

# Topography of funneled landscapes determines the thermodynamics and kinetics of protein folding

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**The energy landscape approach has played a fundamental role in advancing our understanding of protein folding. Here, we quantify protein folding energy landscapes by exploring the underlying density of states. We identify three quantities essential for characterizing landscape topography: the stabilizing energy gap between the native and nonnative ensembles  $\delta E$ , the energetic roughness  $\Delta E$ , and the scale of landscape measured by the entropy  $S$ . We show that the dimensionless ratio between the gap, roughness, and entropy of the system  $\Lambda = \delta E / (\Delta E \sqrt{2S})$  accurately predicts the thermodynamics, as well as the kinetics of folding. Large  $\Lambda$  implies that the energy gap (or landscape slope towards the native state) is dominant, leading to more funneled landscapes. We investigate the role of topological and energetic roughness for proteins of different sizes and for proteins of the same size, but with different structural topologies. The landscape topography ratio  $\Lambda$  is shown to be monotonically correlated with the thermodynamic stability against trapping, as characterized by the ratio of folding temperature versus trapping temperature. Furthermore,  $\Lambda$  also monotonically correlates with the folding kinetic rates. These results provide the quantitative bridge between the landscape topography and experimental folding measurements.**

energy landscape theory | biomolecular dynamics

Understanding how the amino acid sequence (i.e., primary structure) of each protein enables the native three-dimensional structure to be reached is one of the major challenges in molecular biophysics. In 1969, the arguments of Levinthal led to the suggestion of an apparent kinetic paradox (1). That is, if proteins were to randomly explore all possible states, cosmological timescales would be required for each protein to find the folded configuration. However, naturally occurring proteins fold in milliseconds to seconds. Protein folding theory has resolved this paradox by demonstrating that the underlying energy landscape is “funneled” towards the native state (2–9), however, local traps may be encountered during folding. To ensure that the folding occurs on biologically relevant timescales, the steepness of the protein folding funnel should be large, compared with the roughness due to local traps. Although this theory has provided the conceptual framework for interpreting folding experiments, both qualitatively and quantitatively (2, 5–18), it has yet to be explicitly demonstrated how the shape of the underlying landscape governs the thermodynamic stability and speed of folding, as measured experimentally (19). Here, we meet this challenge by quantifying the landscape topography and establishing the connection between the thermodynamics and kinetics of protein folding.

Naturally selected proteins differ from random sequences in that they fold into unique three-dimensional functional configurations. This indicates that the information necessary to fold is embedded in the amino acid sequence. This intrinsic information manifests in the form of energetic interactions and entropic contributions, which together define the temperature-dependent

free-energy landscape. For describing folding, we are interested in the solvent-averaged effective energy, rather than the direct interactions between atoms (20, 21). Whereas detailed solvent-solute interactions contribute to the overall energetics, hydrophobic and hydrophilic interactions are described as solvent-averaged effects that drive folding. Similarly, the entropy of interest is the solvent-averaged configurational entropy of the polymer. Accordingly, the energy funnel describes the funnel-like character of the effective energy and configurational entropy.

We quantify the landscape by calculating the density of states in configuration and energy space. The density of states gives the probability of each energy/configuration and it does not depend explicitly on temperature. Accordingly, it describes the intrinsic energy landscape. The density of states is obtained from the microcanonical ensemble, whereas simulations of protein folding are usually performed for the canonical ensemble, which yields information on the free energy. In order to obtain the density of states, we transform the simulated canonical ensemble to the microcanonical ensemble.

