

# Impact of Early-Life Stress and Resilience on Patients with Major Depressive Disorder

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**Purpose:** Early-life stress (ELS) has a long-lasting effect on affective function and may entail an increased risk for major depressive disorder (MDD). However, resilience can play a protective role against developing psychopathology. In this study, we investigated the relationships of depressive symptoms with ELS and resilience in MDD. **Materials and Methods:** Twenty-six patients with MDD as well as age- and gender-matched healthy controls were included in this study. Each subject was assessed concerning ELS, resilience, and depressive symptom severity with self-report questionnaires. Independent samples t-test and Mann-Whitney test were performed to compare ELS and resilience between the patient and control groups. Spearman correlation analyses and linear regression analysis were conducted to investigate significant ELS and resilience factors associated with depressive symptoms. **Results:** In the MDD patient group, subjects reported greater exposure to inter-parental violence, and five factor scores on the resilience scale were significantly lower in comparison to the control group. In linear regression analysis, in regards to resilience, depressive symptom score was significantly associated with self-confidence and self-control factors; however, ELS demonstrated no significant association with depressive symptoms. **Conclusion:** Among resilience factors, self-confidence and self-control may ameliorate depressive symptoms in MDD. ELS, including inter-parental violence, physical abuse and emotional abuse, might be a risk factor for developing depression. Assessment of early-life stress and intervention programs for increasing resilience capacity would be helpful in treating MDD.

**Key Words:** Resilience, self-confidence, self-control, inter-parental violence, depressive disorder

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## INTRODUCTION

Early-life stress (ELS) including abuse, neglect, and exposure to inter-parental violence may cast a long-lasting shadow on one's life and can lead to lifetime psychi-

atric morbidities. Numerous studies have shown that ELS exerts considerable enduring effects on affective and cognitive function,<sup>1</sup> and is associated with a significantly increased risk of developing depression.<sup>2</sup> In the seminal study by McCauley, et al.<sup>3</sup> the authors demonstrated that women with a history of childhood, but not adulthood, abuse showed increases in depression and anxiety symptoms. Subsequent epidemiological studies demonstrated a strong dose-response relationship between stressful events in early-life and mental health problems in adulthood,<sup>4</sup> as well as a 2- to 5- fold increased risk of attempted suicide.<sup>5</sup>

Resilience is used to describe the personal characteristics that enable one to adapt to environmental challenges and to overcome adversities or stressors.<sup>6</sup> It comprises the ability to cope with stress, as well as other important protective factors, and has been shown to protect against the development of psychopathology.<sup>7</sup> Moreover, depressive disorder has been linked with low resilience to stress, a relationship that has been studied both biologically and psychologically.<sup>8</sup>

Simultaneous investigation of ELS and resilience in a single patient group with major depressive disorder (MDD) is warranted since these psychological characteristics may have an interactive effect on depressive symptom severity in such patients. Accordingly, in this study, ELS and resilience in patients with MDD were assessed to investigate the relationship between these psychological factors and depressive symptom severity. We hypothesized that patients with MDD might report greater ELS and decreased resilience capacity compared to controls. In addition, we attempted to uncover specific ELS and resilience factors associated with depressive symptom severity in MDD patients.

## MATERIALS AND METHODS

### Participants

Twenty-six patients with MDD (7 males and 19 females; mean age of  $31.9 \pm 1.8$  years) were recruited by hospital staff psychiatrists. Diagnosis of MDD was confirmed using the Korean version of the Structured Clinical Interview for DSM-IV.<sup>9</sup> Exclusion criteria included a current diagnosis of an Axis I disorder other than MDD requiring psychiatric treatment, a DSM-IV Axis II diagnosis, and current or past serious medical or neurological illness. All of the MDD patients were receiving antidepressant treatment.

An age- and gender-matched healthy control group (mean age of  $32.3 \pm 1.7$  years) was recruited from community and

hospital advertisements. All subjects were interviewed for current or past medical or psychiatric illness by a psychiatrist prior to participating in the study. They were ascertained to have no current Axis I or Axis II disorders, or current serious medical or neurological illness. This study was approved by the Institutional Review Board of the ethical committee of the Hallym University Sacred Heart Hospital. All subjects provided written informed consent after receiving a thorough description of the study.

