



Published in final edited form as:

Vaccine. 2012 October 5; 30(45): 6359–6367. doi:10.1016/j.vaccine.2012.08.051.

Induction of pulmonary mucosal immune responses with a protein vaccine targeted to the DEC-205/CD205 receptor

Yoonkyung Do^{1,2,*}, Arnaud M. Didierlaurent^{1,3,¶}, Seongho Ryu⁴, Hyein Koh¹, Chae Gyu Park¹, Steven Park⁵, David S. Perlin⁵, Bradford S. Powell^{6,7}, and Ralph M. Steinman¹

¹Laboratory of Cellular Physiology and Immunology and Chris Browne Center, The Rockefeller University, New York, NY 10065

²School of Nano-Bioscience & Chemical Engineering, Ulsan National Institute of Science and Technology, 100 Banyeon-ri, Eonyang-eup, Ulju-gun, Ulsan, Republic of Korea, 689-805

⁴Department of Cardiothoracic Surgery, Weill Cornell Medical College of Cornell University, New York, NY 10065

⁵Public Health Research Institute at the International Center for Public Health, UMDNJ-New Jersey Medical School, Newark

⁶U. S. Army Medical Research Institute of Infectious Diseases, Bacteriology Division, 1425 Porter Street, Frederick, MD 21702

Abstract

It is of great interest to develop a pneumonic plague vaccine that would induce combined humoral and cellular immunity in the lung. Here we investigate a novel approach based on targeting of dendritic cells using the DEC-205/CD205 receptor (DEC) via the intranasal route as way to improve mucosal cellular immunity to the vaccine. Intranasal administration of *Y. pestis* LcrV (V) protein fused to anti-DEC antibody together with poly IC as an adjuvant induced high frequencies of IFN- γ secreting CD4⁺ T cells in the airway and lung as well as pulmonary IgG and IgA antibodies. Anti-DEC:LcrV was more efficient to induce IFN- γ /TNF- α /IL-2 secreting polyfunctional CD4⁺ T cells when compared to non-targeted soluble protein vaccine. In addition, the intranasal route of immunization with anti-DEC:LcrV was associated with improved survival upon pulmonary challenge with the virulent CO92 *Y. pestis*. Taken together, these data indicate that targeting dendritic cells via the mucosal route is a potential new avenue for the development of a mucosal vaccine against pneumonic plague.

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*Correspondence should be addressed to School of Nano-Bioscience & Chemical Engineering, Ulsan National Institute of Science and Technology, 100 Banyeon-ri, Eonyang-eup, Ulju-gun, Ulsan, Republic of Korea, 689-805. Phone: +82-52-217-2516; Fax: +82-52-2639; doy@unist.ac.kr.

³Current address: GlaxoSmithKline Vaccines, Rue de l'Institut 98, 1330 Rixensart, Belgium.

⁷Current address: Cernomics Solutions, 2516 Old Coach Court, Frederick, MD 21702

¶Y. Do and Didierlaurent A. contributed equally to this work.

Dr. Ralph M. Steinman died on 30 Sep. 2011.

CONFLICT OF INTEREST STATEMENT R. M. Steinman had been a consultant to Celldex, which is developing human DEC-205-based vaccines. Other authors have no commercial or financial conflict of interest.

AUTHOR CONTRIBUTIONS Conceived and designed the experiment: YD DA RMS. Performed the experiments: YD DA HK. Protection assay: SP DP. Analyzed the data: YD SR. Contributed reagents/materials/analysis tools: CGP BSP SR. Wrote the paper: YD DA RMS.

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Keywords

Dendritic cells; CD205/DEC-205; *Y. pestis*; LcrV; Cellular immunity; Mucosal

INTRODUCTION

Considering the rapid onset of the pneumonic plague disease, the induction of strong mucosal immunity is considered to be important for an efficient pneumonic plague vaccine. In contrast to other Gram-negative bacterial pneumonia, it is known that there is a delay in inflammatory cell recruitment to the lungs, as well as production of proinflammatory cytokines and chemokines in the first 48 hrs of *Yersinia pestis* (*Y. pestis*) infection, suggesting either evasion and/or suppression of the host innate immune response[1, 2]. Therefore, adequately designed vaccines should thwart such delayed responses at mucosal surfaces to accelerate bacterial clearance before systemic dissemination.

Various approaches have been examined to enhance mucosal immunity including different immunization routes and adjuvants. Intratracheal or intranasal administration of vaccines, as well as heterologous prime/boost strategies, have been shown to enhance mucosal immunity when compared to systemic administration[3-6]. In case of mucosal delivery, F1 and/or V subunit vaccines had been previously co-administered either with Cholera toxin B subunit, proteosome-based adjuvants (Protollin™) or a Toll-like receptor 5 agonist, or flagellin[6-8]. Compared to free antigen delivery, either co-encapsulated antigens with microspheres or liposome-formulated delivery induce superior humoral immunity at mucosal surfaces. With these adjuvants, survival rates correlated with increased antibody titers in serum and lung washes[4, 6, 7]. Moreover, intratracheal delivery of monoclonal antibodies to F1 or V was sufficient to protect mice against aerosolized *Y. pestis* GB strain challenge[9]. Therefore, high antibody level is associated with protection against *Y. pestis*.

