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The School-Based Preventive Asthma Care Trial: Results of a Pilot Study

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Abstract

Objective—To test the feasibility and preliminary effectiveness of the SB-PACT program, which includes directly observed therapy of preventive asthma medications in school facilitated by web-based technology for systematic symptom screening, electronic report generation, and medication authorization from providers.

Study design—We conducted a pilot randomized trial of SB-PACT vs. usual care with 100 children (ages 3-10yrs) from 19 inner-city schools in Rochester, NY. Outcomes were assessed longitudinally by blinded interviewers. Analyses included bivariate statistics and linear regression models, adjusting for baseline symptoms.

Results—99 subjects had data for analysis. We screened all children using the web-based system, and 44/49 treatment children received directly observed therapy as authorized by their providers. Treatment children received preventive medications 98% of the time they were in school. Over the school year, children in the treatment group experienced nearly 1 additional symptom-free day/two weeks vs. usual care (11.33 vs. 10.40, $p=.13$). Treatment children also experienced fewer symptom nights (1.68 vs. 2.20, $p=.02$), days requiring rescue medications (1.66 vs. 2.44, $p=.01$) and days absent from school due to asthma (.37 vs. .85, $p=.03$) compared with usual care. Further, treatment children had a greater decrease in exhaled nitric oxide (-9.62 vs. -39 , $p=.03$), suggesting reduction in airway inflammation.

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The authors declare no conflicts of interest.

Conclusion—The SB-PACT intervention demonstrated feasibility and improved outcomes across multiple measures in this pilot study. Future work will focus on further integration of preventive care delivery across community and primary care systems.

Keywords

asthma; schools; technology; urban; preventive care

Asthma is a chronic disease characterized by inflammation in the airways. Inhaled corticosteroids are the most effective long-term treatment for patients with persistent asthma and the NHLBI Expert Panel guidelines recommend that all patients with persistent asthma receive daily inhaled corticosteroid therapy.¹ These medications reduce asthma symptoms, improve pulmonary function, and prevent exacerbations leading to hospitalizations² when used as recommended. In addition, once medications are prescribed, the guidelines recommend follow-up assessments in 4-6 weeks, with adjustments in therapy as needed, to assure the goals of therapy are met.¹

Despite these clear and well-developed guidelines for care, little has been done to assure implementation of the guidelines. Many children in the US with persistent asthma symptoms do not receive preventive medications.³⁻⁵ In addition, many children who are prescribed a preventive medication do not achieve optimal control, at least in part due to poor adherence and lack of appropriate follow-up care.⁶ Importantly, the greatest under-use of preventive medications and lack of appropriate asthma care occurs among poor children living in the inner-city.⁷

We have developed a unique program of school-based asthma care designed to improve adherence to preventive asthma care guidelines and reduce morbidity for poor and minority children with persistent symptoms.^{8,9} Our previous intervention, the School Based Asthma Therapy Trial (SBAT) 2006-2009, included directly observed administration of preventive asthma medications in school, with guideline-based medication dose adjustments for children who continued to have poor control. This program was successful in reducing asthma morbidity;¹⁰ however, in its original form the intervention required substantial hands-on participation by the study team to screen children for persistent symptoms and to assure appropriate medications were authorized, prescribed, and delivered to schools for directly observed therapy.

We subsequently developed the School-Based Preventive Asthma Care Technology (SB-PACT) trial, which utilizes a web-based program to overcome key barriers to sustainability identified in the original study. Our goal was to develop a novel mechanism for the implementation of sustainable school-based asthma care in a real-world setting. This paper presents primary outcomes of the SB-PACT pilot study, focusing on the feasibility and preliminary effectiveness of the intervention on asthma morbidity, including symptom-free days, quality of life, absenteeism, and urgent health care use.

METHODS

The University of Rochester Institutional Review Board approved all study procedures. At the beginning of the 2009-2010 school year, children 3-10 years of age attending school in the Rochester (NY) City School District were screened for eligibility. All schools in the RCSD (n=39) agreed to participate. We recruited a convenience sample of 100 children into the study from 19 schools (on average 5 in each school). Children were identified by school medical-alert forms, which are available to school health staff at the start of the school year and include a list of children with an asthma diagnosis. The school nurse or school health

aide (with assistance from the study team, as needed) conducted a brief survey with the child's caregivers using a secure web-based platform to assess the child's eligibility.

