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EDITORIAL

Endovascular treatment of extracranial vertebral artery stenosis

Burak Kocak, Bora Korkmazer, Civan Islak, Naci Kocer, Osman Kizilkilic

Burak Kocak, Bora Korkmazer, Civan Islak, Naci Kocer, Osman Kizilkilic, Department of Radiology, Division of Neuroradiology, Cerrahpasa School of Medicine, Istanbul University, 34098 Istanbul, Turkey

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Correspondence to: Osman Kizilkilic, MD, Associated Professor of Neuroradiology, Department of Radiology, Division of Neuroradiology, Cerrahpasa School of Medicine, Istanbul University, 34098 Istanbul, Turkey. osmank@istanbul.edu.tr Telephone: +90-212-4143000 Fax: +90-212-4143021 Received: June 4, 2012 Revised: September 3, 2012 Accepted: September 10, 2012 Published online: September 28, 2012

Abstract

Percutaneous angioplasty and stenting for the treatment of extracranial vertebral artery (VA) stenosis seems a safe, effective and useful technique for resolving symptoms and improving blood flow to the posterior circulation, with a low complication rate and good long-term results. In patients with severe tortuosity of the vessel, stent placement is a real challenge. The new coronary balloon-expandable stents may be preferred. A large variability of restenosis rates has been reported. Drug-eluting stents may be the solution. After a comprehensive review of the literature, it can be concluded that percutaneous angioplasty and stenting of extracranial VA stenosis is technically feasible, but there is insufficient evidence from randomized trials to demonstrate that endovascular management is superior to best medical management.

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INTRODUCTION

About 25% of ischemic strokes occur in the vertebrobasilar territory^[1,2]. Around one fifth of posterior circulation strokes occur in the setting of extracranial vertebral artery (VA) **stenosis**^[3-6]. VA stenosis may occur either extra- or intra-cranially, but it is often localized at the origin of the vessel as it arises from the subclavian artery^[7]. In a large series which included 4748 patients with ischemic stroke, some degree of proximal extracranial VA stenosis was seen in 18% of cases on the right and 22.5% on the left^[8]. This is the second most common location of stenosis after internal carotid artery stenosis at the carotid bifurcation^[9].

There are three treatment options for extracranial VA stenosis: medical, surgical and endovascular. Recently, management of the VA stenosis has shifted to percutaneous techniques with the evolution of endovascular device technology. The first VA intraluminal angioplasty was reported by Sundt *et al*¹⁰ in 1980. Since then, multiple case reports and clinical series have described the use of balloon angioplasty and stenting to treat vertebrobasilar atherosclerotic disease^[11-20]. This review focuses on the endovascular treatment of extracranial VA stenosis.

ANATOMY

Classic anatomy of the vertebral arteries

The VA is typically divided into four segments: V1, V2,





Figure 1 Non-subtracted left subclavian angiography demonstrates the vertebral artery orifice (arrow), the three extracranial segments (V1-3) and one intracranial segment (V4).

V3 and V4 (Figure 1). The first 3 of these are extracranial and the last segment is intracranial. The first segment (V1) begins from the origin of the VA and extends between the longus colli and scalenus anterior muscles to where it enters the transverse foramina at the fifth or sixth cervical vertebra. The second segment (V2) begins from the level of the fifth or sixth cervical vertebra to the second cervical vertebra travelling through the transverse foramina at each vertebral level, with an alternating intra and interosseous course. On account of having such a unique anatomic environment, the V2 segment may be exposed to the extrinsic compression from spondylotic exostosis of the spine^[21]. This segment can be extremely tortuous, which can make the placement of a stent in the mid or distal extracranial VA difficult^[22]. The third segment (V3) extends between the C2 transverse process and base of the skull where it enters the foramen magnum. The last segment (V4) extends from the point at which the arteries enter the dura to the termination of both VAs at the vertebrobasilar junction.

The branches of the VA can be classified as cervical and cranial. The cervical VA produces spinal and muscular branches. The muscular branches typically originate from the second (V2) and third (V3) segments of the VA. These branches typically supply the dorsal cervical musculature and are best visualized in the presence of the common carotid artery or VA occlusion. Small branches from the second segment (V2) may anastomose with spinal arteries. Branches of the V3 segment typically anastomose with a branch of the occipital artery. The cranial branches of the VA are meningeal, posterior spinal, anterior spinal, posterior inferior cerebellar artery (PICA) and medullary arteries. The PICA is the largest branch of the VA, coursing backward to the inferior surface of the cerebellum. It is divided into medial and lateral branches and may anastomose with the anterior cerebellar artery and superior cerebellar artery of the basilar artery.

