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Adjuvant Therapy for Melanoma

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Abstract

Estimates from the U.S. Surveillance, Epidemiology, and End Results (SEER) registry suggest that melanoma incidence will reach 70,230 in 2011, of which 8,790 will die. The rising incidence and predilection for young individuals makes this tumor a leading source of lost productive years in the society.

High-dose interferon- α 2b is the only agent approved for adjuvant therapy of melanoma; the improvement in relapse-free survival has been observed across nearly all published studies and meta-analyses. However toxicity affects compliance and current research is focusing upon biomarkers that may allow selection of patients with greater likelihood of response, and exploring new agents either singly or in combination that may improve upon the benefit of IFN.

In this article, we review the data for the adjuvant therapy of malignant melanoma - focusing on the results obtained with various regimens testing the several formulations of interferon- α 2, and the adjuvant studies of vaccines and radiotherapy. Recent advances in the treatment of metastatic disease have established a role for CTLA-4 blockade and BRAF-inhibition, and raising hopes that these agents may have a role in the adjuvant setting. At present, several trials investigating combinations of novel agents with existing immunomodulators are underway.

Introduction

Melanoma is a disease of increasing incidence that exacts a disproportionate toll amongst the young in the population. SEER statistics suggest that of the estimated 70,230 with incident melanoma in 2011, 58.5% of patients will be below the age of 64 [1].

Patients with locally advanced disease have a relatively high risk of recurrence and death despite surgery. At present, the only Food and Drug Administration (FDA)-approved adjuvant treatment option for patients with high-risk disease (primary tumor thickness of

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Conflicts of Interest

Dr. Kirkwood is a member of medical advisory boards for Genentech (a member of the Roche Group) and Merck, and a consultant for GSKBio.

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4mm or greater (T4 lesions) and/or regional lymph node metastases) is interferon- α 2b (IFN- α 2b).

In this article, we delineate the clinical prognostic factors that portend a heightened risk of recurrence and outline the development of IFN- α in the adjuvant setting with a focus on the various clinical trials that led to the adoption of high-dose interferon (HDI) as the standard adjuvant therapy for this disease. We discuss other evolving options including vaccines, CTLA-4 blockade, chemotherapy and radiotherapy--which have yet to demonstrate reproducible survival benefits in randomized phase III trials and hence remain experimental at this time.

With the recent FDA approval of ipilimumab (Yervoy®) and vemurafenib (Zelboraf®) for the treatment of metastatic melanoma, a once stagnant field has been rejuvenated [2-4]. Work is already underway utilizing these agents in the adjuvant setting. This article updates prior adjuvant reviews [5-6] and meta-analyses [7-10].

Materials and Methods

Search Strategy and Selection Criteria

A systematic search strategy was performed utilizing the MEDLINE, EMBASE, Cancerlit, Cochrane, ISI and Web of Science databases for articles published between January 1, 2002, and November 1, 2011. MeSH headings used included “melanoma, advanced”; and “melanoma, adjuvant” or “melanoma, interferon”.

Discussion

Clinical Prognostic Factors in Malignant Melanoma

Major prognostic features associated with an increased risk of recurrence and mortality in the American Joint Committee on Cancer (AJCC) Melanoma Staging Database have been incorporated into the revised melanoma staging manual of the AJCC and International Union against Cancer (UICC) [11].

At the level of the primary tumor, three factors are critical: primary tumor thickness (Breslow's), ulceration and mitotic rate. Primary tumor thickness remains the single most important factor with 5- and 10- year survival rates declining commensurate with increasing tumor depth: 10-year survival in patients with T1 melanomas (0.00 – 1.00mm thickness) is 92% but only 50% in patients with T4 melanomas (>4.00mm thickness). Primary tumor ulceration was only added to the staging criteria in 2009 – on the basis of the observation that survival rates with an ulcerated melanomas are consistently lower than for non-ulcerated melanoma of equivalent T category; for each thickness, the outcome for an ulcerated melanoma proved to be similar to that of patients with a non-ulcerated melanoma of the next higher thickness (T) category in the 2009 staging manual. Increasing mitotic rate (defined as the number of mitoses per square millimeter) marks a more aggressive lesion and is associated with worse survival at every T category. In fact, registry data suggest that mitotic rate is the second most powerful predictor of survival, with a potential for negatively impacting survival even in otherwise favorable prognosis disease states. Considering non-ulcerated T1 melanomas, 10-year survival rates are 95% for lesions with mitotic rate of $< 1/\text{mm}^2$ and drop to 88% for lesions with mitotic rate of $1/\text{mm}^2$. Mitotic rate has also replaced Clark's depth of invasion in defining T1 lesions – T1a melanoma refer to 1.00 mm thick non-ulcerated lesions with mitotic rate $1/\text{mm}^2$ with T1b lesions referring to 1.00 mm thick melanomas that are either ulcerated or have a mitotic rate $> 1/\text{mm}^2$.

Melanoma has a predilection for lymphatic seeding and the risk of regional lymph node involvement increases with tumor thickness - 2-5% for Breslow's depth $\leq 1.00\text{mm}$ but 34% for T4 lesions [12]. Increasing lymph node tumor burden is associated with worse survival – the 5-year survival of stage III patients, sub-divided by the extent of lymph node involvement shows a steady decline from 78%, to 59%, and 40% for stages IIIA, IIIB, and IIIC respectively. In the 7th edition AJCC staging system, nodal micro-metastases can be defined by immunohistochemical staining rather than by H&E alone and the concept of a minimum threshold of lymphatic tumor burden was abolished with any degree of involvement considered significant—whether micro- or macro- metastatic.