Eligible children had physician-diagnosed asthma with persistent symptoms based on the NHLBI guidelines.¹ Children were excluded if their caregiver was unable to speak and understand English, if they had no access to a working phone for follow-up surveys, if they were planning to leave the school district within fewer than 6 months, or if the child had any other significant medical conditions, including congenital heart disease, cystic fibrosis, or other chronic lung disease, that could interfere with the assessment of asthma-related measures.

Once a child was deemed eligible, the study team scheduled a baseline home visit with the family to obtain written informed consent from the parent and assent from children > 7 years. The baseline evaluation included an assessment of asthma symptoms, standard family and health history variables, and exposure to secondhand smoke. An asthma symptom diary, developed using the school calendar, was given to the caregiver for tracking of asthma symptoms throughout the school year. We also obtained a saliva sample from each child for cotinine concentration to measure secondhand smoke exposure. Lastly, we obtained exhaled nitric oxide measurements from each child using a portable NIOX MINO machine, in order to objectively measure airway inflammation. Enrollment occurred in a rolling fashion, beginning in October of the school year.

Following completion of the baseline assessment, each child was randomly assigned to either the SB-PACT group or the usual care group. Randomization was stratified by the use of a preventive asthma medication at baseline. A permuted block design was used to assure an equal balance of children in each group over time. The randomization scheme was independently developed by the Biostatistics Center; the interviewer called the Study Coordinator who provided the subject's ID number and treatment assignment.

SB-PACT Group

Program Overview—The SB-PACT intervention includes several key steps: (1) systematic web-based screening to assess children's asthma using guideline-based symptom questions along with an algorithm to compute an NHLBI severity or control classification; (2) report generation and electronic communication with PCPs for authorization of directly observed therapy of preventive asthma medications through school; (3) prescription of guideline-based preventive medications which are purchased through the child's health insurance and delivered to schools and children's homes by a local pharmacy; (4) directly observed administration of medications at school by a school nurse or health aide; and (5) systematic reassessment of symptoms using the same system, with guideline-based adjustments in therapy as needed. We also incorporated 0.3 FTE support from an Asthma Care Coordinator (ACC) to facilitate communication between school health staff, healthcare providers and caregivers. The ACC is a registered nurse with additional training in childhood asthma. Further details of the program are presented elsewhere.¹¹

Study Processes—The ACC reviewed the screening data and transmitted an electronic asthma report to the PCP which included a recommendation for directly observed therapy at school. The PCP was then prompted to approve a prescription for a preventive asthma medication that was ordered through one of a number of pharmacies that provide delivery services, and agree to monitor the child for potential side effects. One canister of preventive medication, with a spacer and mask (if indicated), was delivered to the family at home. The family used this inhaler for medication doses on weekend days and other days in which the child did not attend school. A second medication canister with a spacer and mask was delivered to the child's school for use on school days. School health staff administered one

dose of medication to the child during the school day. The school nurse showed children how to use medications properly and instructed them to rinse their mouth with water after each dose. We also provided written instructions on inhaler technique to families, with demonstration when requested. Even though adherence to medication administration was assured by school health staff on the days the child attended school, adherence was encouraged but not assured on days the child did not attend school.

All children in the study had persistent asthma symptoms and/or poor asthma control upon enrollment, and thus warranted the use of a daily preventive asthma medication according to the NHLBI guidelines. The starting medication administered through the study varied depending on the child's baseline asthma therapy; some children began a new preventive medication, others continued with a previously prescribed medication or were stepped-up in their therapy. The Asthma Care Coordinator reviewed all caregiver reported preventive medications (if any) prior to the start of the study, and made a recommendation to the PCP. The PCP then authorized the recommended medication (or could provide authorization for an alternate medication) to be administered as directly observed therapy through school. Most children received once-daily dosing because it is effective¹² and allows for medication administration during school hours. If more frequent dosing was needed, additional doses were taken at home.

Assessment for possible medication dose adjustments occurred during the school year approximately 1 and 2 months after the start of directly observed therapy. Symptom information was collected by the same web-based mechanism, which was relayed electronically to the Asthma Care Coordinator, and medication adjustments were made for children who continued to have poor control. Information regarding possible changes in the child's regimen was relayed to the child's PCP and the family, and both parties had to agree prior to implementation of the adjusted dose.

Medication recommendations were based on the NHLBI guidelines for asthma care with the assessments for adjustments in therapy corresponding to peak asthma season. The schema did not include any step-down in therapy because all of the children had persistent symptoms at the start of the trial and could benefit from several months of anti-inflammatory therapy. A natural time for discontinuing or stepping-down therapy occurred at the end of the school year when the children no longer were receiving medications through school. Two weeks prior to the close of the study, we notified physicians and families that children who were receiving preventive medications at school would no longer be receiving these medications through the study. PCPs were encouraged to contact families to manage medicines directly.

