

Molecular Control of Neurogenesis: A View from the Mammalian Cerebral Cortex

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SUMMARY

The mammalian nervous system is the most complex organ of any living organism. How this complexity is generated during neural development is just beginning to be elucidated. This article discusses the signaling, transcriptional, and epigenetic mechanisms that are involved in neural development. The first part focuses on molecules that control neuronal numbers through regulation of the timing of onset of neurogenesis, the timing of the neuronal-to-glial switch, and the rate of progenitor proliferation. The second part focuses on molecules that control neuronal diversity by generating spatially or temporally distinct populations of neuronal progenitors. Most of the studies discussed in this article are focused on the developing mammalian cerebral cortex, because this is one of the main model systems for neural developmental studies and many of the mechanisms identified in this tissue also operate elsewhere in the developing brain and spinal cord.

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1 INTRODUCTION

The primordium of the central nervous system (CNS), the neural plate, originates from the ectoderm of gastrulating vertebrate embryos. It is made of a single sheet of neuroepithelial (NE) cells, which undergo rapid symmetric divisions that result in planar expansion of the neural plate and in generation of the neural tube. At mid-gestation, between embryonic day 9 (E9) and E10 in the mouse, the first neurons of the CNS are born, heralding an important developmental transition in the development of the neural progenitor cells of the brain. Coincident with the acquisition of neurogenic potential, the progenitors acquire the identity of radial glial (RG) cells and begin to express glial markers such as GLAST (Glutamate-aspartate transporter) and BLBP (Brain lipid-binding protein). In addition, the tight junctions that couple early NE progenitors are replaced by apically located adherens junctions (Gotz and Huttner 2005; Kriegstein and Alvarez-Buylla 2009). RG stem cells persist as the principal progenitor type during development of the embryonic and postnatal CNS. Importantly, RG cells are thought to be the primary progenitors of most neurons throughout the CNS and also to give rise, via lineage-restricted intermediate precursors, to the two main macroglial cell types, astrocytes and oligodendrocytes (Kriegstein and Alvarez-Buylla 2009). In the nervous system, there is a distinct progression of lineage differentiation whereby RG cells first give rise to neurons and later to astrocytes and oligodendrocytes.

Following the transition to the RG fate, some progenitor cells begin to divide asymmetrically to generate another radial glial cell and a more differentiated daughter cell, which migrates away from the apical progenitor domain and commences neuronal differentiation. In the forebrain, the cell lineages that produce neurons have become significantly more complex, with the insertion of fate-restricted progenitor stages between stem cells and postmitotic cells. These intermediate cells have been called basal or abventricular progenitors (BPs). They are generated in the developing telencephalon by asymmetric division of radial glial stem cells (Haubensak et al. 2004; Miyata et al. 2004; Nottor et al. 2004). BPs are not attached to the ventricular surface and divide away from it in the subventricular zone (SVZ). To maintain their self-renewing asymmetric divisions, RG stem cells must localize the cell polarity determinants of the Par family, including Par3 and Par6 and their regulator, the small Rho GTPase Cdc42, to their ventricular end feet. Disruption of the Par complex results in premature generation of BPs (Cappello et al. 2006; Costa et al. 2008; Bultje et al. 2009). In the dorsal telencephalon (which gives rise to the cerebral cortex), BPs divide symmetrically only once or a few times and are thought to generate most cortical projection neurons, including

early-born neurons in deep cortical layers and late-born neurons in superficial cortical layers (Farkas and Huttner 2008). The number of divisions of BPs in the ventral telencephalon (which gives rise to the basal ganglia and interneurons of the cortex) and the extent of their contribution to the generation of basal ganglia neurons and cortical interneurons are less well characterized.

As neurogenesis progresses, there is an ever-increasing propensity for progenitors to undergo a symmetric terminal division, in which both daughters differentiate. Consequently the expansion of the progenitor pool gradually slows and then stops. Many studies over the last decade have shed light on specific signaling, transcriptional, and epigenetic mechanisms that are integrated to ensure a timely and coordinate switch in progenitor properties. This is discussed in the first section of this article, which examines the molecular mechanisms that instruct the acquisition of radial glial features, the proliferation of neural progenitors, the promotion of neurogenesis, and the subsequent termination of neurogenesis and concomitant switch to gliogenesis.

In most regions of the CNS, different types of neurons are generated at different times during neurogenesis. In the cerebral cortex, for example, neurons located in deep layers, which mostly project to subcortical targets such as the thalamus and spinal cord, are generated before neurons in more superficial layers, which project to other parts of the cortex. Premature transition from proliferative symmetric divisions to neurogenic asymmetric divisions, which is thought to underlie several developmental brain abnormalities such as microcephaly (Manzini and Walsh 2011; Rubenstein 2011), therefore reduces brain size but also alters the balance between different neuronal populations. Conversely, protraction of the phase of progenitor expansion over evolutionary time is thought to contribute greatly to an increased number of late-born cortical neurons connecting different cortical areas in humans compared with lower primates (Rakic 1995). The second section of this article discusses the mechanisms that promote the generation of different types of neurons by progenitors in different brain regions and by the same progenitors over time. Most of the examples discussed refer to studies performed in the cerebral cortex, but many of the principal mechanisms also operate in other CNS regions.

2 CONTROLLING NEURONAL NUMBER IN THE EMBRYONIC BRAIN

2.1 Onset and Progression of Neurogenesis

2.1.1 Signaling Mechanisms Regulating the Onset and Maintenance of Neurogenesis

The onset of neurogenesis and the transition from NE to RG coincides with the onset of Notch signaling in the

dorsal telencephalon, as detected by the expression of the major Notch ligand Delta-like 1 (Dll1) and the downstream transcription factors Hes1 and Hes5 (Hatakeyama et al. 2004). In support of the idea that Notch signaling promotes the NE-to-RG transition, Gaiano and Fishell (Gaiano et al. 2000) showed that premature Notch pathway activation strongly induces RG markers and cellular phenotype. Notch signaling has also been reported to inhibit the generation of BPs by RG cells (Mizutani et al. 2007; Ohata et al. 2011). It is not clear what initiates Notch signaling in the cortex, although the induction of Dll1 coincides with the appearance of the pro-neural proteins Ngn2 and Ascl1, which are major transcriptional regulators of neurogenesis (see below) and have been shown to directly regulate Dll1 (Castro et al. 2006). Notch can instruct acquisition of RG identity, but strong perturbation of the pathway via deletion of Hes1, Hes5, or of the Notch effector transcription factor RBPJ did not block the appearance of RG, although Notch-deficient RGs commenced neurogenesis prematurely and lost their apico-basal polarity (Hatakeyama et al. 2004; Imayoshi et al. 2010). Thus Notch is important, if not essential, for the instigation of RG development and to maintain neurogenic RG in an undifferentiated state (Fig. 1B).