When considering systemic metastatic disease, the number of metastatic sites, the sites of distant metastases and the serum lactate dehydrogenase (LDH) enzyme level are important prognostic factors. LDH expression may be related to the progression of melanomas – being barely detectable in nevi but strongly expressed in thick primary melanoma and in metastatic melanoma [13]. The significant drop-off in 1-year survival between patients with M1a and M1b disease (62% and 53%) compared to M1c disease (33%) underscores the importance of detecting non-lung visceral metastases and assaying LDH in prognostication.

Indications for Adjuvant Therapy

By definition, adjuvant therapy is offered after definitive surgical treatment has removed all detectable disease and is given with the intent of reducing relapse risk due to occult disease.

Currently, adjuvant therapy with the FDA-approved regimen of high-dose interferon (HDI) for one year or enrollment in a clinical trial should be considered for patients whose estimated risk of recurrence exceeds 30%; i.e. patients with node-positive melanoma and T3b or T4 node-negative disease.

Immunotherapy

Cancer immunotherapy has evolved considerably since Coley's observations of tumor shrinkage with inoculation of bacterial products into tumors. For melanoma, the lack of effective chemotherapeutic options, and the observations of antitumor response rates of 15% to 20% with systemic administration of IFN- α led to the consideration of adjuvant application of this agent. The biology of this agent and the data that led to the FDA-approval of IFN- α for adjuvant treatment of stage IIB-III resectable melanoma in 1995 follows.

Immunotherapy: IFN – Mechanism of Action

Based on functional and structural differences, IFNs are sub-classified into types I and II. Type 2 IFN (IFN- γ) is produced by T_{H1} cells and exerts a weak anti-viral and anti-tumor effect and up-regulates T_{H2} activity. Type 1 IFNs (IFN- α , IFN- β and IFN- ω) are produced primarily by dendritic cells in response to infectious agents. Signaling via the IFN- α receptor, type 1 IFNs connect the adaptive and innate arms of the immune response and have potent apoptotic, anti-proliferative, anti-angiogenic and immunoregulatory properties.

IFN- α 's mechanism of action in melanoma is thought to be immunomodulatory rather than directly cytotoxic or anti-angiogenic. Accumulated evidence suggests that anti-tumor immunity is abrogated by the tumor through immunosuppressive circuits including the cascade triggered by constitutive activation of STAT3 in the tumor [14] with the elaboration of VEGF, IL-10 and TGF β , as well as regulatory T (Treg) cells within the tumor microenvironment [15]. Additionally, it is known that type 1 IFNs play a critical role in T-cell priming, especially that mediated by dendritic cells, an effect which is subsequently diminished as tumor outgrowth occurs and may be reversed by IFN administration – supported by observations from Gajewski and colleagues who have reported that the *in vivo*

expression of IFN- α or IFN- β by retroviral transduction can lead to total rejection of melanoma in a murine model [16]. Observational data from a clinical trial involving patients with stage IIIB/C melanoma given neo-adjuvant high-dose interferon (HDI) prior to definitive lymph node dissection suggested that IFN- α caused an influx of Tcells and dendritic cells to a degree that correlated directly with responses [17].

Immunotherapy: IFN – Clinical Development

Following initial evidence of activity of IFN- α in metastatic melanoma, multiple phase II trials were conducted and response rates observed were similar to single-agent chemotherapy (~13-24%). Notably, durable responses that lasted years led to investigations in the adjuvant setting for high-risk resected melanoma. The studies that followed have differed primarily in relation to dosage of IFN- α (*low-dose* 3 MU/dose, *intermediate-dose* 5-10 MU/dose, and *high-dose* 10 MU/dose) as well as the sub-species of IFN- α used [IFN- α 2a, IFN- α 2b and IFN- α 2c) and the schedule employed for therapy; these are summarized in Table I.

The initial US Cooperative Group trials primarily involved HDI and of these, two in particular had significant therapeutic results in relation to reduction in recurrence and/or mortality. The North Central Cancer Treatment Group (NCCTG) trial tested a regimen of 20MU/m² dose of IFN- α 2a administered intra-muscularly thrice weekly for twelve weeks for stage II and III disease while the Eastern Cooperative Group (ECOG) trial (E1684) tested an induction phase of one month of daily intravenous (IV) IFN- α 2b at 20MU/m², followed by 11 months of maintenance therapy at 10MU/m² dosage sub-cutaneously (SC) 3 days a week [18-19]. The NCCTG trial demonstrated improvements in median disease free survival (DFS) and OS that were non-significant, with higher risk patients appearing to benefit disproportionately. E1684 was a landmark study – demonstrating statistically significant improvements in both DFS and OS among patients with high-risk disease (T4 primaries or regional lymph node metastases) in a randomized controlled setting that paved the way for FDA approval of HDI in high-risk T4 (stage IIB) and N1-2 (IIIA/B) patients as defined by this trial.

Balanced against these benefits, the toxicity observed with 67% incidence of Grade III toxicity, 9% incidence of Grade IV toxicity, and 2 early treatment-related hepatotoxic deaths in E1684 raised concerns over the tolerability and compliance with this regimen and prompted investigators to study lower doses of IFN- α . A variety of alternative regimens utilizing lower doses of IFN- α were then tested including the *very low dose regimen* (1 MU SC every other day) tested in EORTC 18871 [20] (stage IIB/III) and the *low dose regimen* (3 MU SC thrice weekly) tested in WHO Melanoma Program Trial 16 [21] (stage III), Scottish Melanoma Cooperative Group trial [22] (stage IIB/III), UKCCCR AIM-High trial [23] (stage IIB/III), E1690 [24] (T4, N1) and the 2010 German DeCOG study [25] (T3anyN) – without any overall survival benefit being observed. The 2008 German DeCOG study [26] demonstrated a survival benefit for LDI but was powered primarily to assess the benefit of combination LDI with dacarbazine and not designed to evaluate the low dose regimen *per se*.