The role of cellular immunity for a successful plague vaccine has also been emphasized, particularly for primates, but at this point one requires methods to induce strong cell-mediated immunity in the lung. Treatment of mice with exogenous IFN- γ plus TNF- α inhibited the multiplication of *Y. pestis in vivo*, thereby conferring protection against intravenous challenge against 10 MLD (median lethal dose) of LcrV⁺ *Y. pestis* KIM[10]. Moreover, Stat-4 deficient mice, which have low levels of IFN- γ production, were poorly protected from *Y. pestis* GB by the s.c. route, despite producing high levels of serum antibody compared to wild type controls[11]. Recent studies by Smiley and colleagues demonstrated that naive B cell-deficient mice (μ MT^{-/-}) were protected against attenuated *Y. pestis* (KIM D27) intranasal challenge if the mice were adoptively transferred with *Y. pestis*-primed T cells. These cells were expanded *in vitro* with heat-killed *Y. pestis*, and protection was abolished upon removal of T cells, IFN- γ , or TNF- α [12, 13]. This investigation further showed that neutralizing TNF- α and IFN- γ reduced survival rate conferred by the suboptimal doses F1- or LcrV-specific mAb against 12LD₅₀ intranasal challenge of *Y. pestis* CO92 strain[14]. In particular, B cell deficient mice primed and boosted with a live attenuated *Y. pestis* strain D27-pLpxL induced Th1 and Th17 cellular response, which protected against pulmonary D27 strain infection[15]. These results suggest that an effective pneumonic plague vaccine must prime strong responses in both humoral and cellular immunity not only systemically but also at mucosal surfaces.

In order to induce efficient cellular immunity against LcrV protein, we targeted the LcrV virulence protein to DEC-205/CD205 positive dendritic cells (DCs) systemically in the presence of poly IC and α CD40 as DC maturation stimuli. Under these conditions, we could induce broad splenic CD4⁺ Th1 type cellular immunity specific for several LcrV peptides

presented by different MHC II haplotypes[16]. The DC-targeting strategy has been shown to improve plague vaccine efficacy against a human pathogen [17]. Here, we further utilized this targeting strategy combined with an intranasal delivery with the aim of inducing CD4⁺Th1 type cellular immunity, along with humoral immunity, at mucosal surfaces. We show that antigen targeting to DCs leads to greatly enhanced presentation to T cells when compared to non-targeted antigen at mucosal surfaces, and that this immunity is broad and accompanied by formation of mucosal IgG and IgA antibodies. Using a pulmonary challenge model, the intranasal route of immunization confers better protection as compared to the subcutaneous route.

MATERIALS & METHODS

Mice

C57BL/6 (H-2^b), BALB/c (H-2^d), and C3H/HeJ (H-2^k) mice were purchased from Taconic, and DEC-205^{-/-} mice (C57BL/6 background) from Jackson Laboratories. All mice were maintained under specific pathogen-free conditions and used at 5-7 wks of age according to the guidelines of our Institutional Animal Care and Use Committee.

Construction and production of fusion antibodies and protein

The fusion α DEC:LcrV antibody, a control Ig:LcrV antibody, and soluble LcrV protein were produced as described[16]. A recombinant F1-V protein from U.S. Army Medical Research Institute of Infectious Diseases (USAMRIID, Frederick, MD) has been described[18]. All proteins had <0.125 endotoxin units/mg using a Limulus Amebocyte Lysate assay, QCL-1000 (Bio Whittaker, Walkersville, MD).

Immunization

Mice were injected subcutaneously (s.c.) in the hind footpads, or intranasally (i.n.) in a total volume of 25 μ l with the indicated doses of fusion antibody, control Ig antibody, or soluble LcrV protein along with 50 μ g of poly IC (pIC, InVivogen, San Diego, CA) as adjuvant. F1-V recombinant protein was given in alhydrogel (2.0 % Alhydrogel, Superfos Biosector, Vedbaek, Denmark).

LcrV peptides

15-mer peptides spanning the entire LcrV sequence and overlapping by 11 amino acids (aa) were synthesized at the Rockefeller University Proteomics Facility. The library was divided into 8 pools of 11 peptides except peptide pool number 8, which was composed of 10 peptides, as described[16].

Intracellular cytokine staining (ICS) and surface staining

For ICS of pulmonary T cells, lungs were collected and single cell suspensions were prepared[19]. Briefly, perfusion was performed by injecting PBS containing 1 mM EDTA through the right ventricle. Lungs were harvested, dissected mechanically, and then digested enzymatically with Collagenase/DNase (Roche, Indianapolis, IN). The cells were washed, and stimulated with pools of peptides or individual reactive peptides (2 μ M) or medium alone in the presence of a co-stimulatory anti-CD28 mAb (clone 37.51) for 6 hrs. Brefeldin A was added for the last 4 hrs to accumulate intracellular cytokines. Cells were then washed, incubated with anti-mouse-CD3, or CD4 mAbs for 20 min at 4°C after blocking Fc γ receptor with α CD16/CD23 antibody. Following fixation with Cytotfix/Cytoperm PlusTM(BD PharMingen, San Jose, CA), cells were stained for intracellular IFN- γ , IL-2, or TNF- α for 15 min at room temperature. All mAbs were purchased from BD PharMingen. Data were collected using FACS Calibur and analyzed by FlowJo (Tree Star, Ashland, OR).

In some experiments, splenocytes, mediastinal lymph nodes and airway lymphocytes were also collected and stained as described above.

