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# Molecular basis of lipid antigen presentation by CD1d and recognition by natural killer T cells

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# Summary

Together with peptides, T lymphocytes respond to hydrophobic molecules, mostly lipids, presented by the non-classical CD1 family (CD1a-e). These molecules have evolved complex and diverse binding grooves in order to survey different cellular compartments for self and exogenous antigens, which are then presented for recognition to T-cell receptors (TCRs) on the surface of T cells. In particular, most CD1d-presented antigens are recognized by a population of lymphocytes denominated natural killer T (NKT) cells, characterized by a strong immunomodulatory potential. Among NKT cells, two major subsets (type I and type II NKT cells) have been described, based on their TCR repertoire and antigen specificity. Here we review recent structural and biochemical studies that have shed light on the molecular details of CD1d-mediated antigen recognition by type I and II NKT cells, which are in many aspects distinct from what has been observed for peptide MHC-reactive TCRs.

#### Keywords

CD1d; antigen presentation; natural killer T cell; lipids; T-cell receptor

# Introduction

The  $\alpha\beta$  or  $\gamma\delta$  T-cell receptors (TCRs) expressed on T lymphocytes recognize antigens only when presented by an appropriate antigen-presenting molecule. While the recognition of peptides requires presentation by major histocompatibility complex (MHC) class I or II molecules, T lymphocytes can also recognize lipid antigens presented by the MHC I-like CD1 family (1–3). Despite the common evolutionary origin of MHC and CD1 protein families (4), the latter are mostly non-polymorphic, and their antigen-binding grooves have evolved to present hydrophobic molecules. The T lymphocytes restricted by CD1 molecules have been involved in pathogen recognition, tumor immunity, and autoimmune disease pathology (5–7). In particular, the lymphocyte population restricted by the CD1d isotype is denominated natural killer T (NKT) cells due to the initial finding that these cells express both markers typical for natural killer cells and a TCR, characteristic of conventional T cells. While the expression of NK markers does not apply to all NKT cell subsets, NKT cells represent one of the most well characterized populations of innate-like T cells, with a strong immunomodulatory potential. Here we review our current understanding of how lipid antigens are presented by CD1d molecules and recognized by NKT cell TCRs.

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# The CD1 antigen-presenting molecules

CD1 molecules are assembled in the endoplasmatic reticulum (ER) as non-covalently linked heterodimers of an isotype-specific heavy chain (CD1a-e) and  $\beta$ -2-microglobulin ( $\beta$ 2m) (Fig. 1A), although CD1d can also be expressed in a  $\beta$ 2m-independent form (8). During its assembly in the ER, CD1 incorporates endogenous lipids and traffic to the plasma membrane. While certain lipids can load onto CD1 directly at the cell surface, generally CD1 has to recycle into endosomal compartments for efficient antigen exchange and loading. Upon trafficking back to the cell surface, the antigen is then presented by CD1 to cognate T cells (9, 10). Structurally, CD1 molecules show similarity with MHC class I molecules (11), as their antigen-binding groove is defined by two  $\alpha$  helices (denominated  $\alpha$ 1 and  $\alpha$ 2) that sit above an eight-stranded antiparallel  $\beta$  sheet platform (12, 13). The relatively conserved  $\alpha$ 3 domain pairs with  $\beta$ 2m, while a single transmembrane domain connects the extracellular domain to a short cytoplasmic tail that contains an amino acid motif necessary for receptor mediated endocytosis (except for CD1a which lacks any internalization motif). Five CD1 isotypes have been identified, divided in two major groups: Group 1 (CD1a-c) and Group 2 (CD1d) (14, 15). The fifth CD1 molecule, CD1e, is not involved in antigen presentation but instead enhances processing of CD1b antigens in late endosomes (16). While studies of the CD1 molecules in birds revealed what could represent an archetypal lipid-binding groove (17, 18), all mammals studied so far express some combination of CD1 molecules (19, 20). In humans, where one gene for each isotype is expressed, differences in size and shape of the antigen-binding groove, as well as different intracellular trafficking and expression patterns of CD1 result in non-overlapping roles for these molecules in antigen presentation. The last few years have seen a dramatic advancement of our understanding of the differences and specificities within the CD1 family, and structural information is now available for each isotype. While CD1a has a relatively small binding groove and recycle through early endosomes (21, 22), CD1b has the biggest groove of the family and travels through early and late endosomes (23-25), while CD1c has an intermediate size groove and travels to early and late endosomes (26). CD1e has a relatively wide binding groove, suited for rapid lipid exchange (27). The only member of the Group 2, CD1d, represents the only CD1 molecule found in mice and rats, due to a deletion of the Group 1 CD1 members (4). Interestingly, two highly similar (95% sequence identity) copies of CD1d are found in mouse, CD1d1 and CD1d2 (28). While the expression of CD1d2 appears to be limited and dispensable in mice, it is possible that the two isoforms plays different roles in antigen presentation as several of the sequence differences could result in an altered antigen-binding groove shape and therefore specificity (28, 29). However, most studies on CD1d have insofar involved only CD1d1 (hereafter CD1d). CD1d has a groove of intermediate size and travels to late endosomes (11, 30, 31). The CD1d antigen-binding groove is characterized by two pockets, denominated A' and F', roughly corresponding to the position of the terminal A and F pockets in MHC I molecules (11) (Fig. 1A). The deeply buried A' pocket is located toward the N-terminus of the a1 helix and adopts a unique donut-like shape, while the F' pocket is rather straight, less deep, and located toward the C-terminal end of the  $\alpha$ 1 helix. Each pocket can bind one alkyl chain from the antigen and spacer molecules, such as fatty acids, are recruited when the alkyl chain of the antigen is too short to satisfactorily fill either pocket (32).