To identify a robust metric that measures the “degree of funneledness” and overall topography of the landscape, we have used structure-based models with and without explicit energetic roughness (details in *Materials and Methods*). For both, funneled and rough landscapes, we explicitly demonstrate that three quantities are essential for characterizing the effective folding landscape topography: the energy gap (bias or slope of the funnel) (22, 23) between the native state and the average nonnative states  $\delta E = |E_n - \bar{E}_{\text{non-native}}|$ , the roughness in the energies  $\Delta E$ , and the size of the funnel measured by the configurational entropy of the system  $S = k_B \ln \Omega$  (where  $\Omega$  is the number of states). We show that the dimensionless ratio between the gap, roughness and configurational entropy of the system  $\Lambda = \delta E / (\Delta E \sqrt{2S})$  measures the landscape topography, and quantifies the degree of the funnel. Consistent with previous work (24),  $\Lambda$  is strongly correlated with the thermodynamic stability against traps (characterized by the ratio of the folding temperature versus the trapping temperature) and the folding kinetic rates. These results provide the quantitative bridge between the landscape topography and experimental folding measurements.

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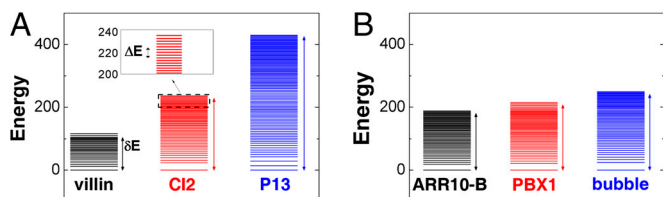
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## Results and Discussions

**Density of States and the Energy Landscape of Protein Folding.** We explored the effective energy landscape and quantified the underlying topography to establish the link between the thermodynamics and kinetics of protein folding. We first performed molecular dynamics simulations, using a structure-based model, with and without energetic frustration included. The canonical ensemble can be efficiently sampled with replica (temperature) exchange methods (25–32). This provides a temperature-dependent distribution of the energies, which we then transform into the microcanonical ensemble through use of the weighted histogram analysis method (WHAM) algorithm (33). Because size effects can have a large influence on dynamics, it is crucial that we partition size-scaling and structural (or topological) effects. To do so, we studied two sets of proteins: The first group is formed by 13 proteins that vary in size, and the second is composed of nine proteins with similar size and different structural motifs (see *SI Appendix* for full analysis of each protein).

To visualize the energy landscape of folding, we projected the density of states onto specific order parameters, or reaction coordinates. The simplest representation of the landscape is the energy spectrum (Fig. 1) (34–36). From this, one may extract the stability gap  $\delta E$  and roughness  $\Delta E$  (indicated by vertical arrows for each protein). The energy gap between the native and nonnative ensembles measures the bias, or slope, towards the native state. In contrast, the dispersion in energies describes the energetic roughness of the landscape. As shown in Fig. 1, there is a distinct gap between the native energy and the average energy of the nonnative states. With these models, all of the fast folding proteins that we studied have significant gaps, or biases, towards the native state. However, for each protein, the degree of roughness and scale of the gap are unique. As we will show, these differences allow one to classify proteins according to their thermodynamic and kinetic properties, and thereby provide a quantitative bridge between the funnel diagram and the physical-chemical properties of protein folding.

**Size, Steepness, and Roughness of Folding Funnels.** For an energetically unfrustrated model, the number of states (i.e., the configurational entropy  $S = \ln[n(E)]$ ) decreases monotonically with decreasing energy. In other words, the states are more sparsely distributed as the energy is lowered. This is different from the canonical ensemble distribution  $n(E, T)$ , where  $T$  is the temperature and low energies have higher populations [ $n(E, T) \sim n(E) \exp[E/k_B T]$ ]. The distribution of energy states, or density of states  $n(E)$ , is intrinsic to the system (i.e., not temperature dependent), and thus reflects the underlying landscape of the protein folding funnel. The configurational entropy  $S(E)$  measures how many states are accessible and, therefore, describes the size of the state space. Initially, the size of the funnel is large for the unfolded states. As expected, the size of the funnel



