

# A Sequential Mechanism for Exosite-mediated Factor IX Activation by Factor XIa\*

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**Background:** Factor XIa proteolytically activates factor IX.

**Results:** XIa cleaves IX after Arg<sup>145</sup>, forming IX $\alpha$ , and then after Arg<sup>180</sup>, forming IX $\alpha\beta$ . Both reactions require substrate binding to the XIa A3 domain.

**Conclusion:** XIa activates IX by an exosite-mediated release-rebind mechanism. Efficiency of the second cleavage is enhanced by changes resulting from the first cleavage.

**Significance:** The data support a new model for IX activation by XIa.

During blood coagulation, the protease factor XIa (fXIa) activates factor IX (fIX). We describe a new mechanism for this process. fIX is cleaved initially after Arg<sup>145</sup> to form fIX $\alpha$ , and then after Arg<sup>180</sup> to form the protease fIX $\alpha\beta$ . fIX $\alpha$  is released from fXIa, and must rebind for cleavage after Arg<sup>180</sup> to occur. Catalytic efficiency of cleavage after Arg<sup>180</sup> is 7-fold greater than for cleavage after Arg<sup>145</sup>, limiting fIX $\alpha$  accumulation. fXIa contains four apple domains (A1–A4) and a catalytic domain. Exosite(s) on fXIa are required for fIX binding, however, there is lack of consensus on their location(s), with sites on the A2, A3, and catalytic domains described. Replacing the A3 domain with the prekallikrein A3 domain increases  $K_m$  for fIX cleavage after Arg<sup>145</sup> and Arg<sup>180</sup> 25- and  $\geq 90$ -fold, respectively, and markedly decreases  $k_{cat}$  for cleavage after Arg<sup>180</sup>. Similar results were obtained with the isolated fXIa catalytic domain, or fXIa in the absence of Ca<sup>2+</sup>. Forms of fXIa lacking the A3 domain exhibit 15-fold lower catalytic efficiency for cleavage after Arg<sup>180</sup> than for cleavage after Arg<sup>145</sup>, resulting in fIX $\alpha$  accumulation. Replacing the A2 domain does not affect fIX activation. The results demonstrate that fXIa activates fIX by an exosite- and Ca<sup>2+</sup>-mediated release-rebind mechanism in which efficiency of the second cleavage is enhanced by conformational changes resulting from the first cleavage. Initial binding of fIX and fIX $\alpha$  requires an exosite on the fXIa A3 domain, but not the A2 or catalytic domain.

Factor IX (fIX)<sup>2</sup> is the zymogen of a protease, factor IX $\alpha\beta$  (fIX $\alpha\beta$ ), which is required for proper formation and maintenance of blood clots at sites of vascular injury (1, 2). Congenital

fIX deficiency causes the severe bleeding disorder hemophilia B (1). Human fIX is a 57-kDa protein composed of an N-terminal calcium-binding Gla domain, two epidermal growth factor domains, an activation peptide, and a trypsin-like catalytic domain (1, 2). It shares this structure with coagulation factors VII and X (3). The Gla domains of these proteins facilitate binding to phospholipid membranes.

Conversion of fIX to fIX $\alpha\beta$  requires cleavage of the Arg<sup>145</sup>–Ala<sup>146</sup> and Arg<sup>180</sup>–Val<sup>181</sup> peptide bonds to release the activation peptide (2, 4, 5). The physiologic mediators of this process are the serine proteases factor VIIa (fVIIa) and factor XIa (fXIa). fIX activation by fVIIa requires Ca<sup>2+</sup> and phospholipid (6–8). In the presence of the cofactor tissue factor, fVIIa cleaves fIX first after Arg<sup>145</sup>, forming the inactive intermediate fIX $\alpha$ . fIX $\alpha$  is released from fVIIa and must rebind to the protease to be cleaved after Arg<sup>180</sup> to form fIX $\alpha\beta$ . As the second cleavage is rate-limiting, fIX $\alpha$  accumulates during fIX activation by fVIIa.

fXIa appears to activate fIX by a different process than fVIIa. fXIa is a dimer of identical subunits, each containing a trypsin-like catalytic domain and a heavy chain comprised of four apple domains (A1–A4) (9–12). In contrast to fVIIa, fXIa lacks a Gla domain. Perhaps as a result, fIX activation by fXIa, although Ca<sup>2+</sup>-dependent, does not require phospholipid. During fIX activation by fXIa an intermediate does not accumulate (13, 14). This is unrelated to the dimeric structure of the protease, as isolated fXIa subunits convert fIX to fIX $\alpha\beta$  without intermediate accumulation (14, 15). fXIa readily cleaves fIX with an R180A substitution after Arg<sup>145</sup>, whereas fIX with an R145A substitution undergoes insignificant cleavage after Arg<sup>180</sup> (14). This indicates that the structure of fIX facilitates ordered bond cleavage, with the Arg<sup>145</sup>–Ala<sup>146</sup> bond presented first to the fXIa active site. Changes in conformation resulting from cleavage after Arg<sup>145</sup> may then facilitate cleavage after Arg<sup>180</sup>. A similar process was reported previously for prothrombin activation (16).

There are conflicting hypotheses regarding the manner in which fXIa is able to activate fIX without fIX $\alpha$  accumulation. It has been proposed that fIX $\alpha$  may not be released from fXIa prior to conversion to fIX $\alpha\beta$  (13, 17). However, at least a portion

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<sup>2</sup> The abbreviations used are: fIX, factor IX; fIX $\alpha$ , factor IX $\alpha$ ; fIX $\alpha\beta$ , factor IX $\alpha\beta$ ; fXI or fXIa, factor XI or XIa; fVII or fVIIa, factor VII or VIIa; fX or fXIa, factor X or XIa; PK, prekallikrein; A1, A2, A3, and A4, apple domains 1, 2, 3, and 4; fXIIa, factor XIIa.

of the intermediate must be released, as active site-blocked fXIa competes with fXIa for fIX $\alpha$  binding during fIX activation (14). Active site-blocked fIXa $\beta$  is a competitive inhibitor of fIX cleavage by fXIa (18), and fIX $\alpha$  may have similar inhibitory properties. If fIX $\alpha$  is released from fXIa, the lack of fIX $\alpha$  accumulation implies that cleavage after Arg<sup>145</sup> is rate-limiting, although this has yet to be demonstrated.

There is compelling evidence that one or more exosites (substrate binding sites distinct from the protease active site) on fXIa are required for normal fIX activation (17–23), but there is a lack of agreement on their locations. It is clear that Ca<sup>2+</sup>-dependent fIX activation requires the fXIa heavy chain (19, 20). One report indicated that the A2 domain contains a fIX binding site (21), whereas others point to A3 (22, 23). More recent work suggests a distinct fIX binding site may be located on the fXIa catalytic domain (17).  $K_m$  for fIX activation by the isolated fXIa catalytic domain (a species lacking the heavy chain) was reported to be similar to  $K_m$  for fIX activation by full-length fXIa, implying the catalytic domain was largely responsible for recognition and specificity of fIX binding. These data are not compatible with those showing that A3 domain substitution results in a marked increase in  $K_m$  for fIX activation (18, 22, 23).

We conducted a kinetic analysis of fIX and fIX $\alpha$  activation to fIXa $\beta$  by fXIa and fXIa variants, to address the conflicting information regarding the mechanism of fIX activation and the locations of substrate binding exosites on fXIa. Binding studies were used to support the kinetic data, which show that fIX activation by fXIa is a largely sequential process in which fIX is converted to fIX $\alpha$  and then to fIXa $\beta$ , with a substantial increase in catalytic efficiency of the second cleavage explaining the absence of fIX $\alpha$  accumulation. The A3 domain serves as the major binding site for both fIX and fIX $\alpha$ . The dramatic decrease in catalytic efficiency of forms of fXIa lacking the functional exosite illustrates the critical role of Ca<sup>2+</sup>-dependent fIX and fIX $\alpha$  binding to fXIa.

## EXPERIMENTAL PROCEDURES

**Materials**—Human fIX, fIXa $\beta$ , glutamyl-glycyl-arginyl-chloromethyl ketone (EGR-CK), Phe-Pro-Arg-chloromethyl ketone (FPR-CMK), and biotinylated EGR-CK were from Hematologic Technologies (Essex Junction, VT). Factor XIIa (fXIIa) and goat anti-human factor IX IgG-HRP were from Enzyme Research (South Bend, IN). L-Pyroglutamyl-L-prolyl-L-arginine *p*-nitroaniline S-(2366) was from Diapharma (West Chester, OH). Soybean trypsin inhibitor-agarose and bovine serum albumin (BSA) were from Sigma. Strepavidin-agarose was from Pierce. Anti-fXI IgG O1A6 (24) and anti-fIX IgG SB249417 (25) have been described.