# Antigen transport and processing

The mechanism of antigen transport and lipid antigen generation have been previously reviewed extensively (33–35), and here we present only a brief summary focused on CD1d. The nature of the lipid antigens presented by CD1 molecules requires the presence of particular mechanisms to induce uptake of these molecules by antigen-presenting cells (APCs) and their loading onto CD1 molecules. Lipid transfer protein such as apolipoprotein

E and fatty acid amide hydrolase (FAAH) have been shown to enhance the presentation of certain antigens by CD1d (36, 37). While antigens can be loaded on the cell surface (38), specific proteins present in the endosomal and lysosomal compartments can improve loading efficiency by promoting lipid antigen exchange. Among these, saposins (39–42) and microsomal triglyceride transfer protein (43) have been reported to increase loading of CD1 antigens with a certain degree of specificity. Similar to MHC antigens, lipid antigens can also be processed by lysosomal enzymes to yield active compounds, as demonstrated in case of CD1d for synthetic antigens (44), microbial antigens (16), and self-antigens (39).

## Antigen presentation by CD1d

While CD1d traffics through different cellular compartments, it surveys a wide range of lipid molecules of the secretory pathway (45). Accordingly, the CD1d binding groove can bind a variety of different chemical moieties, as reported by mass spectrometric identification of endogenous lipids bound to mouse and human CD1d molecules (45–47). Among these, sphingolipids and glycerolipids with one, two, or four acyl chains represent the major ligand classes (Fig. 1B). Moreover, exogenous lipids such as microbial antigens from *Sphingomonas spp.* (48–50), *Borrelia burgdorferi* (51), *Streptococcus pneumonia* and Group B *Streptococcus* (52), *Helicobacter pylori* (53), and even hydrophobic peptides (54–56) and small nonlipidic molecules (57) have been reported to bind CD1d and stimulate NKT cells. While it should be noted that antigen binding to the CD1 groove has not been structurally characterized for nonlipidic antigens, a wealth of crystal structures of mouse and human CD1d in complex with a variety of lipids have been determined in the last few years. Taken together, these structural data allows us to define the molecular rules of CD1d to present antigens for recognition by T lymphocytes.

#### Sphingolipid antigens

Sphingolipids are the first class of CD1d ligands that have been identified, based on the discovery of glycosylceramides as antigens for NKT cells (58). One of the most wellcharacterized CD1d ligand is  $\alpha$ -galactosyl ceramide ( $\alpha$ GalCer), a glycosphingolipid that consists of a phytosphingosine base (C18) that is N-amide linked to a C26 fatty acid to form the phytoceramide backbone, which carries an  $\alpha$ -anomeric galactose sugar (Fig. 1B). Structures of a GalCer in complex with human CD1d (30) and of several structurally related aGalCer analogues [PBS25 (31), OCH (59), and variants carrying a phenyl group on the sphingosine chain (60)] in complex with the mouse CD1d ortholog have been described. The orientation of the lipid alkyl chains in the binding groove appeared to be determined by conserved polar contacts between CD1d residues (Asp80, Asp153, Thr156) and the polar moieties of the phytoceramide backbone. As a result, the acyl chain always binds inside the F' pocket, regardless of the chain length. Accordingly, the size of the F' pocket has evolved to bind alkyl chains of 16–18 methylene units, typical of sphingosine or phytosphingosine, even though slightly longer chains can also be fitted in a tightly compact conformation (61). In the case of shorter alkyl chains, as found in the aGalCer analog OCH and PBS-25, spacer lipids can be recruited to both A' and F' pocket presumably to avoid collapse of the hydrophobic groove (31, 59) (Fig. 1C). The rules described for the synthetic antigen aGalCer and its analogues appear quite conserved among microbial sphingolipid antigens, such as a-galacturonosyl ceramide (GalAGSL) from Sphingomonas spp. (62) and selfantigens, such as isoglobotrihexosyl ceramide (iGb3) (58) (Fig. 1D) and sulfatide (63) (Fig. 1E), further suggesting that the ceramide backbone of the ligand dictates its binding orientation within the CD1d groove.