Recognizing the location of these branches is important to avoid perforation during catheter or wire manipulation.

Variants of the VA anatomy

Anatomic variants of VA are much more common than those of the carotid artery and most often involve the origin of VA from the aortic arch in V1 segment or the distal branches in V3 and V4 segments^[21]. The VA generally arises from the superior-posterior aspect of the first part of the subclavian artery. However, in approximately 5%-6% of cases, the left VA arises directly from the aortic arch between the left common carotid artery and the left subclavian artery^[23]. On rare occasions, the right VA may arise distal to the left subclavian artery or from the right common carotid artery (0.18%)^[24,25]. In 50% of individuals, the diameter of the left VA is larger than the right VA diameter^[21]. In 25% of individuals, the VA diameters are equal to each other. On the other hand, in approximately 10% of individuals one VA is prominently smaller in diameter than the other^[21]. In these kinds of cases, the smaller VA may terminate in the PICA or have a hypoplastic segment between the PICA and basilar artery that contributes little to basilar artery blood flow^[21].

The PICA generally originates from the intradural segment of the VA, but may alternatively originate from the extracranial segment^[22]. The PICA may be absent unilaterally or bilaterally, in approximately 20% and 2% of individuals, respectively. In 1% of cases, the VA terminates in the PICA^[26].

In the literature, the incidence of normal entrance to the transverse foramina ranges from 90% to $93\%^{[22]}$. Additionally, it may enter into the transverse foramen at other levels than C6^[27]. The prevalence of VA entry at C4 level ranges from 0.5% to 1.3% and at C5 level ranges from 5% to 6.6%, which often makes the V1 segment longer^[27,28]. However, it may enter at the C7 level (0.8%-5.4%), which makes the V1 segment shorter.

Tortuosity of the VA from the origin to the transverse foramen is another variation. Matula *et al*^[29] reported 47.2% tortuosity of the V1 segment. It is important in endovascular procedures, because severe tortuosity of the proximal V1 segment combined with a stenosis can preclude safe stent placement. Moreover, it was also reported as an independent and significant predictor of instent restenosis owing to unnatural straightening of the tortuous segment^[30].

These anatomic variations must be considered in clinical assessment and treatment.

EXTRACRANIAL VERTEBRAL ARTERY STENOSIS

Atherosclerosis is the most common cause of extracranial VA stenosis. However, an atherosclerotic plaque situated at the VA origin is considered to be less prone to ulceration and smoother than that seen at the carotid system^[31]. The less common causes of extracranial VA stenosis are arterial dissection, extrinsic compression due to trauma, osteophytes, fibrous bands and vasculitis (most commonly in giant cell arteritis)^[9].

The most common mechanism of stroke in patients with VA stenosis is intra-arterial embolism, rather than hemodynamic failure^[6]. Hemodynamic stroke, however, is less commonly caused by VA stenosis, because both VAs feed into one basilar artery^[7]. Also, in contrast to the in-



Table 1	Recommendations from	The American	Heart	Association/American	Stroke	Association	guideline	for vascula	[·] imaging	of
vertebral	artery disease ^[21]									

Size of treatment effect	Indications	Recommended imaging study	Level of evidence (A,B,C ¹)
Class 1 (benefit>>	>risk)		
1	-With symptoms referable to posterior circulation and subclavian steal syndrome	Noninvasive imaging	С
2	-With asymptomatic bilateral carotid occlusions or	Noninvasive imaging	С
	-Unilateral carotid artery occlusion and incomplete circle of Willis		
3	-With symptoms of posterior cerebral ischemia or	Noninvasive imaging (MRA or	С
	-With symptoms of cerebellar ischemia	CTA rather than Doppler US)	
Class 2a (benefit>	>risk)		
1	-With symptoms of posterior cerebral ischemia or	Serial noninvasive imaging	С
	-With symptoms of cerebellar ischemia		
2	-Revascularization candidates with symptoms of posterior cerebral ischemia or	-Noninvasive imaging	С
	-Revascularization candidates with symptoms of cerebellar ischemia	-DSA (if noninvasive imaging fails)	
3	-Patients undergone vertebral artery revascularization	-Serial noninvasive imaging	С

¹Level of evidence C indicates that very limited populations were evaluated and it depends on consensus opinion of experts, case studies or standard of care. MRA: Magnetic resonance angiography; CTA: Computed tomography angiography; Doppler US: Doppler ultrasonography; DSA: Digital subtraction angiography.

ternal carotid artery, the VA gives off numerous branches at the neck region, therefore facilitating a considerable collateral blood supply, which often reconstitutes the distal artery after occlusion at the origin^[7].