Two trials of the low dose regimen by Austrian (AMCG trial [27]) and French (FCGM trial [28]) groups were carried out in patients with stage II disease (T2-4N0M0) – while the Austrian trial reported improvements in DFS but not OS, the French trial reported significant prolongation of DFS and OS. However, given the overall good prognosis of stage II disease, the relative cost-benefit ratio of this strategy is questionable. A number of trials have tested intermediate dose IFN- α and EORTC 18952 [29] (stage IIB/III) demonstrated a 7.2% increase in DMFS, but this was not statistically significant and no OS benefit was observed.

An interesting alternative approach to adjuvant therapy was taken by the Italian Melanoma Intergroup in a randomized phase III study that enrolled 336 patients with stage III disease to received either standard HDI or intensified HDI (IHDI - IFN- α 2b 20 MU/m² intravenously 5 days a week for 4 weeks every other month for 4 cycles) [30]. At the 5 year mark, there were no statistically significant differences in either RFS or OS and similar toxicity rates amongst patients in both groups. Although mature survival data has yet to emerge from evaluation of intensive IHDI this regimen may be more tolerable than conventional HDI.

Survival analysis in E1684 suggested that the greatest reduction in relapse occurred relatively early arguing for the importance of the regimen's induction phase – and was sustained thereafter to more than a decade. The benefit of an abbreviated course of one month of therapy has been prospectively tested in the Hellenic study (induction HDI only versus induction and maintenance) and in E1697 (4 weeks of HDI versus observation) [31-32]. The Hellenic trial tested non-inferiority of an induction-only arm and suggested little difference between this and the longer one-year treatment with the modified dosage of IFN tested, on the basis that the 3-year relapse rate in the induction only arm was less than 15% higher than the relapse rate of the one-year group. However the relatively small size, use of a reduced dose IFN- α regimen, and the lack of an observation arm make these results hard to interpret. At the interim analysis of E1697 after 1150 of a planned 1420 patients were randomized, the study was closed for futility. Taking these results together, it is unlikely that durable benefits are associated with one month of IV induction therapy alone, and therapy with the full year as in E1684 is now recommended.

Given the accumulated evidence for adjuvant HDI, there is abundant evidence that HDI results in an approximate 30% reduction in RFS with a reduction in mortality that is smaller in two studies (E1684 and E1694). Retrospective data from meta-analyses [8], systematic reviews [7, 10] and a pooled data analysis [33] consistently support the conclusion that HDI increases DFS with a smaller benefit upon OS.

Immunotherapy: IFN – Pegylated IFN

Pegylated IFN- α was first utilized in the treatment of hepatitis B/C and pharmacokinetic data from hepatitis studies and phase I/II trials in oncology supported the ability to maintain therapeutic dose levels with once weekly subcutaneous injections [34]. Trials conducted by the EORTC have demonstrated RFS benefits in the adjuvant setting that has led to the regulatory approval of this agent for adjuvant treatment of stage III melanoma in the US.

EORTC 18991 investigated the use of pegylated IFN- α 2b in patients with resected AJCC stage III melanoma in a randomized phase III trial [35]. Therapy comprising 'induction' doses that are somewhat higher (Peg-IFN- α 2b SC 6 μ g/kg a week for 8 weeks) followed by maintenance doses (weekly SC injections at 3 μ g/kg for 5 years) was compared to observation. Recently presented 7.6 year follow-up data showed an improved RFS in the treatment arm with no difference in OS or distant metastases-free survival (DMFS). Sub-group analysis has revealed that patients with microscopic nodal metastases and ulcerated primaries appeared to benefit disproportionately in terms of RFS/OS and DMFS. This subset analysis finding was buttressed by pooled analysis of EORTC 18952/18991 that spurred interest in investigating adjuvant therapy with Peg IFN α in this sub-group of patients [36]. EORTC 18081 is a prospective trial that will randomize patients with ulcerated primaries to either pegylated IFN- α 2b versus observation. For the present, the use of pegylated IFN- α 2b in microscopic nodal disease (AJCC IIIA) may be considered as an alternative to HDI for patients unwilling to consider HDI.

Low dose pegylated IFN- α 2b was compared to LDI in a prospective phase III European Association of Dermato-Oncology (EADO trial) that enrolled patients with resected stage IIA-IIIIB melanoma (T \leq 1.5mm, without clinically detectable nodal disease). Patients were randomized to either LDI (3MU SC thrice weekly) for 18 months or low dose pegylated IFN- α 2b (100mcg SC once weekly) for 36 months. No differences between groups in terms of RFS, OS or DMFS were found, although results were likely affected by the 72% dropout rate secondary to serious adverse events in the pegylated IFN- α 2b arm (44.6% versus 26.6%) [37].

Immunotherapy: IFN – The Future

The accumulated evidence suggests that adjuvant IFN- α 2b therapy has a remarkably sustained impact on RFS with a lesser impact on OS, the basis of which has never been adequately examined in terms of the actual basis of late mortality beyond 10 years. Various groups have attempted to identify prognostic markers of interferon benefit to focus this therapy upon patients most likely to benefit.

Recent European data suggests that patients with certain clinical features (ulcerated node-positive disease) benefit disproportionately from IFN- α 2b therapy although multiple prior ECOG and US Intergroup trials have not similarly identified ulceration as a predictor of benefit for higher dosages of IFN. This is planned for prospective evaluation in EORTC 18081, and results of EORTC 18081 are awaited to address this question.