**Recombinant FXIa**—The system for expressing human wild type fXI (fXI-WT) and fXI variants has been reported (14, 22, 23). HEK293 fibroblasts (ATCC-CRL1573) were transfected with 40  $\mu$ g of pJCMV containing a fXI cDNA and 2  $\mu$ g of pRSVneo encoding a neomycin resistance marker using an Electrocell Manipulator 600, (BTX, San Diego, CA). Cells were initially grown in Dulbecco's modified Eagle's medium with 5% fetal bovine serum and 500  $\mu$ g/ml of G418, then switched to serum-free medium (Cellgro Complete, Mediatech, Herndon,

VA). FXI was purified from conditioned media by affinity chromatography using anti-human fXI-IgG 1G5.12 (22, 23).

FXI chimeras in which apple domains are replaced with prekallikrein (PK) apple domains have been described (22, 26). This study used fXI with the PK A2 or A3 domain (fXI/PKA2 or fXI/PKA3) (22), and fXI in which the entire heavy chain is replaced with the PK heavy chain (FXI-CD/PK-HC) (26). In fXI/PKA2, Lys<sup>140</sup> was changed to Ser to prevent autoproteolysis.

FXI was converted to fXIa by incubation with fXIIa (100:1 substrate to enzyme molar ratio) at 37 °C for 24 h in 50 mM Tris-HCl, pH 7.4, 100 mM NaCl (TBS). Conversion of the 80-kDa zymogen fXI subunits to the 45-kDa heavy chain and 35-kDa catalytic domain of fXIa was confirmed by SDS-PAGE. In studies of fIX activation by fXIa or fXIa variants, removal of fXIIa, or inhibition of fXIIa, had no effect on fIX or fIX $\alpha$  cleavage.

To prepare isolated fXIa heavy chain (fXIa-HC) and isolated fXIa catalytic domain (fXIa-CD) (18), fXI with Cys<sup>362</sup> and Cys<sup>482</sup> changed to Ser (fXI-Ser<sup>362</sup>/Ser<sup>482</sup>) was activated and passed over a soybean trypsin inhibitor-agarose column. fXIa-CD binds to the column and is eluted with TBS containing 200 mM benzamidine, whereas fXIa-HC flows through the column.

**Preparation of fIX $\alpha$ —fIX** (14.3  $\mu$ M) was incubated with 50 nM fXIa in TBS, pH 7.4, 20 mM EDTA for 12 min at 37 °C. fIXa $\beta$  was inhibited by incubating 90 min at 37 °C with biotinylated EGR-CK (10-fold molar excess over fIX). After dialysis against PBS, streptavidin immobilized on agarose resin was added, and incubated for 1 h at room temperature with mixing. Resin was removed by centrifugation, and the supernatant containing fIX $\alpha$  was dialyzed against 50 mM HEPES, pH 7.4, 125 mM NaCl, 1 mg/ml of polyethylene glycol (PEG) 8000.

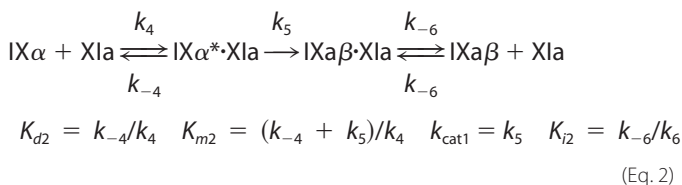
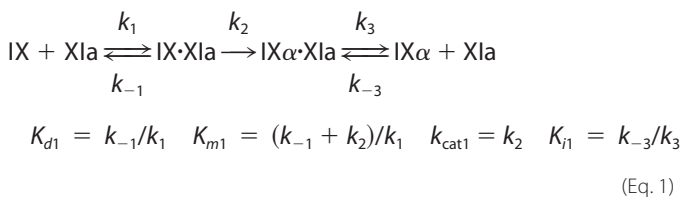
**Hydrolysis of S-2366 by fXIa**—fXIa (6 nM) was incubated with S-2366 (50–2000  $\mu$ M) in TBS at room temperature. Free *p*-nitroaniline formation was followed by continuous monitoring of absorbance at 405 nm on a SpectraMax 340 plate reader (Molecular Devices, Sunnyvale, CA). Rates of *p*-nitroaniline generation (nM/s) were determined using an extinction coefficient of 9920 OD units (405 nm) per mol per cm of *p*-nitroaniline.  $K_m$  and  $k_{cat}$  for S-2366 cleavage were determined by nonlinear least squares fitting performed with MicroMath Scientific Software.

**Activation of fIX and fIX $\alpha$  by fXIa**—fIX or fIX $\alpha$  (25 nM to 5  $\mu$ M) in assay buffer (50 mM HEPES, pH 7.4, 125 mM NaCl, 5 mM CaCl<sub>2</sub> (or 25 mM EDTA), 1 mg/ml of PEG 8000) was incubated at room temperature with fXIa (1 to 240 nM active sites, depending on the fXIa species and substrate) in tubes coated with PEG 20,000. At various times (0 to 640 min), aliquots were removed into nonreducing SDS-sample buffer, size fractionated on 17% polyacrylamide-SDS gels, and stained with GelCode Blue (Pierce). Gels were imaged on an Odyssey Infrared Imaging System (LI-COR Biosciences, Lincoln, NE) using an excitation  $\lambda$  of 685 nm, and emission  $\lambda$  of 720 nm. Conversion of fIX to fIX $\alpha$  and fIXa $\beta$ , and fIX $\alpha$  to fIXa $\beta$  was assessed by densitometry. To determine the amount of protein per band, standards were run on a separate gel, with one standard also run on the gel with the time course samples. Full progress curves

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were constructed from data for disappearance of fIX, and appearance of fIX $\alpha$  and fIX $\alpha\beta$ . In some reactions, antibodies to fXIa or fIX were included.

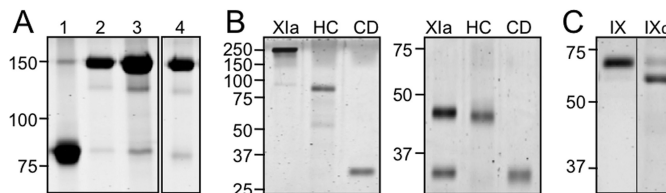
**Kinetic Analyses**—Steady-state kinetic parameters for fIX or fIX $\alpha$  cleavage were obtained by numerical integration fitting of full progress curves of substrate depletion, and intermediate and product formation at substrate concentrations from 25 to 5000 nM; and by analysis of initial rate dependence of substrate depletion as a function of substrate concentration. Rates of cleavage of the Arg<sup>145</sup>–Ala<sup>146</sup> and Arg<sup>180</sup>–Val<sup>181</sup> bonds were analyzed simultaneously with KinTek Explorer Version 2.5 software (27) using the reaction mechanism shown in Equations 1 and 2. IX $\alpha^*$  indicates the intermediate bound to fXIa in the correct orientation for cleavage after Arg<sup>180</sup>.



We reported that the product fIX $\alpha\beta$  binds to fXIa and is a competitive inhibitor of fIX activation by fXIa (18). A similar assumption was made for product inhibition by fIX $\alpha$ .  $K_d$  and  $K_i$  values were initially constrained to those obtained from surface plasmon resonance studies (below) and full progress curve analysis of fIX $\alpha\beta$  formation, and no rapid equilibrium assumptions were imposed on association and dissociation rates. By fitting full progress curves for fIX disappearance, and fIX $\alpha$  and fIX $\alpha\beta$  appearance,  $K_m$ ,  $k_{\text{cat}}$ ,  $K_d$ ,  $K_i$ , and catalytic efficiency ( $k_{\text{cat}}/K_m$ ) were determined for both cleavages in fIX. The same method was used with fIX $\alpha$  as substrate. As fIX $\alpha$  preparations have ~20% fIX contamination, analyses of conversion of fIX $\alpha$  to fIX $\alpha\beta$  included the cleavage of the fIX.