ELISA for anti-LcrV antibodies

To detect LcrV specific antibody, high binding ELISA plates (BD Falcon, San Jose, CA) were coated with 5 µg/ml of LcrV protein overnight at 4°C. Plates were washed 3 times with PBST (PBS and Tween 20, 0.1 %) and blocked with PBST-BSA 5 % for 1 hr at 37°C. Serial dilutions of serum, bronchoalveolar lavage (BAL) fluid, or nasal washes were added and incubated 1 hr at 37°C. Various secondary goat anti-mouse Fc specific antibodies conjugated with horseradish peroxidase (Southern Biotech, Birmingham, AL) were then added and visualized with o-phenylenediamine (Sigma) tablets at room temperature for 15 min. The reported titers represent the highest dilution of sample showing an OD₄₅₀ higher than 0.1, and the data was presented as the log antibody titer.

Y. pestis challenge and protection assay

Protection assays were performed at the Public Health Research Institute (PHRI, Newark, NJ). Each group of mice (n=10 per group) was inoculated i.n. with up to 25 µl to 10⁵ colony forming units or ~ 100LD₅₀ of CO92 strain of *Y. pestis* and the mice were observed daily for 14 days.

Statistics

Survival data were analyzed by log-rank tests. Differences in immunological responses were compared for significance using paired and unpaired Student's *t*-test as appropriate.

RESULTS

Pulmonary immune responses are induced by a DEC-205⁺ DCs-targeted LcrV protein

To investigate the ability of a DC-targeting approach to generate immunity at mucosal surfaces, mice were immunized by the intranasal (i.n.) route with αDEC:LcrV in the presence of adjuvants such as TLR3 ligand poly IC and agonistic αCD40. F1-V recombinant protein in alhydrogel was used as a reference[4-7]. Anti-DEC:empty, which does not carry any antigen and PBS were included as negative controls. Ten days after immunization, lungs were collected and antigen-specific pulmonary IFN-γ or IL-2 secreting CD4⁺ T cells were determined by intracellular cytokine staining (ICS) (Fig. 1A, see filled bars). The data showed that the i.n. route of immunization with αDEC:LcrV induced LcrV-specific IFN-γ/IL-2 secreting pulmonary CD4⁺ T cells. Next, subcutaneous (s.c.) immunizations were carried out to test whether parenteral vaccination induces mucosal response in addition to systemic immunity. Upon s.c. immunization, antigen-specific T cell responses were induced in the lungs in the group receiving αDEC:LcrV although the response was lower when compared to those immunized by the intranasal route (Fig.1A, see open bars). Importantly, we could not detect such T cell responses in the group immunized with F1-V recombinant protein by either routes, demonstrating the benefit of targeting DC.

Antibodies in the airway can provide immediate protection against an aerosol infection with *Y. pestis*[9]. The data in Fig.1B showed that a single immunization of αDEC:LcrV in the presence of adjuvants induced antigen-specific antibodies in BAL not only upon i.n., but also upon s.c. immunization. Main isotypes were IgG1, IgG2b, and IgG2a. Interestingly, IgG2a (BALB/c), an isotype often associated with Th1 polarized response was only detected in the group immunized with αDEC:LcrV (Fig.1B, see group III). As previous studies have shown[20], the F1-V subunit vaccine was efficient at inducing strong humoral immunity (Fig. 1B, see group II).

Altogether, these data show that DC targeting strategy via the mucosal route is more efficient at inducing a combined humoral and cellular immunity at mucosal sites than a parenteral approach with soluble protein.

Targeting of LcrV protein to DEC-205⁺ DCs along with poly IC alone induces broad pulmonary CD4⁺ T cell immunity

A combination of a poly IC and α CD40 as adjuvants to induce strong immunity was used in previous studies [16] as well as in figure 1. As anti-CD40 reagent may not be easily amenable for use in humans in contrast to poly IC, 50 μ g poly IC alone was tested as the adjuvant at time of priming and boosting, as described by Do et al [17].

To test if this approach was effective for inducing Th1 type immunity at mucosal surfaces in different MHC haplotypes, H-2^b(C57BL/6), H-2^d(BALB/c), and H-2^k (C3H/HeJ) mice were primed and boosted i.n. either with α DEC:LcrV or isotype control in the presence of poly IC. Measurement of antigen-specific IFN- γ production by T cells indicated that C57BL/6 and C3H/HeJ responded to at least two different peptides, while BALB/c recognized 3 pools (Fig 2A). In addition, we further checked that this was dependent on the expression of DEC-205 as DEC-205 KO mice did not mount an immune response against α DEC:LcrV(Fig. 2B).

These data suggest that priming and boosting with DEC-targeted LcrV together with poly IC alone as the adjuvant induces broad pulmonary CD4⁺ T cell immunity.

Targeting LcrV to DEC-205⁺ DCs in a prime/boost strategy along with poly IC induces strong mucosal CD4⁺ T cell immunity

In order to improve the mucosal vaccine response, different prime/boost regimens were assessed. Mice were first primed with α DEC:LcrV s.c. and boosted with either α DEC:LcrV or soluble LcrV s.c. Six to ten days later, lungs were collected and the antigen-specific IFN- γ ⁺CD4⁺ T cells were measured by ICS (Fig. 3A). The s.c. prime-boost strategy induced detectable LcrV-specific pulmonary IFN- γ secreting CD4⁺ T cells, while both a single dose of immunization or prime-boost with F1-V plus alhydrogel did not (Fig. 3A).

