite these promising results, most of the data to date comes from STZ models of diabetic heart failure. Whether these findings will translate to other diabetic animal models and humans remains to be determined. Nonetheless, the

consistent finding of increased oxidant stress in diabetic CM warrants additional research to define the pathologic consequences of excess ROS on cardiac function and to explore the optimal means of reducing this oxidative stress.

**Abnormal calcium handling**—Cardiac myocyte calcium handling is known to play a key role in the regulation of myocardial contraction and relaxation. In systole, L-type calcium channels allow the influx of calcium which triggers calcium mediated calcium release from the SR. The mobilization of SR calcium is mediated by the ryanodine sensitive (RyR) calcium channel. During diastole, calcium must be re-sequestered into the SR to allow for cardiac myocyte relaxation. The SERCA2a channel is necessary for this to occur. In diabetes, both the RyR and SERCA channels are dysregulated. The RyR channel is downregulated and hyperphosphorylated in models of both type 1 and type 2 diabetes<sup>54–56</sup>. Hyperphosphorylation leads to increased calcium leak from the RyR receptor, thereby depleting SR calcium and increasing cytoplasmic calcium during diastole. At the same time, SERCA2a activity is reduced in the diabetic state, further exacerbating SR calcium depletion and impairing calcium sequestration during diastole<sup>57</sup>. In combination, these changes in calcium flux impair both systolic contractility and diastolic relaxation. Interestingly, both oxidative stress and mitochondrial dysfunction have been implicated in impaired calcium flux<sup>58</sup>.

**PKC signaling**—Several protein kinase C (PKC) isoforms are hyperactivated in the diabetic myocardium<sup>59</sup>. The regulation of PKC activity occurs via the lipid signaling molecule diacylglycerol (DAG). In the diabetic heart, DAG levels are elevated as a consequence of enhanced angiotensin II and catecholamine mediated activation of phospholipase C, the enzyme which cleaves phosphatidylinositol 4,5-bisphosphate to form DAG<sup>60</sup>. In addition, de novo synthesis of DAG is augmented in states of glucose and fatty acid excess, such as occurs in diabetic cardiomyocytes.

Hyperactive PKC signaling in the heart can influence calcium handling, ROS generation, and inflammation all of which can affect cardiac performance. In support of this notion, transgenic mice that overexpress PKC $\beta$  in cardiac myocytes develop cardiomyopathy<sup>61</sup>. There is also evidence that PKC $\beta$  inhibition can improve the cardiac phenotype of STZ-injected rats<sup>62</sup>. Future investigation will be needed to determine the utility of PKC modulation in other models of diabetic myocardial disease.

**Apoptosis/inflammation/fibrosis**—Inflammation, cell damage/death, and fibrosis are also pathologic hallmarks of diabetic CM. Inflammation is now recognized as a key participant in the pathogenesis of diabetes and its complications<sup>63</sup>. In models of type 1 and type 2 diabetes the expression of inflammatory cytokines such as TNF $\alpha$  and IL-6 is increased in the myocardium<sup>64,65</sup>. A modest increase in macrophages and monocytes has been described in the diabetic myocardium, but the role of these cells in diabetic CM has not been well studied. The initial trigger for metabolic inflammation may be ER stress pathways, ROS, and/or the release of danger associated molecular patterns (DAMPs) released from damaged myocytes. Interestingly, inhibition of TNF or caspase 1 (responsible for IL-1 $\beta$  production) reduced myocardial inflammation and improved cardiac function in a rat model of STZ-induced diabetes<sup>64,66</sup>. Thus, targeting specific inflammatory pathways may be a novel therapeutic approach for the treatment. Future research investigating the initiation and consequences of metabolic inflammation in the diabetic heart is needed. In particular, defining the molecular mechanisms of crosstalk between myocytes and cardiac leukocytes is of interest.

Increased myocyte necrosis and apoptosis is seen in animal and human diabetic hearts<sup>67–69</sup>. Although the mechanism of cell death remains unclear, impaired energetics, oxidative stress,

inflammatory cytokines and fatty acid-induced lipotoxicity have all been implicated in this response<sup>70</sup>. The loss of cardiac myocytes in diabetic CM could contribute directly to LV systolic dysfunction, but more likely the dying cells and their intracellular contents serve to amplify pro-inflammatory and pro-fibrotic pathways.