Results of numerical analysis were compared with those obtained by conventional Michaelis-Menten analysis of both cleavages. With fIX as substrate, initial velocities ( $v_0$ ) of cleavage after Arg<sup>145</sup> were determined from the initial slopes of progress curves for disappearance of fIX, normalized to 1 nM fXIa active sites. Values for  $v_0$  were analyzed with the Michaelis-Menten equation, and values for  $K_m$  and  $k_{\text{cat}}$  were obtained from direct nonlinear least squares analysis using Scientist Software. With fIX $\alpha$  as substrate,  $K_m$  and  $k_{\text{cat}}$  for cleavage after Arg<sup>180</sup> were determined in a similar manner. Competitive binding of fXIa to fIX in the fIX $\alpha$  preparation was calculated by a cubic equation, and was taken into account for determining the fXIa concentration available for the reaction with fIX $\alpha$ .

**Surface Plasmon Resonance**—Binding studies were performed on a Biacore T100 flow biosensor (Biacore, Uppsala, Sweden) at 25 °C. fIX, fIX $\alpha$ , or fIX $\alpha\beta$  were immobilized on carboxymethyl-dextran flow cells (CM5 sensor chips, GE



**FIGURE 1. Purified proteins.** A, nonreducing SDS-polyacrylamide gel stained with GelCode blue of recombinant (1) fXI-CD/PK-HC, (2) fXI-WT, (3) fXI/PKA3, and (4) fXI/PKA2-Ser<sup>140</sup>. fXI-CD/PK-HC is an 80-kDa monomer, whereas other fXI species are 160-kDa dimers. B, purified fXIa heavy chain (HC) and catalytic domain (CD) isolated from fXI-Ser<sup>362</sup>/Ser<sup>482</sup> are compared with fXIa-WT (Xla) on nonreducing (left) and reducing (right) SDS-polyacrylamide gels. C, fIX and fIX $\alpha$  on a nonreducing SDS-polyacrylamide gel. Note that fIX $\alpha$  migrates more rapidly than fIX, despite having the same molecular mass. Positions of molecular mass standards are indicated on the left of each panel in kilodaltons.

Healthcare) using amine-coupling chemistry. To prevent cleavage of bound fIX or fIX $\alpha$ , fXIa active sites were blocked with FPR-CMK. fIX $\alpha\beta$  active sites were blocked with EGR-CK. Flow cell surfaces were activated with a mixture of 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide and *N*-hydroxysulfosuccinimide for 5 min (flow rate 10  $\mu$ l/min), after which the protein (30  $\mu$ g/ml in sodium acetate, pH 4.0) was injected onto the surface. Unreacted sites were blocked for 5 min with 1 M ethanolamine. Analytes (fXIa species, 1 to 5000 nM) were perfused through flow cells in HBS-P buffer (10 mM HEPES, pH 7.4, 150 mM NaCl, 2 mM CaCl<sub>2</sub>, 1 mM benzamidine, 0.005% (v/v) P20) at 10  $\mu$ l/min for 6 min. After changing to HBS-P buffer without fXIa, analyte dissociation was monitored for 10 min. Flow cells were regenerated with HBS-P containing 30 mM EDTA (no CaCl<sub>2</sub>). fXIa binding to fIX species was also tested in the absence of Ca<sup>2+</sup> (10 mM EDTA). Data were corrected for nonspecific binding by subtracting signals obtained with analytes infused through a flow cell without coupled protein.

Binding was analyzed with BIAevaluation software (Biacore) using a bivalent binding model for dimers (fXIa-WT, fXIa/PKA2, fXIa/PKA3) and a 1:1 binding model for monomers (fXIa-CD, fXIa-CD/PK-HC).  $K_d$  values were calculated from the quotient of the derived dissociation ( $k_d$ ) and association ( $k_a$ ) rate constants. In addition, a steady state affinity model was used in which  $K_d$  was derived from nonlinear regression fitting of the response at equilibrium to fXIa concentration.

**fIX Activation in the Presence of fXIa-HC**—fIX (100 nM) was incubated with fXIa-WT (1 nM) with or without fXIa-HC (1  $\mu$ M) in assay buffer at room temperature. At various times, aliquots were removed into nonreducing SDS sample buffer, size fractionated on 12% polyacrylamide-SDS gels, and transferred to a nitrocellulose membrane. Western blots were performed using an HRP-conjugated goat polyclonal anti-human fIX IgG and chemiluminescence for detection.

## RESULTS

**Recombinant fXIa**—All fXI species migrate at ~160 kDa on nonreducing SDS-PAGE, except for fXI-CD/PK-HC, which is an 80-kDa monomer (Fig. 1A). fXI-Ser<sup>362</sup>/Ser<sup>482</sup> lacks the disulfide bond that links the A4 and catalytic domains after conversion to fXIa. Activation of this variant results in an ~90 kDa heavy chain dimer and 35-kDa catalytic domains that dissociate (Fig. 1B). Under reducing conditions the heavy chain dimer dissociates into 45-kDa monomers (Fig. 1B). Chroma-

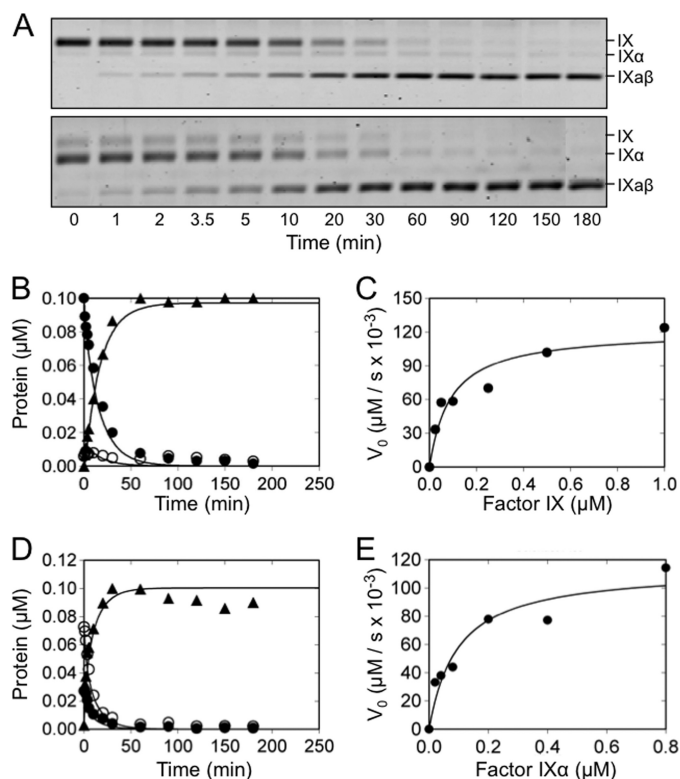
tography on soybean trypsin inhibitor-agarose separates the heavy chains and catalytic domains. All species of fXIa cleaved the tripeptide S-2366 (18–20) with similar  $K_m$  (190–290  $\mu\text{M}$ ) and  $k_{\text{cat}}$  (140–150  $\text{s}^{-1}$ ), indicating domain manipulations did not significantly alter active site conformation.

**fIX $\alpha$ —FIX $\alpha$**  was prepared from fIX by limited digestion with fXIa in the absence of  $\text{Ca}^{2+}$  (Fig. 1C). A disulfide bond connects the polypeptides comprising fIX $\alpha$ , which has essentially the same molecular mass as fIX. fIX $\alpha$  migrates slightly faster than fIX on nonreducing PAGE, likely due to conformational changes that accompany cleavage (Fig. 1C). fIX $\alpha$  preparations contain ~10–20% fIX (Fig. 1C), and this is taken into account as an initial substrate in kinetic analyses.

**Cleavage of FIX and FIX $\alpha$  by fXIa-WT**—FIX activation can be followed by measuring cleavage of a chromogenic substrate by fIXa $\beta$  (18); however, this method lacks sensitivity, making it difficult to measure initial activation rates. Sensitivity can be improved by using a coupled assay in which fIXa $\beta$  converts fX to fXa, which is then detected with a chromogenic substrate (17, 22). Chromogenic substrate-based techniques share a limitation. They are uninformative about fIX $\alpha$  generation. To address this, we monitored fIX activation by densitometry of Coomassie Blue-stained gels imaged at infrared wavelengths. FIX, fIX $\alpha$ , and fIXa $\beta$  migrate differently under nonreducing conditions on SDS-PAGE, and the low signal to noise ratio facilitated studying reactions with substrate concentrations down to 25 nM.