We next studied mice primed with α DEC:LcrV s.c. that were boosted i.n., in an attempt to improve local immune response. The i.n. boost enhanced the frequency of LcrV-specific IFN- γ ⁺CD4⁺ T cells in the lung by 4-fold relative to s.c. boosting (Fig. 3A and Fig. 3B).

To characterize the IFN- γ ⁺ CD4⁺ T cell responses at various sites, we primed and boosted mice i.n. as shown in Fig. 3C, and analyzed cells from lung parenchyma, airways (bronchoalveolar lavage, BAL fluid), mediastinal lymph nodes (Med LNs), as well as the spleen. The level of antigen-specific IFN- γ ⁺CD4⁺ T cells was 8-fold and 16-fold higher in lung parenchyma and BAL fluid, respectively as compared to that of spleen. Boosting with α DEC:LcrV was more effective than boosting with soluble LcrV protein (Fig. 3C). In contrast, immunisation with F1-V or a single immunization with α DEC:LcrV+poly IC did not induce detectable antigen-specific IFN- γ ⁺CD4⁺ T cells (Table 1). Together the data indicate that a DEC-targeted protein vaccine induces strong mucosal immunity in the lung, particularly upon local administration of the vaccine.

Targeting LcrV to DEC-205⁺ DCs more effectively induces strong mucosal immunity in comparison to non-targeted protein vaccine

To assess the value of targeted delivery of LcrV via α DEC, the responses to increased doses of α DEC:LcrV (0.1, 1, or 10 μ g of the antibody per mouse is approximately equal amount of 0.03, 0.3, 3 μ g of the LcrV protein per mouse, respectively) was compared to the

response to soluble LcrV protein (0.3, 3, or 30 μg per mouse) in the presence of 50 μg of poly IC intranasally. Four to 6 wks later, the mice were boosted i.n. with the same doses and 6-10 days later, the lungs were harvested to detect antigen-specific IFN- γ /IL-2/TNF- α secreting CD4⁺ T cells (Fig. 4). At least 10-fold more soluble LcrV is required to achieve a similar T cell response than when it is fused to anti-DEC antibody. While the number of IL-2⁺/TNF- α ⁺ T cells reaches a plateau when mice are immunized with 3 and 30 μg soluble LcrV, this number significantly increases in mice immunized with 0.3 and 3 μg of $\alpha\text{DEC:LcrV}$. These data demonstrated the value of antigen targeting for increasing the efficiency of inducing LcrV-specific cellular immunity in the lungs. Consistent with previous finding, no IFN- γ ⁺ IL-2⁺TNF- α ⁺CD4⁺ T cell responses could be detected in the group primed and boosted with F1-V plus alhydrogel (data not shown).

Targeting LcrV to DEC-205⁺ DCs primes for strong mucosal humoral immunity

To detect humoral immunity at mucosal surfaces, BAL fluid was collected and anti-LcrV antibody was measured by ELISA. A single s.c. immunization with $\alpha\text{DEC:LcrV}$ in the presence of poly IC induced detectable LcrV-specific antibodies in BAL fluid, but prime and boost immunization further enhanced the mucosal antibody responses about 10-fold (Fig. 5A). The titers of antibody in the BAL fluid were higher where the boost was performed with the soluble LcrV protein in comparison to the boost with $\alpha\text{DEC:LcrV}$ (Fig. 5A). A broad spectrum of IgG isotypes (IgG1, IgG2a, IgG2b) were detected following immunization with $\alpha\text{DEC:LcrV}$, but IgG2a was not found in the BAL fluid from mice immunized with F1-V (Fig. 5A).

Next, the humoral immune responses were examined in BAL fluid following an i.n. administration with $\alpha\text{DEC:LcrV}$. The i.n. route increased the BAL antibody titres about 10-30 fold relative to the s.c. route (compare Fig. 5A and 5B), and the titers of anti-LcrV antibody were again higher in the group primed with $\alpha\text{DEC:LcrV}$ and then boosted with soluble LcrV protein in comparison to boosting with a DEC-targeted protein (Fig. 5B). Although we could not detect strong IFN- γ responses in the lung following i.n. immunization with F1-V plus alhydrogel, humoral immunity in BAL fluid was observed as shown in Fig. 5A and 5B. The isotypes of antibody were examined and IgG1 and 2b were consistently predominant while IgG2a isotype was the strongest in the DEC-targeted group. Interestingly, IgA responses were detected in BAL fluid following i.n. immunization (Fig. 5B) but not with s.c. immunization (Fig. 5A). This suggests that local immunization is required to promote mucosal-specific antibodies such as IgA.

We also determined anti-LcrV antibody in the upper respiratory tract by collecting and testing nasal washes by ELISA and observed IgG anti-LcrV antibody in DEC-targeted as well as F1-V immunized groups (Fig. 5C). IgA responses in nasal washes were detectable only in F1-V plus alhydrogel immunized mice (data not shown). When serum IgG responses were measured following i.n. immunization, the titers of anti-LcrV from the group primed with $\alpha\text{DEC:LcrV}$ and then boosted with soluble LcrV protein were again higher than those boosted with $\alpha\text{DEC:LcrV}$. The highest antibody titers were detected in the F1-V plus alhydrogel immunized group (Fig. 5C). Taken together, these data showed that a DEC-targeted protein vaccine along with poly IC as adjuvant induces efficient humoral immunity at mucosal surfaces.