Cardiac fibrosis is frequently observed in diabetic hearts and this association is independent of CAD or HTN<sup>71,72</sup>. Both animal model and human tissue samples provide evidence that progressive myocardial fibrosis may be a component of diastolic and systolic dysfunction in diabetic CM. However, it should be noted that significant fibrosis is not a feature in all models of diabetic CM<sup>73</sup>. Cardiac myocyte cell death and inflammation can activate pro-fibrotic pathways in the heart. TGF- $\beta$  is thought to play an important role in this process<sup>74</sup>. In addition, the expression and activity of matrix metalloproteinase (MMP) 2 is significantly diminished in STZ-induced diabetic CM, potentially reducing collagen turnover and increasing fibrosis<sup>75-77</sup>. In combination, increased production and reduced degradation of collagen may contribute to the pathogenesis of the progressive fibrosis observed in diabetic CM.

**Mitochondrial dysfunction**—As discussed above, diabetes dramatically alters mitochondrial substrate utilization and oxidative flux. Early in the course of insulin resistance there is an increase in both mitochondrial number and FAO capacity<sup>78,79</sup>. This appears to be an adaptive response designed to handle the increase in lipid delivery and the reduction in glucose import. However, as overt diabetes develops mitochondrial dysfunction becomes apparent. Specifically, there are changes in the morphology, respiratory capacity, and proteome of mitochondria in the diabetic heart<sup>80</sup>.

Mitochondrial respiratory function has been studied in numerous diabetic models. In type 2 diabetes, the expression of mitochondrial uncoupling proteins is increased which enhances oxygen consumption and reduces ATP generation during mitochondrial oxidative phosphorylation<sup>81,82</sup>. This results in reduced cardiac efficiency and increased ROS generation. These observations were recently extended to humans where freshly isolated human atrial myocytes from diabetic and non-diabetic patients undergoing CABG were investigated. Consistent with the mouse data, mitochondrial preparations from diabetic myocytes had less efficient ATP generation and increased ROS production compared to non-diabetic samples<sup>83</sup>. Together this data argues that mitochondria in the diabetic heart are less able to generate ATP and more likely to trigger oxidative stress in cardiac myocytes. However, whether the diabetic myocardium is truly “energy deficient” is still an area of debate.

It is attractive to consider that abnormalities in mitochondrial biology may be a unifying feature of the multitude of derangements present in the diabetic myocardium. Mitochondria play a key role in metabolic flux/energy production, ROS generation, and inflammation. All of which are core features of diabetic heart failure (Fig. 1). Moreover, alterations in cellular energetics and redox environment likely contribute to many of stress responses observed in the diabetic heart such as cell death and dysregulated calcium handling. Thus, modulating mitochondrial function in the heart has the potential to improve numerous aspects of the diabetic CM phenotype.

### 2.3 Summary

The last 30 years have witnessed an explosion of research focused on the diabetic heart. As illustrated in the above sections, the impact of diabetes on myocardial biology is complex and multifactorial. Moreover, much of the cardiac functional data in animal models comes from ex vivo assessment of cardiac myocyte performance. In fact, reports of in vivo functional abnormalities in mouse models of type 1 and type 2 diabetes, as determined by

echocardiography, have been inconsistent and often underwhelming<sup>73, 84–87</sup>. This is further confounded by the large amount of data derived from STZ-induced diabetic models where profound hyperglycemia, volume depletion, tissue atrophy and/or the direct effects of STZ on the myocardium likely contribute to the observed phenotypes<sup>88</sup>. In the end, it is still a legitimate question to ask what is diabetic CM? It is clear that the diabetic milieu influences myocardial biology and when pushed to extremes can produce cardiac dysfunction. However, the more clinically relevant issue may be how diabetes modulates the myocardial response to other stressors. This is particularly true in humans, where diabetes frequently co-exists with other HF risk factors. In the next section we will explore this concept further and discuss the interplay between diabetes and other cardiac stress.

### 3. Diabetes and the vulnerable myocardium

The preceding section focused on the mechanisms by which diabetes, as a single disease, can impact myocardial biology. However, the vast majority of diabetics also have other comorbidities that can influence cardiac function such as CAD and HTN.

For this reason understanding how diabetes impacts the response of the myocardium to other injurious stimuli is clinically relevant. Strong evidence supports the concept that the diabetic heart is more susceptible to damage inflicted by other stressors (Fig. 2). Thus, diabetes can function as an amplifier cardiac injury. This point is illustrated by data investigating the interaction between diabetes and cardiac damage induced by acute myocardial infarction (AMI) and aortic stenosis (AS).