Usual Care Group

Similar to children receiving the SB-PACT intervention, children in the usual care group were screened for eligibility using the online screening tool at the beginning of the school year, but reports were not sent to their PCPs and directly observed therapy was not implemented in school. At the time of the baseline visit, we encouraged parents of children in the usual care group to contact their child's provider to further discuss their child's symptoms and need for enhanced preventive care. Families were responsible for bringing their child to the provider's office for a visit and for filling prescriptions and administering medications as prescribed. We provided families of children in both groups with written educational handouts on asthma triggers, treatments, and local asthma resources.

Outcomes Assessment

The intervention continued until the end of the school year, which varied from 6-8 months depending on the timing of enrollment for each child. We assessed feasibility by the success in: 1) enrolling and maintaining study participants (% agreeing to participate, % completing intervention), and 2) the implementation of the program in schools (efficiency of the delivery of medication canisters to the schools, % days children receive medications in school). We obtained medication administration records from school nurses to assess consistency of medication delivery through school.

Clinical outcomes were assessed at 1, 2, and 4 months post-baseline via telephone interviews, and an in-home visit at the end of school year (approx. 6-8 months). All follow-up data were collected by a research group blinded to the child's group allocation. The primary outcome was mean symptom-free days over two weeks, averaged over the study period. Caregivers reported the number of days their child experienced *no* symptoms of asthma (24 hour period with no coughing, wheezing, shortness of breath, or need for rescue medications) over the past 2 weeks. They were referred to their symptom diaries at the time of the interview to assist with symptom recollection.

We also measured the number of days and nights with symptoms, activity limitation, rescue medication use, school absenteeism, parent sleep interruption, and change in family plans due to the child's asthma over the prior 2 weeks. Caregiver quality of life was assessed using the previously validated Pediatric Asthma Caregiver's Quality of Life Questionnaire (PACQLQ)¹³, with higher mean scores indicating better quality of life (range 1-7). Healthcare utilization was obtained during each survey by asking caregivers about the child's urgent (office and ED visits, hospitalizations) and non-urgent visits for asthma care since the previous interview. Exhaled nitric oxide was obtained as an objective measure of airway inflammation, during the baseline assessment and final follow-up assessments. We used a portable NIOX MINO Airway Inflammation Monitor, which measures forced exhaled nitric oxide using the electrochemistry method. Children exhale into the device at a steady rate for 6-10 seconds (range 5-300 ppb).

In addition, we measured standard variables known to influence asthma outcomes, including demographic variables (race, sex, ethnicity, insurance, caregiver's age and education level), medical variables (allergy), and caregiver depression using the Kessler Psychological Distress scale.¹⁴ We also measured smoke exposure by caregiver interview,¹⁵ and obtained salivary cotinine (at baseline and the final assessment) using a standardized technique.^{16, 17}

At the end of the school year, we administered a structured survey with Likert-scale questions to assess feasibility and acceptability of this program from caregivers, school nurses and providers. For caregivers in the treatment group, we asked about their comfort with asthma care delivered through school and their impressions of the school nurse's role in the program. Physicians provided feedback on the feasibility of authorizing medications through the web-based SB-PACT system and the value of directly observed therapy in schools. School nurses expressed their opinions on the feasibility of providing asthma care through the school system and whether they perceived that this program provided a health benefit for the children in the treatment group.

Analyses

Because this was designed as a pilot study with limited power, we consider analyses exploratory. All randomized subjects were kept in their originally assigned groups for analysis. Demographic variables and baseline outcomes were compared with confirm balance between randomized groups. We calculated mean values over the 4 assessment points. To test for differences between the groups on clinical and functional outcomes, we

used two-sample t-tests or the Mann-Whitney tests for continuous outcome variables (e.g.; symptom-free days, symptom nights), and chi-square tests for discrete response variables (acute visits, hospitalizations). We adjusted for baseline symptoms in the primary data analysis using linear regression models.