Targeting LcrV to DEC-205⁺ DCs via an intranasal route protected mice better than the targeting via a subcutaneous route against pneumonic plague

In order to study the efficacy of a mucosal DEC-targeted protein adjuvanted vaccine to protect from pulmonary plague, mice were primed and boosted with $\alpha\text{DEC:LcrV}$ plus poly IC either via an intranasal route or a subcutaneous route. Subcutaneously immunization with

F1-V was included as a positive control. Six weeks after the boost, mice were challenged with 100 LD₅₀ of virulent *Y. pestis* CO92 strain i.n. and their survival was carefully monitored for 14 days. As expected, immunization with F1-V conferred 100% protection while all mice immunized with saline did not survive the challenge (Fig. 6). Interestingly, an intranasal route of α DEC:LcrV with poly IC protected 56% of the mice while a subcutaneous route only protected 33% of the mice (Fig. 6A filled vs. unfilled triangle). Moreover, a single intranasal priming with α DEC:LcrV with adjuvant protected 33% while a single subcutaneous showed no impact on survival rate (Fig.6 filled vs. unfilled square). Although these data indicate that targeting DEC as a new approach does not confer better protection than the reference F1-V vaccine in these conditions, the data unequivocally showed that an intranasal route of immunization confers a protective advantage over parenteral immunization.

DISCUSSION

There have been substantial concerns and efforts to develop an efficient pneumonic plague vaccine not only due to its deadly contagiousness and lethality but also because of a high risk of aerosol dispersal of *Y. pestis* during a bioterror attack[21, 22]. The F1-V subunit vaccine provides protection against pneumonic plague in small animal models mainly through antibody response and most of the research on *Y. pestis* has focused on the induction of humoral immunity both systemically and at mucosal surfaces[20]. However, it so far fails to efficiently protect African green monkeys regardless of antibody titers suggesting that the induction of cellular immunity in addition to humoral immunity may be critical for better protection in humans[23].

In order to fulfill such requirements, we developed a novel approach based on the targeting the delivery of antigen into the most efficient cells at priming T cells, namely DC. We have previously shown a strong and broad LcrV-specific IFN- γ secreting CD4⁺ T cell immunity as well as humoral immunity including Th1-dependent antibody isotypes induced by α DEC:LcrV following parenteral administration[16]. In the setting of distinct DC subsets targeting, mice were protected against pulmonary fully virulent CO92 *Y. pestis* strain infection[17].

The present study further extends the value of using the DEC-205⁺ DC targeting strategy as a novel mucosal vaccine against pneumonic plague. When compared to a subcutaneous route of immunization, an intranasal route of DEC-targeted delivery increases the efficiency of Th1 cellular immunity as well as antibody responses at mucosal surfaces. A similar breadth of the response was also achieved as compared to parenteral delivery. The use of poly IC which signals via TLR3 receptor and MDA5, is likely to be key in stimulating local immunity as recently reported by Bei et al [24]. Our interests in poly IC as an adjuvant (in the absence of anti-CD40) were based on previous studies showing a good safety record in cancer patients and better potency as an adjuvant for responses to protein antigen in rhesus macaques when compared to other agonists [25, 26].

Different combinations of route in the prime/boost strategy were tested to compare the efficacy of mucosal immunity induced by a DEC-targeted LcrV protein. Prime and boost by a s.c. route of α DEC:LcrV is able to induce cellular and humoral immunity at mucosal surfaces (Fig. 3A and 5A). A prime by a s.c. route and then a boost by an i.n. route increase the frequency of IFN- γ secreting mucosal T cells (Fig. 3B). One explanation for this finding would be that an intranasal boost mimics a natural aerosol exposure to *Yersinia* and that therefore, a mucosal route for a boost is preferable. Prime and boost via the i.n. route might still be the optimal strategy for a vaccine as it induced the strongest antigen-specific cellular and humoral immunity at mucosal surfaces (Fig. 3C, 5B and C). Previous studies showed

that a majority of activated T cells migrate into nonlymphoid tissues such as lung, liver, and kidney and we show here that a mucosal priming may be advantageous to support local immunity[27, 28]. Although the exact effector mechanisms of such protection are unclear, enhanced antigen presentation by pulmonary DCs to resident and /or recruited memory and naïve T cells might be important [28]. In addition, inflammatory chemokines from effector T cells are vital for the early recruitment of other immune cells including immature DCs and natural killer cells[27]. Interestingly, the data show that even a single parenteral immunization of DEC-targeted protein primes the immune system sufficiently to efficiently recall and activate antigen-specific T cells at mucosal surfaces. This is advantageous considering the hurdles of developing mucosal vaccines based on intranasal delivery. However, considering our current data, it may be beneficial to develop a mucosal delivery approach, using the DEC-targeted protein vaccine for example, to enhance T and B cell immunity at mucosal surfaces.

The data of survival rate using a virulent CO92 strain as pneumonic form of plague with high dose or 100LD₅₀ also supported the benefit of the mucosal delivery of a DEC-targeted protein vaccine (Fig. 6). This data suggest potential roles of enhanced mucosal T and B cell immunity induced by the mucosal delivery of a DEC-targeted protein vaccine when compared to a subcutaneous delivery group since serum antibody titers following vaccination were similar between these two groups (data not shown). A further study should be focused on demonstrating a more direct involvement of mucosal T and B cell immunity against pneumonic plague. Although high serum antibody titers as much as a subcutaneous administration of F1-V with alhydrogel induces are necessary for providing 100% protection in a mouse model as previously known[17, 18, 20, 29], the value of the enhanced mucosal T cell immunity induced by a DEC-targeted vaccine might be appreciated better in nonhuman primates[23].