#### Glycerolipids

The second major class of antigens presented by CD1d molecules includes diacylglycerolipids formed by a glycerol that is esterified at position sn-1 and sn-2 with fatty acids, while carrying a polar group at position sn-3. Diacylglycerolipids represent the dominant lipids in the ER and are incorporated into CD1d during folding (45–47). Consistent with their increased flexibility, diacylglycerol ligands can adopt different binding orientations in the groove, with the sn-1 or sn-2 linked acyl chains being bound in either pocket. The binding mode is likely dictated by the preference of each pocket for a particular combination of chain length and/or unsaturation degree, as demonstrated in the case of the closely related Borrelia burgdorferi lipids BbGL2c and BbGL2f, which bind in opposite orientations in the mouse CD1d binding groove (64). In particular, the presence of limited (1-2) unsaturations appears to promote binding to CD1d (46, 65), as it introduces a kink in the acyl chain that helps binding in the curved A' pocket (Fig. 1F). Cardiolipin is a tetra-acyl chain containing phosphoglycerolipid and a major lipid of mitochondrial membranes, as well as bacterial cell walls. This lipid can bind to mouse CD1d using two of the four acyl chains, thereby exposing the charged phosphate groups and the additional two acyl chains for recognition by  $\gamma\delta$  TCRs (66).

#### Headgroup positioning by CD1d

While the hydrophobic portion of the antigen is generally buried deep within the CD1d binding groove, the polar portion of the molecule is exposed at the entrance of the groove for recognition by the TCR. The stabilization and orientation of the polar moiety is achieved via several polar residues located at the center of the  $\alpha 1$  and  $\alpha 2$  helices and has been extensively characterized for glycolipid antigens. In particular, structures of CD1d in complex with sphingolipids carrying an  $\alpha$ -linked sugar moiety showed that the sugar is oriented so that the plane of the hexose ring is parallel to the  $\beta$ -sheet defining the bottom of the binding groove (31, 62). Polar contacts with Asp153 and Thr156 in mouse CD1d orient the 2', 3' of the galactose (a-GalCer) or galacturonic acid (GalAGSL) and the oxygen of the glycosidic bond, consistent with previous mutagenesis data (67, 68), and resulting in a generally well ordered conformation. Diacylglycerol lipids carrying an  $\alpha$ -linked sugar such as the microbial antigens from Borrelia spp. (64) and Streptococcus spp. (52) generally show a more extended conformation that lacks interaction with Asp153 while contacting residues on the a1 helix, especially Arg79 and Asp80 (Fig. 1F). Interestingly, one of the most striking differences between mouse and human CD1d molecules is found at position 155 (equivalent to position 153 in human) of the  $\alpha^2$  helix, where a glycine residue is substituted with a tryptophan residue. The presence of this bulky sidechain in human CD1d results in a shift of the hexose residue of approximately 1Å when a GalCer ligands are compared (30, 31). In the case of glycolipids carrying a  $\beta$ -linked sugar, the hexose moiety is generally adopting a highly extended conformation, projecting away from the binding groove and interacting with both a 1 and a 2 residues, often through water-mediated hydrogen bonds (63, 69–71) (Fig. 1D,E).

# **CD1d-restricted T cells**

Several different T-lymphocyte populations respond to antigens presented by CD1d. Despite their relatively small number compared to MHC class I-, class II-, and even Group I CD1-reactive T cells, they have been found to play important roles in several different aspects of the immune response. Among CD1d-restricted T cells, subsets expressing either  $\alpha\beta$  or  $\gamma\delta$  TCRs have been reported. In particular, subsets of  $\gamma\delta$  T cells responding to phospholipids such as phosphoatidylethanolamine, phosphatidylcholine, and cardiolipin have been described (66, 72–74), but, as our current understanding of how  $\gamma\delta$  TCRs recognize CD1d-resented antigens is minimal, they will be not discussed further here.

