

## Video Article

# Detection of Rare Genomic Variants from Pooled Sequencing Using SPLINTER

Francesco Vallania<sup>1</sup>, Enrique Ramos<sup>1</sup>, Sharon Cresci<sup>2</sup>, Robi D. Mitra<sup>1</sup>, Todd E. Druley<sup>1,3</sup><sup>1</sup>Center for Genome Sciences and Systems Biology, Department of Genetics, Washington University School of Medicine<sup>2</sup>Department of Internal Medicine, Washington University School of Medicine<sup>3</sup>Department of Pediatrics, Washington University School of MedicineCorrespondence to: Todd E. Druley at [druley\\_t@kids.wustl.edu](mailto:druley_t@kids.wustl.edu)URL: <http://www.jove.com/video/3943>DOI: [doi:10.3791/3943](https://doi.org/10.3791/3943)

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## Abstract

As DNA sequencing technology has markedly advanced in recent years<sup>2</sup>, it has become increasingly evident that the amount of genetic variation between any two individuals is greater than previously thought<sup>3</sup>. In contrast, array-based genotyping has failed to identify a significant contribution of common sequence variants to the phenotypic variability of common disease<sup>4,5</sup>. Taken together, these observations have led to the evolution of the Common Disease / Rare Variant hypothesis suggesting that the majority of the "missing heritability" in common and complex phenotypes is instead due to an individual's personal profile of rare or private DNA variants<sup>6-8</sup>. However, characterizing how rare variation impacts complex phenotypes requires the analysis of many affected individuals at many genomic loci, and is ideally compared to a similar survey in an unaffected cohort. Despite the sequencing power offered by today's platforms, a population-based survey of many genomic loci and the subsequent computational analysis required remains prohibitive for many investigators.

To address this need, we have developed a pooled sequencing approach<sup>1,9</sup> and a novel software package<sup>1</sup> for highly accurate rare variant detection from the resulting data. The ability to pool genomes from entire populations of affected individuals and survey the degree of genetic variation at multiple targeted regions in a single sequencing library provides excellent cost and time savings to traditional single-sample sequencing methodology. With a mean sequencing coverage per allele of 25-fold, our custom algorithm, SPLINTER, uses an internal variant calling control strategy to call insertions, deletions and substitutions up to four base pairs in length with high sensitivity and specificity from pools of up to 1 mutant allele in 500 individuals. Here we describe the method for preparing the pooled sequencing library followed by step-by-step instructions on how to use the SPLINTER package for pooled sequencing analysis (<http://www.ibridgenetwork.org/wustl/splinter>). We show a comparison between pooled sequencing of 947 individuals, all of whom also underwent genome-wide array, at over 20kb of sequencing per person. Concordance between genotyping of tagged and novel variants called in the pooled sample were excellent. This method can be easily scaled up to any number of genomic loci and any number of individuals. By incorporating the internal positive and negative amplicon controls at ratios that mimic the population under study, the algorithm can be calibrated for optimal performance. This strategy can also be modified for use with hybridization capture or individual-specific barcodes and can be applied to the sequencing of naturally heterogeneous samples, such as tumor DNA.

## Video Link

The video component of this article can be found at <http://www.jove.com/video/3943/>

## Protocol

This method was used in research reported in Vallania FML *et al.* Genome Research 2010.

### 1. Sample Pooling and PCR Capture of Targeted Genomic Loci

1. Combine a normalized amount of genomic DNA from each individual in your pool(s). Using 0.3 ng of DNA per person per PCR reaction will incorporate approximately 50 diploid genomes per person into each PCR reaction, which improves the likelihood of uniform amplification per allele in the pool.
2. The genomic sequences can be obtained from the NCBI (<http://www.ncbi.nlm.nih.gov/>) or UCSC Genome Browser (<http://genome.ucsc.edu/index.html>). Make sure to use the "RepeatMasker" (marked to "N") when obtaining the sequence to avoid designing a primer in a repetitive region.
3. Use the web-based Primer3 (<http://frodo.wi.mit.edu/primer3/input.htm>) utility to design primers by cutting and pasting the genomic regions of interest plus some flanking sequences (amplicons of 600-2000 bp are typically ideal). The optimal primer design conditions for Primer 3 to be used are<sup>10</sup>: Minimum primer size = 19; Optimum primer size = 25; Maximum primer size = 30; Minimum Tm = 64 °C; Optimum Tm = 70 °C; Maximum Tm = 74 °C; Maximum Tm difference = 5 °C; Minimum GC content = 45; Maximum GC content = 80; Number to return = 20 (this is arbitrary); Maximum 3' end stability = 100. Design primers to amplify all genomic loci of interest. Upon receiving the primers, the