Individuals with occlusive disease of proximal segments of the VA are at relatively high risk for posterior or vertebrobasilar circulation ischemia^[6]. Indeed, a systematic review suggested that patients with symptomatic VA stenosis may have a greater recurrent stroke risk in the first 7 d after symptoms onset than patients with recently symptomatic carotid stenosis^[32]. Nevertheless, the best medical therapy for these patients is unclear, and the precise role of invasive treatment remains uncertain^[33].

THE ROLE OF IMAGING IN DIAGNOSIS

The American Heart Association/American Stroke Association guideline recommends an evidence-based diagnostic approach for diagnosing VA disease^[21]. The recommendations are presented in Table 1. In this guideline, 11 studies about comparing noninvasive methods and digital subtraction angiography (DSA) for the detection of VA stenosis have been systematically reviewed. According to these studies, computed tomography angiography (CTA) and contrast-enhanced magnetic resonance angiography (MRA) were associated with higher sensitivity (94%) and specificity (95%) than Doppler ultrasonography (US) (sensitivity 70%)^[21]. Also, of these noninvasive imaging methods, CTA had slightly superior accuracy^[21]. Doppler US is relatively less suitable and technically difficult for detection of VA stenosis in related anatomic regions. DSA as a noninvasive method is typically required before revascularization for patients with symptomatic posterior cerebral ischemia, because of the fact that neither MRA nor CTA reliably delineates the origins of the VAs^[34]. Thus the gold standard for diagnosing VA stenosis remains DSA, although it has a small morbidity and associated mortality. The complications of DSA associated

with morbidity and mortality can be divided into two major groups: clinical and technical. The former includes groin hematoma, contrast medium reaction, transient neurological event and permanent neurological deficit; the latter includes carotid and VA dissection and femoral or iliac artery dissection^[35]. Although the overall neurological complication rate related to cerebral angiography is 1.5%^[36], recent reports using diffusion-weighted imaging suggest that there is a much higher rate of subclinical neurological events^[37.40].

INDICATIONS OF TREATMENT

Patients with posterior circulation ischemic symptoms that are present despite optimal medical therapy and VA origin stenosis greater than 50% demonstrated at DSA are considered for endovascular therapy.

The treatment of asymptomatic patients with significant stenosis of VA origin is a subject of controversy. Although most asymptomatic patients do not require endovascular treatment, some investigators believe that high-grade stenosis (greater than 70%) affecting the origin of a dominant or single VA should be treated because of increased risk of stroke^[22]. Other investigators believe asymptomatic patients should be treated when the necessity of collateral support is of major importance, as in cases of carotid occlusion^[41].

TREATMENT OPTIONS

Although optimum management of patients with VA stenosis is not well established in the literature, treatment options fundamentally include medical, surgical and endo-vascular therapies. For all patients with VA stenosis, optimal medical therapy should include risk factor modifications, antiplatelet and statin therapies^[21]. In patients with ongoing symptoms despite optimal medical treatment, endovascular and surgical options should be considered.



Medical therapy

Aspirin (81-325 mg daily), clopidogrel (75 mg daily) or the combination of aspirin and extended-release dipyridamole (25 and 200 mg twice daily, respectively) are acceptable options. Combination antiplatelet regimens (e.g., aspirin and clopidogrel) are emerging as the mainstay of medical therapy for patients with vertebrobasilar insufficiency. A combination of aspirin and dipyridamole was shown to significantly reduce the rate of stroke in patients with vertebrobasilar insufficiency compared to placebo^[42]. Selection of an antiplatelet regimen should be individualized on the basis of patient risk factor profiles, cost, tolerance, resistance and other clinical characteristics^[43-48].