RESULTS

We identified 165 eligible children with asthma and 100 were enrolled (response rate: 61%). No subjects withdrew from the study; one subject in the treatment group was lost to follow-up prior to any follow-up data collection (Figure; available at www.jpeds.com). As previously described, we were able to successfully screen all children using the web-based system. Most (44/49) children randomly assigned to the intervention group began directly observed therapy in school as authorized by their providers (1 child was lost to follow-up, 3 providers did not authorize directly observed therapy at school, and 1 parent chose to administer all medication doses at home). Initial medications were purchased by the study team for 2 families who were underinsured at the start of the study. The majority of providers (82%) used the electronic communication system and the remainder requested documents by facsimile. Medications were delivered to the schools by the pharmacy for most subjects (65%), or were either delivered by the asthma coordinator or picked up by the caregiver. Directly observed therapy was successfully initiated for all of these children, and children received their medications 98% of the time they were in school.

There were no differences in demographic characteristics, asthma symptoms or exhaled nitric oxide between study groups at baseline (Tables I and II). Overall, 58% of children were male, 57% were African American, 26% Hispanic, and the mean age was 7.2 years. The majority of children (70%) were covered by Medicaid insurance, 42% of caregivers had less than a high school education, and 58% of the children lived with one or more smoker. The mean number of symptom free-days at baseline, over two weeks, was 7.32 days.

Table III summarizes the primary outcomes by group, controlling for baseline asthma symptoms. Over the school year, children in the treatment group experienced nearly 1 additional symptom free day every two weeks compared with the usual care group. In addition, children in the treatment group experienced fewer nights with symptoms, fewer days requiring rescue medication use and fewer days absent from school due to asthma. Parents of children in the treatment group reported fewer days requiring the family to change their plans to accommodate the child's asthma and fewer nights that they lost sleep due to the child's asthma. Further, children in the treatment group had a greater decrease in exhaled nitric oxide from baseline to the final assessment compared with children in the usual care group.

Healthcare utilization throughout the school year is shown in Table IV. There were no differences between the proportion of children presenting for healthcare visits between treatment groups. Throughout the study period, nearly 40% in both groups discussed asthma at a visit with their physician, and 20% went to the emergency room or their doctor's office for an acute asthma exacerbation. One child in each group was hospitalized during the school year. There were no reports of adverse events in either group.

At the end of the study, all of the responding providers (n=25) reported that they felt directly observed therapy of preventive asthma medications was beneficial for urban children with persistent asthma, and all providers with children in the treatment group (n=16) reported feeling comfortable authorizing preventive asthma therapy through this program. Among caregivers whose children participated in the treatment group, most felt that it was easy to work with the school system (91%), were comfortable with the school nurse providing daily

preventive asthma therapy (94%), and felt that the school nurse did a good job helping to manage their children's asthma (91%). The majority of responding school nurses (12 of 13) stated that they would like to see the SB-PACT program continue in their schools, 85% felt that it was feasible to continue this program, and none felt it was a burden to administer daily preventive medicine to their students.

The majority of school nurses also felt that the program improved communication between school nurses and parents, and that students participating in this program were healthier and missed less school. Many nurses stated the program helped the children to *consistently* receive their daily medications through directly observed therapy and prevented interruption in therapy by having the pharmacy deliver the medications to school instead of requiring parents to pick up medications and deliver them.

DISCUSSION

This pilot study of school-based asthma care demonstrated feasibility as well as preliminary effectiveness in reducing morbidity for high-risk children with asthma. As intended, the web-based screening mechanism worked efficiently for most participants, the majority of PCPs used the electronic communication system, and medications were delivered systematically. Importantly, children receiving the intervention experienced fewer symptoms, less absenteeism from asthma, and reduced airway inflammation. Although the small sample size limited the power of the analyses, the improvements seen were similar in magnitude to the findings from our prior studies and differences in several outcomes reached statistical significance. These results suggest that this integrated model of care, designed to promote sustainability, can effectively reduce morbidity among high risk inner-city children with asthma.

This study tests an integrated system of preventive medication delivery and asthma assessment in schools. It is clear from prior studies that poor adherence to preventive care guidelines is common, particularly for underserved children. The Chronic Care Model¹⁸ suggests that interventions incorporating new mechanisms of care based in community settings are particularly effective for vulnerable populations of patients. Schools represent the ideal location to target children to improve the delivery of care for chronic illness because of the potential to reach large numbers of children and optimize their care in the setting where they routinely spend many of their days. Further, collaborations with schools provide the opportunity to reach high-risk children and target those in greatest need of assistance, regardless of whether they receive regular health care.⁸

Several prior studies have tested school-based programs for urban children with asthma. The majority have focused on asthma self-management education for students and their caregivers.¹⁹⁻²² In addition, education programs have also been implemented in Head Start settings, targeting both staff and parents to improve care for underserved children with asthma.^{23, 24} A few studies have specifically tested directly observed therapy in schools, and have shown positive effects.^{25,26} We are not aware of any other studies testing the implementation of a school-based asthma care intervention using secure web-based technology for communication and medication authorization, which links to directly observed therapy through school.