2. **Raw read alignment:** The raw reads can now be aligned to the annotated FASTA reference sequence specific to the targeted regions included in the PCR reactions, as well as the positive and the negative controls. The alignment can be performed using the included alignment tool *RAPGAPHASH5d*. The input format at this point has to be SCARF or compressed. The command for the alignment is: `./RAPGAPHASH5d [Compressed Read file] [FASTA file] [number of edits allowed] > [Aligned file]`  
The number of mismatches per read that are allowed compared to the reference sequence is a user-defined parameter. Reads that have an excess number of mismatches will be discarded. We recommend allowing 2 mismatches for 36 bp reads, 4 mismatches for 76 bp reads and 5 mismatches for 101 bp reads. Allowing more mismatches will increase the likelihood of allowing excess sequencing errors into the aligned data. As read lengths continue to become longer, this value can be further increased.
3. **Tagging aligned files from the same flowcell:** At this point the entire aligned read file should be given a unique identifier ("tag") in order to identify read files belonging to the same sequencing run (i.e. multiple lanes from the same flowcell can be aggregated and given a single tag). The tag is necessary because each machine run generates a unique error profile that can be characterized via the tag. A tag is an alphanumeric string of characters used to distinguish a set of reads (the underscore character "\_" should not be used for parsing issues). Different tags should be used for aligned read files generated on different flowcells or machine runs. Tags can be added using the included *RAPGAP\_alignment\_tagger.pl* with the following command:  
`./RAPGAP_alignment_tagger.pl [Aligned file] [TAG] > [Aligned tagged file]`  
After this point, aligned files from the same library generated on multiple different flowcells can be combined together as their respective tags will keep them separated.
4. **Error model generation:** As mentioned above, each machine run generates a unique profile of sequencing error that needs to be characterized for accurate variant calling. To model these errors for each machine run, an internal control sequence known to be devoid of sequence variation is included in each pooled sample library. From the aligned tagged file, an error model file can be generated using the included tool *EMGENERATOR4* with the negative control reference sequence. All the negative control sequence can be used or alternatively only a subset of it, specified by the 5' and 3' most bases in input. Unique reads and pseudocounts should always be used:  
`./EMGENERATOR4 [Aligned tagged file] [negative control sequence] [Output file name] [5' most base of the negative control to be used] [3' most base of the negative control to be used] [include unique reads only? = Y] [alignment edits cutoff] [enter pseudocounts? = Y]`  
The *EMGENERATOR4* tool will generate 3 files named as the output file name parameter followed by `_0`, `_1` or `_2`. These files correspond to a 0th, 1st and 2nd order error model respectively. **For variant calling with *SPLINTER*, the 2nd order error model should always be used.**
5. For visualizing the error rate profile of a run, the *error\_model\_tabler\_v4.pl* can be used to generate a PDF error plot on the 0th order error model file (**Figure 4**):  
`./error_model_tabler_v4.pl [Error model 0th order file] [output file name]`  
The plot file will reveal run-specific error trends and can be used to infer the maximum number of read bases to be used for the analysis, which is explained in the next section.