Initially, we assessed fIX conversion to fIXa $\beta$  by fXIa-WT in the presence of  $\text{Ca}^{2+}$  ions (Fig. 2, A–C). As expected, little fIX $\alpha$  accumulation was observed. Although fIX $\alpha$  is usually not detected when fIX is activated by plasma-derived fXIa, traces may be seen with recombinant fXIa. Results of the kinetic analysis by numerical integration and by the conventional Michaelis-Menten approach are summarized in Tables 1 and 2. The catalytic efficiency ( $k_{\text{cat}}/K_m$ ) is ~7-fold higher for cleavage after Arg<sup>180</sup> compared with Arg<sup>145</sup> (400  $\pm$  100 and 60  $\pm$  6  $\mu\text{M}^{-1} \text{min}^{-1}$ , respectively), with an estimated ~2.5-fold lower  $K_m$  and ~3-fold higher  $k_{\text{cat}}$ . The catalytic efficiency of cleavage after Arg<sup>180</sup> in purified fIX $\alpha$  (130  $\pm$  20  $\mu\text{M}^{-1} \text{min}^{-1}$ , Fig. 2, A, D, and E, and Tables 1 and 2) was ~3-fold lower than for fIX $\alpha$  formed *in situ* during fIX activation, mainly due to a lower  $k_{\text{cat}}$ , suggesting conformational differences between the nascent intermediate and purified fIX $\alpha$  bound to fXIa. Dynamic simulation indicated that  $k_{\text{cat}}$  for cleavage after Arg<sup>145</sup> is very sensitive to changes in  $v_0$  for disappearance of fIX, and good agreement was found with the kinetic parameters determined by Michaelis-Menten analysis of fIX activation (Fig. 2C). Taken as a whole, the results are consistent with a mechanism in which  $\text{Ca}^{2+}$ -dependent cleavage of Arg<sup>145</sup>–Ala<sup>146</sup> increases the efficiency of cleavage of Arg<sup>180</sup>–Val<sup>181</sup>, without fIX $\alpha$  accumulation.

**Cleavage of FIX and FIX $\alpha$  by fXIa Species Lacking the A2 or A3 Domains**—Although the fXIa heavy chain clearly contains a substrate-binding exosite (18–20), different studies indicate the site is on the A2 (21) or A3 (22, 23) domain. A second fIX-binding exosite may reside on the catalytic domain (17). We used recombinant fXIa variants to identify the region of the molecule involved in high-affinity binding to fIX and fIX $\alpha$ .



**FIGURE 2. FIX and fIX $\alpha$  cleavage by fXIa-WT.** A, nonreducing 17% polyacrylamide SDS gels of 100 nM FIX (top) or fIX $\alpha$  (bottom) in assay buffer with  $\text{Ca}^{2+}$  incubated at room temperature with 3 nM (active sites) fXIa-WT. Positions of standards for FIX, fIX $\alpha$ , and fIXa $\beta$  are indicated at the right of each panel. B, progress curves of fIX disappearance (●), and fIX $\alpha$  (○), and fIXa $\beta$  (▲) generation from panel A (top). Lines represent the least-squares fits to the data. C, initial velocities of cleavage after Arg<sup>145</sup> (conversion of fIX to fIX $\alpha$ ) by 1  $\mu\text{M}$  fXIa-WT active sites, as a function of fIX concentration. Initial rates were obtained from the slopes of the linear portions of progress curves documenting the disappearance of fIX with time. D, progress curves of fIX $\alpha$  cleavage by fXIa-WT determined from panel A (bottom). E, initial velocities of cleavage after Arg<sup>180</sup> (conversion of fIX $\alpha$  to fIXa $\beta$ ) by 1  $\mu\text{M}$  fXIa-WT as a function of fIX $\alpha$  concentration.

fXIa in which the A3 domain is replaced with the PK A3 domain (fXIa/PKA3), and the isolated fXIa catalytic domain (fXIa-CD) were tested for their ability to cleave fIX and fIX $\alpha$  (Fig. 3 and Tables 1 and 2). The cleavage rates of the Arg<sup>145</sup>–Ala<sup>146</sup> and Arg<sup>180</sup>–Val<sup>181</sup> bonds were markedly reduced compared with fXIa-WT, with pronounced fIX $\alpha$  accumulation.  $K_m$  values for fIX cleavage after Arg<sup>145</sup> by fXIa/PKA3 and fXIa-CD were increased ~25-fold compared with fXIa-WT, with 60- and 90-fold decreases in catalytic efficiency, respectively. The catalytic efficiency of cleavage after Arg<sup>180</sup> was impaired to an even greater degree, but only  $k_{\text{cat}}/K_m$  could be estimated accurately because saturation was not achieved for the reactions (Fig. 3E). The values for  $K_m$  in Tables 1 and 2 should, therefore, be considered lower limits for the actual  $K_m$ . These data indicate that the A3 domain is important for binding of fIX and fIX $\alpha$  to fXIa, and that loss of the A3 binding site has a deleterious effect on both cleavages. fIX $\alpha$  accumulation is the result of an 11–14-fold higher catalytic efficiency for Arg<sup>145</sup>–Ala<sup>146</sup> cleavage compared with Arg<sup>180</sup>–Val<sup>181</sup> cleavage. Progress curve simulations for cleavage of isolated fIX $\alpha$  by fXIa/PKA3 and fXIa-CD suggested that Arg<sup>180</sup>–Val<sup>181</sup> is cleaved with similar, low catalytic efficiency when fIX or fIX $\alpha$  is the starting

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**TABLE 1**

**Kinetic parameters for cleavage of fIX and fIX $\alpha$  to fIXa $\beta$  by fXIa**

Fitting of full-progress experimental traces was performed with KinTek software (KinTek Explorer version 2.5) using the reaction equations shown under "Experimental Procedures."  $K_m$  and  $k_{cat}$  for activation were calculated from individual rate constants for each step.  $K_i$  values were fixed to lower limits obtained by surface plasmon resonance. Values are the mean  $\pm$  S.D. for each experiment.

Protease	Substrate	$k_{cat}$ $min^{-1}$	$K_d$ $\mu M$	$K_m$ $\mu M$	Catalytic efficiency $\mu M^{-1} min^{-1}$	$K_i$ $\mu M$
<b>Cleavage of fIX after Arg<sup>145</sup></b>						
fXIa-WT	fIX	12 $\pm$ 1	0.10 $\pm$ 0.01	0.20 $\pm$ 0.01	60 $\pm$ 6	0.14
fXIa-WT no Ca <sup>2+</sup>	fIX	6.5 $\pm$ 0.4	4.9 $\pm$ 0.4	4.9 $\pm$ 0.4	1.3 $\pm$ 0.3	5
fXIa-CD	fIX	3.2 $\pm$ 0.1	4.9 $\pm$ 0.2	4.9 $\pm$ 0.2	0.7 $\pm$ 0.1	3
fXIa/PKA3	fIX	4.9 $\pm$ 0.1	4.9 $\pm$ 0.2	4.9 $\pm$ 0.2	1.0 $\pm$ 0.1	3
fXIa/PKA2-Ser <sup>140</sup>	fIX	16 $\pm$ 1	0.03 $\pm$ 0.01	0.10 $\pm$ 0.01	160 $\pm$ 20	0.15
fXIa-CD/PK-HC	fIX	1.6 $\pm$ 0.1	2.4 $\pm$ 0.2	2.4 $\pm$ 0.2	0.7 $\pm$ 0.1	5
<b>Cleavage of fIX after Arg<sup>180</sup></b>						
fXIa-WT	fIX	35 $\pm$ 6	0.06 $\pm$ 0.01	0.08 $\pm$ 0.01	400 $\pm$ 100	0.06
fXIa-WT	fIX $\alpha$	12 $\pm$ 1	0.08 $\pm$ 0.01	0.09 $\pm$ 0.01	130 $\pm$ 20	0.06
fXIa-WT no Ca <sup>2+</sup>	fIX	3.6 $\pm$ 0.2	15 $\pm$ 1	15 $\pm$ 1	0.24 $\pm$ 0.04	5
fXIa-CD	fIX	0.5 $\pm$ 0.1	11 $\pm$ 1	11 $\pm$ 1	0.05 $\pm$ 0.01	5
fXIa-CD	fIX $\alpha$	0.5 $\pm$ 0.1	13 $\pm$ 2	13 $\pm$ 2	0.04 $\pm$ 0.01	5
fXIa/PKA3	fIX	0.6 $\pm$ 0.1	7 $\pm$ 1	7 $\pm$ 1	0.09 $\pm$ 0.02	3
fXIa/PKA3	fIX $\alpha$	0.6 $\pm$ 0.1	7 $\pm$ 1	7 $\pm$ 1	0.09 $\pm$ 0.02	3
fXIa/PKA2-Ser <sup>140</sup>	fIX	40 $\pm$ 10	0.04 $\pm$ 0.01	0.08 $\pm$ 0.02	500 $\pm$ 200	0.06
fXIa-CD/PK-HC	fIX	0.4 $\pm$ 0.1	10 $\pm$ 1	10 $\pm$ 1	0.04 $\pm$ 0.01	5
fXIa-CD/PK-HC	fIX $\alpha$	0.4 $\pm$ 0.1	10 $\pm$ 1	10 $\pm$ 1	0.04 $\pm$ 0.01	5

<sup>a</sup> Due to the low affinity between the enzyme and substrate in certain reactions, we were not able to reach saturation for reactions with fXIa-CD, fXIa/PKA3, fXIa-CD/PK-HC, or fXIa-WT in the absence of Ca<sup>2+</sup>. Fits for  $k_{cat}$  and  $K_m$  are linked, with the listed  $K_m$  values representing lower estimates for the reaction.  $k_{cat}/K_m$  is the appropriate parameter for comparing these reactions.