Consistent with the specification of RG cells continuing in the absence of Notch signaling, other pathways are clearly implicated in this process. For example Neuregulin 1 (Nrg1) is expressed in the developing cortex and signals through its receptors Erb2 and Erb4 to promote RG identity and to suppress the differentiation of RG into astrocytes (Schmid et al. 2003; Sardi et al. 2006). Interestingly, Erb2 seems to be a target of the Notch pathway, suggesting that it might account for some of the Notch pathway's RG-promoting activity (Fig. 1B) (Schmid et al. 2003).

Similar to the effect of enforced early activation of the Notch pathway, expression of a constitutively active form of a receptor for fibroblast growth factors, Fgf receptor 2 (Fgfr2), also promotes precocious acquisition of RG cell identity (Yoon et al. 2004). A recent study has shed light on which of the many fibroblast growth factors (Fgf) ligands is likely to be most relevant in the NE-to-RG transition. Fgf10 expression appears in the cortical ventricular zone (VZ) at E9.5, and its overexpression promotes expression of RG markers, whereas Fgf10 mutant mouse embryos show an extended period of NE expansion and delayed neurogenesis (Fig. 1B) (Sahara and O'Leary 2009). FGF signaling is also required to slow down the progression from RG to BPs (Fig. 1E) (Kang et al. 2009).

In addition to this role in the maturation of cortical progenitors, FGF ligands have been shown to promote the proliferation of cortical progenitors and inhibit neurogenesis, by regulating the duration of the cell cycle. Cell

cycle length and, specifically, the duration of the G₁ phase of the cycle increase markedly as neurogenesis progresses in the mammalian brain. The cell cycle is also longer for progenitors undergoing neurogenic divisions, that is, producing postmitotic neurons, than for neighboring cells dividing to produce more progenitors (Calegari et al. 2005). Experimental manipulations of cortical progenitors have shown that cell cycle lengthening is, indeed, sufficient by itself to increase the fraction of progenitor divisions that produce neurons and to promote the generation and expansion of BPs (Lukaszewicz et al. 2005; Lange et al. 2009; Pilaz et al. 2009). FGF2 maintains the proliferation of progenitors at the onset of neurogenesis (Raballo et al. 2000) by controlling the duration of their divisions. FGF2 up-regulates expression of cyclin D1 and down-regulates expression of the cyclin-dependent kinase (cdk) inhibitor p27(kip1), thereby shortening the G₁ phase of the cycle and decreasing the proportion of neurogenic divisions (Lukaszewicz et al. 2002). Another important mitogen for neural progenitors, Insulin-like growth factor-1 (IGF-1), also promotes the division of neural progenitors by inducing the expression of cyclin D1 as well as cyclin D3 and E while simultaneously reducing the expression of the cdk inhibitors p27(KIP1) and p57(KIP2) (Mairet-Coello et al. 2009). A related molecule, IGF-2, is secreted in the cortico-spinal fluid by the choroid plexus and provides a mitogenic signal to cortical progenitor cells lining the ventricular cavity (Lehtinen et al. 2011).

Until recently, it was not clear whether the acquisition of RG properties was inextricably linked to the ability to undertake asymmetric, neurogenic divisions. In mice mutant for Foxc1, a transcription factor expressed in the cortical meninges, where it is required for the production of retinoic acid (RA), there is a dramatic increase in the number of RG cells and a severe block in neuronal differentiation (Fig. 1D) (Siegenthaler et al. 2009). Thus, in the absence of RA signaling, RG are specified, apparently normally, yet they go through repeated rounds of symmetric, expanding divisions without producing neuronal progeny. Treatment of RA-deficient mice with dietary RA was able to prevent the lateral expansion of the cortex and rescued the production of differentiated neurons. The downstream transducers of RA signaling that promote neurogenesis are not known, although the pro-neural factors, Neurogenin1 and 2, might be involved (Ribes et al. 2008; Lee et al. 2009).

Another extracellular signal that may not be required for the specification of RG cells but is an important regulator of neuronal production in the developing cortex is the Wnt activity. Some gain- and loss-of-function studies have implicated Wnt in promoting proliferation and self-renewal of RG progenitors (Fig. 1C) (Chenn and Walsh 2002;