#### NKT cells

The best characterized population of CD1d-restricted T cells is formed by NKT cells. While initially defined by the expression of both natural killer receptors such as NK1.1/CD161 and  $\alpha\beta$  TCRs, a substantial proportion of NKT cells do not express NK1.1 (75). Here we define NKT cells as T cell lymphocytes expressing an  $\alpha\beta$  TCR restricted to the antigen-presenting molecule CD1d. Among NKT cells, two major subsets have been described, denominated type I and type II NKT cells (75). Despite sharing many features typical of innate-like immune cells, the two subsets differ in the repertoire employed by their TCR receptors and therefore in their antigen specificity (7). Type I NKT cells are characterized by expressing a conserved TCR a chain with an invariant germline-encoded rearrangement (Va14Ja18 in mice, Va24Ja18 in humans) that pairs with a limited repertoire of  $\beta$  chains (V $\beta$ 8.2, V $\beta$ 7, V $\beta$ 2 in mice, V $\beta$ 11 in humans) and by their reactivity to  $\alpha$ GalCer. Because of the invariant a chain, type I NKT cells are also known as invariant (iNKT) or Va14i NKT cells. Interestingly, the limited repertoire of V $\beta$  genes used by this population does not appear to be derived from a preferential pairing with the invariant chain, but it is likely the result of the positive selection by a growing list of self-antigens (76, 77). Type II NKT cells, instead, express a more variable TCR repertoire, with enrichment of certain V genes such as Va3 and V $\beta$ 8 (78–80) and do not respond to stimulation with  $\alpha$ GalCer. Both populations are conserved in mice and humans, although the relative frequency appears to be different, with type I NKT cells more abundant in mice and type II in humans. In mouse, type I NKT cells represent ~0.5% of the T lymphocytes in blood and peripheral lymph nodes, ~2.5% of the T cells in the spleen, mesenteric, and pancreatic lymph nodes, and comprise ~30% of the T cells in the liver (7). In humans, type I NKT cells appear to be approximately 10 times less abundant than in mice, although there is marked variability among individuals (7). The distribution of type II NKT cells is generally less understood, although comparison of MHC  $II^{-/-}$  and CD1d1<sup>-/-</sup> mice suggests they represent a fraction of the number of type I NKT cells ( $\sim 1/10$  in spleen) (78, 79). In humans, type II NKT cells appear to constitute a significant proportion of the T cells in bone marrow, liver, and gut (81–84). Both subsets can express either CD4 or CD8 or be double negative (DN), with the exception of murine type I NKT cells that are never CD8<sup>+</sup> (85). This is consistent with the hypothesis that CD1d cannot bind CD4 or CD8 (85), likely due to a single residue deletion on its  $\alpha$ 3 domain (11). Moreover, both populations exhibit features that suggest an innate-like nature, such as constitutive expression of activation markers like CD69 and rapid secretion of both Th1 and Th2 cytokine upon activation (75). In particular, the availability of CD1d tetramers loaded with the potent type I NKT antigen a GalCer (86) allows to readily identify this population in vivo, while  $J_{\alpha} 18^{-/-}$ ,  $Cd1d1^{-/-}$ ,  $Cd1d1^{-/-}Cd1d2^{-/-}$  and conditional  $Cd1d1^{-/-}$  mice allowed to dissect the role of pathological and normal conditions. Despite their relatively low numbers, type I NKT cells appear to be implicated in an astonishing range of physiological processes, including pathogen recognition, tumor immunity, allergy, atherosclerosis, and autoimmune diseases (reviewed in 5-7). Because of the lack of a prototypical antigen equivalent to the type I NKT cell antigen a GalCer, our understanding of the physiological role of type II NKT cells is currently limited. Studies performed using  $J\alpha 18^{-/-}$  mice (lacking type I NKT cells) and CD1d1<sup>-/-</sup> mice (lacking both type I and type II) NKT cells) showed that this population has a protective role in EAE (a mouse model of multiple sclerosis) (87), Con-A induced hepatitis (88), ischemic riperfusion (89, 90), diabetes (91, 92), and during hepatitis B infections (93). Interestingly, type II NKT cells appear to have an immunosuppressive role in tumor immunity, in contrast to type I NKT cells (94). Following this observation, it has been suggested that these two populations constitute an immunoregulatory axis influencing tumor immunity development and outcome (95, 96).