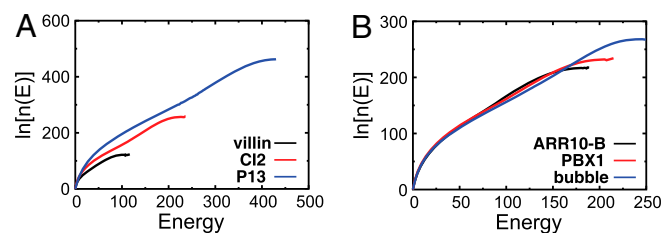
**Fig. 1.** Folding energy landscapes in zero dimensions. The distribution of the energy levels (spectrum) for (A) three (of 13 studied. See *SI Appendix*.) proteins with different sizes and (B) three (of nine) proteins with the same size. The lowest (native) energy  $E_n$  is set to 0 for visualization purposes. The stability gap  $\delta E$  between the native state and the average nonnative states is indicated by vertical arrows. Each energy level of the distribution represents the sum of a cluster of states, except for the native band. The inset is a magnification of the energy levels of C12, with the scale of the energetic roughness  $\Delta E$  indicated by a vertical arrow.

decreases as the native ensemble is approached. Additionally, the rate of decrease, or the slope, of the configurational entropy towards the native state in energy is different for different proteins (Fig. 2).

To estimate the glassy-like trapping temperature  $T_g$ , which describes the temperature at which the configurational entropy associated with nonnative states vanishes, the density of states was partitioned into native and nonnative ensembles. This was achieved by classifying the simulated configurations by their structural proximity to the native state (*SI Appendix*, Fig. S5). Using the microcanonical ensemble,  $T_g$  was determined according to the thermodynamic Maxwell relationship  $\partial S/\partial E = 1/T$ , and  $\partial S/\partial E$  was calculated for the unfolded ensemble.  $T_g$  corresponds to the temperature below which the system becomes trapped or “frozen.” Consistent with these measures of  $T_g$ , in our simulations, as  $T$  approaches  $T_g$ , the kinetics significantly slows down, has increased dispersion in the folding time, and becomes nonself-averaging (*SI Appendix*, Figs. S14 and S20). From  $T_g$ , the roughness of the underlying energy landscape of folding  $\Delta E$  is determined from the relation  $T_g = \sqrt{\Delta E^2/2S}$ , where  $S$  is the configurational entropy of nonnative states (22). In contrast to  $T_g$ , the folding temperature  $T_f$  is obtained directly from the heat capacity curve, which is experimentally accessible.

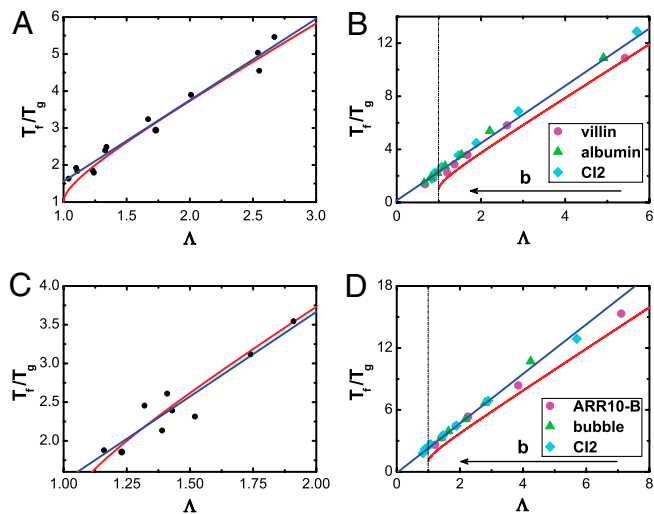
Because experiments do not report on the configurational entropy and enthalpy of each configuration directly, it is important that we understand the connection between energetic and structural metrics of protein folding. Fig. 3A and B show the density of states as a function of the order parameter  $Q$ , which is defined here as the fraction of native contacts formed (37). The configurational entropy, or density of states, decreases monotonically with  $Q$ . In other words, as folding proceeds, the size of the funnel [measured by  $S(Q)$ ] decreases, where the slope is distinct for each protein. The average energy also monotonically decreases as the system becomes more folded (Fig. 3C and D). This depicts the funneled nature of the simulated landscapes. For an analytical mean field theory of protein folding (22), the energy gap is closely linked to the slope of  $E(Q)$ . Here, there is also a strong correlation between the average slope of the energy landscape  $E(Q)$  and the energy gap (*SI Appendix*, Figs. S12 and S18), explicitly confirming that the models describe the landscape as being funneled.