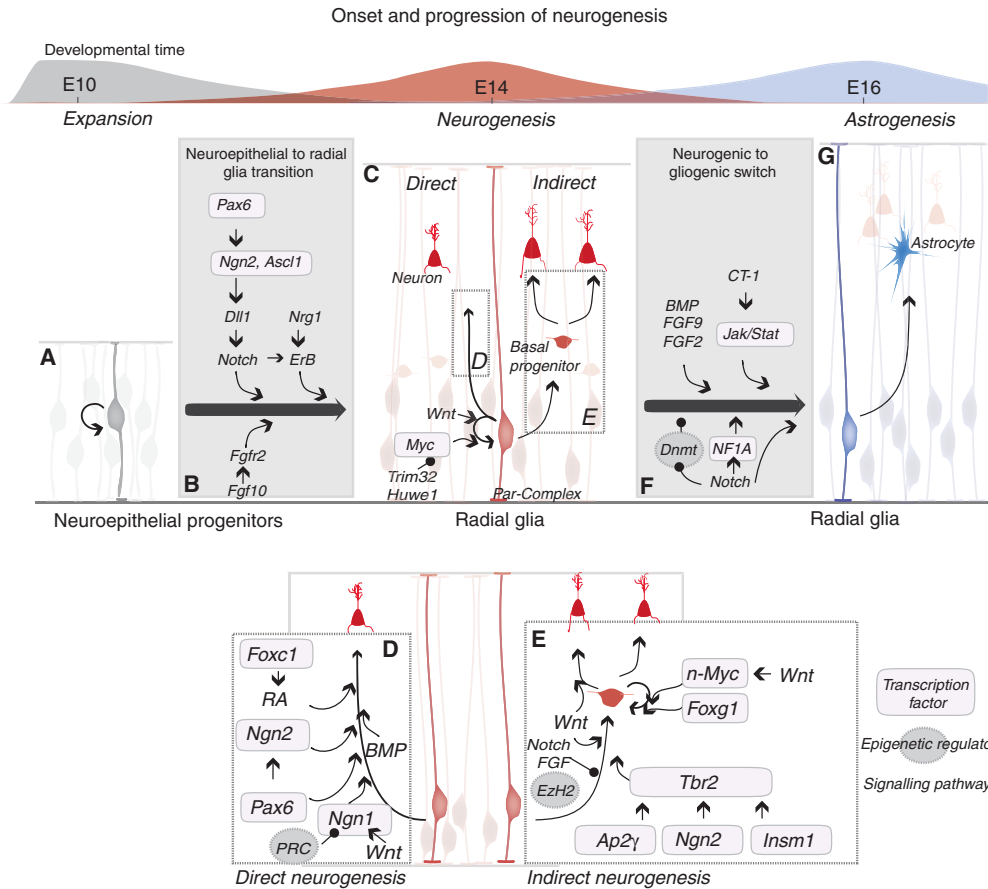


Figure 1. Molecular pathways regulating the onset, progression, and termination of neurogenesis in the rodent cerebral cortex. The onset of neurogenesis is concomitant with the transformation of neuroepithelial stem cells (A) into radial glial (RG) stem cells (C). Several signaling pathways, including the Dll1/Notch, Nrg1/ErB, and Fgf10/Fgfr2 pathways have been implicated in this transformation (see text). RG cells then generate neurons directly (D) or via basal progenitors (E). Several transcription factors (Ap2 γ , Ngn2, Insm1, Tbr2) have been shown to promote the generation of basal progenitors from RGs, whereas the Notch and FGF pathways and the epigenetic regulator Ezh2 inhibit this step. Whether AP2 γ , Ngn2, and Insm1 act primarily by inducing Tbr2 expression or also via Tbr2-independent mechanisms is unclear. Other transcription factors and signaling molecules promote the self-renewal of RGs (Wnt, Myc) and the proliferation of basal progenitors (Foxg1, Wnt/n-Myc). Whether the same factors and pathways that promote the direct generation of neurons by RGs (Pax6, Ngn1/2, RA) also drive the generation of neurons by basal progenitors (data not shown) is unclear. The termination of neurogenesis results from the terminal differentiation of RGs into astrocytes (G). Multiple signaling pathways (Jack/Stat, Notch, BMP, FGF) synergize to elicit the neurogenic-to-gliogenic switch (see text). It is noteworthy that the same pathways frequently operate in different temporal contexts to exert contrasting cellular effects.

Machon et al. 2003; Woodhead et al. 2006; Zhou et al. 2006), while other studies have shown that at subsequent stages, Wnt signaling promotes the maturation of RG cells into BPs and proliferation of the latter, through regulation of N-myc and the pro-neural gene Neurogenin1 (Viti et al. 2003; Hirabayashi et al. 2004; Kuwahara et al. 2010). Other work has also suggested that Wnt can act instructively to promote neuronal differentiation of BPs (Fig. 1E) (Munji et al. 2011). Thus the effects of Wnt signaling in cortical development are complex and tightly regulated in space and time. It should be noted that several of these studies

involved the manipulation of β -catenin, which is a major transducer of canonical Wnt signaling but also has non-Wnt-associated functions, for example, in cadherin cell-cell adhesion complexes. Thus, the observed phenotypes may not be due solely to changes in Wnt pathway activity.

Another important pathway, with similarly elusive and complex inputs into the regulation of cortical neurogenesis, is the BMP (bone morphogenetic protein) pathway. Treatment of early (mouse E12–E13) cortical progenitors with BMP in culture induces neurogenesis (Li et al. 1998; Mabie et al. 1999), and deletion of BMP receptors in vivo

causes localized reduction of neurogenesis in caudal regions of the CNS (Fig. 1D) (Wine-Lee et al. 2004). Later in cortical development (after E14), BMPs block neurogenesis and, instead, promote astrocyte differentiation (Fig. 1F) (Gross et al. 1996; Nakashima et al. 2001).

The difficulty in pinning down the effects of these signaling pathways in the regulation of cortical neurogenesis illustrates an important theme that applies to embryonic development generally. Frequently, the same signaling pathway operating in two neighboring cells, or in the same cell at different times, can cause completely different cellular effects, presumably due to the varying availability of effector molecules and epigenetic states of the target genes of signaling pathways. There is also extensive and complex cross talk between signaling pathways such that they modulate each other's effects. These mechanisms allow a relatively small number of signaling pathways to evoke the complex spectrum of cellular behaviors required to build a functional brain.

2.1.2 Transcriptional Mechanisms Regulating Cortical Neurogenesis

Cell-intrinsic genetic controls and cell-extrinsic signaling mechanisms ultimately influence cell fate via the precise modulation of gene expression. A great deal of effort has been made in recent years in understanding the transcriptional control of neurogenesis in the cerebral cortex by sequence-specific transcription factors. Here we focus on some of the key transcription factors that have clearly demonstrated roles in the regulation of embryonic neurogenesis (Fig. 1B,D,E).

A large number of transcription factors have been implicated in the proliferation of neural progenitors, and thus ultimately in the control of neuronal numbers in the developing brain. Defects in progenitor divisions and brain growth have been found in mice mutant for the paired homeobox factor Pax6 (Arai et al. 2005), the homeobox proteins Lhx2 (Porter et al. 1997) and Arx (Friocourt et al. 2008), the winged-helix protein Foxg1 (Hanashima et al. 2002), and the nuclear receptor Tlx (Roy et al. 2004). The target genes that are regulated and the types of progenitor cells that are induced to proliferate by these factors were usually not examined, except in a few cases. Pax6, in particular, has been proposed to promote proliferation early during cortical development through induction of multiple target genes, including transcription factors (e.g., Hmga2), signaling molecules (e.g., Fbfp7), and cell cycle regulators (e.g., Cdk4) (Arai et al. 2005; Sansom et al. 2009).