### Antigen recognition by Type I NKT cells

#### A conserved binding footprint

Structural analysis of uncomplexed human and mouse type I NKT TCRs showed that they tend to be relatively rigid structures, with well-ordered complementarity determining region (CDR) loops (70, 97, 98). This in turn raised the question of how such a rigid sequence and structure could bind the wide range of different lipid moieties recognized by type I NKT cells. Mutational studies provided the first insights, suggesting that the TCR uses the same conserved, germline contacts to recognize a variety of antigens, with the CDR1a and CDR3a loops playing critical roles (99, 100). These findings were confirmed by the crystal structures of several ternary complexes between CD1d, lipid antigens, and the TCR (71, 101–111) (Fig. 2A). The type I NKT TCR binds above the CD1d with a conserved footprint dominated by the invariant  $\alpha$  chain, while the  $\beta$  chain docks toward the C-terminal part of the al helix (Fig. 2B). Because of this docking mode, the TCR adopts a unique parallel docking mode above the antigen-binding groove, radically different from what has been observed for MHC-reactive TCRs, which generally bind diagonally above the antigen presenting molecule (112). Human and mouse type I NKT TCRs docks with the same footprint (101, 102), consistent with their observed cross-reactivity (113). Moreover, the binding mode is generally conserved between mouse TCRs containing V $\beta$ 8.2, V $\beta$ 7, or V $\beta$ 2 chains, suggesting that the overall docking orientation is dictated by the invariant  $\alpha$  chain (102, 103). In particular, residues on the CDR1a (Asn30a in mouse, Phe29a in human) and CDR3a (Gly96a) loops contact the 2', 3', and 4' hydroxyl groups of the glycolipids via H bonds, therefore explaining why mannose- and glucose-containing glycolipids are generally weaker antigens (58, 114) (Fig. 2C) and why deoxy a GalCer analogs have weaker affinities for the iNKT TCR (115). Comparison of the structure of the type I NKT TCR before and after complex formation did not highlight major conformational changes, confirming the rigid nature of this TCR (101). Therefore, to achieve its conserved footprint on CD1d, the TCR must induce conformational changes in both the antigen and/or CD1d. While  $\alpha$ -linked sphingolipids such a GalCer and the antigens isolated from *Sphingomonas* spp. are already positioned in an orientation ideal for TCR binding, diacylglycerol ligands such as BbGL2c and GlcDAG-s2 require a rearrangement of their polar group to allow for complex formation (104, 107) (Fig. 1C). This re-orientating ability has been previously described for MHCreactive TCRs (116); however, the extent of antigen repositioning exerted by the type I NKT TCR is unprecedented, as exemplified in the case of the self-antigen iGb3 (Fig 1D, Fig 2C). This antigen is characterized by the presence of an extended trisaccharide headgoup βlinked to the ceramide backbone (Gala 1-3-Gal $\beta 1-4$ -Glc $\beta 1-1$ Cer), with the terminal sugar being important for antigenicity (117). Previous studies suggested that iGb3 is recognized with a conserved TCR footprint (99), and this was confirmed by crystal structures of the ternary complex (106, 108). Comparison of the mCD1d-iGb3 structures in the presence or absence of the iNKT TCR (70, 106, 108) showed how the TCR squashes the  $\beta$ -linked trisaccharide so that the proximal sugar adopts the conformation previously observed for alinked antigens (Fig. 2C). Interestingly, the terminal a-linked sugar is not directly contacted by the TCR but instead is locked in position by a small pocket on the a2 helix of CD1d, next to Met162, therefore explaining the requirement of this particular terminal linkage for this lipid to be antigenic. The conserved footprint used by the type I NKT TCR extends to phospholipids antigens such as phosphatidylinositol (PI) (71) and lysophosphatidylcholine (LPC) (111), the latter showing slight differences in the conformation of CDR loops that do not contact the antigen. Intriguingly, in addition to the canonical contacts formed with CDR1a and CDR3a, both PI and iGb3 are also in contact with CDR2a (Val 50a, Lys68a) of the iNKT TCR, possibly constituting a second layer of antigen specificity involving complex self-antigens.

Flexibility of CD1d upon TCR binding has also been reported. The antigen-binding groove of CD1d can readjust to accommodate particular lipid moieties, as in the case of  $\alpha$ -linked glycolipids carrying bulky modifications at the 6' position of the hexose sugar (105). In the case of the ligand naphtyl urea (NU)- $\alpha$ GalCer, a urea linker connects the 6' hydroxyl group of galactose to a naphtyl group. In the crystal structure of the ternary complex, the NU moiety serves as a third anchor, in addition to the two alkyl chains and binds in a small pocket within the A' roof that is formed by repositioning of the Met69 side chain, as well as a widening of the groove through an increase of the distance between both  $\alpha$ -helices by over 1 Å. Moreover, rearrangement of the side chains in the area above the F' pocket have been observed upon TCR binding (104). In particular the sidechains of Leu84, Val149 and

Leu150 undergo a conformational change to bind the TCR CDR3 $\alpha$  loop, especially the side chain of Leu99. These movements results in the formation of an hydrophobic roof above the F' pocket that appears to play a critical role for the stability of the ternary complex (108, 116).

# Binding affinity/kinetics of type I NKT TCRs and implication for binding mechanism and signaling potency

Type I NKT TCR affinity and binding kinetics for CD1d-antigen complexes have been measured by several different techniques, including surface plasmon resonance (SPR) (reviewed in 32), single molecule force spectroscopy (119) and equilibrium tetramer binding (120, 121), the latter giving information of the avidity of the binding. In mouse, the type I NKT TCR binds CD1d-aGalCer complexes with high affinity characterized by a slow dissociation rate (32). Antigens requiring a rearrangement of CD1d or their polar head generally exhibit slower association rates and faster dissociation kinetics, resulting in equilibrium affinities in the micromolar range (64, 104). These results are consistent with a model of complex formation where the rigid TCR CDR loops contact the antigen first, rearranging the position of its polar head, followed by contacts with the CD1d  $\alpha$ 1 and  $\alpha$ 2 helices that rearrange the area above the F' pocket (104). This binding mode is radically different from what is thought to happen with MHC-reactive TCRs (122), although exceptions have been reported (116). Human type I NKT TCRs appear to have a weaker affinity (10-50 times) for antigens presented by human CD1d (32). HuCD1d-aGalCer complexes bind the type I NKT TCR with micromolar affinity (32, 97, 98) and a fast dissociation rate. Interestingly, the TCR affinity generally correlates well with the potency of the NKT cell antigens, as measured in an cell-free antigen presentation assay using type I NKT cell hybridoma lines (52, 64, 108). An important role in modulating the affinity and the potency of type I NKT cell antigens appear to be played by the CDR3β loop of the TCR. This loop has been found to present high diversity in sequence and length in both mouse (123–125) and human (126, 127) type I NKT TCRs, consistent with the lack of conserved contacts required for the formation of the ternary complex. However, differences in the sequence of this loop have been shown to affect the affinity of the TCR for CD1d (128). Finally, binding of the type I NKT TCR to CD1d-aGalCer multimers has been reported to show cooperativity, allowing this TCR to recognize small amount of antigenic complexes on the cell surface (121, 129).