### Assessment of psychosocial characteristics

ELS profiles of the subjects were assessed using the Korean Early-Life Abuse Experience Questionnaire. This questionnaire was organized by Oh<sup>10</sup> and comprises 44 items that inquire about exposure to five categories of ELS, including emotional abuse (5 items), physical abuse (9 items), neglect (10 items), and inter-parental violence (10 items), on the Parent-Child Conflict Tactics Scale,<sup>11</sup> in addition to 10 items concerning sexual abuse on another scale developed by Korean researchers.<sup>12</sup> This questionnaire was developed to investigate exposure frequencies to ELS during childhood and adolescence, and demonstrated considerable reliability in the original study (Cronbach's alpha: 0.79 for emotional abuse, 0.81 for physical abuse, 0.88 for neglect, 0.80 for sexual abuse, and 0.95 for inter-parental violence).

Resilience was measured using a brief self-reporting tool of 27 items developed by Connor and Davidson.<sup>13</sup> Recently, the Korean-version of this scale was translated and standardized, demonstrating sound psychometric properties and a five-factor structure similar to the original version with significant internal consistency (Cronbach's alpha=0.93).<sup>14</sup>

Depressive symptom severity was assessed using the Korean version of the Beck Depression Inventory.<sup>15</sup> Other psychosocial variables that may affect depressive symptom severity, including education level, marital status, and economic status were also assessed.

### Statistical analysis

Demographic variables including education, marital status, and total family income level were compared between the patient and control groups using independent samples t-test and Fisher's exact test. ELS factor scores and depressive symptom severity were not normally distributed and these variables were compared using the Mann-Whitney test. ELS factor scores were transformed with the natural log function for correlation and regression analysis. Resilience factor scores were compared between the two groups using

independent samples t-test. Spearman correlation analyses and linear regression analysis were conducted to assess significant relationships for depressive symptom severity with ELS and resilience factors in each group.

## RESULTS

There were no significant differences in age, gender distribution, education level, marital status, or economic status between the patient and control groups (Table 1).

Among ELS factors, the patient group reported significantly increased experiences of exposure to inter-parental violence compared to the control group ( $Z=2.245$ ,  $p=0.029$ ). All of the factor scores on the resilience scale were significantly decreased in the patient group compared to the control group (Table 2).

The results of the Spearman correlation analyses are presented in Table 3. In the MDD group, depressive symptom severity showed a significant positive correlation with emotional and physical abuse and inter-parental violence fre-

quencies (emotional abuse:  $r=0.566$ ; physical abuse:  $r=0.412$ ; inter-parental violence:  $r=0.622$ ), as well as a significant negative correlation with all of the resilience factor scores (self-efficacy:  $r=-0.519$ , self-confidence:  $r=-0.678$ ; optimism:  $r=-0.476$ ; self-control:  $r=-0.535$ ; spirituality/autonomy:  $r=-0.436$ ). In the control group, depressive symptom scores showed no significant correlation with ELS factors. In this group, several resilience factors were negatively correlated with depressive symptom severity (self-efficacy:  $r=-0.400$ ; self-confidence:  $r=-0.468$ ; self-control:  $r=-0.398$ ).

The results of the linear regression analysis of depressive symptoms are summarized in Table 4. In the present study, the linear regression model was significant, explaining 71.8% of total variance in depressive symptoms scores in this group ( $R^2=0.718$ ,  $F=7.46$ ,  $p<0.001$ ). Among resilience factors, depressive symptom severity was significantly associated with self-confidence (beta=-1.103,  $t=-2.960$ ,  $p=0.005$ ) and self-control (beta=-1.392,  $t=-2.397$ ,  $p=0.022$ ). ELS factors did not show a significant association with depressive symptom score in the final regression model.

**Table 1. Comparisons of Demographic Characteristics between the Major Depressive Disorder and Control Groups**

	MDD (n=26)	Control (n=26)	t*	X <sup>2</sup> /exact*	p value
Age (yrs)	31.9±1.8	32.3±1.7	0.180		0.858
Gender (male/female)	7/19	7/19	-	0	1.000
Education (yrs)	14.0±3.3	15.1±2.0	1.416		0.164
Marriage (unmarried/married/divorced or widowed)*	13/10/3	14/12/0		2.850	0.353
Total family income (low/middle/high/unknown)*	7/10/9	4/18/4		4.905	0.114

MDD, major depressive disorder patient group.