Since the most mature data for high-dose IFN do not suggest any difference in relapse risk reduction amongst patients with AJCC stage IIB, IIIA, and IIIIB resectable disease, it has been reasonable to impute benefit for high-dose IFN in stage IV patients from these results. Thus, if the risk reduction for a patient with stage IIIA disease and stage IIIIB disease is ~33%, the benefit of this therapy for resectable stage IV may be similar. Conversely, the benefit of adjuvant therapy may diminish with more advanced disease, as has been reported with intermediate dosage regimens of IFN α 2b and Peg IFN. The EORTC 18952 intermediate dose IFN- α 2b trial and EORTC 18991 Peg-IFN trial demonstrated activity chiefly in stage IIIA (N1) patients, and negligible benefits amongst stage IIIIB (N2) patients with notable differences in the patterns of improvement observed [29,38]. Although both trials recruited high risk patients, the Nordic IFN trial enrolled more node-positive patients (79%-81%) compared to EORTC 18952 (74%-75%). EORTC 18952 demonstrated that the benefits of PEG-IFN were greater in stage IIB/C patients compared to node-positive patients implying that low preoperative tumour burden was predictive of IFN response. However, the Nordic IFN trial suggested that patients with node-positive disease benefited more than node-negative patients, especially when treated with a longer duration of therapy. Assuming that IFN does not exert differential effects across stages, one would assume that any benefit would be more obvious in higher risk patients who have a higher rate of adverse events and a worse prognosis.

There has been a paucity of trials that have addressed the specific issue of adjuvant therapy in the highest risk category - resected stage IIIIB-C/IV disease. The failed phase III study of Canvaxin® in resected stage IV melanoma patients [39] suggests that Canvaxin had no benefit as adjuvant therapy of either resectable stage III or stage IV disease. The role of adjuvant immunotherapy with high-dose IFN has been evaluated in the highest risk category of resected stage IIIIB and IV melanoma in one phase II trial E2696 (adjuvant HDI combined with GM2 vaccination compared to GM2 alone [40]). However, the phase III E4697 (GM-CSF versus placebo) and a retrospective case-control study from Mayo that studied the use of adjuvant GM-CSF therapy following surgical resection have suggested that there may be an opportunity to benefit patients with resectable stage IV disease with the use of GM-CSF adjuvant immunotherapy [41-42]. Specifically in E4697, although the study overall

indicated no benefit for GM-CSF over placebo, when stratified by stage, the investigators observed that patients with stage IV M1a/b disease had a significant trend towards improvement in both DFS and OS whereas stage III patients –had no apparent difference. The hazard ratio for RFS benefit of GM-CSF over placebo in Stage IV resected patients was 0.74 while it was 0.92 for Stage III while HR for survival benefit in Stage IV patients was 0.72 and for stage III it was 0.97. This result in patients with Stage IV and the highest level of risk should be evaluated further in the future. E1609, which is selectively accruing high risk patients with resected stage IIIB/IV disease and is prospectively randomizing them to adjuvant therapy with either ipilimumab or HDI, will hopefully provide some clarification in this area.

Autoimmune manifestations following interferon therapy have been associated with improved prognosis in both the E2696 and E1694 US intergroup trials [43-44]. These were prospectively validated by the results of Gogas et al, where the authors showed that patients receiving IFN- α for either the full year or an abbreviated schedule of 1 month were more likely to develop autoimmune clinical manifestations or auto-antibodies and that patients who developed autoimmune manifestations had improved DFS, OS and reduced rates of relapse and mortality compared to those who did not do so [45]. Other studies using different methods have shown conflicting results and current studies aim to define the immunogenetic basis of autoimmune events [46].

Multiple other candidate biomarkers of interferon response have been studied, including methylthioadenosine phosphorylase (MTAP) expression, YKL-40, S100B, melanoma-inhibiting activity (MIA) and tumor-associated antigen 90 immune complex (TA90IC). Notably prospective data is lacking for these biomarkers and prospective validation would be important to obtain. This is reviewed elsewhere in detail [47].

Approximately 40 to 60% of advanced melanomas possess activating mutations in BRAF, and BRAF inhibitors induce dramatic antitumor responses in these patients. Recently presented data suggests that BRAF mutations contribute to immune escape and that BRAF inhibition increases expression of melanocyte differentiation antigens (MDA) and improved recognition by antigen-specific T-cells [48]. Given the known effects of interferon on T-cell function, combining interferon with BRAF inhibition may be synergistic on multiple grounds. Other interferon combinations under investigation include HDI and KW2871, a monoclonal antibody targeting GD3 which is a ganglioside expressed on the surface in over 95% of melanomas. A phase II trial of the latter combination is presently in active accrual (NCT00679289). Although such trials initially only involve patients with metastatic disease, should efficacy be established, it is not unreasonable to consider extending this to the adjuvant setting.

Immunotherapy: Vaccine Therapy

The goal of vaccine therapy is to elicit durable anti-tumor effects that result in sustained clinical responses in a significant proportion of patients treated – an approach first pursued in melanoma by Morton in 1967.

Melanoma vaccines are divided based on the type of the antigen(s) incorporated – peptide, ganglioside and whole cell/cell lysate. Peptide vaccines have utilized melanocyte lineage antigens (such as MART-1/Melan-A, gp100 and tyrosinase) that are recognized by cytotoxic T lymphocytes in conjunction with HLA-A2.1 and elicit a direct cytotoxic T-cell response.

Vaccines based on peptide antigens have been studied in large multicenter ECOG trials that have generally recruited pre-treated patients with advanced metastatic melanoma. Although only a few patients demonstrated immune responses to any of the peptides, those who did so

tended to develop increased T-cell production of IFN- γ and had survival times that were far greater than that of patients who did not develop immunity to peptide epitopes.