The basic-helix-loop-helix (bHLH) pro-neural transcription factors are crucial players in the regulation of neurogenesis, and this appears to be a highly conserved

function (for review, see (Bertrand et al. 2002; Ross et al. 2003). Three of these pro-neural factors—Neurogenin (Ngn)1, Ngn2, and, at a lower level of expression, Ascl1 (also called Mash1)—are expressed in radial glial cells of the developing cortex. These factors start to be expressed around the time of the NE-to-RG transition but do not appear to be absolutely required for the acquisition of radial glia features, although in embryos mutant for both Ngn2 and Ascl1, radial glia are disorganized and differentiate into astrocytes prematurely (Nieto et al. 2001). Cortical neurogenesis is greatly impaired in these pro-neural-deficient embryos *in vivo* and *in vitro* (Nieto et al. 2001). Consistent with this requirement for pro-neural factors for neurogenesis, they are also sufficient to induce a full program of neurogenesis. If overexpressed *in vivo* or *in vitro* in neural progenitors, Ngn2 or Ascl1 can induce rapid and full neuronal differentiation (Mizuguchi et al. 2001; Nakada et al. 2004; Berninger et al. 2007). Even more impressively, pro-neural factors can respecify cells from other lineages into cortical projection neurons. For example, Ngn2 can drive postnatal astroglia to transdifferentiate into cortical projection neurons (Heinrich et al. 2010), whereas Ascl1 expression can achieve the remarkable feat of reprogramming a fibroblast into a neuron, although the efficiency of this latter step is much improved if Ascl1 is overexpressed in combination with cofactors such as Brn2 and Myt1l (Vierbuchen et al. 2010).

A recent study of Ascl1 targets provides compelling evidence that Ascl1 directly activates a wide array of genes required for neuronal differentiation in the ventral telencephalon (Castro et al. 2011). A genome-wide survey of Ngn2 targets in the mouse forebrain has not yet been performed, although Ngn2 has been shown to directly activate specific genes required for differentiation and migration of cortical projection neurons (Ge et al. 2006; Heng et al. 2008; Ochiai et al. 2009). Thus, rather than inducing a cascade of downstream transcription factors that, in turn, induce the program of neurogenesis, pro-neural bHLH factors are directly involved in activating the effectors of neuronal differentiation.

Pax6 is required for the progression of cortical neurogenesis. Pax6 is expressed in both the early neuroepithelial progenitors and the neurogenic radial glia in many regions of the CNS, and when it is mutated, cortical neurogenesis is impaired *in vitro* (Heins et al. 2002). Cortical neurogenesis defects *in vivo* have proven to be stage dependent and difficult to analyze because of cell-autonomous loss of dorsal and acquisition of ventral telencephalic features in Pax6 mutant progenitors (Quinn et al. 2007). Nevertheless, like Ngn2, Pax6 can instructively promote neurogenesis in cortical progenitors and in astrocytes (Heins et al. 2002). Pax6 directly induces Ngn2 expression in the cortex

and the spinal cord (Scardigli et al. 2003), and consequently drives neurogenesis indirectly. Nevertheless, Pax6 can reprogram astrocytes into neurons without inducing Ngn2 (Heins et al. 2002), and there is evidence that it directly regulates many genes implicated in the progression of neurogenesis in the cortex (Sansom et al. 2009). Thus, Pax6 appears to act both upstream of and in parallel to pro-neural bHLH factors in promoting neurogenesis.

Several transcription factors, including Ngn2, Insm1, and AP2 γ , have been implicated in the crucial step of generation of basal progenitors from RG stem cells (Fig. 1E). All three factors share the capacity to induce Tbr2, a transcription factor specifically expressed in the subventricular zone of the cortex and required for the generation of BPs (Miyata et al. 2004; Arnold et al. 2008; Farkas et al. 2008; Sessa et al. 2008; Pinto et al. 2009). Other factors act subsequently to promote the divisions of BPs, including Foxg1 in the cortex and Ascl1 in the ventral telencephalon, which acts by activating multiple cell cycle regulators including E2f1 and cyclin-dependent kinases (Siegenthaler et al. 2008; Castro et al. 2011). How transcription factors interact with signaling pathways that regulate the generation and expansion of the different populations of neural progenitors remains largely unknown.

Recent studies have highlighted the importance of another layer of control of the genetic program of neurogenesis at the posttranslational level (Fig. 1C). TRIM32 is a TRIM-NHL protein expressed in radial glia that is asymmetrically inherited by daughter cells during mitosis (Schwamborn et al. 2009). The TRIM32-inheriting daughter is more prone to differentiate into a neuron because of at least two activities of TRIM32. Firstly, it acts as a ubiquitin ligase that promotes the destruction of the pro-proliferative transcription factor c-Myc; and secondly, it binds to the Argonaute complex and enhances the activity of microRNAs including Let-7, which can, in turn, promote neuronal differentiation (Schwamborn et al. 2009). The HECT domain E3 ubiquitin ligase Huwe1 also promotes neurogenesis in the cortex, in this case, by promoting the degradation of n-Myc, which can no longer activate the Notch ligand Dll3 and promote self-renewing rather than differentiative divisions of RG (Zhao et al. 2009). The E3 ubiquitin ligase TRIM11 acts in the opposite direction and suppresses neurogenesis by promoting the degradation of Pax6 (Tuoc and Stoykova, 2008).