This study's strengths lie in its ability to target a traditionally underserved group and work within an existing system of care to improve preventive medication adherence. We found that many of the barriers in the original study were overcome with a web-based mechanism for asthma screening, control monitoring, report generation, and medication authorization. Although some challenges in implementation were encountered,¹¹ in general we found the

system to be feasible and efficient. Caregivers, providers, and school health staff expressed general satisfaction with the program and the majority stated that this program should continue within the school district.

There are some limitations to this study. First, because this was designed as a pilot study, we had limited power to detect differences between groups, particularly for less common events such as emergency visits and hospitalizations. We did not have adequate power for subgroup analyses. Children in the control group may have had improved outcomes simply from their participation in the trial, creating a conservative bias. Further, findings from this work can only be generalized to similar target populations and school districts. Many school districts in the US are facing significant financial strains and have limited resources, thus additional responsibilities for school personnel to implement a program like this may not be feasible in some settings. However, even in schools that do not have full-time health personnel, daily medication administration for other conditions (e.g. attention deficit disorder) occurs regularly. Thus, the provision of daily preventive asthma medications could be a simple and logical system change to improve adherence. Further, the web-based system for asthma screening was specifically designed to promote sustainability by being user-friendly and efficient, and most schools have internet capabilities.

In conclusion, we found that the SB-PACT intervention was feasible, acceptable, and improved outcomes across multiple measures in this pilot study. The ultimate goal is to promote diffusion of an efficient system of care throughout schools, optimize access to effective healthcare, and reduce morbidity among high-risk children in urban communities. Because partnering with schools represents an ideal means to reach impoverished, underserved children with asthma and improve preventive care, future work will focus on further refinement of the program with full integration across community and primary care systems and evaluation of cost-effectiveness.

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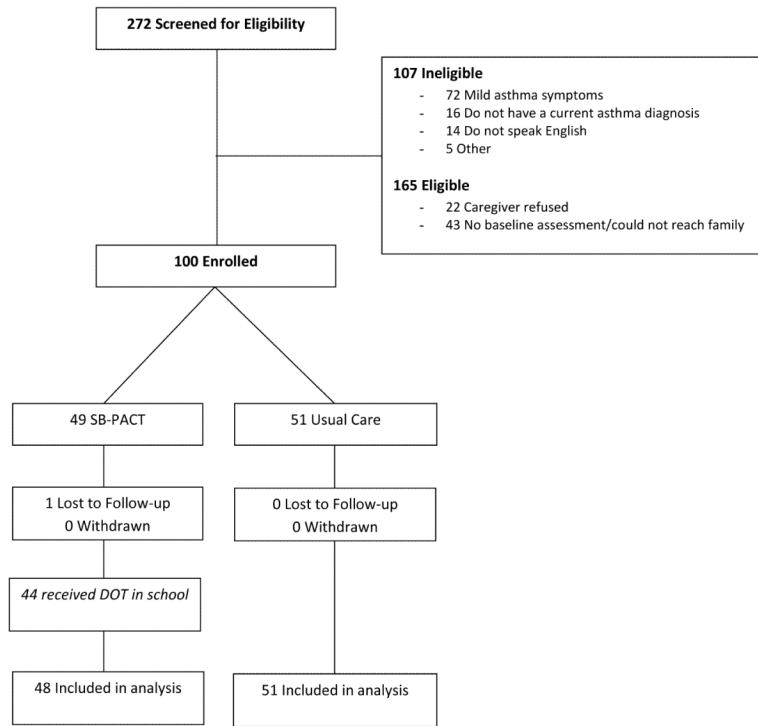