Another value of the DEC-205⁺ DC targeting strategy is that broader and stronger antigen-specific T cell responses are induced with lower amounts of antigen in comparison to standard non-targeted soluble protein vaccine (Fig.2A and 4). Additionally, the data suggest that poly IC, a clinically feasible adjuvant, is an effective mucosal adjuvant for a DEC-205⁺ DC targeted vaccine. While other adjuvants could be potentially tested, use of lipopolysaccharide from *Escherichia coli* 055:B5 as adjuvant offered a weaker activity relative to poly IC, with LPS being at least 10-fold less active in inducing Th1 immunity (data not shown). Another frequently used adjuvant, the TLR5 agonist flagellin, did not induce pulmonary cellular immunity, either with a DEC-targeted vaccine or F1-V protein vaccine treatments (data not shown). This is in agreement with other studies of flagellin showing a Th2 biased response to similar antigens [8]. Therefore, a DEC-targeted vaccine strategy with poly IC as adjuvant has potential to be utilized in future clinical applications with proven safety as well as antigen sparingness.

Taken together, this is the first study to demonstrate the efficacy of a DC-targeting strategy in the induction of combined pulmonary cellular and humoral immunity, which will be further developed as an efficient pneumonic plague vaccine for use in humans.

Acknowledgments

We thank H. Zebroski of the Rockefeller University Proteomics Facility for synthesizing peptides, and J. Adams for help with the graphics. This work was supported by funds from the National Institutes of Health (#5 U54 AI057158-PI-Lipkin and AI13013, PI, RMS, and 1 R21 AI082331-01, PI, Y. Do). This study was also supported by Basic Science Research Program through the National Research Foundation of Korea(NRF) funded by the Ministry of Education, Science and Technology (2012-0003632), and a grant from the National R&D Program for Cancer Control, Ministry for Health and Welfare, Republic of Korea (1020120). A. Didilerlaurent was supported by a symposium bursary awarded by the Novartis Foundation. The opinions, interpretations, conclusions, and recommendations are those of the authors and are not necessarily endorsed by the U. S. Army.

Abbreviations

Y. pestis	Yersinia pestis
ICS	intracellular cytokine staining
poly IC (pIC)	Polyinosinic:polycytidylic acid

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HIGHLIGHTS

- *Y. pestis* LcrV protein is targeted to dendritic cells via DEC-205 receptor.
- Mucosal immunity is induced by the vaccine through both s.c. and i.n. routes.
- Poly IC is an efficient mucosal adjuvant in the DEC-205 targeting strategy.
- Efficacy of the targeting vaccine is demonstrated in mucosal T and B cell immunity.

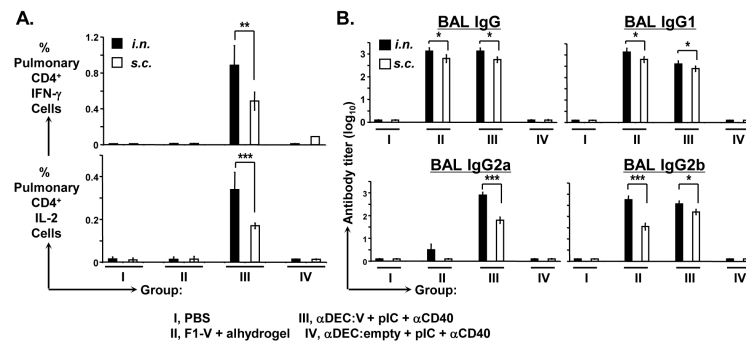


Figure 1.

Immune responses at mucosal surfaces after α DEC:LcrV immunization in the presence of poly IC and α CD40 as adjuvants. (A) BALB/c mice were immunized with PBS, F1-V (10 μ g) adsorbed in alum, α DEC:LcrV (10 μ g), or α DEC:empty (10 μ g) in the presence of adjuvants (50 μ g of poly IC and 25 μ g of α CD40 mAb) either i.n. or s.c. Ten days later, lungs were collected, restimulated with the LcrV reactive peptide LKIYSVIQAEINKHL, aa164-178, and ICS was performed. The frequency of IFN- γ ⁺ (upper) or IL-2⁺ (lower) secreting CD3⁺/CD4⁺ pulmonary T cells was shown as percentage. (B) Anti-LcrV antibody titers for individual IgG isotypes in BAL. Immunization and injection routes were same as described in (A). Data are mean values from 3 independent experiments with similar results, using 3 mice per group. * p <0.05; ** p <0.005; *** p <0.001