2.1.3 Epigenetic Mechanisms

Recently, compelling evidence has emerged to show that epigenetic modifications of chromatin provide another crucial level of control of gene expression and underpin the complex choreography of embryonic neurogenesis in

the cerebral cortex and beyond (Fig. 1D–F) (for review, see Hirabayashi and Gotoh 2010). Much of the progress in this area has been achieved by mutating enzymes that catalyze specific epigenetic modifications. For example, the Polycomb complex catalyzes the tri-methylation of lysine 27 on the tail of histone H3 (H3K27me3), a modification mainly associated with transcriptional repression. When Polycomb complex members Ring1b or EZH2 were ablated during cortical neurogenesis, the rate of neurogenesis was increased, and the length of the neurogenic period was extended with a corresponding delay in the onset of astrogliogenesis (Hirabayashi et al. 2004). At least one of the important targets for Polycomb-mediated antagonism of neurogenesis is the promoter of the pro-neural factor Ngn1, which gradually accumulates the H3K27me3 mark during extended culture of cortical progenitors, in parallel with their reduced ability to produce neuronal progeny (Hirabayashi et al. 2004). Consistently, Polycomb-deficient cortices showed up-regulation of Ngn1 in vivo (Fig. 1D). Two other studies have provided evidence that Polycomb complex activity must be antagonized to sustain cortical neurogenesis. Jepsen et al. (2007) showed that the retinoic acid pathway, which is an important determinant of the onset of neurogenesis (Fig. 1B), directly induces Jmjd3, an H3K27me3 demethylase, which when forcibly expressed can activate genes associated with neurogenesis in cortical progenitors. In a similar vein, Lim et al. (2009) described the activity of the Trithorax complex member, Mll1, in antagonizing H3K27me3 deposition on the promoter of the neurogenic transcription factor Dlx2, which can then sustain neurogenesis.

In addition to histone methylation, direct methylation of chromatin also has a role in sustaining embryonic neurogenesis. DNA methyltransferase 1 (Dnmt1) is an enzyme that maintains methylation of CpG dinucleotides, a DNA modification mainly associated with repressed genes. When Dnmt1 is mutated in the developing CNS, neurogenesis is terminated prematurely (Fan et al. 2005).

2.2 The Neuronal-to-Glial Switch: Timing and Control Mechanisms

Around E18.5 in mouse cortical development, another major developmental transition occurs. RG progenitors stop producing neurons, and the first astrocytes appear (Fig. 1EG). During this process, most RG release their apical attachment to the ventricle and move upward, away from the ventricular surface. They lose their radial processes and gradually take on a multipolar, astrocytic morphology. It is still not clear whether further cell division occurs to amplify the population of astrocytes in the cerebral cortex during peri- and postnatal development of the brain,

although this does seem likely (Kriegstein and Alvarez-Buylla 2009). Apart from small populations of RG that are maintained and retain the ability to produce neurons in defined regions throughout life (namely, the subventricular zone of the lateral ventricles and the dentate gyrus of the hippocampus), very few neurons are produced in the rodent or primate cerebral cortex beyond the neurogenic-to-astrogenic transition (Kriegstein and Alvarez-Buylla 2009). Owing to this relatively abrupt transition, the timing of the end of neurogenesis must be very carefully controlled to ensure that all of the neurons required for the function of the adult brain are born before gliogenesis commences.

Most prominent among the pro-astrocytic signals is the Jak/Stat pathway (Fig. 1F). Although Jak/Stat signaling is active at a low level in progenitors during neurogenesis and may even be required for radial glial identity (Kamakura et al. 2004), at the end of this period pathway activity increases greatly (He et al. 2005). The sudden increase in Jak/Stat signaling seems to be caused by several mechanisms. Firstly, several promoter elements on glial and Stat pathway genes that are responsive to Stat transcription factors (the main transducers of Jak/Stat signaling) are specifically methylated during the neurogenic period, which precludes Stat binding (Takizawa et al. 2001; Fan et al. 2005). This methylation is removed at the end of neurogenesis in part by Notch signaling (see below), and the promoters are derepressed. The second important factor is the reduced expression of the pro-neural factors *Ngn1* and *Ngn2*. *Ngn1* (and probably also *Ngn2*, although this has been less studied) is a potent antagonist of the Jak/Stat pathway and seems to achieve this by sequestering the important transcriptional coactivators CREB binding protein (CBP), p300, and Smad1 away from Stat3, which cannot then activate astroglial genes such as *GFAP* (Sun et al. 2001; He et al. 2005). Thirdly, the cytokine Cardiotrophin-1 (CT-1) starts to be expressed at high levels by differentiating neurons, and this molecule appears to be the main activating ligand for the Jak/Stat pathway in vivo (Fig. 1F) (Barnabé-Heider et al. 2005; Miller and Gauthier 2007). The end result of these events is an increase in Stat activity, which becomes even more amplified because the Stat factors start to positively autoregulate their own transcription (He et al. 2005).

While the Jak/Stat pathway activity becomes quantitatively amplified at the neurogenesis-to-gliogenesis transition, the output of Notch signaling appears to undergo a dramatic qualitative shift. As discussed above, early in corticogenesis, Notch mainly promotes the RG progenitor state (Fig. 1B), but later in cortical development, Notch activation instructively promotes astrocyte differentiation and blocks neuronal differentiation both in vitro and in vivo (Fig. 1F) (Chambers et al. 2001; Grandbarbe et al. 2003). In

further support of an instructive rather than a permissive role in gliogenesis, Ge et al. (2002) showed that the key transducers of Notch signaling—the intracellular domain of the Notch receptor itself and the RBPJ transcription factor (also known as CSL or CBF1)—form a transcriptional activation complex on the *GFAP* promoter. Furthermore, cultured neural progenitors derived from RBPJ mutant embryonic stem cells show a significant delay in astrocyte development in vitro. Recently an elegant study by Namihira et al. (2009) showed that the main source of Notch signaling during corticogenesis is likely to be from young neurons and BPs, which express the Notch ligand *Dll1*. Namihira and colleagues went on to show that Notch signaling in cortical progenitors directly activates Nuclear Factor IA (NFIA), a transcription factor already shown to be necessary and sufficient to promote glial specification in the spinal cord (Deenen et al. 2006; Namihira et al. 2009). The combined actions of Notch and NFIA then cause demethylation of the promoter of *GFAP* (and presumably of other astrocytic genes) via the displacement of DNA methyltransferase enzymes, rendering it responsive to further Jak/Stat- and Notch-mediated activation (Namihira et al. 2009). Interestingly, there is good evidence that Hes proteins, which are the main targets of Notch/RBPJ signaling in the developing CNS, physically interact with Jak and Stat factors and that these interactions augment Jak/Stat pathway activation (Kamakura et al. 2004). Thus, the Notch and Jak/Stat pathways converge to provide a strong pro-astroglial signal.