## 4. Rare Variant Detection Using SPLINTER

1. **Variant calling by *SPLINTER*:** The first step in the analysis is to run the *SPLINTER* tool on the aligned file using the error model and the reference sequence. The command to do so is:  
`./SPLINTER6r [Aligned tagged file] [FASTA file] [2nd order error model file] [number of read bases to be used] [read bases or cycles to be excluded] [p-value cutoff = -1.301] [use unique reads = Y] [alignment edits cutoff] [pool size from the available options] [print out the absolute coverage per strand = Y] > [SPLINTER file]`  
The number of read bases to be used varies and should be evaluated according to each run. We generally recommend using the first 2/3rds of the read as they represent the highest quality data (the first 24 read bases of a 36bp long read, for example). Single read bases can be excluded from the analysis if found to be defective (separated by a comma or N e.g. 5,7,11 or N). The p-value cutoff dictates how stringent the variant calling analysis is going to be. We normally start the analysis by allowing a minimum cutoff of -1.301 (corresponding to a p-value  $\leq$  0.05 in log10 scale). The pool size option optimizes the algorithms "signal-to-noise" discrimination by eliminating potential variants with minor allele frequencies less than that of a single allele in the actual pool. For example in a pool of 50 individuals, the lowest observed variant can be expected at 0.01 frequency or 1 in 100 alleles. Thus, the pool size option should be set to the closest value that is *greater than* the actual number of alleles analyzed in the experiment (i.e. if 40 people are surveyed, we expect 80 alleles so the closest option would be a pool size of 100). Variants called at frequencies  $<0.01$  will then be ignored as noise. This file returns all hits that are statistically significant across the sample, with a description of position of the variant, type of variant, p-value per DNA strand, frequency of the variant and total coverage per DNA strand (**Table 2**).
2. **Normalizing coverage for the called variants:** Fluctuations of coverage across the sample can generate spuriously hits. This can be corrected by applying the *splinter\_filter\_v3.pl* script as follows:  
`./splinter_filter_v3.pl [SPLINTER file] [list file] [stringency] > [SPLINTER normalized file]`  
where the list file is a list of positive control hits in the form of a tab-delimited file.  
The first field indicates the amplicon of interest, whereas the second field indicates the position in which the mutation is present. N indicates that the rest of the sequence does not contain any mutation.
3. **Determining the optimal p-value thresholds using the positive control data:** After normalization, the analysis of the positive control is indispensable for maximizing sensitivity and specificity of a particular sample analysis. This can be achieved by finding the optimal p-value cutoff using the information from the positive control. Most likely, the initial p-value of -1.301 will not be stringent enough, which if so, will result in the calling of false positives from the positive or negative control. Every *SPLINTER* analysis will show the actual p-value for each called variant (see columns 5 and 6 on **Table 2**), which could not be predicted *a priori*. However, the entire analysis can be repeated by using the least stringent p-value displayed on the initial output for the known true positive base positions. This will serve to retain all true positives while excluding most, if not all, false positives and they typically have much less significant p-values compared to true positives. To automate this process, the *cutoff\_tester.pl* can be used. *cutoff\_tester.pl* requires a *SPLINTER* output file and a list of positive control hits in the form of a tab-delimited file as the one used for normalization:  
`./cutoff_tester.pl [SPLINTER filtered file] [list file]`  
The resulting output will be a list of cutoffs that progressively reach the optimal one (see **Table 3**). The format is :  
[distance from max sensitivity and specificity] [sensitivity] [specificity] [cutoff]  
for example :

7.76946294170104e-07 1 0.999118554429264 -16.1019999999967

The last line represents the most optimal cutoff for the run and can therefore be used for data analysis. The optimal result is to achieve sensitivity and specificity of 1. In case this result is not reached, the *SPLINTER* analysis can be repeated by changing the number of incorporated read bases until the most optimum condition is achieved.

- Final variant filtering:** The final cutoff can be applied to the data using *cutoff\_cut.pl* script, which will filter the *SPLINTER* output file from hits below the optimal cutoff.  
`./cutoff_cut.pl [SPLINTER filtered file] [cutoff] > [SPLINTER final file]`  
 This step will generate the final *SPLINTER* output file, which will contain SNPs and Indels present in the sample. Please note that the output for insertions is slightly different than for substitutions or deletions (**Table 2**).