Surgery

Surgery to this region of the VA is technically difficult due to poor access to the vessel origin, hence surgery is not considered in most centers. It may be the only viable treatment option in those patients who fail medical therapy but have lesions or anatomy that are unfavorable for angioplasty and/or stent therapy. In the study by Buerger *et al*^[49] that includes 369 consecutive extracranial VA reconstructions, stroke and death rates of the procedure were found to be low (5.1% in the 215 patients treated before 1991 and 1.9% in the 154 patients treated since 1991). The combined morbidity and mortality rates of surgical therapy for VA stenosis range from 10% to 20% and have dampened enthusiasm for this option^[50-55]. Horner's syndrome and lymphocele are considerable postoperative complications of the surgery.

ENDOVASCULAR TREATMENT

Patient preparation for endovascular treatment

Conscious sedation is not used as a rule, because it may mimic some of the posterior circulation stroke symptoms. Therefore patients are kept awake during the procedure so that it allows prompt assessment of the neurologic symptoms. The pretreatment antiplatelet regimen is extremely important to decrease the risk of stent thrombosis after endothelial injury or plaque rupture following angioplasty and stenting^[22]. Aspirin (325 mg daily) should be started at least 3 d before the procedure and continued indefinitely^[55]. Clopidogrel (75 mg/d) should be administered 5-7 d before the procedure. On the other hand, a suitable alternative is to give a 600 mg loading dose at least 2 h before the intervention. In addition, novel thienopyridines may provide a therapeutic advantage over clopidogrel. For instance, prasugrel has twice the platelet inhibitory effect of clopidogrel with much less inter-individual variability in platelet response to ADP^[56,57]. Unfractionated heparin (50-100 U/kg) is given intravenously after the sheath is inserted and before the angiography to maintain the activated clotting time between 250 and 300 s. Alternatively, low molecular weight heparin (enoxaparin 1 mg/kg, subcutaneously) may be used, but no published data are available. Hirudin derivatives may also be used in place of heparin (a bolus of 0.75

mg/kg followed by an infusion of 1.75 mg/kg per hour for the duration of the procedure), although this has also not been evaluated for vertebral interventions.

With regard to the antiplatelet resistance, response to antiplatelet medications may vary among individuals. It can be assessed with several techniques including light transmittance aggregometry, flow cytometry and pointof-care assays^[58-60]. In patients with antiplatelet resistance, higher doses of oral antiplatelet therapies (especially for clopidogrel) or more potent antiplatelet therapies (e.g., GP II b/III a inhibitors or novel thienopyridines) may be required to optimize outcomes^[59-61].

Vascular access

Most of the interventions are performed *via* the femoral artery approach. A 6-8 Fr sheath and a 5 Fr diagnostic catheter are usually sufficient to perform a DSA. If bilateral occlusive iliac disease is present, access may be obtained *via* the ipsilateral brachial artery or radial artery (e.g., right vertebral stenosis, right brachial artery access)^[62,63]. The transradial approach has been proposed recently^[62,63]. Advantages of this approach include easy hemostasis and comfort to the patient so that the patient is able to sit and walk immediately after the procedure^[63]. To perform this approach, the patient must have adequate ulnar arterial supply to the hand to prevent ischemia of the hand due to occlusion of the radial artery. Ulnar arterial supply can be assessed before the procedure with the Allen test or Doppler US.

Approach to extracranial VA stenting

Either a guide or sheath approach is suitable for treatment of V1-V3 segment stenosis. A sheath approach requires a 6 Fr system. A guide approach requires typically an 8 Fr system although a 6-7 Fr system may be suitable if a coronary balloon-expandable stent is used. Using a standard hydrophilic guide wire and a 6F guide catheter, the target subclavian artery is catheterized and the guide catheter is advanced to just proximal to the origin of the VA. The 6F guide catheter usually provides adequate stability (Figure 2). For a tortuous subclavian artery, a 0.014-inch buddy wire^[64] or a large caliber coronary guiding catheter^[65] may be left in place in the subclavian artery for support (Figure 3). Biplane road map images are then obtained and the stenosis is crossed with a curvedtip 0.014-inch or 0.018-inch guide wire. The curved tip helps to negotiate the stenosis and prevent subintimal dissection at the site of stenosis or distal segments within the VA. The wire tip is positioned in the distal cervical VA within the fluoroscopic field-of-view, providing additional stability to the system. Operators may decide to use an embolus protection device instead. The degree of stenosis is determined in relation to the diameter of the normal segment of vessel immediately distal to the stenosis. Angioplasty with a small balloon may be necessary for very tight stenosis to allow good positioning of the definitive balloon stent system. Using of coronary balloon-expandable stents to treat stenosis of VA origin is much more common than the others because of ac-





Figure 2 Classical approach for vertebral artery stenting. A-C: Left subclavian angiography posterioranterior (PA) view shows ulcerated short segment significant stenosis in the origin of the left vertebral artery (A), placement of the microguidewire to the left vertebral artery and microguidewire to the subclavian artery as a guidewire (B) and correct placement of the coronary balloon-expandable stent in the stenosed segment (C); D: Post-stent angiography shows good opposition of the stent and well opening of the stenosed segment.