Values are presented as mean±standard error.

\*Fisher's exact test was performed for distribution analysis of marriage and family income status.

**Table 2. Comparisons of Psychological Characteristics between the Major Depressive Disorder and Control Groups**

	MDD (n=26)	Control (n=26)	t	Z*	p value
Total BDI score*	27.5±2.5	8.7±1.2		6.688	<0.001
ELS (frequency number)*					
Emotional abuse*	4.5±1.2	2.0±0.5		1.968	NS
Physical abuse*	5.0±1.8	2.5±0.5		0.385	NS
Sexual abuse	0.2±0.1	0.1±0.0		0.867	NS
Neglect*	2.3±1.0	0.6±0.3		0.771	NS
Inter-parental violence*	0.6±0.2	0.1±0.0		2.245	0.029
Resilience					
Self-efficacy	12.8±1.4	17.1±1.1	-2.358		0.022
Self-confidence	11.8±1.3	20.0±0.8	-5.258		<0.001
Optimism	8.2±0.7	10.9±0.6	-2.860		0.006
Self-control	5.6±0.7	9.7±0.5	-4.502		<0.001
Spirituality/autonomy	5.5±0.7	7.2±0.5	-2.506		0.041

BDI, Beck Depression Inventory; ELS, early life stress; MDD, major depressive disorder patient group; NS, not significant.

Values are presented as mean±standard error.

\*Mann-Whitney tests were performed for variables which did not assume equal distribution.

**Table 3.** Relationship of Depressive Symptom Severity with ELS and Resilience Factors

Spearman's Rho with total BDI score	MDD (n=26)	p value	Control (n=26)	p value
ELS*				
Emotional abuse	0.566	0.003	-0.109	NS
Physical abuse	0.412	0.037	-0.163	NS
Sexual abuse	0.039	NS	0.114	NS
Neglect	-0.163	NS	0.052	NS
Inter-parental violence	0.622	0.001	-0.204	NS
Resilience				
Self-efficacy	-0.519	0.007	-0.400	0.043
Self-confidence	-0.678	<0.001	-0.468	0.016
Optimism	-0.476	0.014	-0.062	NS
Self-control	-0.535	0.005	-0.398	0.044
Spirituality/autonomy	-0.436	0.026	-0.026	NS

BDI, Beck Depression Inventory; ELS, early life stress; MDD, major depressive disorder patient group; NS, not significant.

\*ELS frequency scores were transformed using the natural log function for normal distribution.

**Table 4.** Multiple Regression Analysis of Depressive Symptoms for Early-Life Stress and Resilience (n=52)

Variable	$\beta$	t	Sig.
Age	-0.144	-1.012	0.318
Education yr	-0.835	-1.569	0.125
Family income	0.881	0.431	0.669
Early-life stress (ELS)*			
Emotional abuse	0.979	1.245	0.221
Physical abuse	-0.424	-0.429	0.671
Sexual abuse	0.552	0.653	0.518
Neglect	-0.544	-0.969	0.339
Inter-parental violence	0.606	0.748	0.459
Resilience			
Self-efficacy	0.598	1.553	0.129
Self-confidence <sup>†</sup>	-1.103	-2.960	0.005
Optimism	-0.637	-1.329	0.192
Self-control <sup>†</sup>	-1.392	-2.397	0.022
Spirituality/autonomy	0.352	0.585	0.562
	R <sup>2</sup> =0.718	F=7.46	<0.001

Dependent variable: Beck Depression Inventory score.

\*ELS frequency scores were transformed using the natural log function for normal distribution.

<sup>†</sup>Results with two-sided probability less than 0.05 were considered significant.

## DISCUSSION

In the present study, MDD patients reported greater experience of early life stress and decreased resilient capacity compared to the control group. This is the first study to report on ELS and resilience profiles for MDD patients in Korea. ELS has consistently been reported to increase the risk of developing MDD.<sup>2</sup> Specifically, patients in the MDD group in this study reported significantly greater exposure to inter-parental violence. Among adverse experiences dur-

ing early life, exposure to inter-parental violence has received less attention than other well-known stressful events such as child abuse or neglect. However, a recent large-scale longitudinal cohort study revealed that exposure to inter-parental violence had an independent impact on adulthood depressive symptoms, even when controlling for other family- or social-level stressors.<sup>16</sup> If a child frequently encounters parental conflict, he or she may develop a pessimistic attitude toward the world or marital relations, and may lead to the development of a dysfunctional cognitive style concerning the self and others, which can induce de-

pressive disorders later on.