#### Interplay between lipid and sugars in determining the potency of type I NKT antigens

While initial studies focused on the effect of modifications of the polar portion of  $\alpha$ GalCer and its analogs on the potency of these lipids, recent studies showed how the antigenicity is influenced directly by the composition of its hydrophobic moieties. This is exemplified by the previously mentioned *Borrelia burgorferi* antigens BbGL2c and BbGL2f (51). The first antigen carries a C18:1 oleic acid on position *sn*-1 and a palmitic acid (C16:0) in the *sn*-2 position while the latter has the oleic acid in position *sn*-2 and a linoleic acid (C18:2) chain on *sn*-1. Importantly, only BbGL2c is able to activate mouse type I NKT cells, while

BbGL2f exclusively activate human type I NKT cells. Structural analysis of the binding of these two compounds to mouse CD1d showed how the lipids are bound in opposite orientations in the binding groove, resulting in a different presentation of the galactosyl moiety to the TCR and therefore explaining the potency difference observed between these two highly related lipids (64). However, how both borrelial lipids are presented by human CD1d and also recognized differently by human NKT cells has not been established yet.

Perhaps even more striking, antigenicity can be the result of a very specific combination of a generally weak sugar moiety and a uncommon lipid chain, as in the case of the Streptococcus antigen a-glucosyl diacylglycerol (Glc-DAG-s2) (52, 107). This diacylglycerol antigen carries a palmitic acid in position *sn*-1, a *cis*-vaccenic acid (C18:1, n-7) in position sn-2, and an a-anomeric glucose sugar in sn-3 (Fig. 1B). Positional isomers of this antigen with the vaccenic acid in position *sn*-1, or versions carrying a galactose sugar (which differ from glucose for the orientation of its 4'-OH group) were not active (52, 107). As glycosphingolipids carrying a glucose sugar are generally weaker than their galactosecontaining counterparts, it is surprising that GlcDAG-s2 is at least as antigenic as BbGL2c, both in terms of NKT cell activation and affinity for the TCR (64, 107). The structure of the corresponding ternary complex showed how, in presence of the sn-2 vaccenic acid, the equatorial 4'-OH group of the glucose allows the ligand to make a new contact with Gly155 on CD1d, therefore stabilizing the bound conformation of the antigen (107). This novel contact depends on the correct orientation of the glucose sugar, which in turn is influenced by the lipid moieties of the antigen, therefore providing a clear example of the interplay between the different portions of the molecule in determining antigenicity.

#### Type I NKT cell agonists with immunomodulatory properties

The ability of type I NKT cells to rapidly release both Th1 and Th2 cytokines make them particularly interesting targets for the development of immunomodulatory therapies. The prototypical antigen a GalCer was identified during a screen for compounds with antitumoral activity (130, 131). a GalCer induces NKT cell-dependent suppression of tumor growth, mainly through IFN- $\gamma$ -mediated mechanisms (132–134), and these effects are enhanced by using a GalCer-pulsed DCs (135). NKT cells can also respond to cytokines such as IL-12 and IL-18 and mediate anti-tumor effects in a TCR-independent way (136, 137). However, due to the contrasting effects of the Th1 and Th2 cytokines released by a GalCer-activated type I NKT cells and the induction of post-activation cell anergy (138), phase I clinical trials of a GalCer showed only weak antitumor effects (139–141). Therefore, several attempts have been made to generate a GalCer analogs able to induce preferential expression of T-helper 1 (Th1) or Th2 cytokines. Two of the earliest candidates identified were OCH (142), a Th2 inducer, and C-glycoside (143), a Th1 cytokine inducer. OCH differs from  $\alpha$ GalCer by having a truncated sphingosine chain (C8), which results in the recruitment of spacer lipids that fill the F' pocket of CD1d (59). C-glycoside has its glycosidic O replaced by a methylene group, and as a consequence is resistant to degradation by lysosomal a-galactosidases. Interestingly, the binding mode of the two lipids to CD1d in the type I NKT ternary complex is essentially identical to that of a GalCer (59, 105, 109, 115), suggesting that factors other than their binding mode by the iNKT TCR are responsible for the cytokine profile they induce. Among these, the stability of the ligand-CD1d complex (105), the site of lipid loading (144, 145), their localization in the membrane, and the particular APC presenting the lipid (146) have all been implicated in determining the cytokine profile induced by the ligand. Following the discovery of OCH and C-glycoside, a number of ligands with promising properties have been developed (reviewed in 147). Modifications of either the sphingosine chain, the acyl chain and the sugar moiety [as in the case of NU-aGalCer (105)] have been reported. Interestingly, the moiety contacting the TCR does not need to be an hexose sugar, as threitol (148) and aminocyclitol (110) groups

can also activate NKT cells. Moreover, aGalCer and its analogs have also shown potential as a new vaccine adjuvants (149). In particular, a variant of aGalCer carrying a short acid chain terminating in with a p-phenyl group is currently undergoing clinical studies and showed promising results (150, 151).