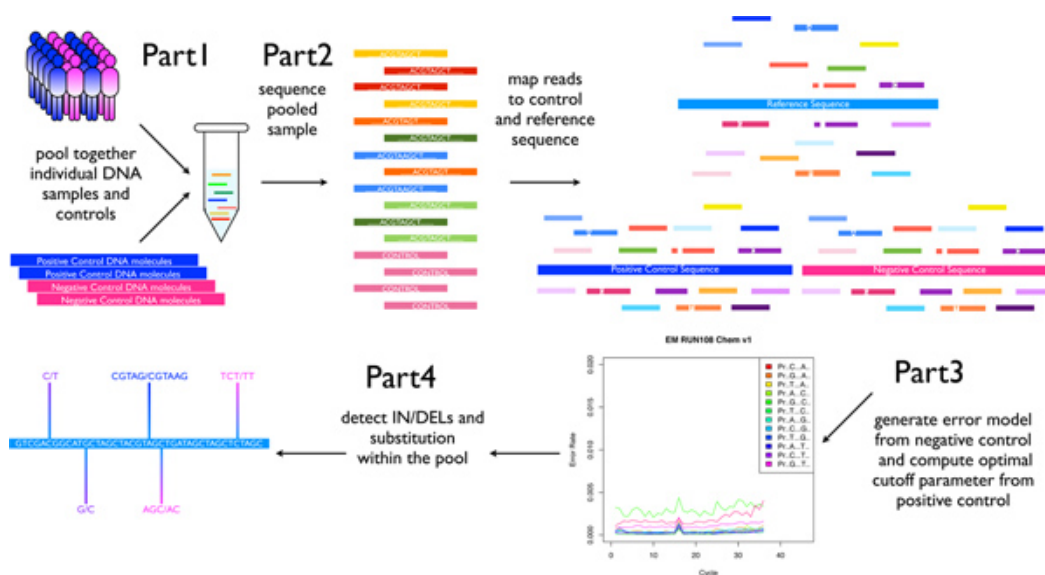
## 5. Representative Results

We pooled a population of 947 individuals and targeted over 20 kb for sequencing. We applied *SPLINTER* for the detection of rare variants following our standard protocol. Each individual had previously had genotyping performed by genome-wide array genotyping. Concordance between genotyping of tagged and novel variants called in the pooled sample were excellent (**Figure 6**). Three variants, two of which (rs3822343 and rs3776110) were rare in the population, were called *de novo* from the sequencing results and were validated by individual pyrosequencing. Minor allele frequencies (MAF) in the pool were similar to the MAF reported in dbSNP build 129. The MAF concordance between pyrosequencing and pooled sequencing was excellent (**Table 3**).

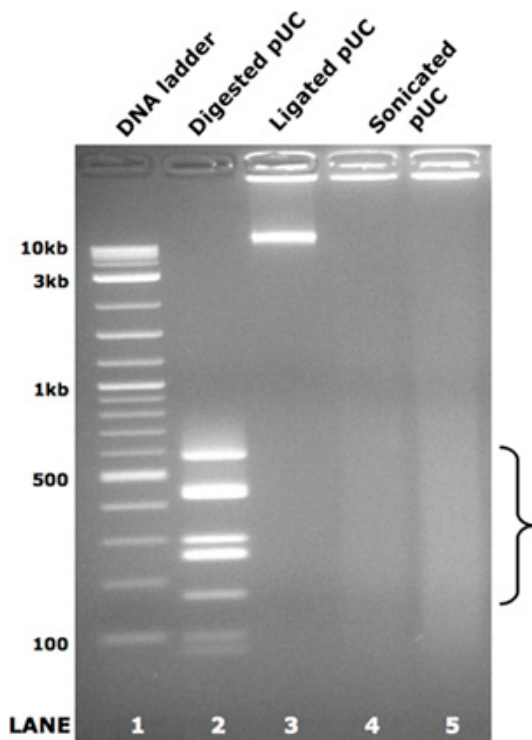
**Table 1.** DNA oligonucleotide sequences for the positive control. Each sequence consists of a DNA fragment differing from the Wild Type reference by either two substitutions or one insertion and one deletion. [Click here to view larger image.](#)

**Table 2.** Example of *SPLINTER* output. The first two rows represent the standard *SPLINTER* output for a substitution or a deletion (blue header). The last row represents the standard *SPLINTER* output for an insertion (purple header). [Click here to view larger image.](#)