**TABLE 2**

**Kinetic parameters for cleavage of fIX and fIX $\alpha$  by fXIa determined from initial velocities**

The initial velocities ( $v_0$ ) of cleavage after Arg<sup>145</sup> in fIX, and cleavage after Arg<sup>180</sup> in fIX $\alpha$  were plotted against initial substrate concentration, and analyzed using the Michaelis-Menten equation.  $K_m$  and  $k_{cat}$  were obtained from direct nonlinear least squares analysis using Scientist Software. Due to the low affinity between the enzyme and substrate, we were not able to reach saturation reactions with fXIa-CD, fXIa/PKA3, and fXIa-CD/PK-HC. Thus, values for  $K_m$  are approximate and values for  $k_{cat}$  were not determined.

Protease	Substrate	Bond cleaved	$k_{cat}$ $min^{-1}$	$K_m$ $\mu M$	Catalytic efficiency $\mu M^{-1} min^{-1}$
fXIa-WT	fIX	Arg <sup>145</sup>	10 $\pm$ 1	0.27 $\pm$ 0.07	40 $\pm$ 10
fXIa-WT	fIX $\alpha$	Arg <sup>180</sup>	7.1 $\pm$ 0.3	0.09 $\pm$ 0.01	80 $\pm$ 10
fXIa-WT no Ca <sup>2+</sup>	fIX	Arg <sup>145</sup>	4.6 $\pm$ 0.4	1.8 $\pm$ 0.3	3 $\pm$ 1
fXIa-CD	fIX	Arg <sup>145</sup>	2.4 $\pm$ 0.4	3 $\pm$ 1	0.8 $\pm$ 0.3
fXIa-CD	fIX $\alpha$	Arg <sup>180</sup>	ND <sup>a</sup>	>4	0.018 $\pm$ 0.001
fXIa/PKA3	fIX	Arg <sup>145</sup>	6 $\pm$ 1	3.9 $\pm$ 0.9	1.6 $\pm$ 0.4
fXIa/PKA3	fIX $\alpha$	Arg <sup>180</sup>	ND	>4	0.029 $\pm$ 0.003
fXIa-CD/PK-HC	fIX	Arg <sup>145</sup>	5 $\pm$ 1	3 $\pm$ 1	2 $\pm$ 1
fXIa-CD/PK-HC	fIX $\alpha$	Arg <sup>180</sup>	ND	>4	0.02 $\pm$ 0.01

<sup>a</sup> ND, not determined.

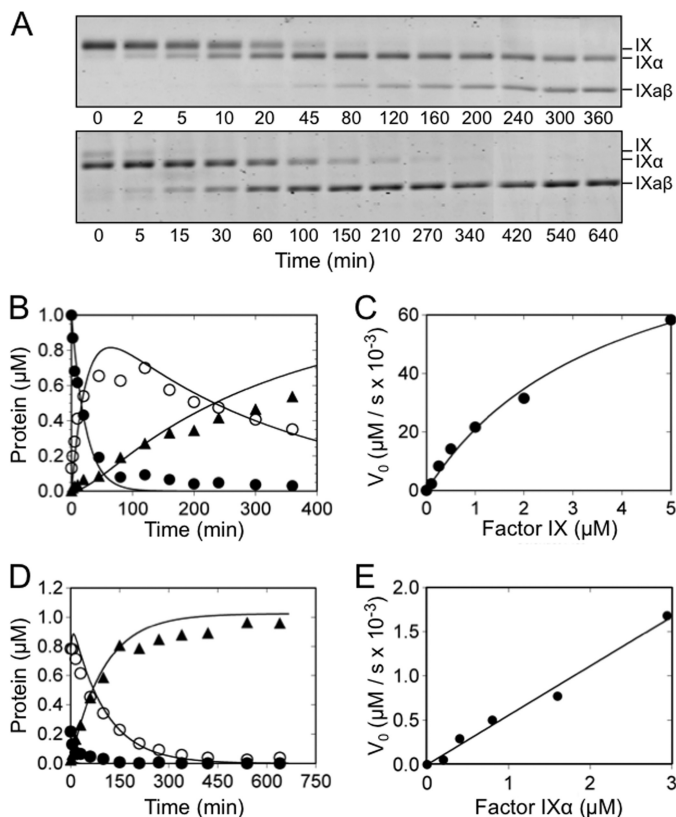
substrate, indicating that the exosite is important for efficient binding of both fIX and fIX $\alpha$  as substrates. Cleavage of fIX or fIX $\alpha$  by fXIa in which the A2 domain was replaced with PK A2 (fXIa/PKA2) was similar to cleavage by fXIa-WT (Table 1) indicating that the A2 domain does not contain a high affinity fIX or fIX $\alpha$  binding site.

The fXIa catalytic domain is attached to the heavy chain by the Cys<sup>362</sup>-Cys<sup>482</sup> disulfide bond (11, 12). The absence of the heavy chain or the substitution of Cys<sup>482</sup> with serine may have caused changes to fXIa-CD that reduced its ability to activate fIX, independent of the loss of the A3 exosite. fXIa-CD/PK-HC consists of the fXIa catalytic domain attached to the heavy chain of PK. Like the fXI heavy chain, the PK heavy chain contains four apple domains, and it is connected to the catalytic domain through a single disulfide bond. Activation of fIX and fIX $\alpha$  by fXIa-CD/PK-HC (Tables 1 and 2) was similar to activation by fXIa/PKA3 and fXIa-CD. Taken as a whole, the data indicate that a major exosite for binding of fIX and fIX $\alpha$  is located on the fXIa A3 domain. Loss of this exosite, either in chimeras (fXIa/PKA3 or fXIa-CD/PK-HC) or through absence

of the heavy chain (fXIa-CD) results in loss of the high affinity site and a marked increase in  $K_m$  for fIX activation.

The anti-human fXI monoclonal IgG O1A6 binds to the A3 domain (24). In the presence of O1A6, fIX activation by fXIa-WT appears similar to activation by fXIa/PKA3, with decreased rates of cleavage for both bonds and fIX $\alpha$  accumulation (compare Figs. 4, A and B, to 3B). An IgG that binds to the A2 domain (14E11; Ref. 24) had no effect on fIX activation by fXIa (not shown).

*Cleavage of fIX and fIX $\alpha$  in the Absence of Calcium Ions*—Although most reactions catalyzed by fXIa do not require Ca<sup>2+</sup>, fIX activation by fXIa is Ca<sup>2+</sup>-dependent. The fIX Gla domain is involved in the interaction with fXIa (25), and Ca<sup>2+</sup> is likely required for proper Gla domain conformation. In the absence of Ca<sup>2+</sup>, fIX bond cleavage is slow, with accumulation of fIX $\alpha$  (compare Fig. 4, D and E).  $K_m$  values for fIX activation in the absence of Ca<sup>2+</sup> are similar to those with fXIa/PKA3 in the presence of Ca<sup>2+</sup> (Tables 1 and 2). Absence of Ca<sup>2+</sup> had little effect on the overall rate of fIX cleavage by fXIa/PKA3 or fXIa-CD (not shown). The data support the hypothesis that the



**FIGURE 3. FIX and fIX $\alpha$  cleavage by fXIa/PKA3.** A, nonreducing 17% polyacrylamide-SDS gels of 1000 nM FIX (top) or fIX $\alpha$  (bottom) in assay buffer with Ca<sup>2+</sup> incubated at room temperature with fXIa/PKA3 (60 nM and 240 nM active sites for fIX and fIX $\alpha$ , respectively). Positions of standards for fIX, fIX $\alpha$ , and fIXa $\beta$  are indicated at the right of each panel. B, progress curves of fIX disappearance (●), and fIX $\alpha$  (○), and fIXa $\beta$  (▲) generation from panel A (top). Lines represent least-squares fits to the data. C, initial velocities of cleavage after Arg<sup>145</sup> (conversion of fIX to fIX $\alpha$ ) by 1  $\mu$ M fXIa/PKA3 active sites as a function of fIX concentration. The initial rates were obtained from the slopes of the linear portions of progress curves documenting disappearance of fIX with time. D, progress curves of fIX $\alpha$  cleavage by fXIa/PKA3 determined from panel A (bottom). E, initial velocities of cleavage after Arg<sup>180</sup> (conversion of fIX $\alpha$  to fIXa $\beta$ ) by 1  $\mu$ M fXIa/PKA3 active sites as a function of fIX $\alpha$  concentration.