There are many qualitative folding funnel illustrations available, though none have been quantitatively derived from experimental or theoretical measurements. In Fig. 4, we show three quantified folding funnels of villin headpiece, CI2 and P13, obtained directly from simulations. These funnels encapsulate the data described in Figs. 1–3. The horizontal axis is  $\sqrt{(1-Q)S}$ , where the area in the ellipsoid is equal to the configurational entropy  $S(E)$ , which provides the size of the funnel. The picture illustrates that as folding proceeds towards the native state,  $S(E)$  and the effective energy  $E(Q)$  (vertical axis) decrease. The steepness of the funnel is determined by the slope of the energy (with respect to  $Q$ ), as well as the slope of the configurational entropy. The depicted roughness in the funnel is due to the



**Fig. 2.** Folding energy landscapes in one dimension. Logarithm of the density of states as a function of energy for (A) three (of 13) proteins with different sizes and (B) three (of nine) proteins of the same size. The lowest (native) energy  $E_n$  is set to 0 for visualization purposes.





**Fig. 6.** The correlation between folding against trapping  $T_f/T_g$  and landscape topography  $\Lambda$ . The red line is the analytical mean field theory prediction of the relationship between  $T_f/T_g$  and  $\Lambda$ :  $T_f/T_g = \Lambda + (\Lambda^2 - 1)^{1/2}$ . The blue line is a linear fit of  $T_f/T_g$  versus  $\Lambda$ . For all cases, the correlation coefficient (c.c.) is greater than 0.9. (A) Folding of proteins of different sizes and different structural topologies, but no energetic roughness. (B) Folding of different-sized proteins with energetic roughness. (C) Folding of proteins of similar size with different structural topologies but no energetic roughness included. (D) Folding of same-size proteins with energetic roughness included. In B and D, b sets the energy variance of the non-native interactions (details in *SI Appendix*).  $\Lambda$  monotonically correlates with b.

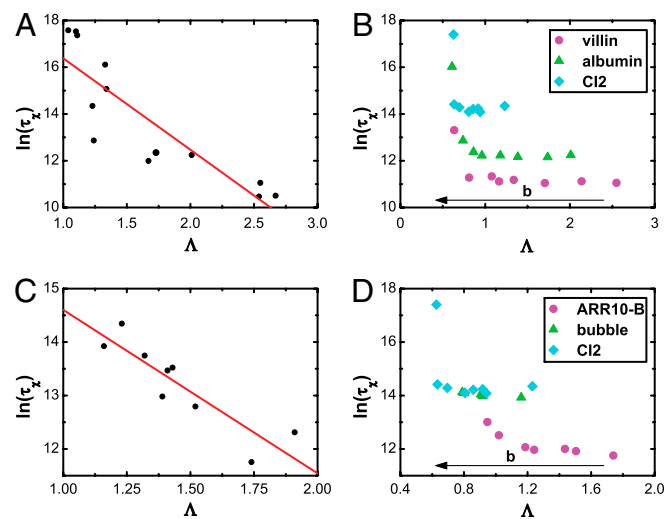
roughness included. For each group, we find a strong positive correlation between thermodynamic stability [characterized by the dimensionless ratio of folding transition temperature to the trapping temperature  $T_f/T_g$  (40–42)] and  $\Lambda$ , which is consistent with expectations from mean field theory (2, 7, 22, 43). That is, the steeper and less rugged (or the smaller the size of) the funnel, the more thermodynamically stable the protein is against energetic traps. This demonstrates that the thermodynamic stability of proteins that is measured from experiments will reflect the underlying topography of the landscape. Specifically, these results demonstrate that  $T_f$  and  $T_g$  (44) measurements will allow us to infer the characteristics of the underlying landscape.