Figure 3 Buddy wire technique for tortuous subclavian arteries. A: Right subclavian angiography posterioranterior (PA) view shows significant concentric stenosis of the right VA origin; B: Right subclavian angiography shows placement of the stent to the right vertebral artery (VA) and one microguidewire to the subclavian artery as a buddy wire; C: Right subclavian angiography after placement of the stent shows temporary occlusion of the right VA and correct placement of the stent; D: Right subclavian angiography after opening of the stent shows good anatomical result.

curacy in placement. They have a good combination of adequate radial force, low crossing profile and limited foreshortening. Recently, drug-eluting stents (DES) (sirolimus or paclitaxel coating) have been produced which are useful especially if the patient is diabetic. It is noteworthy that there is very limited data with only a few patients on the use of DES in the VA. The expectation from DES is a decrease in restenosis through inhibition of smooth muscle and endothelial proliferation. Although experience described in the coronary literature largely supports such a practice, DES in cardiac procedures have recently been found to be associated with clot formation in some cases, resulting in thrombosis at the stent site^[66,67]. On the other hand, there are self-expanding stents, but they suffer from size limitations of currently available stent diameter and occasional misplacement of the stent requiring







placement of an additional stent[68]. The use of monorail or over-the-wire systems depends on the experience and comfort level of the operator. Therefore, in patients with severe tortuosity of the vessel in whom support may be an issue, a coronary stent may be preferred. The stent length should be enough to extend proximally 1 mm to 2 mm into the lumen of the ipsilateral subclavian artery and at least 3 mm into the normal distal VA, covering the entire lesion. For stenosis involving the V2 segment, because of the fixed bony location, the coronary balloonexpandable stents can be chosen. For stenosis involving the V3 segment, a nitinol self-expanding stent is suitable because of vessel tortuosity. After positioning of the stent, an angiogram is performed in the working projection (used to deploy the stent) to document the technical result of the procedure. The final angiogram is compared with the initial pre-procedure angiogram.

The success of the intervention depends on anatomy and collateral vasculature of the individual patient. It is sometimes acceptable to have a residual 50% stenosis if the risks of re-intervention outweigh observation and it should be noted that these patients often do well even with a 50% stenosis.

Postprocedural medical care

Clopidogrel (75 mg daily) should be continued for at least 1 mo with bare metal stents. If DESs are used it should be continued for 6 mo to 1 year based on coronary artery intervention data^[69,70]. Aspirin (80-325 mg daily) should be administered indefinitely.

Radiological follow-up

DSA is the gold standard for the follow-up of VA stent-

ing procedures, although it has a small morbidity and associated mortality^[71-73]. Despite the fact that no standardized follow-up is recognized, timing for DSA after intervention ranges from 3-12 mo (Figure 4).

Doppler US should be performed for VA stents within the ostial (V1) or proximal region (V2) every 6 mo for the first year and yearly thereafter as a non-invasive procedure. Additionally CTA and MRA can be used; however, neither of these reliably delineates the VA orifice and visualizes the stent well.

LONG TERM RESULTS AND DISCUSSION

Based on published VA data, the best treatment option of extracranial VA stent is still uncertain. Endovascular treatment of extracranial VA stent remains a major challenge with unsatisfactory long term results and a lack of randomized controlled trials. A review of previous studies on stent treatment of extracranial VA is given in Table 2. In all studies, low technical and clinical complication rates indicate that stenting of extracranial VA is feasible. On the other hand, the rate of significant restenosis (greater than 50%) after either bare metal or drug-eluting stent placement is extremely variable in the literature. After bare stent placement, the rate can reach 48% and when DES are used it can reach 63%^[79,86]. These large variations in the restenosis rates may have been affected by case series, duration, post-procedural medical therapy and/or diameter of the stent. In the study of Zhou et al^[30], restenosis rates were found to be associated with tortuosity of extracranial VA and diameter of stent.