#### 3.1 Diabetes and Myocardial Ischemia

Diabetes is associated with accelerated CAD and an increased risk of AMI<sup>89</sup>. What is less well appreciated is that diabetes also alters the response of the myocardium to ischemic stress. Clinical data has consistently demonstrated that diabetics have an increased risk of death following acute MI<sup>90–92</sup>. This holds true even when the size of the initial infarct is considered. The excess mortality in this patient population is largely due to an increased incidence of post-infarction HF<sup>93–95</sup>. These observations highlight the importance of understanding the mechanisms by which diabetes influences the myocardial response to ischemic injury.

Animal models of diabetes and AMI outcomes have replicated the findings of clinical studies. Namely, AMI leads to exaggerated adverse LV remodeling and increased mortality following left anterior descending artery occlusion in type 1 and type 2 diabetic models<sup>96–99</sup>. The similarities in cardiac phenotype between diabetic humans and mice following AMI suggest that animal models may be useful to dissect the mechanisms of this phenomenon. The majority of data in this area has been descriptive; however, enhanced myocardial injury following ischemia in diabetes has been proposed to involve dysregulated inflammation, increased oxidative stress, and/or microvascular disease<sup>100</sup>. The molecular basis of these responses and whether they will serve as therapeutic targets in the future remains to be determined.

#### 3.2 Diabetes and Aortic Stenosis

In addition to acute ischemic stress, the response of the diabetic myocardium to chronic pressure overload, such as seen with AS, is also abnormal. In a recent study of patients with severe AS, diabetes was associated with increased LV mass and reduced systolic function despite similar aortic valve gradients<sup>101</sup>. Moreover, this association was independent of co-existing CAD. In a similar study, diabetics with AS had increased myocardial fibrosis as compared to their non-diabetic counterparts<sup>102</sup>. Interestingly, diabetes was also associated with increased levels of the hypophosphorylated N2B titin isoform. In sum these changes



could significantly increase myocardial stiffness, exacerbate diastolic dysfunction, and worsen HF symptoms. There is still much to learn about how diabetes renders the heart more vulnerable to damage from ischemia and pressure overload. Moreover, the impact of diabetes on other myocardial stressors such as myocarditis and genetic stress should be explored

### 3.3 Diabetes and the progression of heart failure

In addition to being a risk factor for HF, diabetes can also modulate the natural history of this disease. In retrospective analyses of patients with reduced and preserved systolic function HF, diabetes is an independent predictor of rehospitalizations and mortality<sup>103–105</sup>. Moreover, diabetes also promotes accelerated adverse myocardial remodeling in the setting of HTN, another important HF risk factor<sup>106</sup>. Thus, diabetic myocardial remodeling appears to significantly impact the evolution of HF irrespective of the etiology. Continued investigation into the mechanism(s) of this phenomenon is warranted.

## 4. Management of Diabetes of HF

There are no clear guidelines for the management of diabetes in HF patients. In large part this a consequence of the paucity of clinical trial data in this area. This section will briefly discuss issues to consider when managing diabetes in patients with HF.

### 4.1 Insulin replacement strategies

In addition to insulin injections, this group also includes the orally administered sulfonylureas (SU), such as glyburide. In retrospective analyses, the use of insulin for DM was associated with an increased incidence and severity of HF<sup>107, 108</sup>. However, given the retrospective, non-randomized nature of these studies, it is not possible to determine whether insulin treatment truly increases the risk of HF or identifies a higher risk diabetic patient. Similar data is present for the SUs. Moreover, the risk of hypoglycemia is increased with the use of insulin or SUs. In general, these agents should not be used as first line therapy for diabetes in HF patients.

### 4.2 Insulin sensitizing strategies

The drugs in this class include the biguanides, such as metformin, and the thiazolidinediones (TZDs), such as pioglitazone and rosiglitazone. Metformin was initially contraindicated in patients with HF due to the perceived risk of lactic acidosis. However, more recent observational data argues that the risk of lactic acidosis is very low in this patient population<sup>109</sup>. This has led to renewed interest in metformin as a treatment option for patients with HF and diabetes. In a study by Eurich et al, diabetic HF patients treated with metformin alone or in combination with a SU had a significant reduction in mortality compared to patients treated with a SU alone<sup>110</sup>. Similar results have also been reported from other retrospective database analyses<sup>13, 111</sup>. Although no randomized controlled trial data exists, it appears that metformin treatment is safe in patients with DM and HF, and it may improve outcomes.