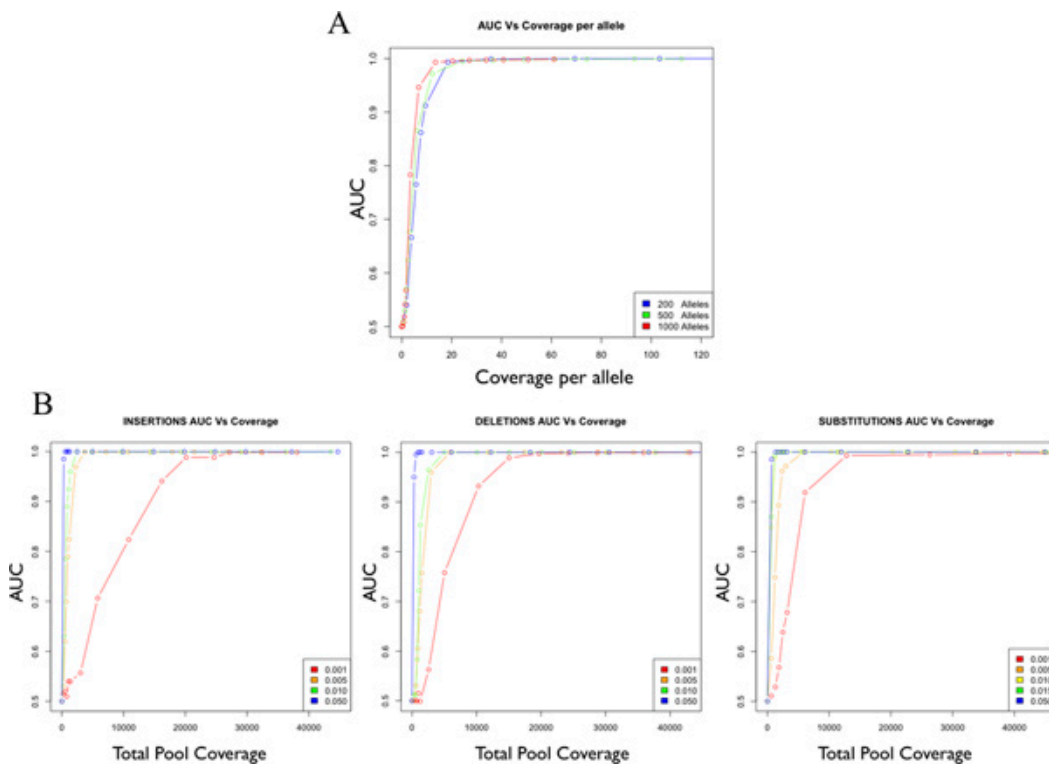
**Table 3.** Five known and three novel variants were identified from large populations and validated by individual genotyping. Individual validation was performed by pyrosequencing (rows 1-3), TaqMan assay (rows 4-6) or Sanger sequencing (rows 7,8). For a broad range of allele frequencies and including five positions with MAF <1%, concordance between pooled sequencing allele frequency estimation and individual genotyping was strong. Positions marked with an asterisk (\*) are adapted from previously reported data<sup>9</sup>.



**Figure 1.** Pooled-DNA sequencing and *SPLINTER* analysis overview. Patient DNA is pooled and amplified at selected loci. The final PCR products are pooled together with a positive and negative control at equimolar ratios. The pooled mix is then sequenced and the resulting reads are mapped back to their reference. Mapped negative control reads are used to generate a run-specific error model. *SPLINTER* can then be used to detect rare SNPs and indels by incorporating information from the error model and the positive control. [Adapted from Vallania FLM *et al*, *Genome Research* 2010] [Click here to view larger image.](#)