Of the seven large randomized trials of adjuvant allogeneic melanoma cell-based vaccines that have been conducted to date, most have been disappointingly negative. In the United States, the trial of the Melacine vaccine in stage II patients and the two trials of the Canvaxin vaccine in stage III and resected stage IV patients were negative and the latter showed a trend toward adverse impact. In Europe, the EORTC initially published data suggesting prolonged DFS in patients treated post-operatively with GM2/BCG vaccination [49]. However, when investigated prospectively in EORTC 18961, a trend toward adverse DMFS and OS outcomes led to trial termination at 2nd interim analysis for safety concerns [50]. More mature data has suggested no significant difference in any outcome in this trial. An Australian study did demonstrate a non-significant increase in OS and RFS among patients treated with a vaccinia melanoma cell lysate vaccine following definitive surgery, although this has not been reproduced by others [51].

The MAGE-A3 antigen is expressed in a wide variety of malignancies including melanoma but is not detected in most normal tissues except for testis and placenta [52]. Unlike peptides that demonstrate MHC-restricted activity, MAGE-A3 protein vaccination is suitable for a majority (70%) of melanoma patients whose tumors express this antigen and elicits a broad range of non MHC-restricted T-cell responses. Following a phase I/II study that demonstrated MAGE-3-specific antibody and T-cell responses following vaccination in patients with MAGE-3-positive tumors [53], a randomized phase III study known as DERMA has completed enrollment of patients with stage III nodal metastases and detectable MAGE-3- expression in the resected lymph nodes. Results from this trial are pending.

Morton and colleagues developed a polyvalent cultured melanoma cell vaccine (Canvaxin®) that was evaluated in stage III melanoma patients following complete resection in a retrospective study that suggested an improvement in median and 5-year specific OS for vaccinated patients [54]. However, when Canvaxin® was compared to BCG alone in a phase III RCT for patients with resected stage III/IV melanoma, Canvaxin® vaccination failed to improve DFS and OS. In fact, the survival for vaccinated patients was diminished, possibly secondary to vaccine induced immunosuppression that led to early closure of the trial by the DSMB for futility [55].

Immunotherapy: CTLA-4 Blockade and Immunomodulatory Agents

Two CTLA-4 blocking monoclonal antibodies have been evaluated in the clinic – ipilimumab and tremelimumab. Tremelimumab (Pfizer/MedImmune) is a fully humanized non-complement fixing IgG2 monoclonal antibody that was initially evaluated in a broad variety of malignancies though subsequent phase II trials focused on melanoma after promising results in phase I studies. In the phase II trial of patients with advanced relapsed or refractory melanoma who received tremelimumab dosed at 15mg/kg (IV every 90 days), a 6.6% objective response rate (ORR) was observed, all of which were durable and lasted more than 6 months [56]. However, the initial optimism generated by the phase II results were followed by negative results in the registration phase III trial against chemotherapy (temozolamide or dacarbazine) in which tremelimumab did not confer a significant survival advantage at interim analysis (OS tremelimumab 11.76 months versus chemotherapy 10.71 months) and led to early closure [57]. It is also possible that the results of this trial were affected by the open label nature of the study, and its restriction of enrollment to patients with LDH levels less than twice the upper limit of normal. Crossover of patients who were assigned to chemotherapy but then pursued anti-CTLA4 therapy in expanded-access

ipilimumab trials may also have reduced the apparent treatment benefit and diluted the OS statistic.

Ipilimumab (Medarex Inc/Bristol-Myers Squibb) is a fully humanized IgG1 κ monoclonal antibody that blocks CTLA-4. Two recently published phase III studies evaluated the use of ipilimumab in patients with metastatic melanoma in different settings (first and second line) against different comparators (gp100 vaccine and dacarbazine) and arrived at similar conclusions. This data is tabulated in Table II.

The earlier phase III MDX010-20 trial compared ipilimumab alone (at a dose of 3 mg/kg), ipilimumab plus a peptide vaccine, and vaccine plus placebo; this trial demonstrated a significant increase in survival rates at both 12 (46% versus 25%) and 24 months (24% versus 14%) compared to the vaccine comparator [58]. The dose of 3mg/kg was based on results of the randomized phase II dose-ranging study that demonstrated tolerability of the 10mg/kg dose level [59]. More recently, the results of the second randomized phase III CA184-024 trial that compared ipilimumab (at a dose of 10mg/kg) plus dacarbazine (850 mg/m²) to dacarbazine with placebo were released at ASCO 2011 [60]. This trial enrolled chemotherapy-naïve patients and a similar schedule MDX010-20 involving 4 induction doses followed by monthly maintenance for responders was utilized. The ipilimumab/dacarbazine combination was associated with a survival advantage that was sustained at three years [61].

With the success of ipilimumab in the metastatic setting, trials investigating the potential for ipilimumab in the adjuvant setting have been undertaken. These include the ECOG sponsored intergroup trial E1609 based in the United States and EORTC 18071 conducted in Europe comparing ipilimumab against the reference HDI in the US and against placebo in Europe. Accrual for EORTC 18071 is complete and results are pending while for E1609 accrual is now active, but the trial results are not anticipated until 2014 or after.

Given the high cost and toxicity of these therapies, clinically relevant biomarkers or predictors of response would be invaluable to guide therapeutic decision making. Unpublished MDX010-20 study data suggests that absolute lymphocyte counts (ALC) appear to increase in a dose-dependent fashion with ipilimumab therapy with high baseline ALC values being associated with improved outcomes. Hamid et al have reported that elevated tumor infiltrating lymphocyte (TIL), Treg and indoleamine 2,3-dioxygenase (IDO) levels in pre-treatment biopsy specimens are correlated with improved outcomes in patients with metastatic disease receiving ipilimumab therapy [62].