For each class of proteins, the origin of the correlation between  $\Lambda$  and  $T_f/T_g$  may be attributed to different features. Because the slope, roughness, and configurational entropy of the landscape, as well as the folding and trapping temperatures, all depend on protein size, the correlation across different-sized proteins (Fig. 6A) is expected from scaling considerations (*SI Appendix*, Figs. S11 and S13). This is inconsistent with mean field approximations because finite sizes and the capillarity of protein folding are accounted for here (45). When energetic roughness is included (Fig. 6B) the trapping temperature and  $\Lambda$  are altered, and the correlation is due to the energetic roughness dependence of both. For proteins with different structural topologies (Fig. 6C), size-scaling arguments cannot account for the correlation, which implicates the residue–residue connectivity as leading to changes in the underlying slope, roughness, and size of the landscape. When introducing energetic roughness to proteins of the same size (Fig. 6D) the origin of the correlation is again due to concomitant changes in landscape topography and thermodynamic stability. Thus, these results demonstrate that  $\Lambda$  is a measure of landscape topography that can accurately predict the thermodynamic stability against trapping, whether or not they are size-scaling or energetic in origin.

**Energy Landscape Topography Determines the Kinetics of Folding.** To explore the relationship between the landscape topography and

kinetics, we have simulated folding and calculated the kinetic rates. We first investigated proteins of different sizes, using an energetically unfrustrated model that has been shown to produce folding kinetics that are correlated with experimental measurements (11, 45–58). Kinetic simulations were performed at the temperature  $T_y$ , where 80% of the population occupies the native ensemble (38). We find that the rates are strongly negatively correlated with the landscape topographic measure  $\Lambda$  (Fig. 7). This illustrates that the steeper, less rugged, or smaller size (i.e., less entropy) of the folding funnel, the faster folding occurs (59, 60). This strong correlation indicates that experimental measures of the folding speed can be used to obtain quantitative measures of the underlying energy landscape.

Because the kinetic rate and landscape topography measure  $\Lambda$  depend on size, the correlation for proteins of different sizes (in the energetically unfrustrated model) may result from size-dependent effects. To address this potential limitation in our description of kinetics and topography, we calculated the folding kinetics for proteins of different sizes, with a variable degree of energetic roughness included (Fig. 7B). We find a comparable correlation when using models with energetic roughness. Because the landscape topography is directly related to the energetic roughness, and the kinetic rates also depend on the energetic roughness (rougher landscape slows down kinetics), the correlation between the landscape topography and folding speed reflects the influence of energetic roughness. It is worth noting that, when the nonnative interaction strength is increased from zero, the correlation between folding rate and  $\Lambda$  is negligible. This is consistent with theoretical considerations and simulations that have shown that a small degree of nonnative interactions (relative to a completely unfrustrated landscape) can decrease the free-energy barrier and accelerate folding rates (39, 61). In the present study, the model is structure based, where large values of  $\Lambda$  correspond to a very small degree of energetic roughness, whereas proteins must have a finite degree of roughness in their landscapes. Thus, for proteins in solution, one does not expect to observe such accelerating effects because the landscapes have a finite degree of roughness already. Therefore, the correlation



**Fig. 7.** The correlation between the kinetic rates of folding and landscape topography  $\Lambda$ , shown for: (A) proteins of different sizes and topologies, without energetic roughness included (c.c. =  $-0.87$ ); (B) proteins of different sizes with varying degrees of energetic roughness included; (C) proteins of similar size and different structural topologies but no energetic roughness included (c.c. =  $-0.89$ ); (D) proteins of the same size with energetic roughness included. The landscape topography  $\Lambda$  is strongly correlated with folding time. The folding time is in reduced units.

between folding rates and  $\Lambda$  is likely to be monotonic in real proteins, where larger  $\Lambda$  leads to larger folding rate.