Ca<sup>2+</sup>-dependent interaction between the fXIa A3 domain and fIX requires the fIX Gla domain. Consistent with this, fIX activation by fXIa in the presence of IgG SB 249417 (25), which binds to the fIX Gla domain, is characterized by significant accumulation of fIX $\alpha$  and slow generation of fIXa $\beta$  (Fig. 4C).

**Binding of fXIa to fIX and fIX $\alpha$** —We studied binding of active site-blocked fXIa to immobilized fIX, fIX $\alpha$ , and fIXa $\beta$  using surface plasmon resonance. Zymogen fXI-WT did not bind to any species in the presence of Ca<sup>2+</sup>, nor did fXIa-WT in the presence of 10 mM EDTA (data not shown). In the presence of Ca<sup>2+</sup>, fXIa-WT bound to fIX, fIX $\alpha$ , and fIXa $\beta$ . Binding at equilibrium was plotted as a function of the fXIa-WT concentration (Figs. 5, A–C).  $K_d$  for binding to fIX (130  $\pm$  20 nM), fIX $\alpha$  (140  $\pm$  20 nM), and fIXa $\beta$  (60  $\pm$  10 nM) were comparable (Table 3). Isolated fXIa heavy chain also bound to these species in a Ca<sup>2+</sup>-dependent manner (Fig. 5, D and E) with  $K_d$  values of 90  $\pm$  20, 70  $\pm$  10, and 70  $\pm$  10 nM, respectively (Table 3). We were unable to demonstrate binding of fXIa-CD, fXIa/PKA3, or fXIa-CD/PK-HC (Fig. 5F and Table 3) to fIX, fIX $\alpha$ , or fIXa $\beta$  at analyte concentrations up to 5  $\mu$ M. These results indicate that most of the binding energy for the fIX-fXIa

interaction requires the fXIa A3 domain. The Western blots in Fig. 5G show that fXIa-HC inhibits fIX conversion to fIXa $\beta$  by fXIa, indicating the A3 exosite engages fIX in the absence of the catalytic domain, and accumulation of fIX $\alpha$  in the presence of fXIa-HC supports the premise that fIX $\alpha$  is released from fXIa.

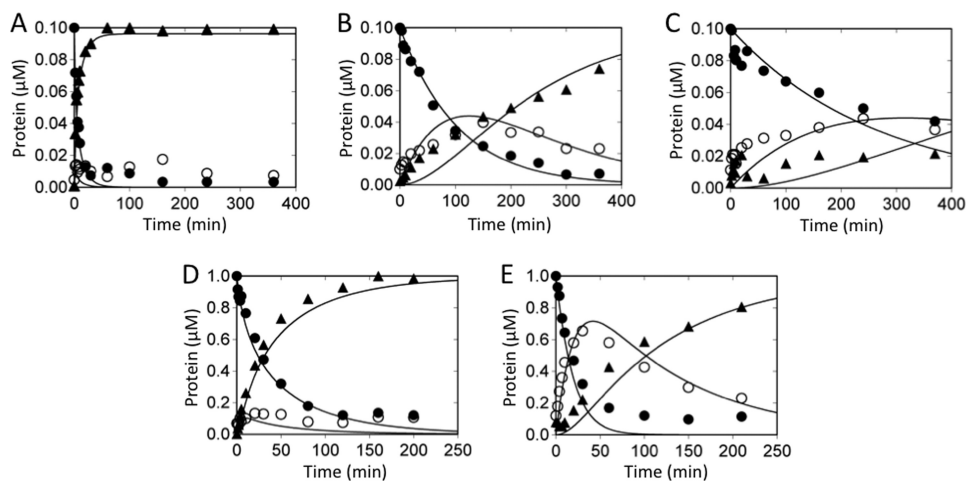
## DISCUSSION

The current study was undertaken to establish the mechanism by which fXIa converts fIX to fIXa $\beta$ . The data need to be interpreted in light of published work in the field, some of which is in disagreement with the results presented here. It is clear that fIX activation by fVIIa or fXIa involves sequential proteolysis of the Arg<sup>145</sup>–Ala<sup>146</sup> and Arg<sup>180</sup>–Val<sup>181</sup> peptide bonds (2, 5). The observation that fIX containing an R145A substitution is cleaved poorly by fXIa (14) supports the premise that the Arg<sup>145</sup>–Ala<sup>146</sup> cleavage site is presented to the fXIa active site after binding of fIX, and that conformational changes resulting from cleavage after Arg<sup>145</sup>, and perhaps repositioning of fIX $\alpha$  on the protease, enhances cleavage after Arg<sup>180</sup>.

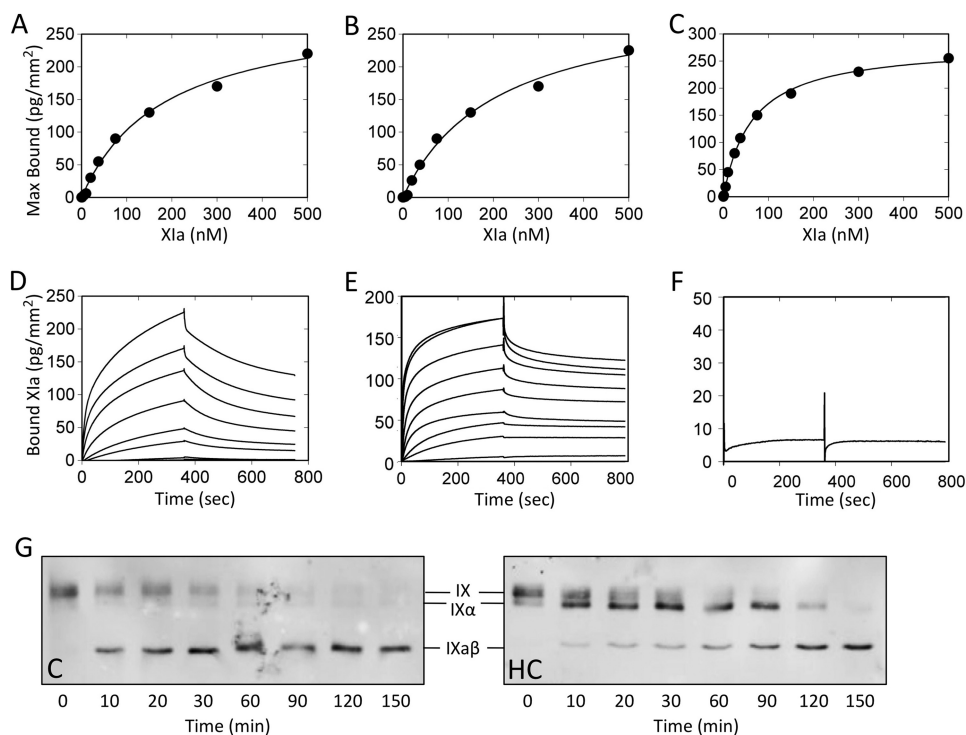
Although fIX $\alpha$  forms during fIX activation by either fVIIa or fXIa, it does not accumulate during the latter reaction (13, 14). The fact that fXIa is a dimer initially suggested that the two subunits may cleave the two fIX bonds prior to releasing fIXa $\beta$ ; however, subsequent work demonstrated that the ability to cleave fIX without fIX $\alpha$  accumulation is intrinsic to each fXIa subunit (14, 15). Wolberg *et al.* (13) observed that cleavage rates of Arg<sup>145</sup>–Ala<sup>146</sup> and Arg<sup>180</sup>–Val<sup>181</sup> in the intermediates fIXa $\alpha$  (fIX cleaved after Arg<sup>180</sup>) and fIX $\alpha$  (cleaved after Arg<sup>145</sup>) are similar and comparable with the overall rate for conversion of fIX to fIXa $\beta$ . They suggested that any intermediate formed may not be released prior to conversion to fIXa $\beta$ . However, significant fIX $\alpha$  accumulation was subsequently observed in competitive reactions in which fIX is activated by fXIa in the presence of active site-inhibited fXIa (14). Some portion of fIX $\alpha$  must be released from fXIa, arguing against a mechanism based strictly on the intermediate remaining bound to fXIa. There are parallels between fIX activation and prothrombin activation, in this regard. During ordered bond cleavage of prothrombin by fXa, the 150-fold higher catalytic efficiency of the second cleavage relative to the first results in formation of an intermediate (meizothrombin) that does not accumulate, but that can be captured with a tripeptide chloromethylketone (28).