# Antigen recognition by type II NKT cells

In comparison to type I NKT cells, our understanding of how type II NKT cells recognize antigens is rather limited. However, the recently solved crystal structures of the TCR from the type II NKT hybridoma line XV19/Hy19.3 (38, 78, 87, 152) and of the ternary complexes between mouse CD1d, sulfatide antigens and the same TCR (153, Patel et al., manuscript in preparation) offer the first insight into how the variable repertoire of this population recognizes CD1d-bound antigens (Fig. 3A). Comparison of the unbound and complexed TCR structures shows that the CDR loops undergo conformational changes for the complex to be formed, in contrast to what has been observed for the type I NKT TCR (101). The type II NKT TCR binds diagonally at one end of the CD1d molecule, right above the A' pocket (Fig. 3B), similar to the diagonal footprint observed for MHC-reactive TCRs (112) but drastically different from the F' pocket-centered and parallel binding mode of the type I NKT TCR (101) (Fig. 2B). TCR  $\alpha$  and  $\beta$  chains contribute equally to the CD1d-TCR interface, with all CDR loops contacting CD1d. In particular, while both CDR3 loops are critical for the complex formation, as demonstrated by mutagenesis studies (153), the two loops appear to have different roles in binding to the CD1d-lysosulfatide complex. The CDR3<sup>β</sup> loop contacts both CD1d and the antigen through a combination of hydrophobic and polar residues. Interestingly, the lysosulfatide/sulfatide antigen is recognized in a rather unspecific way, pinned between Phe96 and Trp97 on the CDR3β loop, His29 on CDR1β, and Asp153 on the a2 helix of CD1d (Fig. 3C). Importantly, this recognition mode explains why the type II NKT TCR is not compatible with the  $\alpha$ -anomeric conformation of type I NKT cell antigens, as the flat and relatively wide  $\alpha$ -anomeric carbohydrate would incur in steric clashes with the TCR that would not be tolerated. Interestingly, and in contrast to type I NKT cells, the CDR3a loop does not contact the antigen but instead forms several polar contacts with CD1d residues that form the roof above the A' pocket (Fig. 3B), involving in particular two Asn residues on CDR3a. It is likely, therefore, that CDR3a of type II NKT cells is positively selected to bind exclusively CD1d and not the antigen. While the available structural information is still limited at this time, the observation that several of the critical residues important for the formation of the mCD1d-sulfatide-Hy19.3 TCR complex are conserved in the oligoclonal repertoire of sulfatide-reactive type II NKT cells, suggests that the recognition mode observed in the complex could extend to a significant proportion of type II NKT TCRs (153). Moreover, the restricted length of CDR3a loops reported for type II NKT cells (79, 80), together with the conservation of the Asn-Asn motif, suggests that most of TCRs from sulfatide-reactive type II NKT cells use CDR3a to bind to CD1d in a conserved orientation, rather than the antigen. This contrasts with MHC-reactive TCRs, where both CDR3 $\alpha$  and CDR3 $\beta$  are crucial for peptide antigen discrimination, and the type I NKT cell TCR, which recognizes the antigen exclusively with CDR1a and CDR3a. Instead, unlike any of the previous binding modes, the type II NKT TCR employs CDR1ß and CDR3 $\beta$  for antigen recognition and discrimination (Figs 3C, 4).

# Conclusions

The extensive structural and biochemical data on the recognition of CD1d-presented antigens by NKT TCRs that has recently been accumulated offers an unprecedented, detailed view on how the immune system responds to lipid antigens presented by a nonclassical MHC I-like molecule. Based on these studies, we now understand the general rules that control how lipid antigens bind to the CD1d-binding groove, determining how the polar

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portion of the antigen is exposed to the solvent for recognition. Moreover, the work of our and other groups on the type I NKT TCR provides the basis to understand how a semiinvariant TCR can recognize a range of chemically diverse ligands. This is the result of the ability of the rigid type I NKT TCR to 'mold' the exposed headgroup of the ligand and the antigen-presenting molecule in a conserved conformation. Interestingly, this binding mode still imposes stringent requirements on the structure of the antigens, as demonstrated by the interplay between different parts of the antigen molecule in determining potency.