Like Notch, the BMP pathway also imparts different effects on early and late corticogenesis. Early BMP signaling can promote neurogenesis (Fig. 1D), whereas late expression drives astroglial development (Fig. 1F). When BMP4 was overexpressed by cortical neurons from E16, RG progenitors were more likely to differentiate into astrocytes (Gomes et al. 2003). This in vivo result is consistent with earlier in vitro work showing that BMP treatment of cortical progenitors could induce astrocyte differentiation (Nakashima et al. 1999). Just like the cooperation between Notch and Jak/Stat signaling, there is pro-astrocytic synergy between BMP and LIF (another activator of Jak/Stat signaling) mediated by the formation of a complex between Stat3, Smad1, and the coactivator p300, which together strongly activate the *GFAP* promoter (Nakashima et al. 1999). Another important effect of BMP signaling in late cortical development is the induction of negative helix–loop–helix factors of the Id family, which inhibit the pro-neural transcription factors *Ngn1/2* and *Ascl1* (Nakashima et al. 2001).

Yet another signaling pathway that seems to promote glial differentiation late in development but not early, is the Fgf pathway. In vitro Fgf2 is required for Jak/Stat-mediated activation of astroglial genes (Song and Ghosh 2004), again

showing the codependence of multiple pathways in the transition from neurogenesis to gliogenesis (Fig. 1F). In an *in vivo* context, the most relevant Fgf ligand in this context might be Fgf9, which is induced by the transcription factor Sip1 in neurons of the cortical plate and signals back to ventricular zone progenitors to promote glial differentiation and inhibit neurogenesis (Seuntjens et al. 2009).

Therefore, as the rate of neurogenesis reaches its peak, the levels of CT-1, Fgf9, BMP, and Notch ligand from neurons and neuronal precursors are greatly amplified. This quantitative effect, coupled with direct cross talk and collaboration between the pathways, ultimately results in the rather sudden cessation of neurogenesis and commencement of gliogenesis. By this means, it is the production of neurons themselves that provides the timing mechanism necessary to signal the end of neurogenesis. Although it has been argued on the basis of elegant clonal assays that neural progenitor cells themselves encode cell-intrinsic timers that ensure the progression from neurogenesis to gliogenesis (Shen et al. 2006), in these experiments, the progenitor cell is always in contact with its own progeny and therefore amenable to local signals from those daughter cells. As such, a cell-extrinsic accumulation of signals that alter the outcome of progenitor divisions is also consistent with the results of clonal cultures.

3 GENERATING NEURONAL DIVERSITY IN THE EMBRYONIC BRAIN

3.1 Spatial Mechanisms of Neuronal Fate Specification

In the developing telencephalon, several signaling centers secrete diffusible signaling molecules that form overlapping gradients and act as morphogens (Hoch et al. 2009). Sonic hedgehog (Shh) is secreted from the ventral midline of the forebrain, starting well before the closure of the neural tube at E7.5. Members of the FGF family, including FGF8, 15, and 17, are secreted anteriorly by the midline of the telencephalon or commissural plate. Multiple members of the Wnt and BMP families are secreted from medial and caudal aspects of the cortex (Hoch et al. 2009). These extracellular factors induce in progenitors the regionalized expression of homeodomain and helix–loop–helix transcription factors, which is subsequently refined by cross-repressive interactions, thereby subdividing the telencephalic vesicles into a dorsal or pallial and a ventral or subpallial territory (Campbell 2003). These patterning transcription factors, in turn, induce another group of transcription factors (sometimes called lineage determinants) that define progenitor identities and contribute to the selection of a neuronal fate and the specification of

defined neuronal phenotypes (Fig. 2) (Guillemot 2005; Hoch et al. 2009). The same basic principles that underlie the specification of dorsal and ventral identities in the telencephalon also apply to the spatial patterning of other developing neural tissues (Zhuang and Sockanathan 2006) and also to the subdivision of the cerebral cortex into distinct architectonic and functional areas later during development (O’Leary et al. 2007).

Shh establishes ventral identities in the telencephalon by opposing the dorsalizing activity of the transcriptional repressor Gli3 (Rallu et al. 2002). Shh induces the expression of the patterning homeodomain proteins Gsh1 and Gsh2 throughout the ventral telencephalon and Nkx2.1 in the medial part of the ventral telencephalon (Rallu et al. 2002). Nkx2.1 and Gsh1/2 act independently as transcriptional effectors of Shh signaling by inducing different lineage determinants, including induction of the LIM homeobox protein Lhx6 by Nkx2.1, and of Ascl1 and the homeodomain proteins Dlx1 and Dlx2 by Gsh1/2 (Toresson et al. 2000; Du et al. 2008; Wang et al. 2009). Ascl1 and Dlx1/2, in turn, initiate the neuronal differentiation of ventral telencephalic progenitors (Casarosa et al. 1999; Yun et al. 2002; Long et al. 2009).

In the dorsal telencephalon, Wnt induces the expression of Pax6 and the pro-neural proteins Ngn1, whereas Pax6 induces expression of the related protein Ngn2, as mentioned above (Gunhaga et al. 2003; Scardigli et al. 2003; Hirabayashi et al. 2004). Ngn1/2 and Pax6 are the main transcriptional activators of neurogenesis in the cerebral cortex (Nieto et al. 2001; Heins et al. 2002; Schuurmans et al. 2004).

The dorsal and ventral transcriptional cascades are mutually repressive, thus stabilizing the fates of progenitor domains responsible for the production of cortical and basal ganglia neurons, respectively. For example, in the dorsal telencephalon, Gli3 represses Shh target genes such as Gsh2 and Nkx2.1; Pax6 represses Gsh2; and Ngn1/2 repress Ascl1 (Fig. 2) (Fode et al. 2000; Toresson et al. 2000; Rallu et al. 2002). Deletion of dorsal transcription factors, including Gli3, Pax6, and Ngn1/Ngn2, thus leads to expansion of ventral determinants into pallial territory, and vice versa.