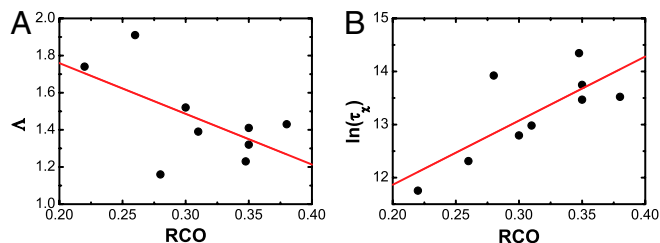
To remove size-scaling effects and identify the structural contributions to the folding kinetics, we performed simulations of nine proteins that are comparable in size (Fig. 7C). We first explored the folding kinetics for proteins of the same size and different structural topologies, with a model that lacks energetic roughness. For those cases, the structural topologies determine the configurational entropy, landscape slope, and connectivity of substates. The correlation between the landscape topography and folding speed, therefore, demonstrates the structural-topology dependence of the landscape. To ensure that these results are not model dependent, we repeated these calculations with a model that included stabilizing nonnative interactions (Fig. 7D). We again find that the energetic roughness has a considerable impact on the landscape topography and leads to a correlation between the underlying topography and folding speed.

Because other metrics, such as contact order [CO, an entropic measure based on the native structure of proteins (53, 61–64)], are found to be correlated with the kinetics of folding,  $\Lambda$  should be correlated with those quantities. The correlation between the relative contact order (RCO) and  $\Lambda$  for proteins of the same size with the energetically unfrustrated model implies that  $\Lambda$  contains the structural topological information of each protein (Fig. 8A). In addition, our results show that RCO is correlated with the folding rate for the nine same-sized energetically unfrustrated proteins (Fig. 8B). Compared with RCO, the energy landscape topography measure  $\Lambda$  shows a stronger correlation with the folding rates. Although such observations reinforce the notion that native-state topology influences the kinetics, the presented landscape topography measure  $\Lambda$  captures the topological and energetic contributions to the landscape and is capable of predicting the thermodynamics and kinetics of folding.

## Conclusions

We have quantified the energy landscape of protein folding by calculating the underlying density of states. Based on the density of states, we have shown that the dimensionless ratio between the gap, roughness, and configurational entropy of the system [ $\Lambda = \delta E / (\Delta E \sqrt{2S})$ ] quantifies the topography of the underlying landscape and measures the degree of its funneledness.  $\Lambda$  represents a universal metric by which the overall landscape topography may be extracted from thermodynamic or kinetic measurements. Further, we have demonstrated that  $\Lambda$  is not only appropriate for particular subsets of proteins (such as fast folding proteins) but also for proteins of different sizes and energetic character.

Each protein studied has a folding funnel associated with a unique slope, roughness, and size. The topography measure of the underlying folding landscape,  $\Lambda$ , is shown to be correlated strongly (monotonically) with the thermodynamic stability against traps, characterized by the ratio of thermodynamic stability temperature versus trapping temperature. In addition, the landscape topography measure  $\Lambda$  also monotonically correlates



**Fig. 8.** The correlation between landscape topography  $\Lambda$ , kinetic rates of folding and RCO. (A)  $\Lambda$  versus RCO for nine proteins of the same size but different structural topologies (c.c. =  $-0.59$ ). (B) Folding time  $\ln(\tau_f)$  as a function of RCO (c.c. =  $0.76$ ).

with the folding kinetic rates. These demonstrate how the energy landscape topography determines the thermodynamics and kinetics of protein folding.