Immunotherapy: Other Emerging Checkpoints

Other potential immunomodulatory targets include anti-PD-1, anti-OX44 and anti-4-1BB antibodies. Along with CTLA4, the programmed death-1 (PD-1) receptor is a negative co-stimulatory circuit that down-regulates T-cell activation and response. PD-1 ligand (PD-L1) is expressed on the surface of melanoma cells, B-cells, dendritic cells and macrophages and PD-L1 upregulation appears to depend on toll like receptor 4 (TLR-4) based signaling as well as being induced by IFN α . Increased PD-1 interaction has been implicated in maintaining immune tolerance through diminished T-cell effector function and PD-1 blockade has been shown to promote the generation of melanoma antigen-specific cytotoxic T-cells (CTL) and overcome Treg mediated suppression [63]. OX44 and CD137 are T-cell targets that up-regulate the immune response. Agonistic antibodies anti-OX44 and anti-4-1BB may increase the T-cell response against melanoma.

Thus far, the use of these agents has been limited to early phase trials in metastatic disease and formal results of phase III trials are awaited prior to the extension of these agents into the adjuvant setting.

Chemotherapy

Several trials have assessed the use of adjuvant chemotherapy following surgical resection in high-risk patients. Multiple small non-randomized single-center studies have assessed various options including megestrol acetate, vitamin A, vindesine and dacarbazine – both singly, and in combination with BCG. However, despite suggestions of benefit, none has been demonstrated in subsequent randomized controlled trial. These data are summarized in Table III and is reviewed in detail elsewhere [64].

Two trials of chemotherapeutic combinations have demonstrated increased RFS (but not OS) while no benefit was observed with the combination of DTIC/BCG in E1673 [65]. The 2008 phase III DeCOG study compared a combination LDI and dacarbazine to adjuvant LDI alone following completion lymph node dissection [66]. Although the LDI only arm demonstrated a survival benefit, this trial was not powered adequately to assess the benefit of low dose IFN over observation.

Although the combination of biologics with chemotherapy (biochemotherapy) has been associated with improvements in response rate and PFS in the metastatic setting, no OS benefit has been observed compared to dacarbazine monotherapy. S0008 is an intergroup phase III study organized by the Southwest Oncology Group (SWOG) testing the benefit of biochemotherapy vs. HDI. The study enrolled resectable stage IIIB and IV patients and randomized subjects to either 1 year of HDI or 3 cycles of cisplatin, vinblastine, DTIC, IL-2 and interferon given monthly. As both the IL-2 and interferon were dosed substantially below their individual maximally tolerated doses, this trial is really an evaluation of the effect of chemotherapy modulated by IFN/IL-2 – although completed, trial results are still pending and expected in 2012.

Radiotherapy

In melanoma, RT is rarely indicated in the primary setting as surgical excision with wide margins provides valuable diagnostic and prognostic information. However, the risk of local relapse despite CLND is 15-20% and elevated to 30-50% for patients with extra-capsular lymph node extension (ECE), involvement of 4 or more nodes, or bulky disease (exceeding 3 cm in size), cervical lymph node location, and recurrent disease. In such patients, adjuvant RT may be valuable especially if patients are intolerant of HDI therapy.

Multiple non-randomized trials have evaluated the use of RT in this setting and have generally concluded that adjuvant RT was associated with improved local and regional control rates without any survival benefit. Similar to the data from breast and prostate cancer, hypofractionation appears to be equally efficacious as standard dosing in treating melanoma [67].

ANZMTG 01.02/TROG 02.01 is a more recent prospective multi-center phase III trial involving clinical sites in Australia, New Zealand and the Netherlands [68]. 217 patients from 16 centers were randomized to observation versus regional nodal basin RT (48Gy in 20 fractions). Although RT use resulted in a statistically significant improvement in regional control (HR 1.77, 95% CI 1.02-3.08, $p=0.041$), it had no impact on survival. In fact, RT use was actually associated with poorer survival (31 months vs. 47 months, $p=0.14$). There are no insights to this paradoxical observation at this time. Several follow up studies including RTOG 9302 (phase III study of post-operative adjuvant RT in patients with cutaneous melanomas of head and neck) were planned but have since been stopped secondary to non-

accrual. There remains a paucity of prospective data evaluating the use of RT especially in patients with advanced regional nodal disease and extra-capsular disease extension in whom the role of HDI in preventing systemic or locoregional recurrence is not well defined.

Future questions/Conclusion

Results of multiple US and European intergroup studies (E1684, E1690, E1694, EORTC 18952 and EORTC 18991) have shown that adjuvant IFN- α improves RFS though the impact on survival appears to be less overall, and for HDI where two trials show an impact on OS, the benefit diminishes after 10 years. For pegylated IFN- α the impact has been solely upon RFS. Identifying factors that may predict responsiveness of patients to this modality is vital to improve the therapeutic index. Thus far, we know that certain features of the primary tumor (ulcerated primaries and/or microscopic node positive disease) may predict the benefit of lower dosage regimens and the development of auto-immune manifestations during therapy are associated with therapeutic response. The former is being prospectively validated in an EORTC trial (18081).

Despite the high incidence of grade 3/4 adverse events observed in the phase III trials of ipilimumab in patients with metastatic melanoma, the presence of durable complete responses and significant improvements in RFS and OS have prompted adjuvant studies by EORTC and the US intergroup.

Here, as with IFN- α , biomarkers of response and treatment effect are needed. In the metastatic setting at least, elevated TILs, Treg and IDO levels in pre-treatment biopsies have been suggested to predict response to ipilimumab. Two separate groups of investigators have confirmed that ipilimumab therapy results in greater frequencies of circulating Tregs and increased expression of proliferation and polarization markers in CD4⁺ and CD8⁺ T-cells which may serve as indicators of the pharmacodynamic effects of ipilimumab [69]. The roles of CRP and of MDSC have been supported as biomarkers of CTLA-4 in the treatment of adjuvant disease and require evaluation in the adjuvant setting [70-71].