The neurogenic factors that are induced by the dorsal and ventral transcription factor cascades, and particularly the pro-neural factors Ngn1 and Ngn2 dorsally and Ascl1 ventrally, have two distinct roles in the generation of neurons from telencephalic progenitors (Bertrand et al. 2002). Firstly, they activate a generic program of neurogenesis, which arrests the divisions of progenitor cells, selects the neuronal fate, suppresses the alternative astroglial fate, initiates the migration of the new neurons to their appropriate locations, and initiates the growth of axon and dendrites

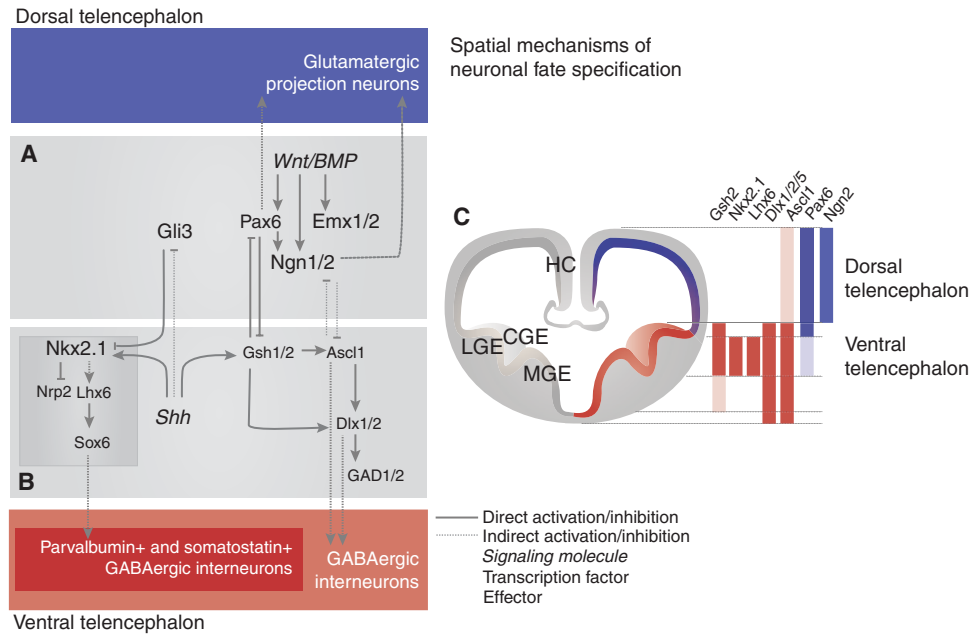


Figure 2. Spatial mechanisms of neuronal fate specification. (A) BMP and Wnt signals, diffusing from the dorsal midline of the telencephalon, induce expression of important transcriptional regulators of dorsal telencephalic fates (Emx1/2, Pax6, Ngn1/2). Pax6 and Ngn1/2 promote neurogenesis and specify the glutamatergic projection neuron identity of neurons born in the cerebral cortex. (B) The morphogen Shh secreted by the ventral midline of the telencephalon, represses the activity of Gli3 and induces the expression of transcriptional determinants of ventral telencephalic cell fates, including Nkx2.1 and Gsh1/2. Gsh1/2 induce expression of the pro-neural gene *Ascl1*, which together with its targets *Dlx1/2* promotes neurogenesis and contributes to the specification of GABAergic neurons, including basal ganglial neurons and cortical interneurons, in the ventral telencephalon. Nkx2.1, through induction of *Lhx6* and *Sox6*, further specifies subpopulations of cortical interneurons defined by the expression of the calcium-binding protein parvalbumin and the peptide somatostatin. (C) Dorsally or ventrally restricted expression of transcriptional determinants of neuronal fates. The sharp boundary of their expression domains is established by cross-repression (shown in A and B).

and the terminal differentiation of the neurons. Secondly, these factors specify the regional identity of the new neurons, and particularly their mode of neurotransmission and general morphology. Ngn1/2 specify the glutamatergic and pyramidal phenotype of cortical projection neurons, whereas *Ascl1* specifically promotes the GABAergic and multipolar properties of basal ganglia neurons and cortical interneurons (Fode et al. 2000; Parras et al. 2002; Hand et al. 2005). It should be noted, however, that different transcription factors are involved in specification of the same neurotransmitter phenotypes, GABAergic and glutamatergic, in other regions of the nervous system (Cheng et al. 2005).

Although pro-neural factors play a prominent role in neurogenesis, other transcription factors that participate in the transcriptional cascades in dorsal and ventral telencephalon also control specific aspects of the neuronal phenotype (Fig. 2B). For example, in the ventral telencephalon, *Lhx6* and its target the Sox protein *Sox6* promote the specification and differentiation of parvalbumin- and somatostatin-containing cortical interneurons (Gelman and Marin

2010), whereas the LIM protein *Lhx7* specifies the cholinergic neurotransmission phenotype of a subset of striatal interneurons (Fragkouli et al. 2009), and the homeodomain protein *Nkx2.1* promotes the migration of interneurons to the striatum (Nobrega-Pereira et al. 2008).

3.2 Temporal Mechanisms of Neuronal Fate Specification

An additional and equally important mechanism for the generation of neuronal diversity is temporal patterning, that is, the sequential production by the same progenitors of different types of neurons at different times during neurogenesis. It has long been known that a strong correlation exists between the location of neurons in different layers of the cerebral cortex or the retina and the time of their birth. For example, neurons located in the deepest layer of the cortex are generated first, and neurons located in each of the layers above are generated at progressively later times during cortical development (McConnell 1995). Cell transplantations and lineage tracing using viruses or dye

injections have shown that these sequential phases of neurogenesis represent changes in the output of individual retinal and cortical progenitors, rather than the generation of different neurons by different types of progenitors (McConnell 1995). The sequential generation of different types of neurons by the same progenitors is a widespread strategy to diversify neuronal populations that also operates in other CNS regions, such as the vertebrate dorsal spinal cord (Muller et al. 2002), and in other distantly related species, such as *Drosophila* (Isshiki et al. 2001; Maurange et al. 2008).

The molecular mechanisms that confer temporal identities to progenitors and control the temporal order of neuronal specification have been partially elucidated in *Drosophila* (Isshiki et al. 2001; Maurange et al. 2008), but they remain largely unknown in vertebrates. Transplantation of cortical progenitors into cortices of a different developmental stage (heterochronic transplantations) (McConnell 1995) as well as in vitro progenitor cultures

(Shen et al. 2006; Eiraku et al. 2008; Gaspard et al. 2008) suggest that the generation of layer-specific cortical neurons in a precise temporal order is largely controlled by cell-intrinsic mechanisms. Dissociated cortical progenitors in culture maintain their capacity to sequentially generate different types of neurons (Shen et al. 2006). Even more surprising, embryonic stem cell cultures that acquire a cortical fate also generate neurons of different laminar identities in the same temporal order as occurs during cortical development in vivo (Eiraku et al. 2008; Gaspard et al. 2008). However, in these experiments, the progenitor cell is not isolated from its progeny; thus, even in a single clone, the influence of extrinsic signals emanating from postmitotic daughter cells cannot be formally excluded.