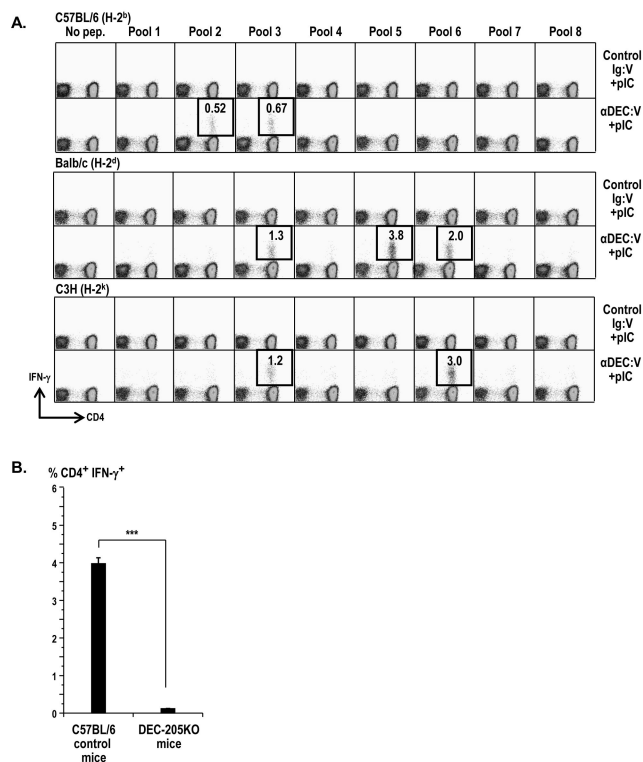


Figure 2. CD4⁺IFN- γ ⁺ secreting pulmonary T cells following α DEC:LcrV immunization in three different strains of mice. (A) C57BL/6, BALB/c, and C3H/HeJ mice were primed and boosted i.n. with α DEC:LcrV (10 μ g) or control Ig:LcrV (10 μ g) in the presence of poly IC (50 μ g). Six to 10 days later, lung cells were restimulated with peptide pools 1-8 and IFN- γ secreting CD4⁺ T cells were assessed by ICS (thick-lined boxes). This is one of several representative data sets having similar results (not shown). (B) C57BL/6 control and DEC-205^{-/-} mice were primed and boosted with α DEC:LcrV (10 μ g) in the presence of poly IC (50 μ g) i.n., and 6-10 days later, lung cells were collected, restimulated, and IFN- γ secreting CD4⁺ T cells were assessed by ICS. Data are mean values from 2 independent experiments, using 2 mice per group. ***p<0.001

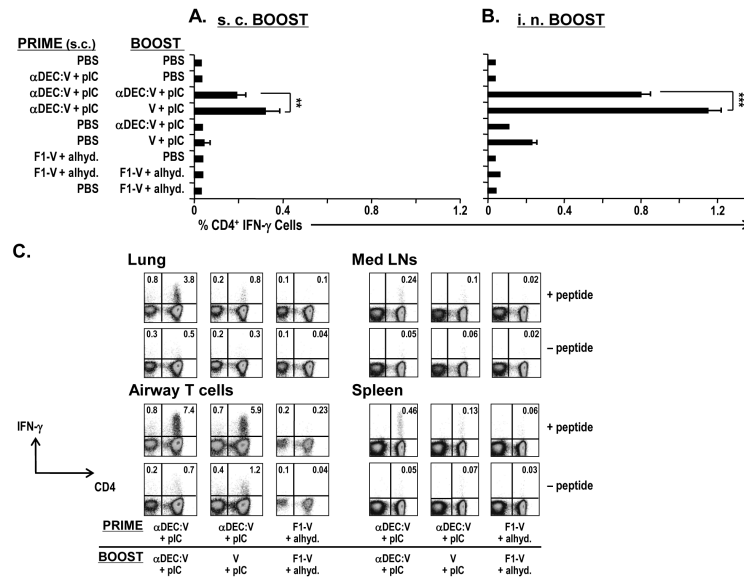


Figure 3. Cellular immunity at mucosal surfaces after α DEC:LcrV immunization in the presence of poly IC alone as an adjuvant in a prime/boost strategy. BALB/c mice were s.c. priming, and the mice were boosted either s.c. (A) or i.n. (B) as described at the left with antigen and adjuvants. Six to 10 days after the boost, lungs were collected, restimulated, and ICS was performed. The frequency of IFN- γ secreting CD3⁺/CD4⁺ pulmonary T cells are shown as percentages. The doses of LcrV protein were either 100 μ g in case of s.c. (A) or 25 μ g in case of i.n. (B) route of boost. Data are mean values from 3 independent experiments with similar results. (C) BALB/c mice were primed and boosted both by the i.n. route, as described at the bottom of each graph. Six to 10 days after the boost, T cells from lungs, mediastinal lymph nodes (Med LNs), BAL fluid, or spleens were restimulated, and IFN- γ secreting CD4⁺ T cells were assessed by ICS. Data in (C) is one of three similar experiments (tabulated in Table 1), using 3 mice per group. ** $p < 0.005$; *** $p < 0.001$

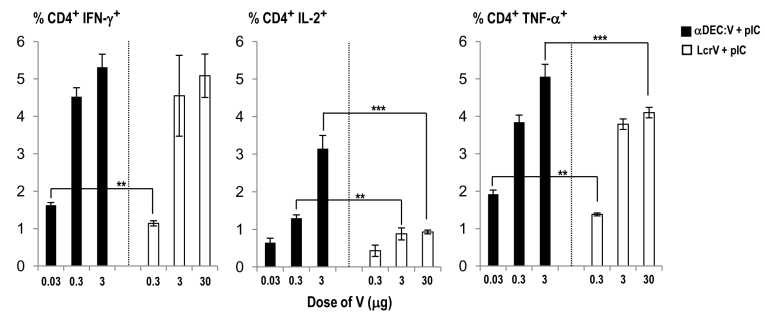


Figure 4.