Energy landscape theory has guided our understanding of protein folding and has led to progress in the study of folding thermodynamics and kinetics. In our studies, because the landscape topography, thermodynamics, and kinetics are so strongly correlated,  $\Lambda$  represents the meeting point for theoretical quantification of the landscape topography and experimental evaluation of stability and kinetics. In addition, this quantitative energy landscape framework can be extended to multidomain proteins and folding in vivo. It is worth noting that our studies have used a coarse-grained structure-based model to explore the energy landscape. However, as computing hardware and software continue to advance, the presented framework may be utilized in conjunction with all-atom molecular dynamic simulations. Such efforts will allow one to partition the effects of solvent interactions on protein folding and identify how they contribute to the global topology of the energy landscape. In closing, the current study bridges the gap between the quantification of the underlying folding energy landscape topography and experiments (or simulations) on thermodynamics and kinetics of protein folding.

## Materials and Methods

A structure-based model (13), coarse grained at the residue level, was used to explore the density of states. To ensure efficient sampling, the density of states was calculated from replica exchanged molecular dynamics simulations (25), performed at 48 temperatures for each protein. To obtain a single measure of the density of states, WHAM (33) was used. The deviation of each configuration from the native structure was used to divide sampled configurations into native and nonnative ensembles. With the thermodynamic relation  $1/T = \partial S / \partial E$ , we calculated the slope of the density of states for the nonnative ensemble to estimate the temperature at which the configurational entropy of the nonnative states vanishes ( $1/T_g$ ). From the trapping temperature  $T_g$ , we directly obtained the landscape topological roughness:  $\Delta E_{\text{Top}} = \sqrt{2S} T_g$ .  $S$  is the configurational entropy of the nonnative states. The energy gap  $\delta E$  is defined as the difference between the average energy of the nonnative states and the energy of native state.  $S$  and  $\delta E$  were calculated from the density of states.

To explore the role of the energetic roughness on the landscape topographical measure, we introduced energetic frustration into the structure-based model by including nonnative interactions (39, 65). The weight of each nonnative interaction was assigned according to a Gaussian distribution with a variance of  $b^2$ . We modulated the scale of the energetic roughness by changing  $b^2$ .  $b^2 = 0$  corresponds to a structure-based model. The energetic roughness  $\Delta E_{\text{Ene}}$  introduced by nonnative interactions is expressed as  $\sqrt{A_{\text{max}}} b^2$ , where  $A_{\text{max}}$  is the maximum number of nonnative contacts the protein can form.

The total landscape roughness of proteins  $\Delta E_{\text{Total}}$  is due to both topological and energetic roughness contributions. In purely structure-based models (13, 66), there are no nonnative interactions, and the energetic contribution to the roughness is  $\Delta E_{\text{Ene}} = 0$ . When nonnative interactions are included, the roughness of the energy landscape is the sum of the topological roughness and energetic roughness. To calculate  $\Delta E_{\text{Total}}$ , we make the assumption that modulating the variance of nonnative interactions does not significantly alter the topological contribution to the roughness. This assumption is valid because the topological roughness arises from constraints on the geometry and shape of the native structure, which is not significantly perturbed when the energetic roughness is sufficiently low (6768). In other words, the nonnative interactions in real proteins can be approximated as energetic heterogeneity perturbations to the background roughness introduced by the topology. Accordingly, we use the expression:  $\Delta E_{\text{Total}}^2 = \Delta E_{\text{Top}}^2 + \Delta E_{\text{Ene}}^2$  to calculate the total roughness of each protein. The trapping transition temperature ( $T_g$ ) will be:  $T_g = \sqrt{\Delta E_{\text{Total}}^2} / 2S$ . The folding landscape measure is  $\Lambda = \delta E / (\Delta E_{\text{Total}} \sqrt{2S})$ .

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