The results reported here support an exosite- and Ca<sup>2+</sup>-mediated release-rebind mechanism for fIX activation by fXIa (summarized in Fig. 6), in which the efficiency of the second cleavage is enhanced by conformational changes resulting from the first cleavage. We propose that binding of fIX to the fXIa A3 domain is followed by docking with the active site and cleavage after Arg<sup>145</sup> to form fIX $\alpha$ . This is followed by a second docking step with the active site after rebinding of fIX $\alpha$  to A3, and cleavage after Arg<sup>180</sup>. The ratio of the catalytic efficiencies of the two cleavages determine whether fIX $\alpha$  accumulates. If the A3 domain exosite is available, catalytic efficiency for cleavage after Arg<sup>180</sup> is 7-fold greater than for cleavage after Arg<sup>145</sup>, and fIX $\alpha$  does not accumulate. In the absence of a functional exo-

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**FIGURE 4. FIX cleavage by fXIa-WT in the presence of monoclonal antibodies, and in the absence of Ca<sup>2+</sup> ions.** Panels A–C, effects of antibodies. Shown are progress curves of fIX disappearance (●), and fIXα (○) and fIXαβ (▲) generation. fIX (100 nM) was activated by 3 nM fXIa-WT (active sites) in the presence of (A) vehicle, (B) 50 nM IgG OA16, or (C) 1000 nM IgG SB 249417. Panels D and E, importance of Ca<sup>2+</sup>. Shown are progress curves of fIX disappearance (●), and fIXα (○) and fIXαβ (▲) generation. fIX (1000 nM) was activated in assay buffer at room temperature by (D) fXIa-WT (5 nM active sites) in the presence of Ca<sup>2+</sup>, or (E) fXIa-WT (40 nM active sites) in the presence of 25 mM EDTA.



**FIGURE 5. FXIa binding to fIX, fIXα, and fIXαβ.** Surface plasmon resonance was used to assess binding of fXIa perfused over immobilized fIX, fIXα, or fIXαβ in the presence of Ca<sup>2+</sup> ions at 10 μl/min for 6 min. Dissociation was monitored for 10 min. Panels A–C, fXIa-WT binding. fXIa-WT concentrations tested were: 1, 5, 10, 25, 37.5, 75, 150, 300, and 500 nM. Affinity and kinetic parameters were determined after subtraction of nonspecific binding from the control surface. Nonlinear regression fitting of the steady state equilibrium binding of fXIa-WT to (A) fIX, (B) fIXα, and (C) fIXαβ was performed using a bivalent model. Panels D–F, surface plasmon resonance data for Ca<sup>2+</sup>-dependent binding of fXIa-WT, fXIa-HC, and fXIa-CD binding to fIX. D, shown are curves for fXIa-WT binding to immobilized fIX at analyte concentrations listed above. E, binding curves for fXIa-HC at the same concentrations used for fXIa-WT. F, binding curve for fXIa-CD at a single analyte concentration (5000 nM). Panel G, effect of fXIa-HC on fIX activation by fXIa-WT. Shown are Western blots of time courses of fIX (100 nM) activated by fXIa-WT (2 nM active sites) in the presence of vehicle control (C) or 1 μM fXIa-HC (HC). Samples collected at various times (shown at bottom) into nonreducing SDS sample buffer were size fractionated by SDS-PAGE. Detection was with a polyclonal anti-human fIX antibody and chemiluminescence. Positions of standards for fIX, fIXα, and fIXαβ are shown between the images.

site, the rates of both bond cleavages are markedly decreased, but the predominance of the catalytic efficiency of the second cleavage is lost, leading to fIXα accumulation.

The observations that fIXα is captured by active site-inhibited fXIa (14) or fXIa-HC in competition assays, and that a small amount of fIXα is transiently observed on gels during fIX cleav-

age by fXIa-WT, support a mechanism involving fIXα release. However, the data do not exclude the possibility that release is partial, with a fraction of fIXα repositioned for cleavage after Arg<sup>180</sup>, while still bound to fXIa (a transition from fIXα·fXIa in Equation 1 directly to fIXα\*·fXIa in Equation 2). Such a mechanism has been termed “processive” in prior studies (13). Using

TABLE 3

Affinity for fXIa binding to fIX, fIX $\alpha$ , and fIXa $\beta$ 

Using surface plasmon resonance, fXIa species (1 to 5000 nM) in Ca<sup>2+</sup>-containing HBS-P buffer were perfused across sensor chips coated with fIX, fIX $\alpha$ , or fIXa $\beta$ . HBS-P buffer without fXIa was then perfused for 10 min to follow dissociation. Data were corrected for nonspecific binding by subtracting signals obtained with analytes infused through a flow cell without coupled protein. Binding was analyzed using a bivalent binding model for all species except fXIa-CD/PK-HC and fXIa-CD, which were evaluated with a 1:1 binding model.  $K_d$  values were calculated from the quotient of the derived dissociation ( $k_d$ ) and association ( $k_a$ ) rate constants.

Analyte	$K_d$ for binding of analyte to ligand		
	Factor IX	Factor IX $\alpha$	Factor IXa $\beta$
fXIa-WT	130 $\pm$ 20	<sup>HM</sup> 140 $\pm$ 30	60 $\pm$ 10
fXIa-HC	90 $\pm$ 20	70 $\pm$ 10	70 $\pm$ 10
fXIa-CD	>5000	>5000	>5000
fXIa/PKA3	>3000	>3000	>3000
fXIa-CD/PK-HC	>5000	>5000	>5000
fXIa/PKA2-Ser <sup>140</sup>	40 $\pm$ 10	40 $\pm$ 10	ND <sup>a</sup>

<sup>a</sup> ND, not done.

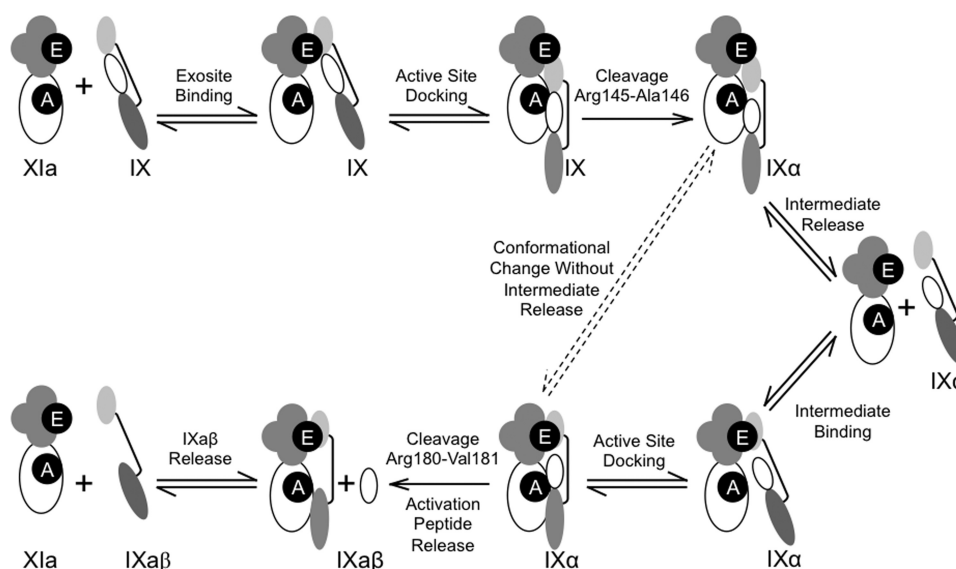


FIGURE 6. **Model for the mechanism of fIX activation by fXIa.** In the schematic images representing fXIa, apple domains are shown as four clustered gray circles with the exosite on A3 indicated in black (E). The fXIa catalytic domain is a white ellipse with the active site indicated by a black circle (A). For fIX, the catalytic domain (dark gray ellipse) and light chain (light gray ellipse) are connected by a line representing a disulfide bond. The fIX activation peptide is the white oval between the heavy and light chains. Bi-directional arrows represent reversible binding, and uni-directional arrows represent proteolytic cleavage. fIX is activated by a fXIa subunit by sequential cleavage after Arg<sup>145</sup> and Arg<sup>180</sup>, with the intermediate fIX $\alpha$  released and then rebound to the fXIa A3 domain. Details of the model are described in the text. The dashed lines indicate the possibility that some fraction of fIX $\alpha$  may be converted to fIXa $\beta$  without release from the fXIa A3 domain.