Progress has been made recently in identifying transcription factors involved in the sequential generation of specific types of cortical neuron during different stages of neurogenesis (Fig. 3). For example, the zinc finger protein *Fezf2* and its target gene *Ctip2* play a central role in the

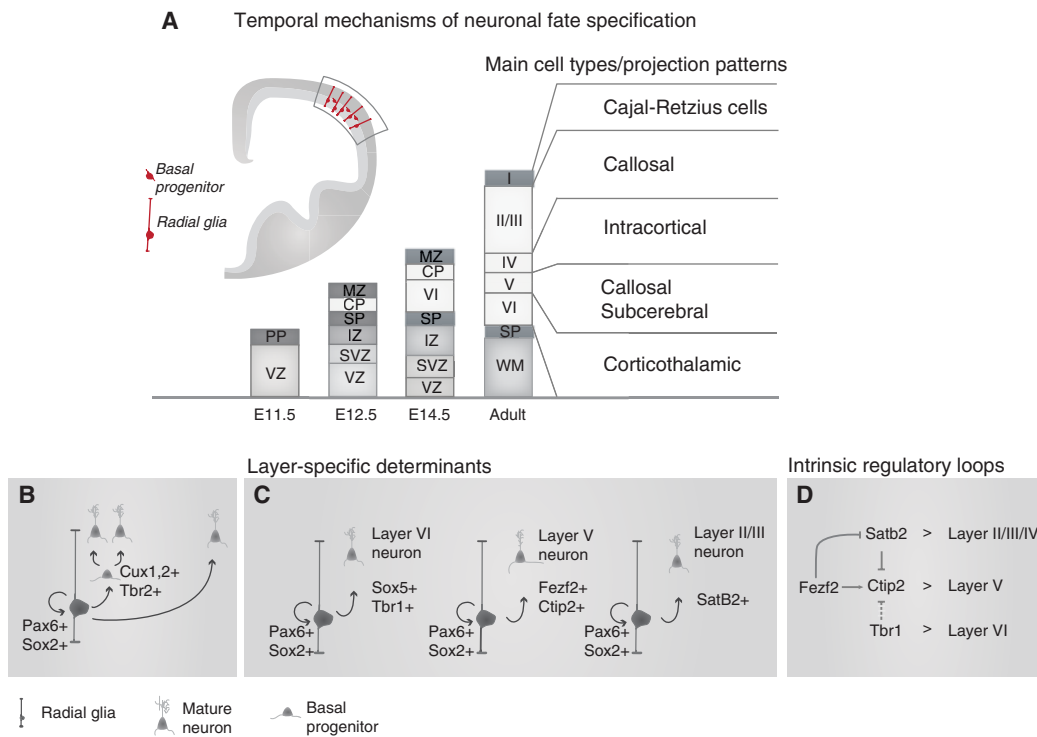


Figure 3. Temporal mechanisms of neuronal fate specification. (A) Distinct neuronal subtypes are generated sequentially in an inside-first–outside-last order during neurogenesis, by progenitors located in the cortical ventricular zone (VZ) and subventricular zone (SVZ), resulting in the layered organization of the adult cerebral cortex. The intermediate zone (IZ), subplate (SP), and marginal zone (MZ) are present only transiently in the embryonic cortex. (B) Cortical projection (CP) neurons are generated either directly from radial glial stem cells or indirectly via intermediate basal progenitors (BPs). (C) The transcription factors that specify the identity of the distinct classes of projection neurons forming the different cortical layers (see Molyneaux et al. 2007). (D) Transcription factors that specify cortical neuron identities are involved in cross-repressive interactions whereby a layer-specific determinant represses directly (solid lines) or indirectly (dashed lines) the transcription factors involved in neuronal specification in neighboring layers. Abbreviations: VI, layer VI; V, layer V; IV, layer IV; I/II/III, layers I, II, and III.

specification of neurons located in layer V of the cortex and projecting out of the cortex (Arlotta et al. 2005; Molyneaux et al. 2005). Repression of *Ctip2* has been shown to be required for the specification of both earlier-born and later-born neurons: *Tbr1* represses *Ctip2* to promote generation of layer VI neurons early, whereas the homeobox protein *Satb2* represses *Ctip2* later to promote layer II/III neurogenesis. Conversely, part of *Fezf2*'s function in promoting layer V neuron production is to block precocious expression of the *Satb2* (Fig. 3) (Britanova et al. 2008; Chen et al. 2008; McKenna et al. 2011). Thus, a network of mutually cross-repressive cell-intrinsic regulators plays a central role in the temporal control of cortical laminar fate specification in the developing cortex.

4 CONCLUDING REMARKS

Although we have achieved a better understanding of the mechanisms that control neuronal identity in the rodent cerebral cortex and in other CNS regions (Dalla Torre di Sanguinetto et al. 2008), it remains unclear how subtype specification mechanisms are temporally coordinated to generate different types of neurons sequentially. Transcription factors that control the timing of generation of the different types of cortical neurons (Naka et al. 2008; Seuntjens et al. 2009) appear also to influence the switch from neurogenesis to gliogenesis. This finding suggests that a common machinery controls the temporal competence of cortical progenitors to produce first different types of neurons and then glial cells. The mechanistic basis of temporal patterning in the nervous system is a challenging issue in developmental neurobiology.

The current effort to develop therapies for neurological disorders is also renewing interest in how neurons of defined identities are generated. Several types of neurons, including spinal motor neurons and layer V cortical projection neurons, can now be differentiated in vitro from embryonic stem cells (Peljto and Wichterle 2011). New strategies are also devised to generate specific neuronal populations from non-neuronal cells through cellular reprogramming (Heinrich et al. 2010; Vierbuchen et al. 2010). Research into the basic mechanisms of neural development has contributed greatly to these recent successes. Further research in this field will continue to facilitate the design of rational strategies to generate clinically relevant neuronal types in vitro.

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