A different paradigm may be seen in the molecularly targeted therapy of melanoma with BRAF, and MEK inhibitors, where high response rates and PFS and OS benefits have been observed in metastatic melanoma prompting consideration in the adjuvant setting. This is especially interesting since BRAF inhibition results in increased immune recognition and may abrogate tumor-mediated immune tolerance, allowing for rational combinations with a variety of immunotherapeutics. However, limiting adverse events such as rash, arthralgias and cutaneous squamous cell carcinomas, the rapid development of BRAF resistance within months of initiating treatment, and the lack of data to demonstrate the kinetics of the immune alterations thus far pose obstacles to this approach. The use of neo-adjuvant study designs in which patients would have tumor tissue biopsy before and after a limited exposure to BRAFi therapy will be crucial to understand the potential range of effects that this agent may have, of relevance to the adjuvant therapeutic arena. These trial designs are now being developed, and may draw from the experience with imatinib in GIST (72-73).

Multiple scientific advances have been exploited in the clinic with dramatic results. However, the rational basis combinations and sequence of these agents to achieve significant and durable benefits in overall and relapse-free survival remain a focus of intense investigation. For now, the management of patients with high-risk melanoma remains high-dose IFN- α or enrollment into a clinical trial.

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Table 1

Phase III Studies of IFN- α for Advanced Melanoma

Study Reference	Number of Patients Eligible for Analysis	TNM Stage	Therapy and IFN Sub-species	Dose and Schedule – Treatment Arm	Median Follow-up at Time of Reporting (yrs)	Median DFS (mths)	Median OS (mths)	% Node positive
High Dose								
NCCTG 83-7052 ¹	262	II–III (T2–4N0M0/TanyN+M0)	IFN- α 2a vs. observation	IM 20 MU/m ² thrice weekly for 4 months	6.1	NS	NS	61
ECOG E1684 ²	287	II–III (T4N0M0/TanyN+M0)	IFN- α 2b vs. observation	IV 20MU/m ² 5 days a week for 4 weeks → then → SC 10MU/m ² 3 days a week for 48 weeks	12.6	6.9	S (at 6.9 yrs) NS (at 12 yrs)	89
ECOG E1690 ³	642	II–III (T4N0M0/TanyN+M0)	IFN- α 2b - high dose vs. low dose vs. observation	High Dose: IV 20MU/m ² 5 days a week for 4 weeks → then → SC 10MU/m ² 3 days a week for 48 weeks Low Dose: SC 3MU/m ² 2 days a week for 2 years	6.6	4.3	NS	74
ECOG E1694 ⁴	774	II–III (T4N0M0/TanyN+M0)	IFN- α 2b vs. GMK vaccine	IV 20MU/m ² 5 days a week for 4 weeks → then → SC 10MU/m ² 2 days a week for 48 weeks	2.1	62% (2yr) vs 49%	78% vs 73%	77

Study Reference	Number of Patients Eligible for Analysis	TNM Stage	Therapy and IFN Sub-species	Dose and Schedule – Treatment Arm	Median Follow-up at Time of Reporting (yrs)	Median DFS (mths)	Median OS (mths)	% Node positive
ECOG E2696 ⁵	107	II–III–IV (stage IV: resectable metastatic disease)	IFN- α 2b with GMK vaccine with and without induction	Induction: IV 20MU/m ² 5 days a week for 4 weeks → then → SC 10MU/m ² 3 days a week for 48 weeks No induction: SC 10MU/m ² 3 days a week for 48 weeks	2.4	S	S	Not Available
Italian Melanoma Intergroup ⁶	330	III (TanyN1-3M0)	Intensified IFN- α 2b (HDI) every other month vs. IFN- α 2b for 1 yr	IHDI: IV 20MU/m ² 5 days a week for 4 weeks every other month for 4 cycles Standard HDI: IV 20MU/m ² 5 days a week for 4 weeks → then → SC 10MU/m ² 3 days a week for 48 weeks	5.0	IHDI: 47.9 HDI: 35.6	IHDI: 88.7 (5 year OS 60.1%) HDI: 82.6 (5 year OS 52.7%)	100%
Intermediate Dose								
EORTC 18952 ⁷	1388	II–III (T4N0M0/TanyN+M0)	IFN- α 2b for 1 yr vs. 2 yrs vs. observation	IV 10MU 5 days a week for 4 weeks → then → SC 10MU 3 days a week for 1 year <i>OR</i> SC 5MU 3 days a	1.6	7.2% (NS)	5.4% (NS)	74

Study Reference	Number of Patients Eligible for Analysis	TNM Stage	Therapy and IFN Sub-species	Dose and Schedule – Treatment Arm	Median Follow-up at Time of Reporting (yrs)	Median DFS (mths)	Median OS (mths)	% Node positive
EORTC 18991 ⁸	1256	III (TanyN+M0)	PEG IFN-α2b vs. observation	week for 2 years SC 6μg/kg/week for 8 weeks → SC then → SC 3μg/kg/week for 5 years	3.8	45.6% vs 38.9% (NS)	NS	100
Low Dose								
Austrian Melanoma Cooperative Group (AMCG) ⁹	311	II (T2-4N0M0)	IFN-α2a vs. observation	SC 3MU 7 days a week for 3 weeks → SC then → SC 3MU 3 days a week for 1 year	3.4	S	Not available	0
French Melanoma Cooperative Group (FCGM) ¹⁰	499	II (T2-4N0M0)	IFN-α2a vs. observation	SC 3MU 3 days a week for 18 months	>3	0.74 (HR), S	0.70 (HR), S	0
WHO Melanoma Program Trial 16 ¹¹	444	III (TanyN+M0)	IFN-α2a vs. observation	SC 3MU 3 days a week for 36 months	7.3	NS	NS	100
Scottish Melanoma Cooperative Group ¹²	96	II-III (T3-4N0M0/TanyN+M0)	IFN-α2a vs. observation	SC 3MU 3 days a week for 6 months	>6	NS	NS	Not Available
EORTC 18871/DKG 80-1 ¹³	728	II-III (T3-4N0M0/TanyN+M0)	IFN-α2b vs. IFN-γ vs. ISCADOR M@ vs. observation	IFN-α2b: SC 1MU every other day for 12 months IFN-γ: SC 0.2mg every other day for 12 months	8.2	NS	NS	58
UKCCCR/AIM HIGH ¹⁴	674	II-III (T3-4N0M0/TanyN+M0)	IFN-α2a vs. observation	SC 3MU 3 days a	3.1	NS	NS	Not available