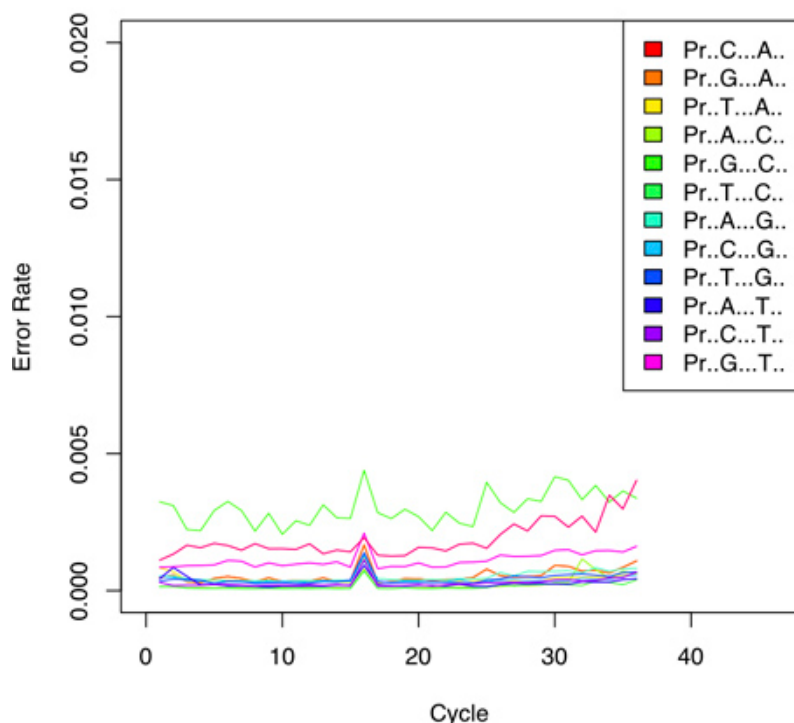


**Figure 2.** Pooled PCR amplicon ligation and sonication. As a demonstration of the ligation and random fragmentation steps in the library preparation protocol, pUC19 vector was enzymatically digested to the fragments shown in lane 2. These fragments were normalized by molecule number, combined and randomly ligated according to step 1.7 above. The resulting large concatamers are shown in lane 3. The ligated concatamers were equally divided and subjected to sonication as described in step 1.8 above. The resulting smear of DNA fragments for each technical replicate are shown in lanes 4 and 5. The bracket highlights the size range used for gel extraction and sequencing library creation.

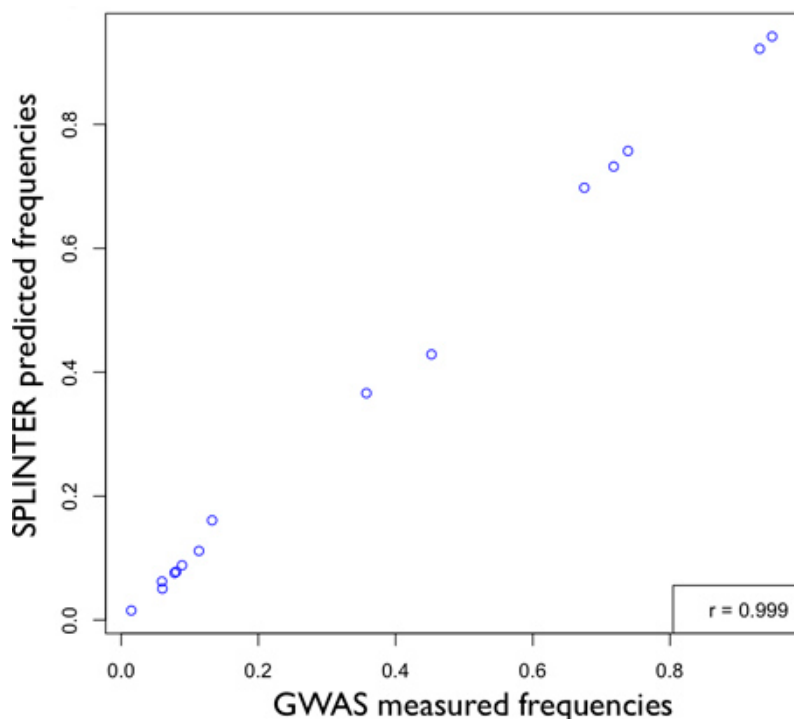


**Figure 3.** Accuracy as a function of coverage for a single allele in a pooled sample. Accuracy is estimated as the Area Under the Curve (AUC) of a Receiver Operator Curve (ROC), which ranges from 0.5 (random) to 1.0 (perfect accuracy). AUC is plotted as a function of coverage per allele for the detection of single mutant alleles in pools of 200, 500 and 1000 alleles (A). AUC is plotted as a function total coverage for substitutions, insertions and deletions (B). [Adapted from Vallania FLM *et al*, *Genome Research* 2010].