Emotional and physical abuse is also an important ELS factor because it could have a life-long negative impact on a child's mental health. The present study found that depressive symptoms in the MDD group showed positive correlation with physical and emotional abuse as well as inter-parental violence. Since physical abuse may only be the tip of the iceberg in regards to dysfunctional parent-child relationships, mental health professionals should pay attention to the emotional context of physical abuse, and screen for possible concealed histories of emotional abuse.<sup>17</sup> In a large Asian community sample study, physical abuse was significantly associated with inter-parental violence. Additionally, the authors reported that experiencing dual violence during childhood could have a long-term detrimental impact on a young adult's mental health.<sup>18</sup> These adverse experiences are also considered important predisposing factors to the development of psychopathology later on into adulthood.<sup>19</sup>

Moreover, resilience factor scores on the resilience scale were significantly lower in the MDD group than in the control group. Among them, all of the resilience factor scores showed a significant inverse correlation with depressive symptom severity in the MDD group, and the among the resilience factors, self-control and self-confidence were significantly associated with depressive symptom severity in the final regression model. A previous study reported that positive self-esteem and ego function predicted resilient functioning in maltreated children, whereas relationship function had more of an effect on resilient functioning in non-maltreated children.<sup>20</sup> For those who have experienced significant ELS, resilience might be a more important factor for protecting against the development and aggravation of depressive symptoms. Even though resilience is generally considered to have trait-like characteristics, resilience capacity can be changed. Longitudinal research, conducted from 1975 to 1993, emphasized the importance of the changeability of resilience properties via interaction with the environment,<sup>21</sup> which was consistent with other studies.<sup>22</sup> Using the dynamic characteristics of resilience, teenagers who underwent the Pennsylvania Resiliency Program showed less depressive symptoms compared to the control group.<sup>23</sup> Reduced resilience capacity in the MDD group may be related to depressive disorder. However, this suggestion needs to be validated by future studies in which changes in resilience after remission of depressive episodes are assessed.

This study has several limitations. First, the ELS profiles of the subjects were assessed with a retrospective self-re-

port questionnaire. Recall bias or deficits<sup>24</sup> in addition to a propensity to report negative self and other evaluations<sup>25</sup> associated with MDD may confound the ELS results in the patient group. However, retrospective and prospective studies that investigated individuals who were exposed to trauma demonstrated an increased morbidity for MDD,<sup>26</sup> and greater ELS in MDD patients has been consistently reported in epidemiologic studies.<sup>3,4,27,28</sup> Moreover, a role for adverse childhood experiences in the development of major depression has been demonstrated in twin studies.<sup>29,30</sup> Follow-up assessments of ELS profiles after remission of depressive symptoms in the patient group could be conducted to expound on the confounding effect of major depressive episodes in future studies. Second, this was a cross-sectional study, and was unable to observe changes in resilience and ELS profiles after treatment. Consecutive assessment of resilience and ELS over the treatment course of MDD may help to further define the relationship between ELS, resilience, and depressive symptoms in MDD. Third, the effects of medication on the ELS profile, resilience, and emotional response in the patient group could not be assessed. We could not control for the effects of medication in this study because it was a natural observational study in usual outpatient clinics. Also, we could not evaluate the relationships for ELS and resilience with medication response in this patient group because it is a cross-sectional multicenter study. Fourth, a relatively small number of subjects were enrolled. More than 100 subjects need to be enrolled to conduct regression analyses with ten significant independent variables. Further study including a larger number of subjects needs to be conducted to replicate and validate the results of this study. Fifth, as only self-report questionnaires or scales were used in this study, clinician-rating scales should be considered in future study to generate results that are more meaningful. Finally, there is no published data on the convergent or external validity of the Korean Early-Life Abuse Experience Questionnaire. Validation study thereon or development of other validated questionnaire needs to be performed.

In the present study, we found a possibility that resilience capacity, especially self-confidence and self-control factors, could ameliorate depressive symptoms in patients with MDD. ELS, including inter-parental violence, physical abuse and emotional abuse, might be a risk factor for developing depression. Assessing ELS profiles and developing intervention programs for enhancing resilience capacity in treating MDD should be considered.

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