Figure.
Consort Diagram

Table 1
Demographics

N (%)	Overall N=99	Treatment N=48	Control N=51	P-value
Child sex: Male	57 (58%)	25 (52%)	32 (63%)	.314
Child age, Mean (SD)	7.20 (1.8)	7.48 (1.7)	6.98 (1.8)	.157
Child race:				
White	7 (7%)	3 (6%)	4 (8%)	.512
African American	56 (57%)	30 (62%)	26 (51%)	
Other	36 (36%)	15 (31%)	21 (41%)	
Child ethnicity: Hispanic	26 (26%)	12 (25%)	14 (28%)	.823
Medicaid Insurance	69 (70%)	33 (69%)	36 (71%)	1.000
Child has allergies	51 (52%)	27 (56%)	24 (47%)	.423
Caregiver age: <30yrs	39 (39%)	21 (44%)	18 (35%)	.417
Caregiver: Single	71 (72%)	37 (77%)	34 (67%)	.273
Caregiver education: Less than high school	42 (42%)	21 (44%)	21 (41%)	.841
Caregiver is depressed	37 (37%)	13 (27%)	24 (47%)	.061
Caregiver smokes	43 (43%)	20 (41%)	23 (45%)	.691
Smokers in home: Yes	58 (58%)	27 (55%)	31 (61%)	.686
Cotinine (ng/ml), Mean (SD)	2.72 (3.0)	2.54 (2.6)	2.89 (3.4)	.580

Table 2
Baseline Asthma Symptoms, Quality of Life, and Exhaled Nitric Oxide

	Overall N=99	Treatment N=48	Control N=51	P-value
Symptom Free Days [^]	7.32 (4.8)	7.58 (4.9)	7.14 (4.7)	.683
Symptom Days [^]	4.31 (4.3)	4.54 (4.4)	4.10 (4.3)	.559
Symptom Nights [^]	4.33 (4.6)	4.58 (5.0)	3.98 (4.2)	.744
Days of Activity Limitation [^]	2.82 (3.7)	2.88 (3.7)	2.82 (3.7)	.934
Days of Rescue Med Use [^]	3.58 (4.4)	4.27 (4.9)	2.90 (3.8)	.246
Days Parent Lost Sleep [^]	2.10 (3.5)	2.42 (3.9)	1.84 (3.2)	.901
Days Family Changed Plans [^]	.64 (1.6)	.90 (2.2)	.41 (0.8)	.760
Days Absent from School due to asthma [^]	.52 (1.1)	.46 (1.0)	.55 (1.2)	.877
Quality of Life (range 1-7)	6.03 (1.0)	6.25 (0.8)	5.82 (1.2)	.085
Exhaled Nitric Oxide (ppb)	22.34 (22.1)	25.33 (26.0)	19.66 (17.8)	.222

Mann-Whitney Test for non-parametric data, shown as Mean (SD)

[^] Number of days reported over 2 weeks (range 0-14)

Table 3
Primary Outcomes: Asthma Symptoms, Quality of Life, and Exhaled Nitric Oxide

	Treatment N=48 Mean (SD)	Control N=51 Mean (SD)	95% CI of Beta	*P-value
Symptom Free Days [^]	11.33 (2.6)	10.40 (3.4)	-.283, 2.035	.137
Symptom Days [^]	1.68 (2.0)	2.20 (2.2)	-1.374, .261	.180
Symptom Nights [^]	1.52 (1.8)	2.34 (2.2)	-1.675, -.126	.023
Days of Activity Limitation [^]	1.21 (1.6)	2.04 (2.5)	-1.679, -.028	.043
Days of Rescue Med Use [^]	1.66 (2.0)	2.44 (2.6)	-1.950, -.252	.012
Days Parent Lost Sleep [^]	.59 (1.0)	1.29 (1.8)	-1.302, -.185	.010
Days Family Changed Plans [^]	.12 (0.4)	.39 (0.8)	-.542, -.047	.020
Days Absent from School due to asthma [^]	.37 (0.7)	.85 (1.3)	-.901, -.036	.034
Quality of Life (range 1-7)	6.46 (0.7)	6.31 (0.9)	-.304, .326	.945
Change in Exhaled Nitric Oxide (ppb)	-9.62 (22.2)	-.39 (14.9)	-17.690, -.773	.033

* Individual linear regression analyses control for symptoms reported at baseline.

[^] Number of days reported over 2 weeks (range 0-14)

Table 4
Health Care Utilization

	Overall N=99	Treatment N=48	Control N=51	P-value
Any Doctor Visit where Asthma was discussed	40 (40%)	17 (35%)	23 (45%)	.314
Any Doctor Visit for an Asthma Follow-up	15 (15%)	4 (8%)	11 (22%)	.092
Any Doctor Visit for an Asthma Exacerbation	14 (14%)	6 (12%)	8 (16%)	.775
Any ER visit related to Asthma	7 (7%)	4 (8%)	3 (6%)	1.00
Any visit for an Acute Asthma Exacerbation	20 (20%)	9 (19%)	11 (22%)	.804
Any Hospitalization	2 (2%)	1 (2%)	1 (2%)	1.000