Study Reference	Number of Patients Eligible for Analysis	TNM Stage	Therapy and IFN Sub-species	Dose and Schedule – Treatment Arm	Median Follow-up at Time of Reporting (yrs)	Median DFS (mths)	Median OS (mths)	% Node positive
DeCOG ¹⁵	840	III (T3anyN+M0)	IFN- α 2a	week for 24 months SC 3MU 3 days a week for 18 mths (A) vs 5 yrs (B)	4.3	81.9% vs 79.7% NS	85.9% vs 84.9% NS	Not available
DeCOG ¹⁶	444	III (TanyN+M0)	IFN- α 2a	SC 3MU 3 days a week for 24 mths (A) vs SC 3MU 3 days a week for 24 mths + DTIC 850 mg/m ² every 4-8 weeks for 24 mths (B) vs observation (C)	3.9	HR: 0.69 (A) vs 1.01 (B) vs 1.0 (C)	HR: 0.62 (A) vs 0.96 (HR) (B) vs 1.0 (C)	100%

Key : NS – Not significant; S – Significant; HR – Hazard ratio; DFS – Disease free survival; OS – Overall survival

Table II

Phase II/III Studies of Targeted Agents

Study Reference	Number of Patients Eligible for Analysis	Study Design	Primary Endpoint	Dose and Schedule – Treatment Arm	ORR/OS	PFS (mths)	HR (95% CI)
BMS 008 ¹	155	Phase II, open-label, single arm	Dose-finding	Ipilimumab – 10mg/kg	47% (1 yr)	N/A	N/A
BMS 022 ²	217	Phase II, randomized, double blind	To evaluate the efficacy of three dose levels of ipilimumab	Ipilimumab – 0.3, 3, 10mg/kg Induction – 0.3/3/10 mg/kg q3weeks for 4 doses Maintenance – 0.3/3/10 mg/kg q6weeks until week 48 then q12weeks afterwards	48% (1yr)	N/A	N/A
BMS 007 ³	115	Phase II, randomized, double	To evaluate the rate of Grade 2+ diarrhea	Ipilimumab – 10mg/kg	51% (1 yr)	N/A	N/A
Medarex MDX010-20 ⁴	676	Phase III, randomized, double blind	ORR, subsequently amended to OS	Ipilimumab – 3mg/kg	Ipi alone: 10.1 mths (95% CI 8.0 to 13.8) Ipi + GP-100: 10.0 mths (95% CI 8.5 to 11.5) GP-100 alone: 6.4 mths (95% CI 5.5 to 8.7)	Ipi alone: 2.86 mths (95% CI 2.76 to 3.02) Ipi + GP-100: 2.76 mths (95% CI 2.73 to 2.79) GP-100 alone: 2.76 mths (95% CI 2.73 to 2.83)	Ipi alone (compared to GP-100 alone): 0.66 (95% CI 0.51-0.87) Ipi + GP-100 (compared to GP-100 alone): 0.68 (95% CI 0.55-0.85)
BMS 024 ⁵	502	Phase III, randomized, double blind	OS	Ipilimumab + DTIC: Induction - IPI 10mg/kg + DTIC (850 mg/m ²) q3weeks for 4 doses Maintenance - IPI 10mg/kg + DTIC (850 mg/m ²) q12weeks	Ipi+DTIC: 47.3% (1yr), 28.5% (2yr), 20.8% (3yr) DTIC alone: 36.3% (1yr), 17.9% (2yr), 12.2% (3yr)	Ipi+DTIC: 2.8 DTIC alone: 2.6	Ipi+DTIC: OS 0.72 PFS 0.76
BRIM 2 ⁶	132	Phase II, open label	BORR	Vemurafenib (PLX-4032) 960 mg twice daily orally	BORR: 52.3% CR: 2.3% PR: 50%	6.2	N/A
BRIM 3 ⁷	675	Phase III, randomized, double blind	OS	Vemurafenib (PLX-4032) 960 mg twice daily orally	PLX-4032: 84% (6 mos) DTIC alone: 64% (6 mos)	PLX-4032: 5.3 DTIC alone: 1.6	Death 0.37 (95% CI 0.26 to 0.55) Progression 0.26 (95% CI 0.20 to 0.33)

Key : ORR – Overall response rate; OS – Overall survival; PFS – Progression free survival; HR – Hazard ratio; N/A – Not applicable

Table III

Phase II/III Studies of Chemotherapeutic Agents in Melanoma

Study Reference	Number of Patients Eligible for Analysis	TNM Stage	Treatment Arm	Median Follow-up at Time of Reporting (yrs)	OS
Veronesi 1982 ¹	931	II/III	DTIC BCG DTIC + BCG Obs	5	NS
Lejeune 1988 ²	325	I, IIA, IIB	DTIC Levamisole Placebo	4	NS
Fisher 1981 ³	181	II/III	CCNU Obs	3	NS
Koops 1998 ⁴	632	II/III	Isolated limb perfusion + hyperthermia Obs	6.4	BS

Key : NS – Not significant; BS – Barely significant