The TZDs have been a source of controversy in the field of cardiology. The impact of these medications on CAD is still a topic of debate; however, the initial concerns appear to overstate the risk<sup>112, 113</sup>. In 5–10% of patients TZDs will trigger fluid retention, which leads to an increased risk of HF hospitalization in patients with diastolic and/or systolic dysfunction<sup>114</sup>. For this reason, many patients with diabetes and HF will not tolerate these medications. Similar to metformin, there is retrospective data that associates TZD-containing regimens with improved mortality in HF patients<sup>115</sup>. However, given the lack of clinical trials to prove benefit and the potential harm of increasing hospitalization for HF,

TZDs should be used with caution in HF patients. Whether TZDs can reduce the risk of developing HF in patients with diabetes with normal cardiac function remains an unanswered question. In the future, more selective TZDs may produce the desired metabolic effects without the risk of fluid retention.

#### 4.3 Incretin based therapies

Modulation of the incretin system has shown promise as a means to improve blood glucose levels and reduce diabetic complications. Natural incretins, such as glucagon-like peptide-1 (GLP1), are small molecules that are secreted by intestinal epithelial cells in response to food ingestion. GLP-1 mediates its biologic effects via the GLP-1 receptor, which is expressed on a wide variety of cells in the pancreas, heart, lung, kidney and, hypothalamus. In response to GLP-1, glucose-stimulated insulin release from the pancreas is enhanced. The clearance of GLP-1 is extremely rapid and controlled by the enzyme dipeptidyl peptidase 4 (DPP4). The available agents targeting this pathway function either as the GLP-1 receptor agonists (i.e. exenatide/Byetta) or DPP4 inhibitors (sitagliptin/Januvia).

Evidence supporting the use of incretin-based therapies for reducing cardiovascular complications in diabetes is growing. In an animal model of atherosclerosis, GLP-1 significantly reduced plaque burden<sup>116</sup>. In addition to anti-atherogenic effects, the GLP-1 pathway may also have cardioprotective properties. In animal models of AMI and hypertensive CM, GLP-1 infusion reduced adverse LV remodeling, improved cardiac function, and prolonged survival<sup>117, 118</sup>. Although the data in humans is less well-established, increased activation of the GLP-1 axis leads modest weight loss, an improved lipid profile, and lower blood pressures. Moreover, in a small/non-randomized study GLP-1 infusion was associated with a significant improvement in ejection fraction in patients who presented with AMI and reduced LV function<sup>119</sup>. Currently there are several ongoing clinical trials designed to address the impact of enhancing GLP-1 signaling on cardiovascular outcomes in diabetes. The results of these studies will provide important information about the use of these agents for the prevention and treatment of diabetic cardiovascular complications.

## 5. Summary

Since the term diabetic cardiomyopathy was first coined in 1972 there has been intense interest in this disease entity. With the growing population of diabetic patients the prevalence of diabetic cardiovascular disease will continue to rise. Although animal model and human studies have elucidated several pathologic features of diabetic CM, our understanding of the inciting events that lead to contractile dysfunction and/or increase myocardial susceptibility to injury remains murky. Moreover, diabetic CM as a cause of clinical HF likely involves the intersection between diabetic-myocardial reprogramming and other cardiac stressors. Given the lack of consensus on how to define or diagnose diabetic CM, there has been limited progress on developing specific treatments for this form of HF. In spite of this, mitochondrial dysfunction may explain several of the diabetic CM hallmarks such as metabolic substrate dysregulation, excess ROS generation, inflammation, and ATP depletion. Thus, targeting mitochondrial biology may lead to important new approaches to improve cardiac function in diabetics.

The currently available pharmacologic options for treating diabetes have not been studied rigorously with regards to prevention and/or treatment of diabetic CM. As a consequence, no specific recommendations can be made for the use of these agents with respect to heart failure. However, both metformin and the incretin-modulator therapies improve metabolic parameters and may be cardioprotective. In contrast, TZDs can exacerbate fluid retention making these drugs problematic in HF patients. Insulin replacement therapy should be

reserved for those unresponsive to oral therapy as it may be associated with an increased risk of HF incidence and mortality. Further research of these agents in patients with early and late manifestations of diabetic CM will be important to define the optimal regimen.