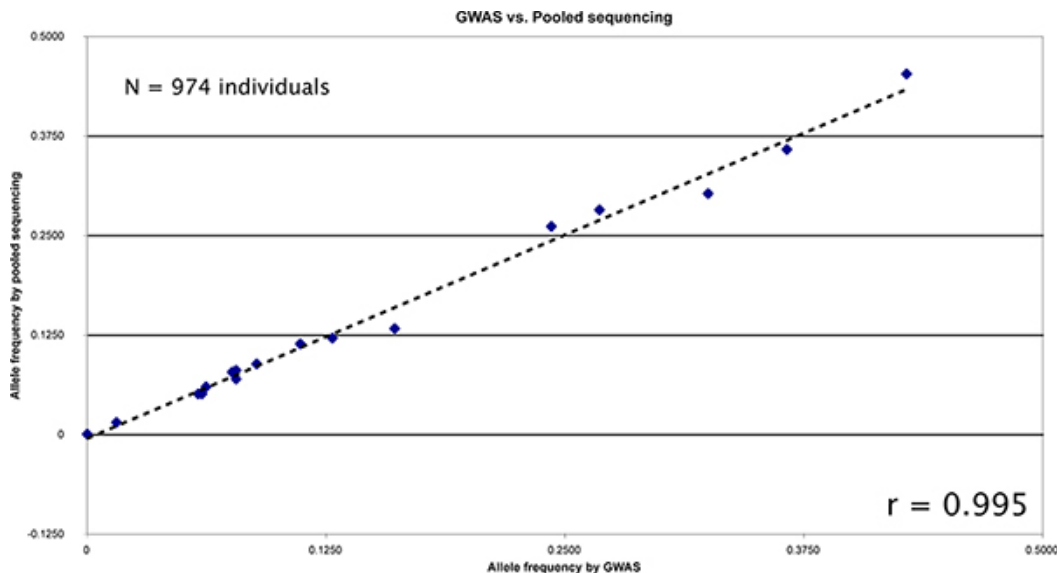
### EM RUN108 Chem v1



**Figure 4.** Error Plot shows the probability of incorporating an erroneous base at a given position. The error profile shows low error rates with an increasing trend toward the 3' end of the sequencing read. Notably, different reference nucleotides display different error probabilities (see for example probability of incorporating a C given a G as reference). [Adapted from Vallania FLM *et al*, *Genome Research* 2010].



**Figure 5.** Accuracy of SPLINTER in estimating allele frequency for positions that had greater than 25-fold coverage per allele. Based on results in Panel A, **Figure 3** showing optimal sensitivity for single variant detection with  $\geq 25$ -fold coverage, a comparison between pooled-DNA allele frequencies estimated by SPLINTER with allele counts measured by GWAS results in very high correlation ( $r = 0.999$ ). [Adapted from Vallania FLM *et al*, *Genome Research* 2010].



**Figure 6.** Comparison between allele frequencies measured by GWAS compared to SPLINTER estimates from pooled sequencing of 974 individuals. There were 19 common positions between the genotyped loci and the sequence regions for comparison. The resulting correlation is very high ( $r = 0.99538$ ). [Click here to view larger figure.](#)

## Discussion

There is increasing evidence that the incidence and therapeutic response of common, complex phenotypes and diseases such as obesity<sup>8</sup>, hypercholesterolemia<sup>4</sup>, hypertension<sup>7</sup> and others may be moderated by personal profiles of rare variation. Identifying the genes and pathways where these variants aggregate in affected populations will have profound diagnostic and therapeutic implications, but analyzing affected individuals separately can be time and cost prohibitive. Population-based analysis offers a more efficient method for surveying genetic variation at multiple loci.

We present a novel pooled-DNA sequencing protocol paired with the SPLINTER software package designed to identify this type of genetic variation across populations. We demonstrate the accuracy of this method in identifying and quantifying minor alleles within a large pooled population of 947 individuals, including rare variants that were called *de novo* from the pooled sequencing and validated by individual pyrosequencing. Our strategy mainly differs from other protocols by the incorporation of a positive and a negative control within every experiment. This allows SPLINTER to achieve much higher accuracy and power compared to other approaches<sup>1</sup>. The optimal coverage of 25-fold per allele is fixed independently of the size of the pool, making the analysis of large pools feasible as this requirement only scales linearly with the pool size. Our approach is very flexible and can be applied to any phenotype of interest but also to samples that are naturally heterogeneous, such as mixed cell populations and tumor biopsies. Given the ever-increasing interest in pooled sequencing from large target regions such as the exome or genome, our library prep and SPLINTER analysis is compatible with custom-capture and whole-exome sequencing, but the alignment utility in the SPLINTER package was not designed for large reference sequences. Therefore, we have successfully utilized the dynamic programming aligner, Novoalign, for genome-wide alignments followed by variant calling from the pooled sample (Ramos *et al.*, submitted). Thus, our pooled sequencing strategy can scale successfully to larger pools with increasing amounts of target sequence.

## Disclosures

No conflicts of interest declared.

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