In a review of 300 interventions for proximal VA stenosis, the risk of death was 0.3%, the risk of peri-

Table 2 Review of the long term results of previous studies on endovascular stent treatment of extracranial vertebral artery stenosis

Studies	Stent type	Number of patients	Number of treated stenoses	Rate of complication (%)		Follow-up	
				Technical	Clinical	Period (mo)	Rate of significant restenosis ² (%)
Lin et al ^[74]	Bare stent	58	67	0	7	11	25
Albuquerque <i>et al</i> ^[11]	Bare stent	33	33	3	0	16	43
Chastain et al ^[12]	Bare stent	50	55	2	0	6	10
Weber et al ^[75]	Bare stent	38	38	2	1	11	38
Cloud et al ^[76]	Bare stent	14	14	0	0	20	9
SSYLVIA ¹ study ^[77]	Bare stent	18	18	-	-	6	43
Akins et al ^[78]	Bare stent	7	7	0	0	36	43
Taylor et al ^[79]	Bare stent	44	48	0	0	7	48
Hatano et al ^[80]	Bare stent	117	117	0	0	6	10
Lin et al ^[81]	Bare stent	80	90	0	0	12	28
Jenkins <i>et al</i> ^[16]	Bare stent	32	38	0	0	10	3
Karamehev et al ^[82]	Bare stent, DES	10	12	0	0	34	10
Lin et al ^[27]	DES	11	11	0	0	8	0
Zhou et al ^[30]	DES	86	92	0	0	12	17
Gupta et al ^[83]	DES	27	27	0	2	6	7
Akins et al ^[78]	DES	5	5	0	0	17	0
Edgell et al ^[84]	DES	5	5	0	0	15	0
Vajda et al ^[85]	DES	48	52	0	0	7	12
Lugmayr et al ^[86]	DES	7	8	0	0	6	63

¹Stenting of symptomatic atherosclerotic lesions in the vertebral or intracranial arteries; ²significant restenosis indicates that it is equal or higher than 50% stenosis. DES: Drug-eluting stents.

procedural neurological complications was 5.5%, and the risk of posterior system stroke was 0.7% at a mean follow-up of 14.2 mo. Restenosis occurred in 26% of cases (range: 0%-43%) after a mean of 12 mo (range: 3-25 mo), although restenosis was not consistently correlated with recurrent symptoms^[14]. In a Cochrane review of 313 cases of VA intervention, 173 cases which underwent a VA stenting procedure were identified from 20 studies^[87]. Analysis of these studies revealed a 30-d major stroke and death rate of 3.2% and a 30-d transient ischemic attack and non-disabling stroke rate of 3.2%^[87]. These analyses suggest that VA stenting is safe and effective.

Recently, there have been some studies about use of embolism protection devices to preclude embolization during angioplasty and stenting of VA origin. Qureshi et al^[88] treated 12 patients using distal protection devices; they had technical success in 11 patients, and no stroke or death was observed at 1-mo follow-up. This study demonstrated that angioplasty and stenting of the VA orifice using an embolism protection device is feasible and safe. Wehman *et al*^[22] recommended the use of an embolism protection device for larger VAs (diameter greater than 3.5 mm), in patients that have a favorable angle of the VA orifice and treatment of ulcerated lesions. These studies demonstrated that angioplasty and stenting of the VA orifice using embolism protection devices is feasible and safe but widespread use of embolism protection devices needs further study on their efficacy.

In fact, in a literature review, only one completed randomized trial, Carotid and Vertebral Artery Transluminal Angioplasty Study (CAVATAS), had been published, comparing percutaneous intervention and medical treatment for VA disease^[89]. In the CAVATAS study (n = 16), 8 patients were randomized to medical therapy, and 8 patients underwent successful endovascular stenting, with no strokes or death occurring within 30 d in either group. At a mean follow-up of 4.7 years, there were no vertebral strokes in either group, thus there was no difference in outcomes among patients treated by stenting and medical therapy. Although data from small case series have demonstrated safety and acceptable long-term patency, the long-term clinical efficacy, especially the reduction of posterior circulation strokes, is still unknown and would require randomized comparison with best medical therapy.

In conclusion, although angioplasty and stenting of the vertebral vessels are technically feasible, there is insufficient evidence from randomized trials to demonstrate that endovascular management is superior to best medical management.

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