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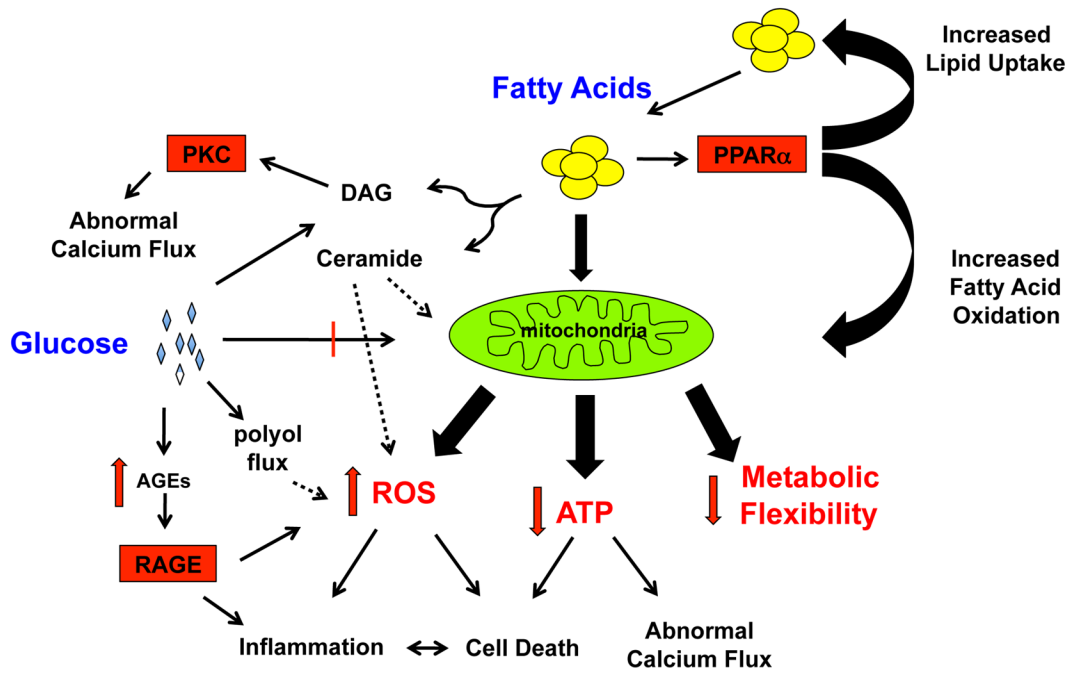
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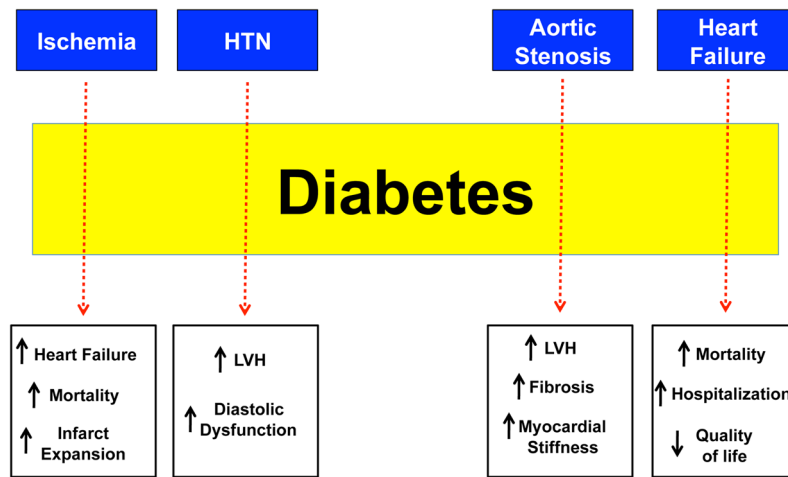
### Key Points

- Diabetes is associated with an increased risk of developing heart failure and portends a worse prognosis in heart failure patients.
- The pathophysiology of diabetic cardiomyopathy is multifactorial, but mitochondrial dysfunction appears to be the final common pathway leading to heart failure.
- The diabetic myocardium is more susceptible to injury induced by myocardial ischemia or pressure overload, leading to a further increase in heart failure among diabetics with other cardiac risk factors.
- Current pharmacologic therapies for diabetes improve hyperglycemia, but the benefit in heart failure is unknown. Metformin and incretin-modulating drugs show promise as cardioprotective anti-diabetic agents.



**Figure 1. The multifaceted effects of diabetes on cardiomyocyte biology**

In the diabetic state, excess fatty acids are present inside the cell leading to excessive mitochondrial FAO, PPAR $\alpha$  activation, and the generation of lipid signaling molecules such as ceramide and DAG. This metabolic reprogramming leads to mitochondrial dysfunction manifested by excess ROS production, less efficient ATP generation, metabolic inflexibility. Stressed mitochondria also amplify inflammatory and cell death responses. Hyperglycemia can further augment cardiac myocyte toxicity via the formation of AGEs as well as promoting excess ROS and DAG generation.



**Figure 2. Diabetes amplifies cardiac injury response to a variety of stimuli**

Diabetes augments the risk of adverse cardiovascular outcomes in patients with ischemic heart disease, HTN, AS, and heart failure (blue boxes). The dashed arrows connecting to the text boxes indicate how diabetes influences the outcomes of the above-mentioned cardiovascular diseases.