The targeting efficacy of the induction of LcrV-specific pulmonary cellular immunity. BALB/c were primed and boosted i.n. with different forms of LcrV protein of various dosages as shown at the bottom of the graphs. Six to 10 days later, lung cells were collected, restimulated, and IFN- γ or IL-2 or TNF- α secreting CD4⁺ T cells were assessed by ICS. Data are mean values from 2 independent experiments, using 2 mice per group. **p<0.005; ***p<0.001

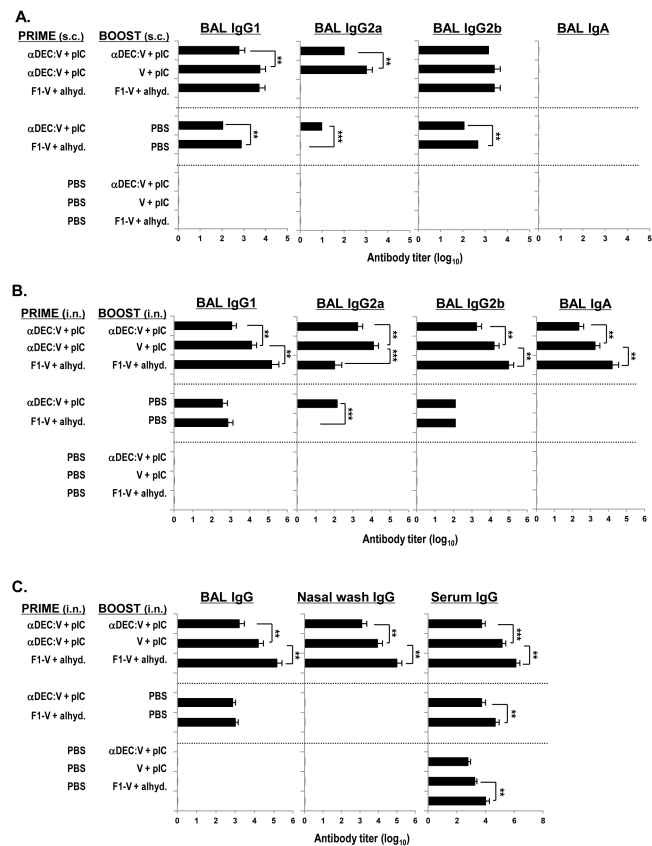


Figure 5. Humoral immunity at mucosal surfaces, the lung, following α DEC:LcrV immunization. (A) BALB/c mice were primed and boosted s.c. as shown at the left side of the graphs. Six to 10 days after the boost, anti-LcrV antibody titers for individual IgG isotypes and IgA in BAL fluid were determined by ELISA. Data are mean values from one of three similar experiments. (B-C) BALB/c mice were primed and boosted i.n. as described at the left. Six to 10 days after the boost, anti-LcrV antibody titers for individual IgG isotypes and IgA were measured in BAL fluid (B) (C), and in nasal washes and serum (C) by ELISA. Data shown are mean values from three similar experiments, using 3 mice per group. * $p < 0.05$; ** $p < 0.005$; *** $p < 0.001$

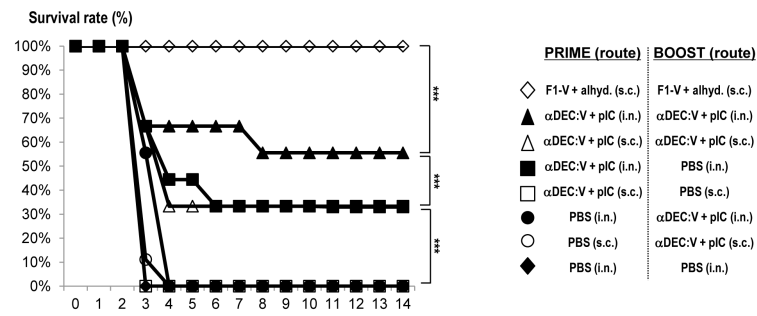


Figure 6. Survival rates after challenge with 100 LD₅₀ of CO92 *Y. pestis*. BALB/c mice (10/group) primed and boosted i.n. or s.c. as described at the right with antigen and adjuvants. Six weeks after the boost, CO92 *Y. pestis* was given i.n. and the survival of the mice monitored daily for 14 days. ***p<0.001

Table 1

IFN- γ CD4⁺ T cell responses to LcrV protein following an i. n. route of immunization.

PRIME	BOOST	SPLEEN	LUNG	MedLN	AIRWAY T CELLS
DEC-V	DEC-V	0.41±0.03	2.46±0.6	0.21±0.01	6.0±0.9
DEC-V	V	0.07±0.03	0.57±0.27	0.05±0.04	4.56±1.2
F1-V	F1-V	0.01±0.03	0±0.03	0±0.01	0±0.01
DEC-V	PBS	0.01±0.01	0.01±0	0±0	0±0
F1-V	PBS	0.01±0	0±0	0.01±0	0±0
PBS	DEC-V	0.01±0.01	0±0.01	0.02±0.01	0.03±0.02
PBS	V	0.01±0	0±0.03	0±0.02	0.79±0.7
PBS	F1-V	0.01±0	0±0.01	0±0	0±0

DEC-V, α DEC:LcrV; V, LcrV

Adjuvant used: poly IC for α DEC:LcrV and LcrV protein immunization; alhydrogel for F1-V recombinant protein