our data, we simulated a model that includes conformational repositioning of fIX $\alpha$ fXIa to the productive complex fIX $\alpha$ \*fXIa without release (represented by dashed arrows in Fig. 6), in parallel with release rebinding. Because the true ratio of fIX $\alpha$ \*fXIa to fIX $\alpha$ \*fXIa is unknown, we examined a range of values for the ratio (0.05–1), and found that the model fits data sets for cleavage after Arg<sup>180</sup> for both nascent and purified fIX $\alpha$  by fXIa-WT reasonably well at a ratio of 0.5, a  $K_d$  of  $\sim$ 100 nM for binding of fIX $\alpha$  to fXIa in a productive complex, and a  $k_{cat}$  of  $\sim$ 20 min<sup>-1</sup>. Independently determined parameters for cleavage of the first bond, obtained by Michaelis-Menten analysis, and  $K_i$  values for surface plasmon resonance binding of fIX, fIX $\alpha$ , and fIXa $\beta$  to active site-blocked fXIa-WT were kept constant. The results suggest a possible upper limit of  $\sim$ 40% (the difference between  $k_{cat}$  of 35 min<sup>-1</sup> and 20 min<sup>-1</sup>) of fIX $\alpha$  proceeding to fIXa $\beta$  through repositioning without release-rebinding. If the mechanism only involved repositioning of fIX $\alpha$  without release, no transient intermediate should be observed in time courses with fXIa-WT, no fIX $\alpha$  would competitively bind to active site-blocked fXIa, and  $k_{cat}$

for the second cleavage would have to be exceedingly large (up to 60 to 150 min<sup>-1</sup>). Our data indicate this latter scenario is not likely.

The 3-fold greater efficiency of cleavage of fIX $\alpha$  generated *in situ* compared with purified fIX $\alpha$  recruited from the aqueous phase in the release-rebind model deserves comment. Although it must be recognized that this result may simply reflect structural perturbations in fIX $\alpha$  acquired during purification, the difference in catalytic efficiency disappears in reactions with exosite-impaired proteases, perhaps weakening this argument somewhat. The difference in catalytic efficiency may actually reflect some degree of fIX $\alpha$  repositioning without release, or perhaps induced fit related to conformational changes in fXIa, fIX $\alpha$ , or both triggered by fIX cleavage after Arg<sup>145</sup>.

Coagulation proteases are trypsin-like enzymes, but with more restricted substrate specificity than trypsin. Binding interactions at exosites on the proteases distinct from the active site are key to the mechanisms of action of these enzymes, and have been shown to be primary determinants of substrate affin-



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ity and specificity during activation of prothrombin (29–33) and factor X (34). Binding of the substrate at the exosite precedes substrate docking with the active site and catalysis (31, 33). In the current study, high affinity binding between fIX or fIX $\alpha$  and fXIa was largely, if not completely, due to a Ca<sup>2+</sup>-dependent interaction with the A3 domain. FXIa/PKA3 and the isolated fXIa protease domain (fXIa-CD) did not engage fIX or fIX $\alpha$  with high affinity, resulting in >25-fold increases in  $K_m$  for cleavage after both Arg<sup>145</sup> and Arg<sup>180</sup>. These results are not consistent with a model based on the fXIa catalytic domain containing a high affinity fIX binding exosite. Sinha *et al.* (17) reported that the slow rate of fIX conversion to fIXa $\beta$  by an isolated fXIa protease domain was due to reduced  $k_{cat}$  with  $K_m$  for the overall reaction similar to that for fXIa-WT. It was concluded that fIX, but not fIX $\alpha$ , bound to an exosite on the catalytic domain in a Ca<sup>2+</sup>-independent manner. A mechanism was proposed that required fIX to bind to both the catalytic domain and heavy chain exosites, although  $K_m$  data imply that the putative catalytic domain site would dominate the binding interaction. Our results do not support this model. Although we noted that fXIa-CD activates fIX similarly in the presence or absence of Ca<sup>2+</sup>, the kinetic and binding data fail to support the presence of a fIX binding site on fXIa-CD. Furthermore, our results for fIX activation by fXIa-WT and direct binding studies do not indicate that fIX binds with high affinity to fXIa-WT in the absence of Ca<sup>2+</sup>. The reason for the differences between our results and those of Sinha *et al.* (17) are not clear; however, their study used a chromogenic assay to examine fIX activation, which would not facilitate examination of the initial cleavage converting fIX to fIX $\alpha$  with the detail we were able to achieve with our densitometry-based approach.

The same group posited that catalytic domain amino acids Glu<sup>458</sup> and Lys<sup>550</sup> (Glu<sup>98</sup> and Lys<sup>192</sup> in chymotrypsin numbering) may be part of a fIX binding exosite (35). However, substitutions for these residues resulted in >100-fold reductions in  $k_{cat}$  for fIX conversion to fIXa $\beta$ , more consistent with a catalytic defect. Preliminary work from our group indicated that fXIa with a Lys<sup>550</sup> substitution activates fIX with normal  $K_m$ , with the reduction in  $k_{cat}$  likely due to disruption of the interaction between the Lys<sup>550</sup> side chain and the substrate P3' residue (36). Recently, Marcinkiewicz *et al.* (37) reported that cross-talk between the fXIa catalytic domain and heavy chain is required for expression of the fIX binding site on the heavy chain, based on observing that isolated fXIa heavy chain (fXIa-HC) does not bind to fIX. In the current study, in contrast, fXIa-HC and fXIa-WT bound to fIX and fIX $\alpha$  with similar affinity in the presence of Ca<sup>2+</sup>. Furthermore, fXIa-HC inhibited fIX activation by fXIa. These findings are most consistent with a mechanism in which fIX binds initially to the fXIa heavy chain, with binding being independent of a contribution from the catalytic domain.

Although our data do not support the presence of a fIX-binding exosite on the fXIa catalytic domain that is required for initial substrate recognition, they do not rule out the possibility that such a binding site is expressed as the result of initial binding of fIX to the A3 exosite (although such a process would not explain the differences between our results and published work). Previously, we noted that binding of fIX to fXIa results in

a mixed-type inhibition of cleavage of a tripeptide substrate by fXIa, consistent with fIX binding to A3 causing changes in the protease domain, in addition to competing with the tripeptide at the active site (18).

The Gla domains of the coagulation proteases fVIIa, fIXa, and factor Xa contain  $\gamma$ -carboxylated glutamic acid residues that bind Ca<sup>2+</sup> and facilitate binding to phosphatidylserine-rich membranes.  $K_m$  for reactions involving these proteases are strongly influenced by the Gla domain-phospholipid interaction. FXIa lacks a Gla domain (10–12), and fXIa activation of fIX is not influenced appreciably by phospholipid. However, the fIX Gla domain is required for binding to fXIa (25), and may be the structure that interacts with the A3 domain. Supporting this is the observation that the kinetic parameters of fIX activation by fXIa-WT in the absence of Ca<sup>2+</sup> are similar to those for activation by fXIa/PKA3 (Table 1), and that an antibody to the fIX Gla domain results in accumulation of fIX $\alpha$  (Fig. 4C). It is possible, therefore, that during fIX activation by fXIa, the A3 domain performs the Gla domain binding role that phospholipid performs during fIX activation by fVIIa.

fXI is a relatively new component of the blood coagulation mechanism, making its appearance during mammalian evolution as the result of a duplication of the PK gene (38). Human PK and fXI share a high degree of structural homology, and are 58% identical in amino acid sequence (10). However, the sequence around the putative fIX binding site in the fXI A3 domain, which is highly conserved in mammals, is distinctly different from the corresponding sequence in PK (38), supporting the conclusion that changes to the A3 domain were critical to the ability of fXIa to engage fIX as a substrate.

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