Finally, the recent structures of a type II NKT TCR ternary complex helps us to complete the picture of how NKT cells recognize CD1d-presented antigens and provides us with an opportunity to compare their binding modes with MHC-reactive TCRs, raising novel interrogatives. Surprisingly, the NKT TCRs bind on opposite sides of the antigen-presenting molecule and not in the center as the vast majority of MHC-reactive TCRs (112) (Figs 2B, 3B, 4). As a consequence, only one NKT TCR chain contacts the antigen (a chain for the type I,  $\beta$  chain for the type II), while the second chain contacts CD1d with limited (type I) or extensive (type II) surfaces. Moreover, the two NKT TCRs adopt docking angles that mark the extremes of the range  $(20-70^{\circ})$  observed for MHC-reactive TCRs, with the type I TCR adopting a parallel docking mode (Fig. 4A), while the type II TCR binds almost perpendicularly (Fig. 4B) on the antigen-binding groove. It is tempting to speculate that this could be the result of the lack of constraints imposed by CD4 and CD8 coreceptors (which do not appear to ligate CD1d), as opposed to MHC-reactive TCRs for which only a limited range of angles result in productive signaling (154). Further studies are therefore required to determine the nature of correlation between the TCR docking mode and the signaling processes occurring in NKT cells. The study of the recognition mechanisms used by NKT cells provided unexpected insight into the variety of strategies that the immune system, and T cells in particular can adopt to respond to internal and external triggers. However, several additional questions remain unanswered, including whether the recognition mode observed for mouse type II NKT TCRs also applies in humans, how the recognition mode observed for NKT cells extends to non-lipidic molecules and how CD1-antigen complexes are recognized by  $\gamma\delta$  TCRs and Group 1 CD1-reactive T cells. Future work should therefore aim to shed light on these topics, to further expand our understanding of this relatively new field and exploit the therapeutic potential of NKT and lipid-reactive T cells.

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#### Fig. 1. Antigen presentation by CD1d

(A). Cartoon representation of the CD1d- $\beta$ 2m heterodimer (PDB ID 1Z5L) with CD1d in grey,  $\beta$ 2m in blue, the  $\alpha$ GalCer analog PBS25 in yellow and a lipid spacer in green. The antigen-binding groove is shown as a transparent dark grey surface. (B). Several lipid antigens recognized by CD1d-restricted T cells. Glucosyl moieties are shown in blue. (C–F). Detailed view of the antigen binding groove with the  $\alpha$ 2 helix removed for clarity. Ligands (C: PBS25, PDB ID 1Z5L; D: iGb3, PDB ID 2Q7Y; E: sulfatide, PDB ID 2AKR; F: BbGL2c, PDB ID 3ILQ) are shown in yellow, spacers in green.

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#### Fig. 2. Antigen recognition by the type I NKT TCR

(A). Ternary complex (PDB ID 3HE6) between CD1d/b2m (grey and blue),  $\alpha$ GalCer (yellow), and the type I NKT TCR ( $\alpha$  chain in cyan,  $\beta$  chain in orange). (B). Footprint of the type I NKT TCR on CD1d. Residues on the CD1d surface contacting the  $\alpha$  chain are shown in cyan, residues contacting the  $\beta$  chain are shown in orange, shared residues in green. (C). Details of the antigen-binding groove showing the superposition of the bound conformations of  $\alpha$ GalCer (yellow), BbGL2c (green, PDB ID 3O9W), and iGb3 (blue, PDB ID 3RZC), after being flattened by the TCR. The CDR loops (cyan) contact the antigens exclusively through Asn30 $\alpha$  and Gly96 $\alpha$ . Polar contacts are shown as dashed lines. Note how all the bound ligands adopt similar conformation for their first sugar upon TCR binding.

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## Fig. 3. Antigen recognition by the type II NKT TCR

(A). Ternary complex (PDB ID 3ELM) between CD1d/b2m (grey and blue), lysosulfatide (yellow), and the type II NKT TCR ( $\alpha$  chain in dark cyan,  $\beta$  chain in purple). (B). Footprint of the type II NKT TCR on CD1d. The shared residue Met162 is shown in blue. (C). Detail of the antigen-binding groove showing the bound lysosulfatide in yellow and a spacer in green. The CDR loops and residues contacting the antigen are shown in purple. Polar contacts are shown as dashed lines.

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#### Fig. 4. Docking modes of NKT and MHC-reactive TCRs

Role of CDR3a (blue) and CDR3 $\beta$  (red) in binding to CD1 (grey), MHC I (light blue), and antigen (yellow). (A). Type I NKT TCR docking to CD1d presenting aGalCer (PDB ID 3HE6). (B). Type II NKT TCR docking to CD1d presenting lysosulfatide (PDB ID 3ELM). (C). MHC-reactive TCR docking to H-2K<sup>b</sup> –peptide (PDB ID 2CKB). The lines show the direction of the vector connecting the centroids of the conserved V domain disulfide bonds.